

# 1 Modelling creep in clay using the framework of hyper-viscoplasticity

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11 *Abstract:* This paper addresses the derivation of creep models using the framework of hyper-  
12 viscoplasticity. It demonstrates that the formulations widely used already can easily be  
13 obtained using the hyper-viscoplastic formalism. This means that existing formulations (i.e.  
14 of the flow potential) are thermodynamically sound. The key assumptions are that the free  
15 energy is only a function of elastic strains and that there is no dissipation under pure  
16 volumetric swelling (tension). The presented derivations, using the framework of hyper-  
17 viscoplasticity, allows for further model development along the same lines, as presented here,  
18 with only minor modifications.

19

20 **KEYWORDS:** time dependence; creep; compressibility; clays; constitutive relations;

21 plasticity

22

## 23 INTRODUCTION

24 Only a few attempts have been made to formulate a hyper-viscoplastic model for creep and  
25 rate dependence of soft clays that comply with the critical state soil mechanics concept. There  
26 is still a need for showing the derivations of such a model in a complete formalistic manner.  
27 Attempts has been made, e.g. Aung et al. (2019), but still some clarifications are necessary to  
28 demonstrate a thermodynamic sound formulation. Therefore, this paper demonstrates how to  
29 establish the well-known empirically based formulation for creep in clay from a  
30 thermodynamic perspective. The result is in strong resemblance to the creep formulation  
31 widely used in geotechnics already. As found in Šuklje (1963), Janbu (1969) and others, for  
32 1D case, and extended to full stress space in e.g. Stolle et al. (1999), with the effect of fabric  
33 by Leoni et al. (2008). The presented derivation from the thermodynamic framework gives  
34 the same correction to the Stolle et al. (1999) formulation as suggested by Grimstad et al.  
35 (2008) to properly model the “dry side”, as further discussed in Grimstad et al. (2010). The  
36 notation used, follows the book of Houlsby and Puzrin (2006), with concepts/terminology  
37 discussed in e.g. Collins and Kelly (2002), Collins and Houlsby (1997), Darabi et al. (2018)  
38 and Osman et al. (2020). Small strains are assumed, so additive decomposition of elastic and  
39 viscoplastic strains holds. Cauchy stresses are hence then also used. Triaxial stress ( $p$ - $q$ )  
40 space is utilized to simplify the derivation, but extension to full stress space is  
41 straightforward. This note makes use of the normal geotechnical sign convention, i.e.  
42 compression positive. The principles of hyper-viscoplasticity are briefly presented in the  
43 appendix.

44

## 45 DERIVATION OF THE FLOW POTENTIAL

46 The starting point of developing models, using the thermodynamic framework, is to establish  
 47 the free energy function and the force potential. In terms of Helmholtz free energy,  $f$ , it can  
 48 take the form of eq. (1), where the free energy is implicitly a function of the elastic strain  
 49 only.

$$50 \quad f = \kappa \cdot p_{ref} \cdot \exp\left(\frac{\varepsilon_v - \varepsilon_v^{vp}}{\kappa}\right) + \frac{3G}{2} \cdot (\varepsilon_q - \varepsilon_q^{vp})^2 \quad (1)$$

51 Where  $G$  is the shear stiffness,  $\kappa$  is elastic compressibility parameter (the bulk stiffness  
 52 increases linearly with mean effective stress),  $p_{ref}$  is an arbitrary reference pressure,  $\varepsilon_v$  is the  
 53 volumetric strain and  $\varepsilon_q$  is the deviatoric strain, energy conjugates to the mean effective  
 54 stress,  $p$ , and deviatoric stress,  $q$ , respectively. Elastic strains are defined as total strains  
 55 minus viscoplastic strains,  $\varepsilon_v - \varepsilon_v^{vp}$  and  $\varepsilon_q - \varepsilon_q^{vp}$ . Alternatively, the free energy, eq. (1), can be  
 56 expressed in terms of Gibbs free energy,  $g$ :

$$57 \quad g = -\kappa \cdot p \cdot \left( \ln\left(\frac{p}{p_{ref}}\right) - 1 \right) - \frac{q^2}{6G} - (p \cdot \varepsilon_v^{vp} + q \cdot \varepsilon_q^{vp}) \quad (2)$$

58 This form of free energy results in true stress equals to dissipative stress (see appendix), thus,  
 59 one can further concentrate only on the force potential.

60 Consider the following force potential,  $z$ :

$$61 \quad z = \frac{p_0}{2^n} \cdot \frac{r^{1-n}}{n} \cdot \left( \sqrt{(\dot{\varepsilon}_v^{vp})^2 + M^2 \cdot (\dot{\varepsilon}_q^{vp})^2} + \dot{\varepsilon}_v^{vp} \right)^n \quad (3)$$

62 Where  $p_0$  is a state variable equivalent to the isotropic “pre-consolidation” stress,  $r$  is a  
 63 reference rate,  $n$  is a number slightly larger than 1 but significantly less than 2.  $n = 2$  would  
 64 mean linear increase with strain rate. From experiments it is well documented that this has a  
 65 logarithmic nature, see e.g. the early work of Buisman (1936), Šuklje (1963) or Bjerrum  
 66 (1967): this implies a  $n$  between 1 and 2.  $M$  is the critical state line in  $p$ - $q$  space. This force

67 potential gives expectation of a behavior that scales the behavior of the Modified Cam-Clay  
 68 model (MCCM) (Roscoe and Burland, 1968). In fact, with  $n = 1$ , the dissipation function of  
 69 the MCCM is retrieved from this force potential in a form as discussed in e.g. Collins and  
 70 Houlsby (1997), with the “shift” included in the dissipation function.

71 The dissipation,  $d$ , equals, after differentiation:

$$72 \quad d = \frac{\partial z}{\partial \dot{\epsilon}_v^{vp}} \cdot \dot{\epsilon}_v^{vp} + \frac{\partial z}{\partial \dot{\epsilon}_q^{vp}} \cdot \dot{\epsilon}_q^{vp} = \frac{p_0}{2^n} \cdot r^{1-n} \cdot \left( \sqrt{(\dot{\epsilon}_v^{vp})^2 + M^2 \cdot (\dot{\epsilon}_q^{vp})^2} + \dot{\epsilon}_v^{vp} \right)^n \geq 0 \quad (4)$$

73 See that  $d \geq 0$  holds for any strain rate. For pure volumetric unloading, it results in  $d = 0$ . It  
 74 means under pure volumetric swelling, there is no dissipation, as all applied energy is spent  
 75 in volume increase. One will see later that this happens only in the Origin of stress space,  
 76 which is a realistic behavior in many cases (i.e. liquefaction). However, when using a free  
 77 energy function as the one in eq. (1), such a state is actually impossible, as it will require  
 78 infinite negative elastic volumetric strain to reach zero mean effective stress.

79 The flow potential,  $w$ , is found from the difference between dissipation and force potential:

$$80 \quad w = d - z = \frac{p_0}{2^n} \cdot \frac{n-1}{n} \cdot r^{1-n} \cdot \left( \sqrt{(\dot{\epsilon}_v^{vp})^2 + M^2 \cdot (\dot{\epsilon}_q^{vp})^2} + \dot{\epsilon}_v^{vp} \right)^n \quad (5)$$

81 (note again that for  $n = 1$  the dissipation is linear in strain rate, and  $w$  will then define the  
 82 yield surface ( $y$ ) in dissipative stress space ( $\chi$ ); generally  $y(\chi_{ij}, \sigma_{ij}, p_0)$  as  $w = y = 0$ ). Which, in  
 83 true stress space, will give the plastic potential function and yield surface. In this case, it will  
 84 result in an associated flow rule as  $y = y(\chi_{ij}, p_0)$ . However, if e.g.  $M = M(\sigma_{ij})$ , a non-associated  
 85 flow rule will be predicted.

86 The dissipative stresses are derived from the force potential as:

$$87 \quad \begin{bmatrix} \chi_p \\ \chi_q \end{bmatrix} = \begin{bmatrix} \frac{\partial z}{\partial \dot{\epsilon}_v^{vp}} \\ \frac{\partial z}{\partial \dot{\epsilon}_q^{vp}} \end{bmatrix} = \frac{p_0 \cdot r^{1-n} \cdot \left( \sqrt{(\dot{\epsilon}_v^{vp})^2 + M^2 \cdot (\dot{\epsilon}_q^{vp})^2} + \dot{\epsilon}_v^{vp} \right)^n}{\sqrt{(\dot{\epsilon}_v^{vp})^2 + M^2 \cdot (\dot{\epsilon}_q^{vp})^2}} \cdot \begin{bmatrix} 1 \\ M^2 \cdot \dot{\epsilon}_q^{vp} \\ \sqrt{(\dot{\epsilon}_v^{vp})^2 + M^2 \cdot (\dot{\epsilon}_q^{vp})^2} + \dot{\epsilon}_v^{vp} \end{bmatrix} \quad (6)$$

88 Rearranging the equation results in:

$$89 \quad \dot{\epsilon}_q^{vp} = \frac{2 \cdot \chi_q}{\chi_p} \cdot \dot{\epsilon}_v^{vp} \cdot \frac{1}{M^2 - \left( \frac{\chi_q}{\chi_p} \right)^2} \quad (7)$$

90 Zero shift stress (i.e.  $\bar{\chi}_{ij} = \sigma_{ij}$ ) and assumption of maximum dissipation rate (i.e.  $\chi_{ij} = \bar{\chi}_{ij}$  the  
 91 Ziegler's orthogonality assumption (Ziegler, 1983), see the appendix), results in the well-  
 92 known MCCM flow rule in the true stress space:

$$93 \quad \dot{\epsilon}_q^{vp} = \frac{2 \cdot q}{p} \cdot \dot{\epsilon}_v^{vp} \cdot \frac{1}{M^2 - \left( \frac{q}{p} \right)^2} \quad (8)$$

94 Rearranging and eliminating the viscoplastic strain rates give the flow potential as a function  
 95 of dissipative stresses:

$$96 \quad w = p_0 \cdot r \cdot \frac{n-1}{n} \cdot \left( \frac{p_{eq}}{p_0} \right)^{\frac{n}{n-1}} \quad (9)$$

97 Where, one may identify the known equivalent stress measure,  $p_{eq}$  as:

$$98 \quad p_{eq} = p + \frac{1}{M^2} \cdot \frac{q^2}{p} \quad (10)$$

99 Note that eq. (9) results in a family of similar ellipses with a "similarity center" at the origin  
 100 of the true stress space.

101

## 102 RESULTS AND DISCUSSION

103 The derived flow potential, eq. (9), can be used directly for modelling creep in clay.

104 However, the material parameters  $r$  and  $n$  does not give direct physical meaning. Therefore, it

105 is more convenient to change them to engineering ones. By comparing eq. (9) with the

106 formulation for the plastic multiplier found in e.g. Grimstad et al. (2010) (after integration),

107 one gets:

$$108 \quad w = p_0 \cdot \frac{\dot{\lambda}_{ref}}{\frac{\lambda - \kappa}{\mu} + 1} \cdot \left( \frac{p_{eq}}{p_0} \right)^{\frac{\lambda - \kappa + 1}{\mu}} = p_0 \cdot r \cdot \frac{n - 1}{n} \cdot \left( \frac{p_{eq}}{p_0} \right)^{\frac{n}{n - 1}} \quad (11)$$

109 As a result,  $n$  and  $r$  relates to “classical” parameters through:

$$110 \quad n = \frac{\lambda - \kappa + \mu}{\lambda - \kappa} \quad \text{and} \quad \dot{\lambda}_{ref} = r \quad (12)$$

111 For typical values of  $\lambda - \kappa$  ( $= 0.09$ ) and  $\mu$  ( $= 0.0036$ ) for soft clays (i.e. a creep number

112  $[(\lambda - \kappa)/\mu]$  of 25), one observes that the number  $n$  is 1.04. Note that all these parameters are

113 found from conventional laboratory tests.

114 Further, see that the over consolidation ratio,  $OCR$ , is identified as:

$$115 \quad OCR = \frac{p_0}{p_{eq}} \quad (13)$$

116 Also, in practice, it is not convenient to use a reference rate as input parameter. Therefore,

117 Grimstad et al. (2010), already defined this in terms of more conventional parameters

118 through:

$$119 \quad \dot{\lambda}_{ref} = \frac{\mu}{\tau} \cdot \frac{M^2}{M^2 - \eta_{K0NC}^2} \quad (14)$$

120 Where,  $\tau$  is the reference time for which  $p_0$  (or  $OCR$ ) is determined, typically 1 day for  
 121 incrementally loaded oedometer tests. The last term is there to generalize the oedometer  
 122 condition to general condition, where  $\eta_{K0NC}$  is the stress ratio,  $q/p$ , under 1D normal  
 123 compression. This derivation shows that the creep formulation used in e.g. Grimstad et al.  
 124 (2017) (with  $OCR_{max} \rightarrow \infty$ ) can be exactly derived in the framework of hyper-viscoplasticity.  
 125 With a modified force potential, e.g. including the effect of fabric and Lode angle  
 126 dependency, a more advance model could be retrieved following the same steps as above.  
 127 Also, if a linear term is added to the force potential, it is quite straightforward to include a  
 128 type of  $OCR_{max}$  parameter, ending up with a formulation with some similar characteristics as  
 129 the one suggested in Grimstad and Degago (2010) and Grimstad et al. (2017), with  $OCR_{max}$   
 130 representing an inner limit surface corresponding to zero viscoplastic strain rate.

131 To complete the scheme, the viscoplastic strain rates are calculated from the flow potential,  
 132 giving the following viscoplastic strain rates:

$$133 \quad \begin{bmatrix} \dot{\epsilon}_v^{vp} \\ \dot{\epsilon}_q^{vp} \end{bmatrix} = \begin{bmatrix} \frac{\partial w}{\partial \chi_p} \\ \frac{\partial w}{\partial \chi_q} \end{bmatrix} = \begin{bmatrix} \frac{\partial w}{\partial p} \\ \frac{\partial w}{\partial q} \end{bmatrix} = \dot{\lambda}_{ref} \cdot \left( \frac{p_{eq}}{p_0} \right)^{\lambda - \kappa} \cdot \begin{bmatrix} \frac{\partial p_{eq}}{\partial p} \\ \frac{\partial p_{eq}}{\partial q} \end{bmatrix} \quad (15)$$

134 The hardening rule of  $p_0$ , eq. (16), takes the same form as for MCCM, where strains are  
 135 considered rather than specific volume.

$$136 \quad \dot{p}_0 = \frac{p_0}{\lambda - \kappa} \cdot \dot{\epsilon}_v^{vp} \quad (16)$$

137 Finally, it is interesting to note that when there is a difference between the dissipative stress  
 138 and the true stress (coming from a different choice of free energy function), it will result in a  
 139 creep formulation where the “similarity center” is not in the origin of  $p$ - $q$  space. As an

140 example, eq. (17) gives the Gibbs free energy for a case where the “similarity center” will be  
 141 in the center of the Cam-Clay ellipse.

$$142 \quad g = -\kappa \cdot p \cdot \left( \ln \left( \frac{p}{p_{ref}} \right) - 1 \right) - \frac{q^2}{6G} - (p \cdot \varepsilon_v^{vp} + q \cdot \varepsilon_q^{vp}) + (\lambda - \kappa) \cdot \frac{p_{0,ref}}{2} \cdot e^{\frac{\varepsilon_v^{vp}}{\lambda - \kappa}} \quad (17)$$

143 The generalized stresses are found by differentiation. And when combined with the integral  
 144 of eq. (16) from  $p_{0,ref}$  to  $p_0$ , one obtains:

$$145 \quad \bar{\chi}_p = -\frac{\partial g}{\partial \varepsilon_v^{vp}} = p - \frac{p_{0,ref}}{2} \cdot e^{\frac{\varepsilon_v^{vp}}{\lambda - \kappa}} = p - \frac{p_0}{2} \quad (18)$$

$$\bar{\chi}_q = -\frac{\partial g}{\partial \varepsilon_q^{vp}} = q$$

146 Here one identifies the “shift stress”  $p - \bar{\chi}_p$  as  $p_0/2$ . The force potential is now:

$$147 \quad z = \frac{p_0}{2^n} \cdot \frac{r^{1-n}}{n} \cdot \left( \sqrt{(\dot{\varepsilon}_v^{vp})^2 + M^2 \cdot (\dot{\varepsilon}_q^{vp})^2} \right)^n \quad (19)$$

148 From this, the calculation of differentials and the transformation to a flow potential as a  
 149 function of an equivalent stress measure is done once more, eq. (20) to (25):

$$150 \quad d = \frac{\partial z}{\partial \dot{\varepsilon}_v^{vp}} \cdot \dot{\varepsilon}_v^{vp} + \frac{\partial z}{\partial \dot{\varepsilon}_q^{vp}} \cdot \dot{\varepsilon}_q^{vp} = \frac{p_0}{2^n} \cdot r^{1-n} \cdot \left( \sqrt{(\dot{\varepsilon}_v^{vp})^2 + M^2 \cdot (\dot{\varepsilon}_q^{vp})^2} \right)^n \geq 0 \quad (20)$$

$$151 \quad w = d - z = \frac{p_0}{2^n} \cdot \frac{n-1}{n} \cdot r^{1-n} \cdot \left( \sqrt{(\dot{\varepsilon}_v^{vp})^2 + M^2 \cdot (\dot{\varepsilon}_q^{vp})^2} \right)^n \quad (21)$$

$$152 \quad \begin{bmatrix} \chi_p \\ \chi_q \end{bmatrix} = \begin{bmatrix} \frac{\partial z}{\partial \dot{\varepsilon}_v^{vp}} \\ \frac{\partial z}{\partial \dot{\varepsilon}_q^{vp}} \end{bmatrix} = \frac{p_0}{2^n} \cdot r^{1-n} \cdot \left( \sqrt{(\dot{\varepsilon}_v^{vp})^2 + M^2 \cdot (\dot{\varepsilon}_q^{vp})^2} \right)^{n-2} \cdot \begin{bmatrix} \dot{\varepsilon}_v^{vp} \\ M^2 \cdot \dot{\varepsilon}_q^{vp} \end{bmatrix} \quad (22)$$

153 Flow rule:



$$154 \quad \dot{\epsilon}_q^{vp} = \frac{1}{M^2} \cdot \frac{\chi_q}{\chi_p} \cdot \dot{\epsilon}_v^{vp} \quad (23)$$

155 Using eq. (22) to transform eq. (21) gives:

$$156 \quad w = p_0 \cdot r \cdot \frac{n-1}{n} \cdot \left( \frac{2 \cdot p_{eq}^*}{p_0} \right)^{\frac{n}{n-1}} \quad (24)$$

157 Where:

$$158 \quad p_{eq}^* = \sqrt{\chi_p^2 + \frac{\chi_q^2}{M^2}} \quad (25)$$

159 Which defines a family of ellipses with a similarity center at  $p_0/2$  in  $p$ - $q$  stress space, as  
 160  $\chi_{ij} = \bar{\chi}_{ij}$  (see Figure 1, Alt. 2). Note that this type has similar characteristics as the flow  
 161 potential of Apriadi et al. (2013). The consequences of such a choice are prediction of rate  
 162 dependent (creep) swelling under isotropic unloading for positive (compressive) mean stress  
 163 (but negative  $\chi_p$ ), and a critical state line in  $p$ - $q$  space ( $M$ ) corresponding only to the reference  
 164 rate. I.e. interpreted negative “cohesion” (interception of the  $M$  line with the  $q$  axis) for  
 165 undrained test ran slower than the reference and a positive interpreted “cohesion” for tests ran  
 166 faster than the reference. The differences between the two alternative options are summarized  
 167 in Figure 1.

168

## 169 CONCLUSIONS AND RECOMMENDATIONS

170 The classical creep model formulation, widely used for clay, was successfully derived using  
 171 the framework of hyper-viscoplasticity. The derived flow potential was compared to existing  
 172 formulations and classical model parameters were identified. The consequences of the choice  
 173 of free energy function and force potential to include the so-called shift stress are highlighted

174 and compared to the alternative form. Further developments in constitutive modelling of  
175 clays using the framework of hyper-viscoplasticity can be done along the same lines, as  
176 presented here. This means inclusion of e.g. fabric, structure and Lode angle dependency is  
177 quite straightforward. For modelling of cyclic behavior of clay, it should be noted that  
178 including a plastic part of the free energy (with some similarities as in alt.2) is essential to  
179 define a kinematic hardening mechanism in a multisurface formulation as like e.g.  
180 Likitlersuang and Houlsby (2007).

181

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185

## 186 NOTATION

187  $d$  dissipation function

188  $f$  Helmholtz free energy function

189  $G$  Shear stiffness

190  $g$  Gibbs free energy function

191  $n$  power number

192  $OCR$  Over Consolidation Ratio

193  $p$  mean effective stress

194  $p_0$  isotropic pre-consolidation stress

195	$p_{0ref}$	reference isotropic pre-consolidation stress
196	$p_{eq}$	equivalent effective stress
197	$p_{ref}$	reference pressure
198	$q$	deviatoric stress
199	$r$	reference rate
200	$w$	flow potential function
201	$y$	yield surface
202	$z$	force potential function
203	$\varepsilon_v$	volumetric strain
204	$\varepsilon_q$	deviatoric strain
205	$\varepsilon_{ij}^{vp}$	viscoplastic strain tensor
206	$\varepsilon_v^{vp}$	volumetric viscoplastic strain
207	$\varepsilon_q^{vp}$	deviatoric viscoplastic strain
208	$\eta_{K0NC}$	stress ratio, $q/p$ , given under 1D normal compression
209	$\kappa$	elastic compressibility parameter (strain based)
210	$(\lambda - \kappa)$	plastic compressibility parameter (strain based)
211	$\dot{\lambda}_{ref}$	reference rate for viscoplastic multiplier
212	$M$	critical state line in $p$ - $q$ space
213	$\mu$	creep number (strain based)

214  $\sigma_{ij}$  true stress tensor

215  $\tau$  reference time

216  $\chi_{ij}$  dissipative generalized stress tensor

217  $\chi_p$  dissipative generalized mean stress

218  $\chi_q$  dissipative generalized deviatoric stress

219  $\bar{\chi}_{ij}$  generalized stress tensor

220  $\bar{\chi}_p$  generalized mean stress

221  $\bar{\chi}_q$  generalized deviatoric stress

222

223 APPENDIX: THE PRINCIPLES OF HYPER-VISCOPLASTICITY [ADAPTED FROM  
 224 THE BOOK OF Houlsby and Puzrin (2006)]

225 First law of thermodynamics says that the change (with time) in internal energy,  $\dot{U}$ , is equal  
 226 to the sum of applied heat  $\dot{Q}$  and applied work  $\dot{W}$ .

$$227 \quad \dot{U} = \dot{Q} + \dot{W} \quad (26)$$

228 For the case of mechanical behavior of materials, it is more natural to speak of specific  
 229 internal energy,  $u$  (energy per volume and time,  $\text{kW/m}^3 = \text{kPa/s}$ ). In this case the change in  
 230 work, with time, (i.e. the power) is simply the stress times the strain rate:

$$231 \quad \dot{W} = \boldsymbol{\sigma} : \dot{\boldsymbol{\varepsilon}} \quad (27)$$

232 Where  $\boldsymbol{\sigma}$  is the Cauchy stress tensor and  $\dot{\boldsymbol{\varepsilon}}$  is the increment in the work conjugative strain  
 233 tensor (i.e. the Almansi strain). However, in practice (for small strains) the increment of

234 Almansi strain matches the engineering strain increment, calculated on the updated geometry.

235 The specific change in heat is:

$$236 \quad \dot{Q} = -\nabla \cdot \mathbf{q} \quad (28)$$

237 Where  $\mathbf{q}$  is the gradient of heat (heat flux). The second law of thermodynamics in terms of  
238 specific entropy,  $\dot{s}$ , can be written as:

$$239 \quad \dot{s} \geq -\nabla \cdot \frac{\mathbf{q}}{\theta} \quad (29)$$

240 Also called the Clausius-Duhem inequality (entropy increase). Where  $\theta$  is the temperature.

241 This can be rewritten as:

$$242 \quad \dot{s} \geq -\frac{\nabla \cdot \mathbf{q}}{\theta} + \frac{\mathbf{q} \cdot \nabla \theta}{\theta^2} \quad (30)$$

243 Or:

$$244 \quad d = \theta \dot{s} + \nabla \cdot \mathbf{q} \geq \frac{\mathbf{q} \cdot \nabla \theta}{\theta} \quad (31)$$

245 Where  $d$  is the dissipation. Since one can assume small temperature gradients in most  
246 geotechnical problems, eq. (31) can be rewritten to a more strict condition:

$$247 \quad d \geq 0 \quad (32)$$

248 The specific internal energy can now be written as:

$$249 \quad \dot{u} = \boldsymbol{\sigma} : \dot{\boldsymbol{\epsilon}} - \nabla \cdot \mathbf{q} = \boldsymbol{\sigma} : \dot{\boldsymbol{\epsilon}} + \theta \dot{s} - d \quad (33)$$

250 Which by using the assumption of small increments must be equal to:

$$251 \quad \dot{u} = \frac{\partial u}{\partial \boldsymbol{\epsilon}} : \dot{\boldsymbol{\epsilon}} + \frac{\partial u}{\partial s} : \dot{s} + \frac{\partial u}{\partial \boldsymbol{\epsilon}^{vp}} : \dot{\boldsymbol{\epsilon}}^{vp} \quad (34)$$

252 Each term is identified as:

$$\begin{aligned}
\sigma &= \frac{\partial u}{\partial \boldsymbol{\varepsilon}} \\
253 \quad \theta &= \frac{\partial u}{\partial s} \\
d &= -\frac{\partial u}{\partial \dot{\boldsymbol{\varepsilon}}^{vp}} : \dot{\boldsymbol{\varepsilon}}^{vp} = \bar{\boldsymbol{\chi}} : \dot{\boldsymbol{\varepsilon}}^{vp}
\end{aligned} \tag{35}$$

254 Selecting  $d$  as a homogenous function of degree  $n$  and using the Euler's theorem for  
255 homogeneous functions, one can write:

$$256 \quad d = \frac{1}{n} \cdot \frac{\partial d}{\partial \dot{\boldsymbol{\varepsilon}}^{vp}} : \dot{\boldsymbol{\varepsilon}}^{vp} = \boldsymbol{\chi} : \dot{\boldsymbol{\varepsilon}}^{vp} = \frac{\partial z}{\partial \dot{\boldsymbol{\varepsilon}}^{vp}} : \dot{\boldsymbol{\varepsilon}}^{vp} \tag{36}$$

257 Where  $z$  is defined as the force potential.

258 Eliminating  $d$  and  $u$  between the equations (33), (34) and (36) results in the following  
259 requirement:

$$260 \quad (\bar{\boldsymbol{\chi}} - \boldsymbol{\chi}) : \dot{\boldsymbol{\varepsilon}}^{vp} = 0 \tag{37}$$

261 The simplest choice is that:

$$262 \quad \bar{\boldsymbol{\chi}} = \boldsymbol{\chi} \tag{38}$$

263 Called Ziegler's orthogonality assumption (alternatively, orthogonality between  $(\bar{\boldsymbol{\chi}} - \boldsymbol{\chi})$  and  
264  $\dot{\boldsymbol{\varepsilon}}^{vp}$  must be satisfied). The ingredients in hyper-viscoplasticity are hence the free energy  
265 function, the force potential and any potential hardening rules. The remaining formulations in  
266 more convenient variables follows through derivations/transformations.

267

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317 Figures:

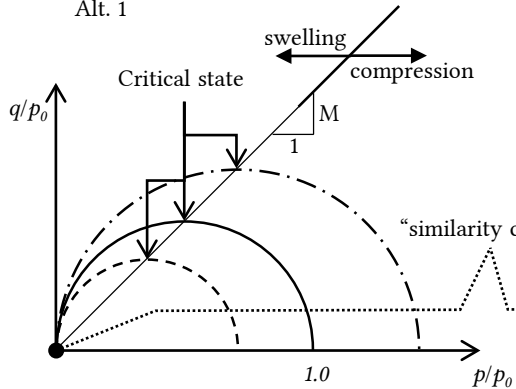
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319 *Figure 1 Graphical representation of the two different presented options, alt. 1 without shift*

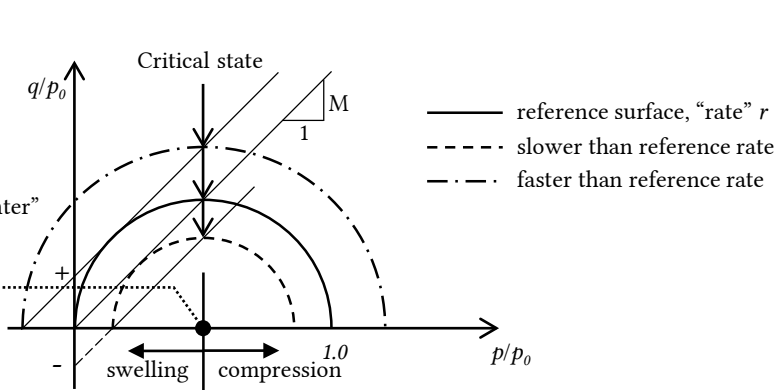
320 *stress, alt. 2 with shift stress.*



Alt. 1



Alt. 2



- reference surface, "rate"  $r$
- - - slower than reference rate
- · - · faster than reference rate