

# 1 Waste prevention, energy recovery or recycling - Directions for 2 household food waste management in light of Circular Economy 3 policy

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## 8 Abstract

9 Waste amounts are growing with increasing wealth and population. To curb this trend and reduce  
10 adverse environmental impacts, food waste reduction has been set on the political agenda, together with  
11 ambitious material recycling and greenhouse gas (GHG) emissions targets.

12 This study analyses the environmental benefits of two waste management systems for household  
13 organic food waste, namely recycling by anaerobic digestion (AD) and incineration. Recycling rates,  
14 energy efficiency and GHG emissions are reviewed to determine the environmental profile of the  
15 downstream systems. The avoided GHG emissions achieved by the respective waste management  
16 strategies are further compared with the ones achieved by food waste prevention strategies. The study  
17 combines a material flow analysis (MFA) assessing the downstream system with published life cycle  
18 analysis (LCA) results for the upstream system. The method was demonstrated as a proof-of-concept  
19 case study for the city of Trondheim, Norway.

20 It was found that the recycling of food waste with AD performs better in terms of recycling rates and  
21 GHG emissions than incineration, provided that diesel is substituted by biogas. However, the energy  
22 efficiency of the incineration process was found to be slightly higher than of the AD option.  
23 Nonetheless, relatively small reductions in food wastage (15% and 30%) resulted in large amounts of  
24 avoided emissions, outweighing the benefits of recycling strategies. For mitigating climate change, the  
25 prevention of food waste clearly stood out as the most effective strategy. Norwegian authorities should  
26 focus equally much on household food waste prevention than on optimising food waste management  
27 systems.

## 28 Keywords

29 Organic waste treatment  
30 Food waste prevention  
31 Recycling rates  
32 Energy efficiency  
33 Avoided greenhouse gas emissions

## 34 1. Introduction

35 The European Union's approach to waste management is currently based on two main pillars. On the  
36 one hand, the Waste Framework Directive (2008/98/EC, Article 4) favours waste prevention over reuse,  
37 followed by recycling, energy recovery and finally disposal (European Commission, 2008).

38 On the other hand, the Circular Economy package adopted by European Commission in 2015 advocates  
39 an economic system that leaves no waste to be landfilled and that keeps all material flows in the  
40 economy through reuse, redesign, material recovery or energy recovery (European Commission, 2015).

41 Two main elements are introduced: the landfill ban on specific waste fractions such as organic waste,  
42 and specific collection and recycling targets for the various waste fractions.

43 Several European cities have in the context of a circular economy recently implemented source sorting  
44 of household organic waste, as this fraction contains high energy and nutrient levels and has a high  
45 potential for recovery. Environmental and economic benefits have hence led European authorities to  
46 focus on organic waste recycling and to largely invest in biogas facilities, resulting in Europe now being  
47 the world's leading producer of biogas (Hamilton et al., 2015; Scarlat et al. 2018). Anaerobic digestion  
48 (AD) converts waste into biogas and digestate, which can be used to produce electricity, heat, fuel and  
49 soil amendment products (Bernstad and la Cour Jansen, 2012, 2011; Bernstad Saraiva Schott and  
50 Andersson, 2015; Khalid et al., 2011; Modahl et al., 2016; Scarlat, 2018). Previous studies have  
51 concluded that AD as waste management option results in net environmental benefits when compared  
52 to incineration, composting and landfilling (Khoo et al. 2010; Evangelisti et al. 2014; Bernstad and  
53 Andersson, 2015; Raadal et al. 2016; Edwards et al. 2017). In general, biogas-based energy systems  
54 release lower amounts of greenhouse gas (GHG) emissions than fossil-based energy systems, especially  
55 when biogas substitutes fuel in transportation (Niu et al., 2013; Lozanovski et al., 2014; Lyng et al.,  
56 2015). The environmental benefits, however, depend on technology choices, the substituted products,  
57 the impact categories analysed and the area under study (Bernstad and la Cour Jansen, 2012, 2011;  
58 Modahl et al., 2016).

59 Even though waste prevention is a top priority in the European waste policy, reducing food waste has  
60 only lately been recognized as a priority area both at an international, European and Norwegian level.  
61 Sustainable Development Goal (SDG) number 12.3 sat the topic on the agenda in 2015 by aiming at  
62 "halving per capita global food waste at the retail and consumer levels and reduce food losses along the  
63 production and supply chains, including post-harvest losses". In Europe, food waste reduction has  
64 become one of the priority areas in the Circular Economy package adopted in 2015. In Norway, an  
65 agreement between the government and the food industry was concluded in June 2017, aiming at  
66 reducing food waste by 50% by 2030 (Klima og miljødepartementet, 2017).

67 These resolutions are of importance as food waste is in fact a huge challenge. Approximately one third  
68 of the food produced worldwide is wasted throughout the supply chain, representing loss of resources  
69 consumed, such as water, land, energy and labour (FAO, 2013). 12% of the total Norwegian household  
70 food consumption is wasted (Stensgård and Hanssen, 2015), of which two thirds are avoidable food  
71 products (Bernstad and Andersson, 2015; Bjørnerud and Syversen, 2017; Syversen et al., 2018).  
72 Vanham et al. (2015) estimated that as much as 80% the European food waste can be classified as  
73 avoidable. Food waste hence indirectly causes large environmental damages, in addition to the direct  
74 impacts of waste treatment at disposal, and therefore give rise to ethical, social and economic concerns.  
75 The prevention of food waste can remedy to several of these aspects (Eberle and Fels, 2016; Salhofer  
76 et al., 2008; Westhoek, 2017), however, prevention measures have until now received far less attention  
77 than waste treatment and recovery measures.

78 Few previous studies have compared the environmental benefits of food waste prevention with the ones  
79 of various waste handling solutions for several indicators. This comparison has been partly covered by  
80 Bernstad and Andersson (2015), who concluded based on LCA methodology that food waste  
81 minimization strategies result in far greater benefits for global warming compared to both incineration  
82 and AD. This supports the conclusions presented by Matsuda et al. (2012). Further, Hamilton et al.  
83 (2015) concluded using MFA methodology that food waste minimization strategies result in greater  
84 energy saving potential compared to food waste recycling strategies. There is little literature on this  
85 topic, and since the Circular Economy package seems to focus mostly on recovery and recycling  
86 strategies, while the waste hierarchy and overall policy should give highest priority to prevention, this  
87 limited knowledge is seen as a problem.

88 This study aims at analysing the performance of these two respective strategies (transition to a circular  
89 economy and waste prevention) for the case of food waste based on three relevant indicators in the light  
90 of CE: recycling rates, energy efficiency and generated/avoided GHG emissions. For capturing these

91 three indicators which are closely interlinked, we use a multi-layer MFA framework to model the waste  
92 management system. The upstream (production system) environmental impacts and downstream (waste  
93 management system) impacts are linked by mass balance principles and CO<sub>2</sub> calculations by coupling  
94 the MFA results with LCA literature for assessing the avoided emissions indicator.

95 The methodology is demonstrated by a proof-of-concept study for the city of Trondheim, representing  
96 a typical Norwegian city. The functional unit is based on a food waste composition analysis for this  
97 city. The conclusions drawn from this study can be applied to other European cities facing the same  
98 waste management situation.

## 99 2. Methodology

100 This study aims at analysing the performance of two respective strategies: the transition to a circular  
101 economy and waste prevention for the case of food waste based on three relevant indicators. For doing  
102 so, the downstream and upstream systems are modelled separately. The downstream model is developed  
103 using material flow analysis (MFA) methodology extended with energy and emission data for assessing  
104 recycling rates, energy efficiencies and emission levels for two different recycling systems. The  
105 upstream model calculates CO<sub>2</sub> emission from the food production system, using data from LCA studies  
106 in literature. Both models are tailored to fit the current food waste situation and the actual plans for the  
107 city of Trondheim, with the 2017 system as reference and alternative scenarios in 2020 and 2025 as  
108 comparisons. This methodology is a proof-of-concept. The full MFA model is presented in S.I.

109 Different definitions and terms are found in literature when it comes to food wastage. These should be  
110 defined precisely as they are used in this paper to avoid any confusion. Note that neither the definitions  
111 nor the scope of the study does not include packaging.

- 112 (1) *Food waste* is any food, and inedible parts of food, removed from the food supply chain to be  
113 recovered or disposed (including composted, crops ploughed in/not harvested, anaerobic  
114 digestion, bio-energy production, co-generation, incineration, disposal to sewer, landfill or  
115 discarded to sea) (Östergren et al., 2014).
- 116 (2) *Avoidable food waste* refers to materials that could have been eaten, making no distinction  
117 between what is elsewhere called “possibly avoidable” or “preference loss” (e.g. peels, seeds).  
118 Moreover, food which has passed its by-use date is also considered as avoidable, as the  
119 consumer could have planned more effectively (Östergren et al., 2014).
- 120 (3) *Unavoidable food waste* refers to materials that could not have been eaten under normal  
121 circumstances, for instance bones and orange peels (Östergren et al., 2014).
- 122 (4) *Food waste prevention* are measures taken before a substance, material or product has become  
123 waste, that reduce: (a) the quantity of waste, including through the re-use of products or the  
124 extension of the life span of products; (b) the adverse impacts of the generated waste on the  
125 environment and human health; or (c) the content of harmful substances in materials and  
126 products (European Commission, 2008).

### 127 2.1 Case study description

128 As of 2017, Trondheim had ca 191 000 inhabitants (SSB, 2017), plus some 10-15 000 students with  
129 another formal home address, and is thereby the third largest city in Norway. A large share of the waste  
130 is today incinerated, with heat recovery feeding into a district heat network that serves 30% of the space  
131 heating demand of the city’s buildings. This provides annually some 600 GWh heat supply of which ca  
132 80% energy from waste and the remaining 20% from peak load energy sources (Brattebø and Reenaas,  
133 2012; Lausselet et al., 2016; Statkraft Varme, 2017). Currently, paper, plastic, glass, metal, and residual  
134 waste are the fractions sorted out from households. There are three main collection technologies: surface  
135 bins represent the bins on wheel that are placed in front of each household; underground receptacles  
136 represent containers usually placed at a central point in an urban area and serve multiple households;  
137 and vacuum systems that are either stationary or mobile. These currently collect 83%, 12%, and 5% of

138 the household waste respectively. The two latter technologies are underground systems, which together  
139 aim at reaching a collection capacity of 50% by 2030. Hence, organic waste is currently not sorted out  
140 or treated independently but is sent to incineration in the residual waste fraction. The city administration,  
141 however, today investigates the possibilities for building a central sorting facility, including the use of  
142 near infrared technology, aimed at sorting out organic waste for biogas production and plastic waste for  
143 increased ratios of material recycling (Trondheim kommune, 2017).

## 144 2.2. Data acquisition

145 A composition analysis was conducted to estimate the avoidable food waste amounts contained in  
146 household waste in Trondheim. Waste samples of 400-500 kg from five different residential areas were  
147 collected, reflecting the social-demographic differences of the city. This was important as it has been  
148 shown that the food waste amounts differ with factors such as age, sex, wages and time consumption  
149 on food preparation (Stensgård et al., 2019). The residual waste was first divided into non-food waste  
150 and food waste. The food waste was subsequently categorized as avoidable and unavoidable, as  
151 recommended by Lebersorger and Schneider (2011) and Bernstad and Cànovas (2015). Eight avoidable  
152 fractions were distinguished: fruits and vegetables, bread and bakeries, fish, meat, dairy products, eggs,  
153 meal leftovers, and other usable products, as advocated by the Norwegian national handbook for  
154 composition analyses (2015). For three of the sampling areas, the meal leftover fraction was further  
155 classified into the categories of bread, fish and meat, and others in order to get an indication of the  
156 amounts of carbon-intensive products present in that specific fraction.

157 A composition vector was developed based on the average of the 5 areas, representing the share of  
158 unavoidable and avoidable and food waste fractions divided on the specific fractions (Table 1). Based  
159 on weight, the meal leftover fraction was found to be the most important fraction (28%) of avoidable  
160 food waste, followed by fruits and vegetables (25%) and bread and pastries (21%).

161 However, the uncertainties linked to the analysis are likely to be significant due to errors that occurred  
162 during the out-sorting process. As the results are based on the fraction weight, incorrect out-sorting of  
163 heavy products or the inclusion of packaging influence the results. Nonetheless, the results are  
164 comparable to the ones presented in the literature and therefore considered acceptable for the purpose  
165 of this study.

166 Bernstad and Casanovas (2015) present a graph compiling the available food waste fractions results  
167 across the literature. It is difficult to compare in detail the different studies, as the classification of the  
168 food fractions differ across the studies, affecting the percentage-based results. However, the fruits and  
169 vegetable share nearly always the largest, most often followed by bread and pastries and/or prepared  
170 food. In some studies, the categories “diary” and “others” were also significant. The study of Stensgård  
171 and Hanssen (2015) was not included in that overview, but the division of the categories and hence the  
172 results are comparable to this study. Their result present that the meal leftover fraction was the most  
173 important fraction (31%) of the avoidable food waste, followed by fruits and vegetables (27%) and  
174 bread (13%).

175 Understanding the composition of the food waste is a first step for proposing targeted and efficient  
176 reduction solutions.

177 *Table 1: Composition vector of the reference scenario 2017*

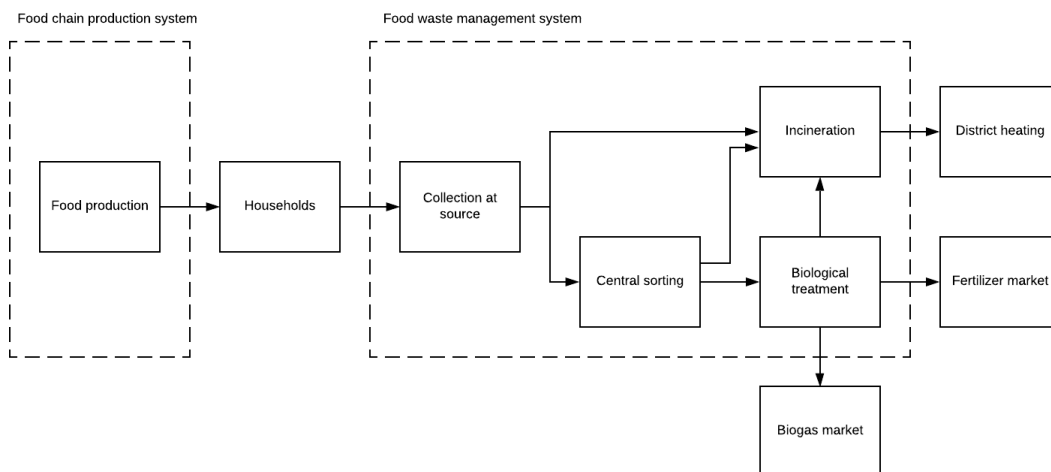
Waste fractions	Waste composition	
	kg/cap	%
Bread and pastries	8,61	14 %
Fruits and vegetables	10,05	16 %
Meat	3,48	6 %
Fish	0,85	1 %

Dairy	2,54	4 %
Other usable products	3,87	6 %
Eggs	0,16	0 %
Meal leftovers	11,59	19 %
Unavoidable food waste	20,07	33 %
<b>Total</b>	<b>61,21</b>	<b>100 %</b>

178

### 179 2.3 Downstream system

180 The system boundaries are two-fold: the upstream and the downstream system (Figure 1). The first one,  
 181 representing the food production system, is described in 2.4.1. The system boundaries of the  
 182 downstream system include the municipal household waste system for managing organic waste. The  
 183 system boundaries start with the collection of waste from the households. The waste is transported either  
 184 directly to the incineration facility or to a central sorting facility. In the second option, the waste is, after  
 185 further sorting in the central sorting facility, either directed to a biogas facility or to the incineration  
 186 plant. The incineration process produces heat which is used for district heating purposes and ashes  
 187 which are disposed of. The biological treatment produces biogas which is used as fuel, and digestate  
 188 which is used as fertilizers as it recovers nitrogen and phosphorus.



189

190 *Figure 1: Food chain system divided on upstream and downstream systems*

### 191 2.4 Upstream system

192 Following the MFA modelling principles of Brunner and Rechberger (2004), the model “A generic  
 193 municipal solid waste management model” developed at NTNU (Callewaert, 2017) was adapted and  
 194 applied to the organic waste system of the municipality of Trondheim (see S.I.).

195 The mass-balanced mathematical model analyses the resource and emission flows in the system, using  
 196 three different system flow layers for this system definition. First, a material layer quantifies the annual  
 197 flows of goods (on a waste fractions level) in the system. Second, an energy layer evaluates the  
 198 associated flows of energy for each process in the system. Finally, an emission layer estimates GHG  
 199 emissions (as CO<sub>2</sub>-eq) from processes, transport and energy consumption. Due to the dependency  
 200 between the layers, it is possible to examine how changes in the material flows, as a consequence of  
 201 system changes over time, will influence the system-wide energy and emission performance.

202 The first layer calculates all material flows based on given waste flows quantities, on the composition  
 203 vector and on known or assumed transfer coefficients for each process. A transfer coefficient in MFA

204 theory determines how much of the sum of inflows to a given process is directed to a specific outflow  
205 direction. Transfer coefficients hence tell how efficient a process is in directing the waste throughflow  
206 in the desired downstream direction.

207 The calculated material flows are used to estimate the energy flows entering and leaving the system.  
208 The energy efficiency of the system is calculated by dividing the energy generated in incineration and  
209 biogas production with the feedstock energy from the waste and the consumption of energy from waste  
210 treatment processes and transport activities. This is used as an indicator for assessing the overall energy  
211 performance of the system.

212 The emission layer calculates the generated GHG emissions based on the results from the material and  
213 energy layer. The emissions included are caused by waste collection and transport, energy consumption  
214 during waste treatment processes and direct emissions from AD and incineration. Emissions caused by  
215 the life cycle of infrastructure are excluded. The emission factors are presented in S.I.

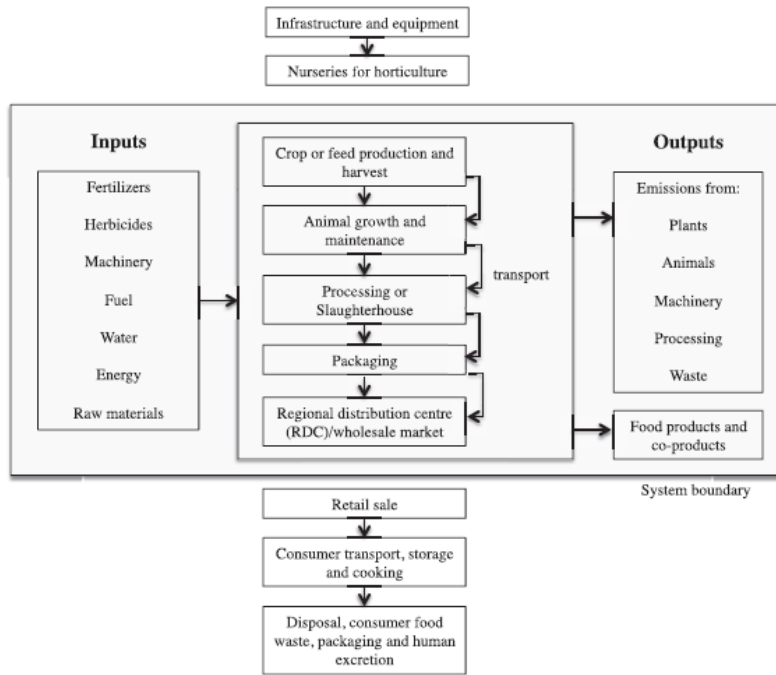
216 Additionally, avoided emissions are calculated based on the quantity of energy outputs calculated in the  
217 energy layer of the model. On the one hand, heat generated from the incineration process is assumed to  
218 replace electricity as heating source in households, thanks to district heating in Trondheim. On the other  
219 hand, biogas from the AD is assumed to substitute diesel in transport. For the substituted products, the  
220 amounts of energy generated are multiplied with the emission factors of electricity (0,044  
221 kgCO<sub>2</sub>eq/kWh, ecoinvent 2) and diesel (0,273 kgCO<sub>2</sub>eq/kWh, ecoinvent 2), representing avoided  
222 emissions and therefore with negative values.

#### 223 2.4.1 System boundary description

224 Both the food supply chain and the waste management system are essential in the environmental  
225 assessment of food waste prevention (Bernstad and Cànovas, 2015). The upstream system, depicting  
226 the food supply chain, quantifies the embedded emissions of food commodities in a cradle-to-gate  
227 perspective.

228 The system boundaries of the upstream system follow the ones of Clune et al. (2017), Figure 2.

229 At the farm, inputs from chemicals and fertilisers, fuel and energy inputs from irrigation and machinery  
230 for cultivation, harvesting and processing are included. In addition, transport and distribution to the  
231 regional distribution centre are part of the analysis. Outputs include emissions released from fertilised  
232 soils, plants and animals on the fields. The infrastructure, however, is not included.



233

234 *Figure 2: System boundaries of the upstream system. Source: Clune et al. (2017)*

235 It should be noted that the use phase which includes how consumers travel to shops, store and cook  
 236 food is not included in the analysis. In fact, the aim of the study is to quantify the impacts of different  
 237 political strategies which are out of reach for consumers. If a share of the avoidable food waste is  
 238 properly prevented from being wasted at the household level, it can be assumed that the inflow of food  
 239 commodities to the household is equally reduced. Consequently, it can be considered that the same  
 240 amount of food commodities is avoided from being produced, and that the associated production-related  
 241 emissions are avoided simultaneously. This analysis hence neglects the environmental impacts arising  
 242 at the household level.

243 **2.4.2 Model description**

244 Clune et al. (2017) performed a meta-analysis of 369 LCA studies published between 2000 and 2015,  
 245 from which they created a GHG emission database for a large quantity of food products. In this study,  
 246 the embedded GHG emissions of the different avoidable food waste fractions were calculated (Table 1)  
 247 by aggregating the median GWP values of the appropriate food products presented by Clune et al.  
 248 (2017). The emissions were then multiplied with the composition vector in percent, giving the amount  
 249 of CO<sub>2</sub>eq embedded in one kilo of avoidable food waste with the typical composition of the food wasted  
 250 by households in Trondheim. This composition was used as reference composition.

251 It is unlikely, however, that all food fractions will be equally reduced by prevention measures. The  
 252 change within the various fractions therefore follows the results of the ForMat project (Stensgård and  
 253 Hanssen, 2015). Two composition analyses were conducted in an interval of 5 years in the Norwegian  
 254 city of Fredrikstad and in the Hallingdal valley. During this period, food waste prevention measures  
 255 were actively established. The difference in food wastage between the two analyses was concluded to  
 256 be a consequence of these measures. The change in shares within the composition vector in the various  
 257 projections is subject to high uncertainty, but is the only available data in a Norwegian waste prevention  
 258 context. Table 2 presents the new composition vector effected by food waste prevention measures.

259 *Table 2: Composition vector affected by food waste prevention measures*

Waste fractions	Waste composition
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	kg/cap	%
Bread and pastries	2,67	7 %
Fruits and vegetables	10,05	27 %
Meat	3,24	9 %
Fish	0,79	2 %
Dairy	2,44	7 %
Other usable products	2,79	8 %
Eggs	0,16	0 %
Meal leftovers	14,72	40 %
Total	36,85	100 %

260

261 The production of the unavoidable food waste fraction is equal for all scenarios and projections and was  
 262 therefore left out of this study, as suggested by Martinez-Sanchez et al. (2016). Following the same  
 263 approach as Bernstad and Andersson (2015), only the avoidable food waste is prevented. For calculating  
 264 the embedded emissions from the meal leftover fraction, its composition had to be estimated. During  
 265 the composition analysis, the meal leftovers were classified in three categories: bread, fish and meat,  
 266 and others. Based on the fraction weight, it was estimated that 15% of the meal leftover was constituted  
 267 of bread and pastries, 15% of fish and meat, and 70% of a mixture of all other fractions, mainly others  
 268 and fruits and vegetables. The amount of embedded GHG emissions of this fraction was estimated in  
 269 accordance with this allotment.

270 The emissions embedded in one kilo of avoidable food waste with the reference composition amounted  
 271 to 3.44 kg CO<sub>2</sub>eq/kg avoidable wasted food. In comparison, the emissions released for producing one  
 272 kilo of avoidable food waste with the prevention composition amounted to 3.88 kg CO<sub>2</sub>eq/kg avoidable  
 273 wasted food. These results are in line with the literature (Bernstad and Cànovas, 2015). The difference  
 274 between these two values, i.e. 0.44 kg CO<sub>2</sub>eq/kg, represents the change in upstream emissions if the  
 275 waste composition is altered through prevention activities. It can be noted that the embedded emissions  
 276 of one kilo avoidable food waste increase as the amounts of avoidable food waste is reduced, which is  
 277 explained by a reduction of the low-carbon intensive product share (bread and pastries) but a stagnation  
 278 in the high carbon-intensive product share (meat, fish, dairy products).

## 279 2.5 Scenario development

280 This study compares 3 main scenarios for the years 2017, 2020 and 2025: a Reference scenario (RS), a  
 281 Central sorting scenario (CS) and a Prevention scenario (PS).

282 **Reference scenario (RS)** - describes the current waste management solutions in Trondheim in 2017  
 283 and assumes these solutions are used towards 2025. Organic waste is collected together with the residual  
 284 waste and sent to incineration for district heating. Projections for 2020 and 2025 account for increased  
 285 population and thereby increased food waste amounts. The share of collection technologies is adjusted  
 286 with time, with above-ground bins decreasing to 80% and 70% for the 2020 and 2025 projections  
 287 respectively, and the underground receptacles and vacuum systems increasing to 14% and 20%, and to  
 288 6% and 10%, respectively. The collection technology influences the energy requirement of the  
 289 collection process. The share of biodiesel used in transport is assumed to rise to 15% in 2020, and to  
 290 50% in 2025. The LHV of food waste is estimated at 2500 kJ/kg for fruits and vegetables, 9200 kJ/kg  
 291 for fish and meat (Christensen, 2011) and 4150 kJ/kg for all other fractions (Hung and Solli, 2012). The  
 292 amount of organic waste per inhabitant was calculated based on historic organic waste generation data  
 293 from 2007, 2012 and 2015, which show a slight increase over the years. A linear regression was applied  
 294 and lead to the following: 61.21kg in 2017, 68kg in 2020 and 71.7kg in 2025, which were used as  
 295 reference scenarios for the different years.



296 **Central sorting scenario (CS)** – examines the effects of a new central sorting facility separating  
297 organic waste and different plastic waste fractions with optical sorting and near-infrared technologies.  
298 Central sorting facilities are promoted as important technological tools for increasing collection and  
299 hence recycling rates. Variants of this technology are currently spreading as state-of-the-art waste  
300 management practice in Norway and is therefore of importance to examine more closely. Based on data  
301 from a similar facility at ROAF outside Oslo, the organic waste separation efficiency of the facility is  
302 set to 50%, which reflects the performance of the currently existing technologies (Callewaert, 2017).  
303 Half of the household food waste is thus directed to the incineration plant together with other waste  
304 fractions, while the successfully out-sorted second half is sent to AD for biogas production in Verdal,  
305 95km outside Trondheim. The methane yield of food waste is assumed to be 153 Nm<sup>3</sup>/t (Hung and  
306 Solli, 2012). According to the city’s plans, the central sorting facility will not be in operation before  
307 2025 and is therefore only modelled for this year. The collection technologies, the share of transport  
308 fuel and the LHV of the food waste fractions are equal to those assumptions used in the RS scenario.

309 **Prevention scenario (PS)** – investigates the consequences of prevention measures, which decrease the  
310 amounts of avoidable food waste in 2017, 2020 and 2025 with 10%, 15% and 30% respectively. The  
311 measures themselves are not defined, only the effects of reduced avoidable food waste amounts are  
312 analysed. These effects of prevention are applied also to the CS scenarios, in a combined PS+CS  
313 scenario. The collection technologies, the share of transport fuel and the LHV of the food waste  
314 fractions are equal to the assumptions used in the RS scenario. Like for the reference scenarios, the  
315 amount of organic waste per inhabitant for the prevention scenarios were calculated based on historical  
316 data on which a linear regression was applied. This lead to the following: 56.91kg in 2017 including  
317 10% reduction, 61.11kg in 2020 including 15% reduction and 57.27kg in 2025 including 30% reduction.

## 318 2.6 Sensitivity analysis

319 A sensitivity analysis is used for assessing the robustness of certain parameters, and thereby their  
320 influence on the system variables. Input variables and assumptions are deliberately changed one at a  
321 time to analyse how they affect the outcome of the modelling. The changes in results are measured  
322 through the sensitivity ratio (SR) which is the fraction of relative change in the results (R) over the  
323 relative change in the input parameter (P) (Sandberg *et al.*, 2017).

$$SR_p = \frac{\Delta R/R_0}{\Delta P/P_0}$$

324 The sensitivity analysis was only performed on the main parameters of the MFA system, influencing  
325 the three layers. The analysis was performed for the CS scenario of 2025 as this would allow a  
326 comparison of the parameters influencing both the AD and the incineration processes.

## 327 3. Results

328 The results are three-fold according to the three assessed indicators: material recycling, energy  
329 efficiency, and emission levels.

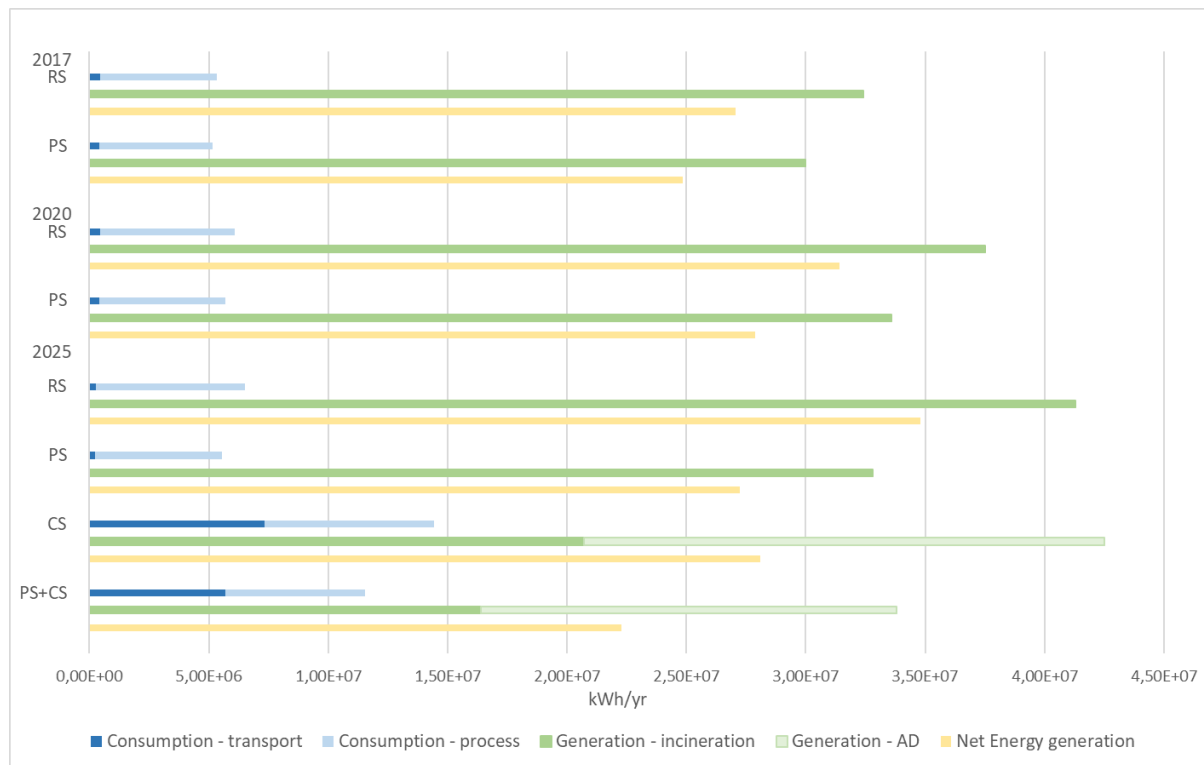
330 Regarding recycling rates, there is a common understanding in the EU that these must be increased in  
331 a circular economy. In addition to producing biogas, a biogas facility also creates biorest which recycles  
332 nitrogen and phosphorus. The analysed biogas facility uses a dewatering system, which leads to the  
333 nitrogen leaving the biorest stream. Only phosphorus is then recycled as fertilizer. However, the biogas  
334 facility under study has done tests regarding the use of liquid biorest, which would allow a recovery of  
335 the nitrogen in addition to the recovery of phosphorus (Ecopro, 2012).

336 Regardless of the amounts of nitrogen and phosphorus recycled, the European Commission defines in  
337 the waste legislation that all inputs to the AD facility are considered “*material recycled if the digestate*

338 *is used as fertilizer in agriculture*” (European Commission, 2011). This means that the scenarios using  
 339 AD obtain increased recycling rates compared to the RS, as long as the digestate is used as fertilizers.

340 As a result, CS and PS+CS scenarios reach 50% material recycling for the food waste fraction on the  
 341 account that half of the waste is treated with AD. In comparison, the RS scenario obtains no material  
 342 recycling as the total waste amounts are incinerated.

343 The net energy generation for the three scenarios at all points in time are presented in Figure 3, together  
 344 with the disaggregated consumption and generation factors. The net generation (yellow bars) is the  
 345 result of the energy generated as biogas and district heating (green bars) minus the energy consumption  
 346 in transport and processing (blue bars).



347  
 348 *Figure 3: Energy consumption, generation and net energy consumption for all scenarios*

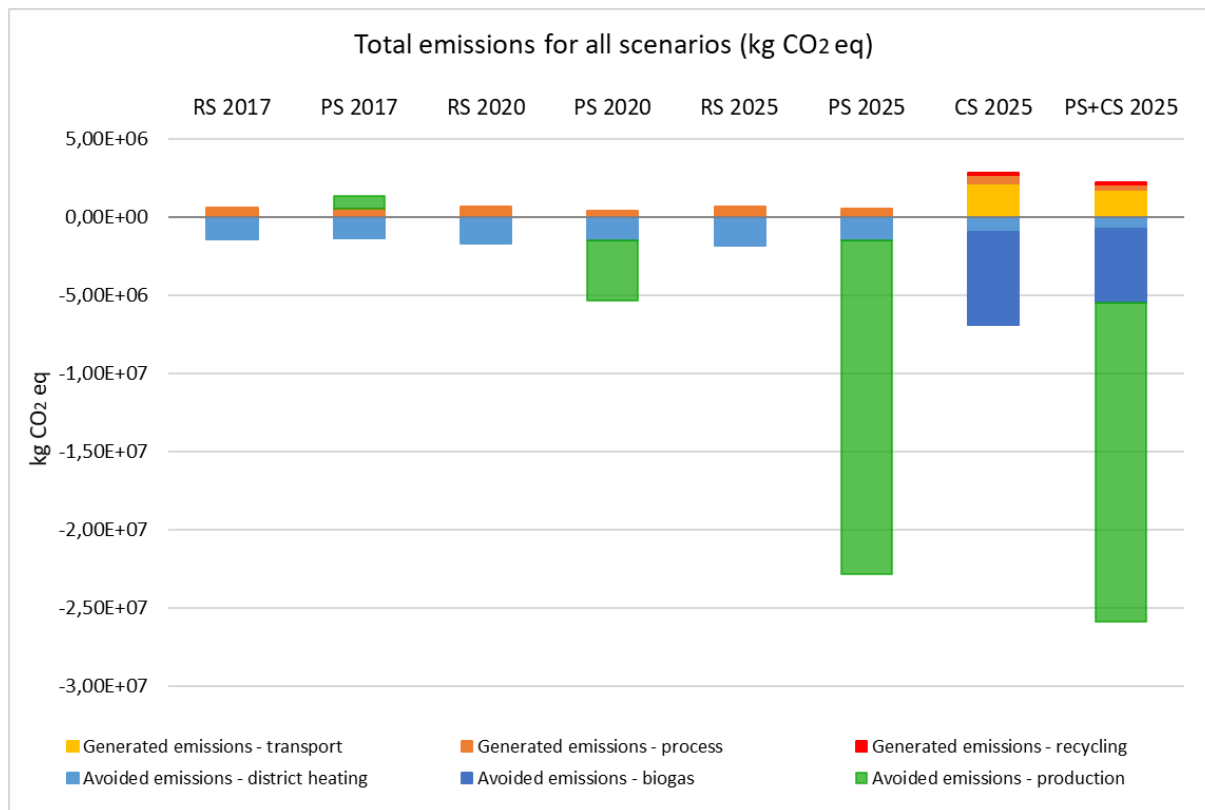
349 Given increased waste amounts, the energy consumption and generation are slightly increased over  
 350 time. Not surprisingly, the prevention scenario at all times displays lower efficiencies compared to the  
 351 RS scenarios because reduced waste amounts lead to reductions in consumed and recovered energy.

352 For all scenarios except the CS scenario, the process energy consumption is largely dominant over the  
 353 transport energy consumption. It is higher in the CS scenario because of the long transport distances to  
 354 the biogas facility.

355 Even if AD is capable of recovering slightly higher energy amounts than incineration (CS and PS+CS  
 356 scenarios compared to the RS and PS in 2025), the net energy generation is slightly decreased due to  
 357 the long transport distances.

358 The amounts of generated and avoided emissions are presented in Figure 4 for the three scenarios at all  
 359 points in time. The bars on the upper side of the graph present the amounts of generated emissions,  
 360 whereas the bars on the lower side represent the avoided emissions.

361 The PS 2025 scenario and the PS+CS scenario clearly demonstrates the largest amounts of avoided  
 362 emissions across all scenarios. The prevention of food waste (green bars) has undoubtedly the highest  
 363 impact as climate mitigation strategy.



364

365 *Figure 4: Total emissions generated and avoided in kg CO<sub>2</sub> eq for all scenarios.*

366 The substitution of diesel with biogas (dark blue bars) also leads to avoided emissions, as does the  
 367 substitution of electricity with district heating (light blue bars) although to a lesser extent. As diesel has  
 368 a much higher emission factor than electricity, its substitution highly increases the amounts of avoided  
 369 emissions. Nonetheless, both substitution options result in far less avoided emissions than the  
 370 prevention of food waste. The benefits of fertilizer substitution with digestate was neglected.

371 The avoided emissions outweigh the generated emissions in all scenarios, except in the current PS  
 372 scenario. This latter is explained by the fact that the composition of the food waste arising with the  
 373 influence of prevention measures include more carbon-intensive products. The prevention activities in  
 374 the current RS are hence resulting in higher levels of GHG emissions, as the change in the share of  
 375 fractions outweighs the benefits of 10% reduction in waste amounts.

376 The amounts of generated emissions are higher in the CS and PS+CS scenarios due to the increase of  
 377 transport related emissions (yellow bars) compared to the RS and the PS scenarios. Only small  
 378 emissions are released by the incineration process (orange bars) and the recycling process (red bars).

379 To analyse the robustness of the results, a sensitivity analysis was conducted for the most important  
 380 parameters (Table 3). The food waste separation efficiency of the central sorting facility was analysed  
 381 in terms of how it influences the system recycling and energy efficiencies. As expected, an efficient  
 382 food waste out-sorting in the central sorting facility is crucial for improving the system recycling  
 383 efficiency. However, it turns out to only slightly influence (reduce) the system energy efficiency. The  
 384 energy efficiency is in fact much more influenced by changes in the methane yield and the LHV of  
 385 “other food waste fractions”. Regarding emission levels, the emission factor for diesel used in transport  
 386 was found to be very sensitive, as most of the waste truck fleet is fuelled on diesel.

Parameters	Recycling efficiency SR	Energy efficiency SR	Emissions intensity SR
tkm X12		0,015	
Separation efficiency CS facility	0,997	-0,055	
Methane yield		0,763	
LHV meat		0,091	
LHV all other fractions		0,552	
Process emissions - El			0,027
Process emissions - Diesel			0,002
Process emissions - Heat			0,004
Process emissions - Oil			0,018
Transport emissions - Diesel			0,227
Emission factor for electricity			0,094

388

389 

## 4. Discussion

390 This chapter first discusses the results and assumptions used in the study. Second, the limitations of the  
391 methodology are reviewed.

392 The system boundaries in this study exclude the household level, with storage in refrigerators and food  
393 preparation. As the meal leftover fraction stands for the largest share of avoidable food waste, its  
394 prevention would also influence the amount of energy consumed. Further, the study did not account for  
395 the rebound effect. As households spend less on food when food waste is prevented, the environmental  
396 impacts might be reallocated with spending on other products. This aspect should be taken into account  
397 for a holistic environmental policy development.

398 Comparing avoided emissions from improved waste management systems with the ones obtained from  
399 food waste prevention offer insights in the environmental potential of upstream versus downstream  
400 climate change mitigation strategies. In that regard, prevention strategies clearly result in larger benefits  
401 than recycling strategies. 30% reduction in avoidable food waste gave more than 5 times larger benefits  
402 than what was obtained with improved recycling strategies in the CS scenario. It must be noted that  
403 these conclusions are based on the assumption that a reduction in food waste leads to a reduction of  
404 food production. Avoided emission from the food production process was hence the determining factor  
405 for the overall benefits of food waste prevention, as observed also by Bernstad and Andersson (2015);  
406 Gentil et al. (2011) and Matsuda et al. (2012). There is however a risk that the amount of food waste  
407 prevented at the household level will arise higher up in the food chain, e.g. at the retail or production  
408 level. Such a shift in waste production will hence not prevent any GHG emissions – it is then necessary  
409 to have good waste management recycling systems in place, and therefrom avoid emissions through  
410 substituting carbon intensive products.

411 Combining prevention measures and a switch to AD would, nonetheless, offer optimal solutions for  
412 food waste management based on the analysed indicators. It must be noted that the AD process depends  
413 on food waste as feedstock. Investing in a biogas facility will create a market for the food waste and  
414 might therefore not incentivize the prevention and reduction of food waste at the household level.

415 Further, analysing the differences between the RS 2025 and CS 2025 scenarios, excluding the upstream  
416 prevention results, allows for a comparison of the performance of the household food waste  
417 management systems for the three assessed indicators.

418 First, in accordance with the definition of the EU (European Commission, 2011), AD is the only  
419 treatment option resulting in material recycling. The sensitivity analysis disclosed that the effectiveness  
420 of the central sorting facility is a crucial parameter, highly affecting the recycling rate. Optionally,  
421 organic waste can be collected in separate bins and directly transported to a biogas facility, avoiding  
422 the diversion through a central sorting facility. Based on the experiences from ROAF, this would reduce  
423 the contamination of the other waste fractions, especially paper and plastics, allowing for overall higher  
424 recycling rates (Callewaert, 2017; Unander, 2017).

425 Second, it is beneficial to recover the feedstock energy present in food waste, as the generated energy  
426 amounts largely outweigh consumed energy across all scenarios. Even though the AD process generates  
427 slightly more energy than the incineration process, the CS scenario requires higher energy amounts  
428 because of the longer driving distance to the biogas facility, causing the total energy efficiency to  
429 decrease. The LHV, and especially the methane yield, were found to be quite sensitive parameters. The  
430 latter was assumed to be slightly overestimated (Hung and Solli, 2012), which might have given too  
431 high energy amounts generated for the AD process. However, the biogas facility in the case study  
432 operates with co-digestion: a feedstock mix consisting of sewage sludge, organic household waste and  
433 fish sludge. This mix delivers higher amounts of biogas than if only organic waste was used as input  
434 (Edwards et al. 2017). Therefore, the methane yield in use is higher than if only food waste would have  
435 been digested. In addition, it should be taken into account that the energy recovery from incineration  
436 can easily be connected to a heat or electricity grid. In comparison, biogas and fertilizers from digestate  
437 are not necessarily convenient to use without any infrastructural changes and due to premature markets  
438 or policy constraints. This might lead to the results of the study being more theoretical than practically  
439 implementable.

440 Third, when comparing generated emissions with avoided emissions, it is clear that the avoided  
441 emissions outweigh the generated ones in all scenarios. Nonetheless, the net benefit of the CS scenario  
442 is 5 times greater than of the RS scenario. From an emission perspective, it is hence beneficial to treat  
443 food waste by AD rather than by incineration, even though the generated emissions are larger in the CS  
444 scenario. It can be concluded that substituting diesel is more advantageous than substituting electricity.  
445 It must be noted that the Norwegian electricity mix was applied, influencing the results by its low  
446 carbon-intensity. This assumption influences the difference between the scenario results more than it  
447 would if the Nordic or European electricity mix had been applied. In addition, the sensitivity analysis  
448 disclosed that both the emission factors for diesel and electricity were influential, especially the latter  
449 one, which might also contribute to overestimate the low emissions of the CS scenario. Additionally,  
450 the avoided emissions of the CS scenario would have been increased if the substitution of fertilizers with  
451 digestate had been included.

452 The emission factor for diesel is rather influential on overall emission results. This can explain the high  
453 values of the transport process in the CS scenario, where driving distance is decisive. An option for  
454 reducing the consumed energy amounts would be to either have a nearer location of the AD facility, to  
455 fuel the trucks entirely on biogas or another type of carbon neutral fuel, or to transport the waste by  
456 train.

457 The methodology in use has clear limitations. The presented MFA model is more appropriate for  
458 modelling complex waste management systems with several waste fractions. The downstream  
459 indicators give relatively straightforward results for the analysed scenarios, but the links between them  
460 are not always obvious. The MFA system consistently allows analysing these trade-offs, but is not used  
461 to its full potential when applied to this simplified system.

462 In addition, the analysis would have been strengthened by a cost-benefit analysis. However, the  
463 literature shows that using food waste for biogas production is socioeconomically profitable compared  
464 to incineration (NIRAS, 2013; Randby, 2016). Therefore, Norwegian authorities have proposed a  
465 regulation on the sorting of food waste from households (Miljødirektoratet, 2018). In this regard, a cost-  
466 benefit analysis comparing different treatment methods of food waste would not have political  
467 influence, as the question has already been debated upon. In the European context, the same

468 argumentation yields: because the Circular Economy Package requires higher amounts of recycled  
469 materials which can only be obtained for food waste with biogas production, the cost would not have a  
470 real influence. A cost-benefit of prevention measures compared to recycling measures would however  
471 be of interest and is suggested as further research.

472 In the author's eyes, the most interesting result is the comparison of the avoided emissions obtained by  
473 the upstream and various downstream strategies. It can be argued that an LCA would have been a more  
474 robust and appropriate methodology for analysing this question. The aim of this study was however to  
475 analyse different indicators for the downstream system in the context of a transition to a circular  
476 economy; and compare the energy and emission performance with the potential upstream energy and  
477 emission savings. For this aim, we view the presented methodology as robust.

478 One should be cautious in applying the actual values presented in the results chapter. Due to the  
479 uncertainties introduced with the composition analysis, this study only aims at ranking the performance  
480 of the various strategies and reveal critical parameters that influence the overall performance level.

## 481 5. Conclusion

482 The environmental benefits of household food waste prevention were compared to the benefits from  
483 various waste management strategies in regard to recycling rates, energy efficiency and emission  
484 efficiency, using MFA methodology combined with published LCA results. The method was  
485 demonstrated as a proof-of-concept case study for the city of Trondheim, Norway. In a reference  
486 scenario, food waste is treated together with residual waste and sent to incineration. A central sorting  
487 facility is introduced in a central sorting scenario, aiming at out-sorting parts of the household food  
488 waste for use as feedstock in biogas production. A food waste prevention scenario was also tested,  
489 considering the effects of a reduction of 10%, 15% and 30% avoidable food waste in 2017, 2020 and  
490 2025, respectively.

491 The most effective food waste management strategy seems to be a combination of prevention and  
492 recycling strategies. On the one hand, focus should primarily be on prevention strategies for mitigating  
493 climate change. The developed scenarios only considered a small reduction in avoidable food waste,  
494 but these had significant benefits in terms of future CO<sub>2</sub> emissions. On the other hand, emphasis should  
495 be placed on the use of AD for biogas production as the future waste recycling option. This waste  
496 management system would mitigate resource depletion, as it highly increases the recycling rates, and  
497 would lower the emissions compared to the current incineration process in use. One should be cautious  
498 in applying the actual values presented in the results chapter. Due to the uncertainties introduced with  
499 the composition analysis, this study only aims at ranking the performance of the various strategies and  
500 reveal critical parameters that influence the overall performance level.

501 Even if prevention measures have been identified, their effects and environmental benefits are  
502 considered difficult to quantify (Salhofer et al., 2008) and are therefore seldom examined (Gentil et al.,  
503 2011). Further research on the topic is needed to successfully reduce the amounts of avoidable food  
504 waste, especially at the household level.

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