



1 heat from a natural gas fired power plant, R170, R134a and R290 perform better than  
2 other working fluids.

3 **Keywords:** LNG cold energy, organic Rankine cycle, working fluid selection, below  
4 ambient temperature, low-temperature waste heat

## 5 **1. Introduction**

6 A significant amount of cold energy in LNG is discarded without proper utilization at the  
7 LNG storage terminal. There are four conventional technologies for the regasification of  
8 LNG: Open Rack Vaporizer (ORV) [1], Submerged Combustion Vaporizer (SCV) [2],  
9 Ambient air-based Heating Vaporizer (AHV) and Intermediate Fluid Vaporizer (IFV) [3].  
10 Conventional LNG regasification systems release cold energy to seawater or ambient air,  
11 which not only consumes power to drive pumps or blowers but also exerts influence on  
12 the environment and ecosystem nearby the LNG receiving terminal. In contrast, LNG  
13 cold energy can be utilized for power generation, air separation, dry ice production, and  
14 cold storage [4], etc. Power generation is a more flexible way to utilize the LNG cold  
15 exergy compared with other technologies. Organic Rankine cycles (ORCs), natural gas  
16 Direct Expansion (DE) are popular power generation technologies to utilize LNG cold  
17 exergy [5]. ORCs have been widely used [for industrial waste heat recovery \[6\]](#),  
18 geothermal energy utilization [7], and biomass utilization [8]. High-pressure natural gas  
19 can expand directly to target pressure to generate power. Exergy includes temperature-  
20 based exergy and pressure-based exergy [9]. The temperature-based exergy in LNG can  
21 be used as the heat sink in an ORC. The pressure-based exergy can be recovered by  
22 Direct Expansion (DE). Therefore, to exploit the exergy of LNG efficiently, ORCs and  
23 direct expansion of natural gas should be adopted simultaneously in the system.

1 The working fluid plays an important role in an ORC, and the topic has been studied  
2 extensively in the literature. Working fluid selection of an ORC for industrial waste heat  
3 recovery [10], engine waste heat recovery [11], geothermal energy utilization [12], solar  
4 energy utilization [13] and biomass utilization [14] has been investigated thoroughly.  
5 Saleh et al. [15] investigated 31 pure working fluids for an ORC recovering low-  
6 temperature waste heat. The ORC operates between 100°C and 30°C and the pressures are  
7 limited to 20 bars in this study. Lai et al. [16] compared organic working fluids, including  
8 alkanes, aromatics and linear siloxanes for high-temperature ORCs. The heat carrier inlet  
9 temperatures are assumed to be between 280°C and 350°C. The results show that  
10 cyclopentane performs better than the other candidates. New working fluids such as  
11 R1234ze [17] and R1233zd [18] are promising alternatives to replace the conventional  
12 organic working fluids such as R245fa in ORCs. These working fluids show low global  
13 warming potential but are still very expensive [19]. It should be noticed that all these  
14 studies focus on ORCs recovering low-temperature heat and their working fluids are  
15 condensed by cooling water or air. In other words, the ORCs are operated above the  
16 ambient temperature. However, an ORC recovering LNG cold energy condensates below  
17 the ambient temperature and evaporates below or above the ambient temperature  
18 depending on the heat source. Therefore, ORCs recovering LNG cold energy operate  
19 below or across ambient temperature.

20 There are limited studies on working fluid selection for ORCs operating below or across  
21 ambient temperature. Lee and Han [20] proposed a multi-component working fluid ORC  
22 to recover waste heat and LNG cold energy simultaneously. They assumed the LNG  
23 evaporation pressure to be 30 bar. However, the evaporation pressure exerts great

1 influence on the heat sink temperature level, which influences the ORC system directly.  
2 He et al. [21] studied an ORC utilizing exhaust waste heat and LNG cold energy for  
3 LNG-fired vehicles. They analyzed 5 potential working fluids, C<sub>4</sub>F<sub>10</sub>, CF<sub>3</sub>I, R236ea,  
4 R236fa and RC318. Among them, R236fa shows the highest thermal efficiency. Rao et  
5 al. [22] investigated 16 potential working fluids for an ORC utilizing solar energy and  
6 LNG cold energy simultaneously. However, they assumed the maximum evaporation  
7 pressure of the ORC to be 20 bar, while the evaporation pressure of LNG was 30 bar. Sun  
8 et al. [23] compared different ORC configurations under several natural gas distribution  
9 pressures. However, only 4 working fluids are investigated in this study. Le et al. [24]  
10 proposed to use an ORC to recover the LNG cold energy combined with natural gas  
11 direct expansion. Propane is adopted as the working fluid in the ORC, while other  
12 working fluids are not investigated in this study. All the above studies investigated  
13 working fluid performance under given waste heat conditions or LNG regasification  
14 pressures. Therefore, these results are only applicable to the given conditions. Systematic  
15 investigation of working fluids under various conditions has not been reported yet in the  
16 literature.

17 There are generally two categories of working fluids, namely pure working fluids and  
18 mixture working fluids. Mixture working fluid shows higher thermal efficiency for a  
19 geothermal driven ORC in references [25] and [26]. Mixture working fluids can match  
20 well with the temperature profile of heat source due to the non-isothermal phase change.  
21 Therefore, mixture working fluids can reduce the irreversibilities in the evaporator and  
22 condenser. However, pure working fluids may also performs better than mixture working  
23 fluid as reported by Yu et al. [27]. They optimized an ORC recovering compression

1 waste heat in an oxy-combustion power plant considering carbon capture process, and  
2 found that pure working fluid performed better than mixture working fluids in their case.  
3 Whether mixture working fluids can improve thermal efficiency or not depends on the  
4 waste heat conditions and the working fluid candidates investigated. In addition, mixture  
5 working fluids have some limitations. The heat transfer mechanism of mixture working  
6 fluids is not well known since the heat transfer coefficient of mixtures is difficult to  
7 predict. Another problem is the fractionation of the mixture in heat exchangers. To  
8 achieve large temperature glide during the phase change, the properties of the  
9 components in the mixtures should not be too similar. Thus, the fractionation is  
10 unavoidable for mixture working fluids. In summary, the mixture working fluids may  
11 suffer from the following problems [19]: (1) unknown thermodynamic properties, (2)  
12 unknown heat transfer mechanism, (3) higher equipment cost, and (4) composition  
13 shifting and fractionation.

14 Therefore, this study focuses on pure working fluid selection instead of mixture working  
15 fluid design. It should be noted that the pure working fluid selection is the basis of  
16 mixture working fluid design. The pure working fluid that behaves poorly for a specific  
17 ORC is probably not a good component of mixture working fluids. In addition, if a pure  
18 working fluid works well, it is not necessary to design a mixture working fluid.

19 In the ORC utilizing LNG cold energy, the condensation temperature is much lower  
20 compared with that of a conventional ORC, which results in totally different operating  
21 conditions. Most of the papers focus on ORCs operated above the ambient temperature.  
22 ORCs operated below and across ambient temperature are not widely studied. To the best  
23 knowledge of the authors, no systematic study of working fluid selection for ORCs

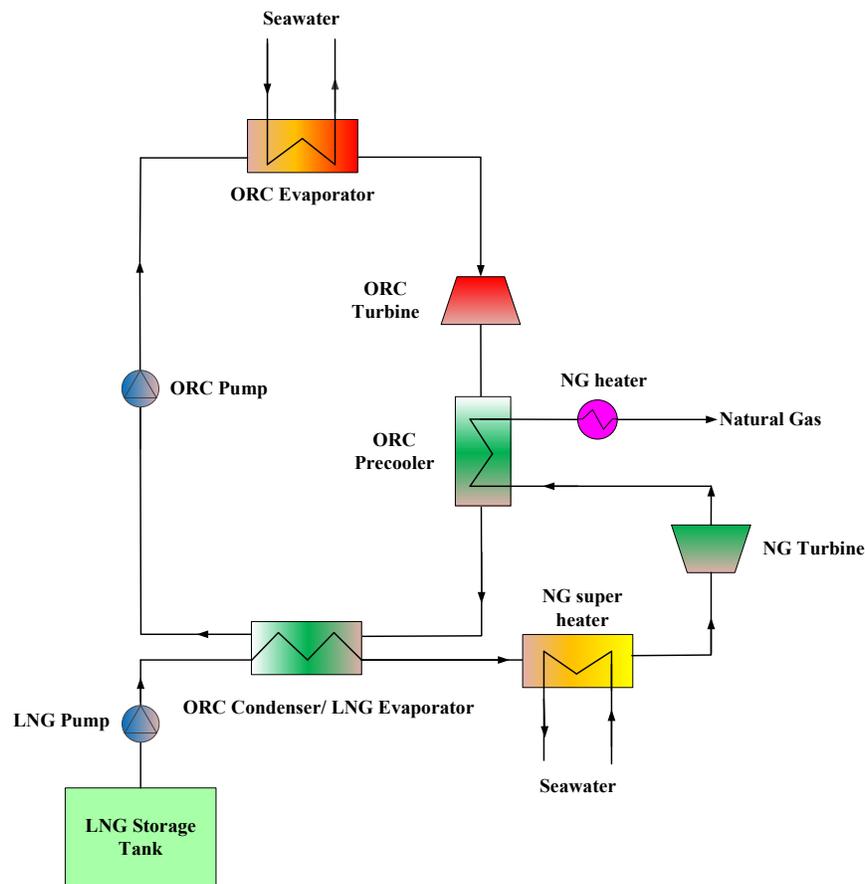
1 operated below and across ambient temperature has been **conducted**. Working fluid  
2 selection for ORCs recovering LNG cold energy is quite important for the system design  
3 and merits to be investigated systematically.

## 4 **2. System Description**

5 LNG acts as the heat sink for the ORC, while the heat source, apart from the environment  
6 energy (air/seawater), could be low-temperature waste heat near the LNG terminal.  
7 Therefore, there are two types of heat sources, namely ambient energy (air/seawater) or  
8 low-temperature waste heat. In this study, seawater is assumed to be the heat source at  
9 ambient temperature. If the heat source is seawater, the ORC is operated totally below  
10 ambient temperature. In contrast, the ORC is operated across ambient temperature if  
11 waste heat is utilized simultaneously since the evaporating temperature of the ORC then  
12 should be higher than the ambient temperature.

13 An ORC aims at utilizing the temperature-based exergy of LNG, while Direct Expansion  
14 (DE) is an effective way to utilize the pressure-based exergy of LNG [23]. In DE, the  
15 high-pressure natural gas expands directly through an expander to generate power.  
16 Therefore, combining an ORC and DE recovering LNG cold energy simultaneously can  
17 boost the efficiency of LNG cold energy utilization significantly. The flowsheet of the  
18 combined cycle with seawater as the heat source is illustrated in Figure 1. In the ORC,  
19 the working fluid is heated to saturated or superheated vapor by the sea water and then  
20 fed to the ORC turbine. After expansion, the working fluid is condensed by LNG. In the  
21 DE, LNG is pumped to higher pressure and evaporates in the condenser of the ORC. The  
22 temperature of LNG at the outlet of the ORC condenser (LNG evaporator) is still very  
23 low. To boost the power generation from the direct expansion of natural gas, LNG is

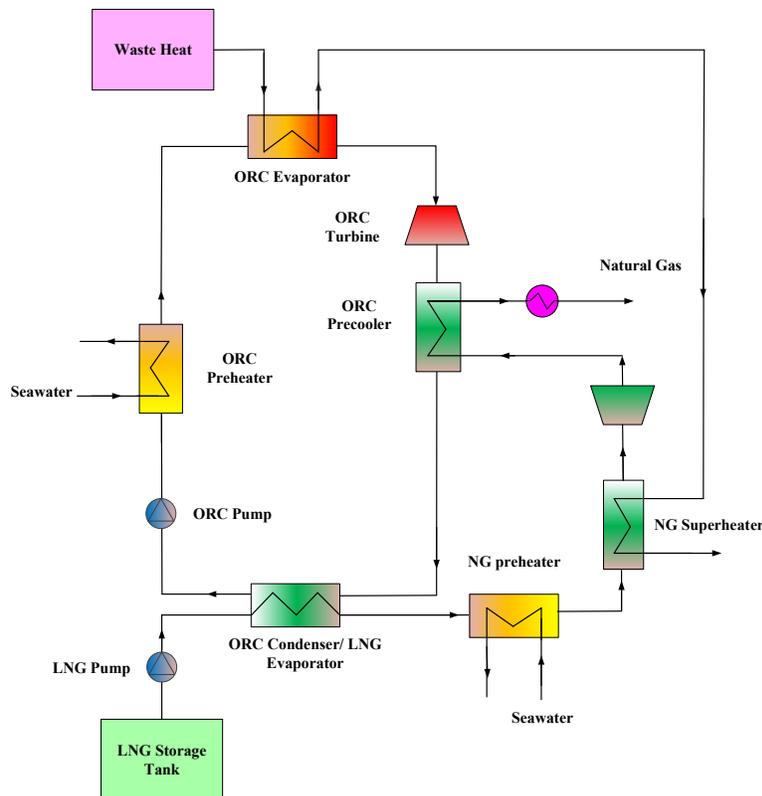
1 heated by seawater before being fed to the natural gas turbine. After expansion, natural  
 2 gas can be used to cool down the outlet stream of the ORC turbine to reduce the heat load  
 3 of the LNG evaporator and to increase the molar flow rate of the working fluid in the  
 4 ORC. Finally, natural gas is heated by seawater to ambient temperature and delivered to  
 5 the supplying system at the target pressure. In this process, the natural gas turbine and the  
 6 ORC turbine generate power, while the LNG and ORC pumps consume power. The  
 7 objective is to maximize the net power output of the system. In this flowsheet, the  
 8 evaporation temperature of the ORC is below ambient temperature, thus the ORC is  
 9 operating below ambient temperature. We call this system Combined ORC and DE with  
 10 Seawater as a heat source (CODS) in this study.



11

12 Fig. 1 Flowsheet of the Combined ORC and DE with Seawater as the heat source (CODS)

1 Similarly, if the heat source is waste heat from industry, the flowsheet of the system  
 2 should be changed slightly as shown in Figure 2. The waste heat temperature from the  
 3 industry is higher than the ambient temperature. In this case, seawater can be used to heat  
 4 the working fluid close to the ambient temperature, and then the waste heat source heats  
 5 the working fluid to a higher temperature to increase the power output of the ORC. After  
 6 releasing heat to organic working fluid, the temperature of waste heat is still higher than  
 7 the ambient temperature. Consequently, the waste heat can be used to heat the natural gas  
 8 stream before the direct expansion. In this flowsheet, the evaporation temperature of the  
 9 ORC is above the ambient temperature and the condensation temperature of the ORC is  
 10 below the ambient temperature, thus the ORC is operating across ambient temperature.  
 11 We call this system Combined ORC and DE with Waste heat utilization (**CODW**) in this  
 12 study.



13 Fig. 2 The flowsheet of the Combined ORC and DE with Waste heat utilization (**CODW**)  
 14

1 It is obvious from a power output point of view that the ORC favors lower condensation  
2 pressure, while the DE favors higher LNG evaporating pressure. Thus, the power output  
3 of the DE is in conflict with that of the ORC, which results in a trade-off between ORC  
4 condensation pressure and LNG evaporation pressure. The ORC precoolers in both  
5 scenarios also exert great influence on both the ORC and the DE. The ORC and the DE  
6 are interacting with each other and the independent variables in this system are quite  
7 important for the optimization.

8 The regasified natural gas is finally delivered to the end-users. The target pressure  
9 depends on the application of the natural gas. LNG should be pumped to at least the  
10 target pressure to satisfy the specification of the end-users. The specifications of the  
11 target natural gas pressures in different applications are listed in Table 1 [28].

12 Table 1. Pressure specifications in different applications.

Applications	Pressure specification
Steam power stations	6 bar
Combined cycle stations	25 bar
Local distribution	30 bar
Long-distance distribution	70 bar

13  
14 The working fluids and the operating conditions have considerable influence on the net  
15 power output of the system. To make a fair comparison of different working fluids, the  
16 comparison should be done under the respective optimal conditions of each working  
17 fluid. Thus, optimization of the system should be implemented while screening the  
18 working fluids. The optimal results are obtained from a simulation-based optimization  
19 framework, which will be discussed in detail in Section 4.

### 3. Working Fluid Preselection

Since there are many working fluid candidates, a preliminary selection is necessary to reduce the search space. The working fluids for conventional ORCs have been studied extensively in the literature. The desired working fluid should meet the requirements on environmental effects, thermophysical properties, chemical stability, etc. There are some desirable characteristics of working fluid in ORCs: (1) The working fluids should have no Ozone Depletion Potential (ODP) and low Global Warming Potential (GWP), (2) to avoid the formation of the liquid droplet at the outlet of a turbine, dry or isentropic working fluids are more favorable, (3) the working fluids should have high chemical stability, (4) non-fouling, non-corrosiveness, non-toxicity and non-flammability, and (5) easy availability and low cost. Based on these considerations, 22 working fluids are investigated as candidates for the ORC as listed in Table 2. Ahmadi et al. [29] reported that the transcritical CO<sub>2</sub> cycle is also an efficient way to utilize LNG cold energy and low-temperature heat. Therefore, CO<sub>2</sub> is also investigated in this study to make a comparison with organic working fluids. Some of them are good working fluids for a conventional ORC.

Table 2. Working fluid candidates investigated in this study

Working fluids	Chemical formula	T <sub>c</sub> (°C)	P <sub>c</sub> (bar)	T <sub>s</sub> (°C) <sup>a</sup> at 1 bar	P <sub>s</sub> (bar) <sup>b</sup> at T <sub>0</sub>
R1150	C <sub>2</sub> H <sub>4</sub>	9.2	50.5	-104.20	-
R116	C <sub>2</sub> F <sub>6</sub>	19.9	30.4	-78.37	23.97
R23	CHF <sub>3</sub>	25.9	48.2	-81.88	32.82
R170	C <sub>2</sub> H <sub>6</sub>	32.2	48.8	-88.94	30.37
R125	C <sub>2</sub> HF <sub>5</sub>	66.0	36.2	-48.39	9.07
R143a	C <sub>2</sub> H <sub>3</sub> F <sub>3</sub>	72.7	37.6	-47.42	8.39
R32	CH <sub>2</sub> F <sub>2</sub>	78.1	57.8	-51.66	11.13
R290	C <sub>3</sub> H <sub>8</sub>	96.8	42.5	-42.49	6.36

R1270	C <sub>3</sub> H <sub>6</sub>	91.1	45.5	-48.24	7.78
R134a	C <sub>2</sub> H <sub>2</sub> F <sub>4</sub>	101.0	40.6	-26.36	4.13
R227ea	C <sub>3</sub> HF <sub>7</sub>	101.7	29.1	-16.73	2.79
R3110	C <sub>4</sub> F <sub>10</sub>	113.2	23.2	-2.65	1.61
R152a	C <sub>2</sub> H <sub>4</sub> F <sub>2</sub>	113.3	45.2	-24.20	3.72
RC318	C <sub>4</sub> F <sub>8</sub>	124.9	26.7	0.70	1.43
R236fa	C <sub>3</sub> H <sub>2</sub> F <sub>6</sub> -D1	124.9	32.2	-1.15	1.56
R600a	C <sub>4</sub> H <sub>10</sub> -2	134.7	36.4	-11.96	2.19
R236ea	C <sub>3</sub> H <sub>2</sub> F <sub>6</sub>	139.2	34.1	5.84	1.18
R600	C <sub>4</sub> H <sub>10</sub> -1	151.9	37.9	-0.77	1.48
R245fa	C <sub>3</sub> H <sub>3</sub> F <sub>5</sub> -D1	154.1	36.4	14.66	0.83
R601a	C <sub>5</sub> H <sub>12</sub> -2	187.3	33.8	26.76	0.54
R601	C <sub>5</sub> H <sub>12</sub> -1	196.6	33.7	35.91	0.38
R744	CO <sub>2</sub>	31.0	73.9	-88.10	44.91

1 Due to the low condensation temperature of the ORC utilizing LNG cold energy, there  
2 are extra factors to be considered while choosing the working fluid other than the well-  
3 known requirements for the working fluid in conventional ORCs as follows:

4 (I) The minimum condensation pressure is assumed to be 1 bar to avoid vacuum  
5 operation, and thus the saturation temperature at 1 bar is the minimum attainable  
6 condensation temperature. To avoid too much exergy destruction in the LNG evaporator,  
7 the saturation temperature at 1 bar should not be too high. In this study, the working  
8 fluids, whose saturation temperatures at 1 bar are greater than 0°C, are excluded for the  
9 ORCs investigated in this study. The [fifth](#) column of Table 2 lists the saturate  
10 temperatures of the working fluids at 1 bar. Promising working fluids for conventional  
11 ORC, such as R245fa, R601a and R601, are excluded due to the high saturation  
12 temperature at 1 bar as shown in Table 2.

13 (II) In CODS, the highest temperature of the working fluid is the ambient temperature  
14 neglecting the heat transfer temperature approach. Therefore, the saturation pressure at  
15 ambient temperature should be higher than the ambient pressure. However, to guarantee  
16 reasonable power output, the saturation pressure should be at least 2 bar to get a large

1 enough pressure drop through the turbine. The last column lists the saturation pressures  
2 of the working fluids at ambient temperature. However, when the heat source is waste  
3 heat, this limitation is relaxed. The reason is that the waste heat can heat the working  
4 fluid to [gaseous](#) state at higher pressure.

5 (III) Due to the quite low temperature of the ORC recovering LNG cold energy, working  
6 fluids should not be subject to solidification [22] at very low temperature.

7 Based on the above analysis, R3110, RC318, R236fa, R236ea, R600, R245fa, R601a and  
8 R601 are excluded for CODS. For CODW, [R3110](#), [R236ea](#), [R245fa](#), [R601a](#) and R601 are  
9 excluded. The other working fluids are investigated to screen the best working fluid for  
10 ORC in both CODS and CODW.

#### 11 **4. Simulation-based Optimization Framework**

12 To make a fair and reasonable decision on the best working fluid, the performance of  
13 different working fluids should be compared under their respective optimal operating  
14 conditions. Therefore, optimization of the system should be implemented while screening  
15 the working fluids. Since the thermodynamic properties are expressed in highly nonlinear  
16 and nonconvex equations, optimization of the combined cycle is challenging for  
17 deterministic optimization algorithms. Surrogate models or simplified models are  
18 possible alternatives to replace the rigorous thermodynamic model during optimization.  
19 However, accurate thermodynamic calculations are important for reliable results. In this  
20 study, to guarantee both accurate thermodynamic properties and efficient solution of the  
21 optimization problem, we propose a simulation-based optimization framework to  
22 optimize the combined cycle.

1 The simulation of the process is implemented in Aspen HYSYS [30], which can provide  
 2 accurate thermodynamic properties. The specifications of the simulation are as follows:  
 3 Peng-Robinson Equation of State is adopted to calculate the thermodynamic properties of  
 4 the working fluids. The LNG composition is taken from [20] and shown in Table 3.  
 5 However, there was a small typo in the original paper. The components should be n-  
 6 C<sub>4</sub>H<sub>10</sub> and iso-C<sub>4</sub>H<sub>10</sub> instead of n-C<sub>4</sub>H<sub>8</sub> and iso-C<sub>4</sub>H<sub>8</sub>. The mass flowrate of LNG is 1620  
 7 t/h, corresponding to the Incheon LNG terminal in South Korea [20]. However, 1620 t/h  
 8 is a too large number for the simulation and optimization. Therefore, the mass flowrate of  
 9 LNG is assumed as 1620 kg/h in this study. The LNG is supplied at -162°C and 1 bar.  
 10 The polytropic efficiency of the turbine is assumed to be 80%. The adiabatic efficiency of  
 11 the pumps is assumed to be 75%. The pressure drop in heat exchangers is neglected. The  
 12 seawater is able to heat the working fluid and natural gas to 10°C. The target pressure of  
 13 the natural gas depends on the application as shown in Table 1 [20].

14 Table 3 Composition of the LNG

Component	Mole fraction
Nitrogen	0.0007
Methane	0.8877
Ethane	0.0754
Propane	0.0259
Butane	0.0056
Iso-butane	0.0045
Pentane	0.0001
Iso-pentane	0.0001
Total	1.0000

15  
 16 Other than the above-fixed parameters, there are many variables in the system. However,  
 17 some of them are correlated and dependent variables. It is quite important to analyze the

1 degree of freedom for the system and choose the correct independent decision variables.  
2 Since the optimization involves a multi-dimensional space formed by the key operating  
3 parameters of the combined cycle, it is challenging and computationally intensive to get  
4 the optimal operating conditions of the combined cycle. Deterministic algorithms are  
5 quite challenging due to the complex thermodynamic property calculations in this study.  
6 The inbuilt optimization capability of HYSYS is too weak to get satisfactory results.  
7 Stochastic algorithms represent a promising choice for this problem. The Particle Swarm  
8 Optimization (PSO) algorithm is adopted as the optimization engine in this study. PSO is  
9 a population-based stochastic optimization method, which is inspired by the social  
10 behavior of bird flocking [31]. Due to the nature of meta-heuristic algorithms, there is no  
11 guarantee on the global optimum solutions. Matlab and HYSYS are connected by  
12 creating a COM object through the actxserver command. The combination of rigorous  
13 thermodynamic simulation and stochastic optimization can guarantee accurate  
14 thermodynamic properties and optimal conditions. The flowsheet of the framework is  
15 shown in Figure 3.

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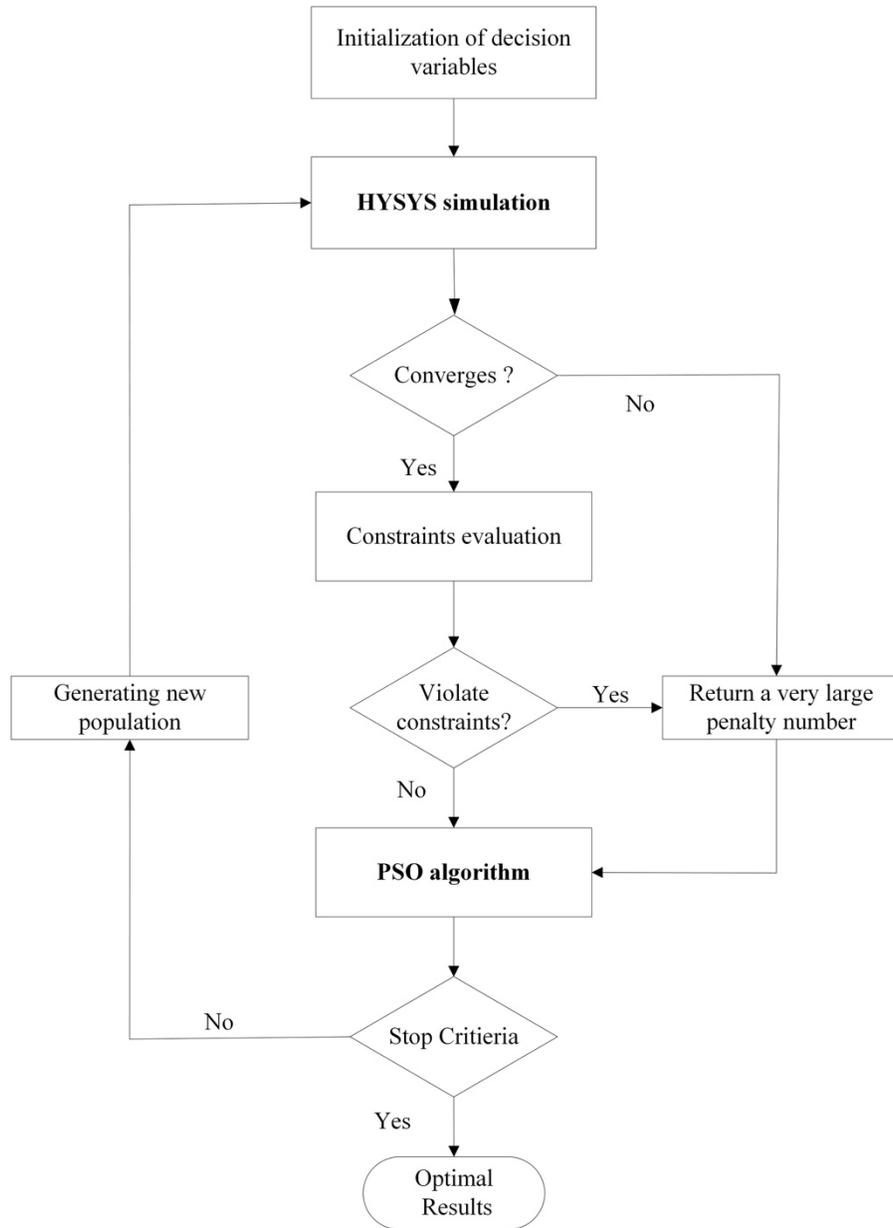


Fig. 3 Simulation-based optimization framework

1  
2

3 The optimization model is established in Matlab. The objective function, variables, upper  
4 and lower bounds, and constraints are discussed in the following:

5 Objective function: Net power output of the combined cycle is chosen as the criterion to  
6 evaluate all the working fluids.

7 Variables: Based on a degree of freedom analysis of the system, the independent  
8 variables can be determined. In CODS, there are 5 independent decision variables,

1 namely the condensation pressure of the ORC ( $P_{orc,con}$ ), the evaporation pressure of the  
2 ORC ( $P_{orc,eva}$ ), the molar flowrate of working fluid ( $mf_{orc}$ ), the evaporation pressure of  
3 LNG ( $P_{lng,eva}$ ), and the heat load of the LNG evaporator ( $Q_{lng,eva}$ ) as shown by the  
4 variables with superscript a in Table 4. In CODW, there are two more decision variables,  
5 namely heat load of the ORC evaporator ( $Q_{orc,eva}$ ) and heat load of the NG superheater  
6 ( $Q_{ng,sh}$ ), which results in 7 decision variables in total. These variables are denoted with  
7 superscript b as shown in Table 4.

8 Variable bounds: The lower bound of the ORC condensation pressure is set as 1 bar to  
9 avoid vacuum operation. The upper bound of the condensation pressure is set as the  
10 saturate pressure at the ambient temperature in this study to guarantee the condensation  
11 process takes place below ambient temperature. However, if the critical temperature of  
12 the working fluid is lower than the ambient temperature, there is no saturation pressure at  
13 ambient temperature. In this case, the upper bound of the condensation pressure is set as  
14 the critical pressure. Therefore, the upper bound of the condensation pressure is either  
15 saturation pressure at 1 bar or critical pressure.

16 The lower bound of the ORC evaporation pressure is 2 bar to guarantee a large enough  
17 pressure drop in the turbine. The ORC evaporation pressure is less than the critical  
18 pressure of the working fluid since the ORCs are subcritical. However, the highest  
19 attainable temperature of the working fluid is the ambient temperature in CODS. Then  
20 the upper bound of the ORC evaporation pressure is the critical pressure or the saturation  
21 pressure at ambient temperature (if it exists). In CODW, the evaporation temperature  
22 should be above the ambient temperature. Therefore, the lower bound of the evaporation

1 pressure is the saturation pressure at the ambient temperature, and the upper bound is the  
 2 critical pressure of the working fluid.  
 3 LNG regasification pressure must be higher than the distribution pressure of the natural  
 4 gas. Therefore, the lower bound of LNG regasification pressure is set as the distribution  
 5 pressure of the natural gas. The upper bound of LNG pressure is set as 150 bar based on  
 6 reference [32]. The lower and upper bounds for other variables are shown in Table 4.  
 7 These bounds are rational for the system with LNG flowrate being 1620 kg/h.  
 8 Table 4. Lower and upper bounds for the decision variables in this study

Variables	Lower Bounds	Upper Bounds
Condensation pressure (bar) <sup>a,b</sup>	1	$\min\{P_c, P_s^{T_0}\}$
Evaporation pressure (bar) <sup>a</sup>	2	$\min\{P_c, P_s^{T_0}\}$
Evaporation pressure (bar) <sup>b</sup>	$P_s^{T_0}$	$P_c$
LNG evaporation pressure (bar) <sup>a,b</sup>	$P_t$	150
Working fluid molar flowrate (mole/s) <sup>a,b</sup>	10	200
LNG evaporator heat load (kW) <sup>a,b</sup>	10	500
ORC evaporator heat load (kW) <sup>b</sup>	50	400
NG superheater heat load (kW) <sup>b</sup>	0	300

9 <sup>a</sup> variable bounds used for CODS

10 <sup>b</sup> variable bounds used for CODW

11 Constraints: There are two categories of constraints in this model, namely the constraints  
 12 to guarantee the normal operation of the system and the constraints to guarantee the  
 13 system is economically viable.

14 The inlet stream of the ORC and the natural gas turbines should be totally gas.

$$VF_{orc,tur,in} = 1 \quad (\text{Constraint 1})$$

$$VF_{ng,tur,in} = 1 \quad (\text{Constraint 2})$$

15 The inlet stream of pumps should be totally liquid.

$$VF_{orc,pump,in} = 0 \quad (\text{Constraint 3})$$

1 The vapor fraction at the outlet of the ORC and the natural gas turbines should be greater  
2 than 0.95.

$$VF_{orc,tur,out} \geq 0.95 \quad (\text{Constraint 4})$$

$$VF_{ng,tur,out} \geq 0.95 \quad (\text{Constraint 5})$$

3 To guarantee the design is economically viable, the minimum approach temperature of  
4 heat exchangers has corresponding constraints. The minimum approach temperature of  
5 LNG evaporator and ORC precooler should be greater than 3°C.

$$\Delta T_{lng,eva,min} \geq 3 \quad (\text{Constraint 6})$$

$$\Delta T_{orc,pre,min} \geq 3 \quad (\text{Constraint 7})$$

6 For the CODW, two more constraints should be added to the model. The minimum  
7 approach temperatures of the ORC evaporator and natural gas superheater are assumed to  
8 be 3°C and 5°C respectively.

$$\Delta T_{orc,eva,min} \geq 3 \quad (\text{Constraint 8})$$

$$\Delta T_{ng,sh,min} \geq 5 \quad (\text{Constraint 9})$$

9 The mathematical model can be written as follows:

$$10 \quad \begin{aligned} & \max_{x \in \Omega} \text{ Net Power Output} \\ & \text{s.t.} \\ & \Omega = \left\{ x \left| \begin{array}{l} \text{thermodynamic model} \\ \text{flowsheet model} \\ \text{constraints 1-9} \end{array} \right. \right\} \end{aligned}$$

11 All the constraints are formulated using a penalty function in the Matlab model. Once any  
12 of the above constraints are violated, a large penalty is assigned to the objective function.

1 In this study, the large penalty is assumed to be  $10e6$ . The swarm size is 50 and the  
2 maximum iteration is assumed to be 100 to get the results in practical time limitation. The  
3 code and PSO algorithm are implemented and run in Matlab 2014b environment on a PC  
4 with 4 cores 2.8 GHz Intel i7 CUP and 32 GB of RAM.

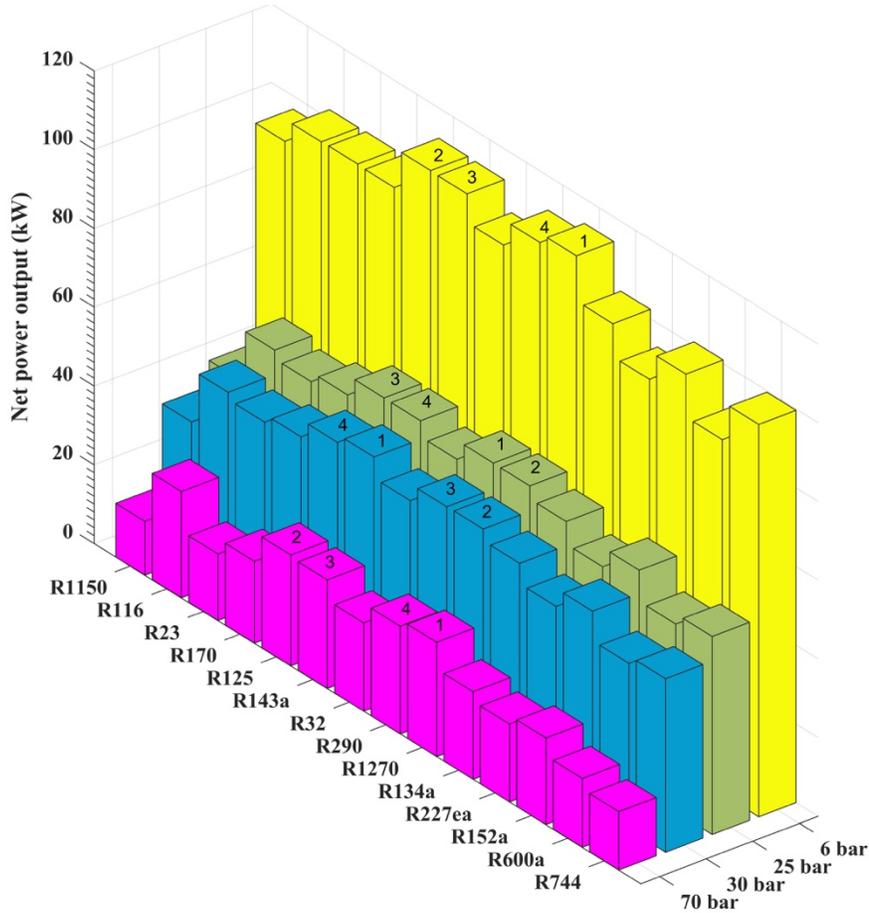
## 5 **5. Results and Discussion**

6 The optimization results for CODS and CODW are provided in Sections 5.1 and 5.2  
7 respectively.

### 8 **5.1 Working fluid selection for ORCs below ambient temperature**

9 In CODS, the ORC is operated totally below the ambient temperature since both the  
10 evaporation temperature and the condensation temperature are less than the ambient  
11 temperature. Seawater can be regarded as a latent heat source because the temperature  
12 drop of seawater is very small. Yu et al. [10] investigated working fluid selection for  
13 sensible, latent and combined waste heat sources. For a latent heat source, there is no  
14 pinch limitation between the heat source and the organic working fluid. Therefore, there  
15 are no pinch temperature constraints in this case, and the working fluid is assumed to be  
16 heated to  $10^{\circ}\text{C}$  by the seawater.

17 Figure 4 illustrates the net power output of the system under different natural gas target  
18 pressures. It is clear that with the increase of the LNG target pressure, the net power  
19 output of the system decreases dramatically, which mainly results from the reduction of  
20 the power output from natural gas direct expansion. The numbers in the figure indicate  
21 the top 4 working fluids with respect to net power output. It is interesting that R290,  
22 R1270, R125 and R143a are the top 4 working fluids under all the target pressures.



1

2 Fig. 4 Power output of working fluids under different target pressure

3 The detailed results of the top 4 working fluids under different target pressures are listed  
 4 in Table 5. The most energy efficient working fluids are R125, R143a, R290 and R1270.

5 It can be seen that when the target pressure is 6 bar, the condensation pressure of the  
 6 ORC is 1 bar for all the top 4 working fluids. The evaporating pressure of the ORC

7 reaches the upper bound, which is the saturation pressure at the ambient temperature. The  
 8 results are consistent with the intuitive expectation. The evaporation pressure should be

9 as high as possible to boost the power output. On the other hand, the results illustrate that  
 10 superheating is not favorable in the ORC in this scenario since all optimal results indicate

11 that vapor is saturated at the inlet of the ORC turbine. Since the seawater for simplicity is

1 regarded as a latent heat source, it is not necessary to superheat working fluids to get  
2 better match with the sea water. It can be concluded that the favorable working fluids for  
3 an ORC utilizing LNG cold energy without waste heat utilization should have high  
4 enough saturation pressure at ambient temperature. Referring to Table 1, the top 4  
5 working fluids has the characteristic that the saturate temperature at 1 bar is between -  
6 40 °C to -50°C. For the CODS configuration as shown in Figure 1, one could conclude  
7 that the promising working fluids are those with saturation temperatures at 1 bar between  
8 -40°C to -50°C. The reason can be explained as follows: The minimum attainable  
9 condensation temperature is the saturation temperature at 1 bar. The working fluids  
10 whose saturation temperature at 1 bar are greater than -40°C cannot attain low  
11 condensation temperature, which results in large heat transfer approach temperatures and  
12 consequently large exergy destruction in the LNG evaporator. In addition, such working  
13 fluids generally have low saturation pressure at ambient temperature as shown in Table 1,  
14 and thus generally generate less power output since the pressure ratio is small. Due to  
15 these reasons, the working fluids whose saturation temperatures are greater than -40°C do  
16 not perform well in CODS. The working fluids whose saturation temperature at 1 bar is  
17 less than -50°C can attain very low condensation temperature, but the evaporation  
18 pressure of LNG is limited by the condensation temperature of the ORC. If the  
19 condensation temperature of the ORC is very low, the evaporation pressure of LNG  
20 cannot be very high, which reduces power output from the natural gas turbine. Therefore,  
21 the lower attainable condensation temperature of the ORC does not indicate a higher  
22 overall power output of the system. The trade-off between the ORC power output and the  
23 DE power output means there is an optimal condensation pressure of the ORC. Therefore,

1 the working fluids whose saturation temperatures at 1 bar are less than  $-50^{\circ}\text{C}$  are not  
2 desirable working fluids either. With increasing natural gas target pressure (and  
3 correspondingly increasing LNG evaporation pressure), the condensation temperature of  
4 the ORC working fluid increases as well. Therefore, the net power output of the whole  
5 system decreases significantly due to power output reduction of both the ORC and the  
6 DE. It can be noticed from Table 5 that the four working fluids have condensation  
7 pressures close to 1 bar for all natural gas target pressures except for R125 and R1270 at  
8 30 bar [target pressure](#). Still, the net power output for these two working fluids are in line  
9 with the behavior of the other working fluids at increased natural gas target pressure. This  
10 indicates that the search space is quite complex and the problem may have multiple  
11 optimal solutions. This also reveals that with a similar net power output of the system,  
12 there may be large differences in operating conditions for the different designs. Based on  
13 the above analysis, the optimal working fluids prone to be the ones whose saturation  
14 temperature at 1 bar is in the range  $-50^{\circ}\text{C}$  to  $-40^{\circ}\text{C}$ . Such working fluid show both good  
15 thermal match in the LNG evaporator and acceptable ORC power output.

16

17

Table 5. The performance of top 4 working fluids under different target pressures in CODS.

Target pressure	Working fluids	$W_{net}$ (kW)	$P_{orc,con}$ (bar)	$P_{orc,eva}$ (bar)	$mf$ (mole/s)	$P_{lng,eva}$ (bar)	$Q_{lng,eva}$ (kW)	$\Delta T_{lng,eva,LMTD}$ (°C)	$T_{orc,con}$ (°C)	$W_{orc,tur}$ (kW)	$W_{orc,pump}$ (kW)	$W_{ng,tur}$ (kW)	$W_{lng,pump}$ (kW)
6 bar	R125	112.25	1.00	9.06	51.61	56.03	236.69	21.04	-48.39	48.59	1.22	71.93	7.05
	R143a	112.10	1.00	8.39	53.50	57.64	236.39	21.12	-47.41	48.08	1.05	72.33	7.26
	R290	111.27	1.00	6.35	57.85	62.17	241.72	22.55	-42.53	46.66	0.87	73.32	7.84
	R1270	113.65	1.00	7.77	56.99	59.00	231.17	20.39	-48.23	49.42	0.99	72.65	7.43
25 bar	R125	59.06	1.00	9.06	35.42	116.54	167.53	25.66	-48.39	33.24	0.84	41.49	14.83
	R143a	59.03	1.00	8.39	36.76	114.59	170.06	25.68	-47.41	32.99	0.72	41.34	14.58
	R290	59.79	1.00	6.35	41.28	104.31	186.37	25.61	-42.53	33.31	0.62	40.36	13.26
	R1270	59.74	1.00	7.77	39.92	130.59	162.60	26.08	-48.23	34.62	0.69	42.45	16.64
30 bar	R125	52.28	1.41	9.07	38.90	94.55	197.06	24.96	-41.07	31.08	0.89	34.09	12.00
	R143a	54.31	1.00	8.39	41.83	62.99	230.08	18.95	-47.41	37.55	0.82	25.53	7.95
	R290	53.19	1.03	6.35	40.14	121.05	179.19	26.73	-41.86	31.89	0.60	37.31	15.41
	R1270	53.29	1.44	7.77	44.02	111.39	188.74	26.31	-39.81	31.83	0.73	36.36	14.17
70 bar	R125	28.16	1.00	9.07	9.07	107.21	191.67	21.37	-48.39	29.51	0.74	13.02	13.63
	R143a	27.60	1.00	8.38	8.38	103.75	176.87	23.85	-47.41	29.23	0.64	12.19	13.18
	R290	27.35	1.01	6.36	6.36	101.43	200.96	22.39	-42.27	29.18	0.54	11.60	12.89
	R1270	29.04	1.00	7.77	7.77	116.33	167.52	25.73	-48.23	29.45	0.58	14.98	14.81

Table 6. The performance of remaining working fluids in CODW after preselection.

Working fluids	$W_{net}$ (kW)	$P_{orc,con}$ (bar)	$P_{orc,eva}$ (bar)	$mf$ (mole/s)	$P_{lng,eva}$ (bar)	$Q_{lng,eva}$ (kW)	$\Delta T_{lng,eva,LMTD}$ (°C)	$T_{orc,con}$ (°C)	$\Delta T_{orc,eva,LMTD}$ (°C)	$W_{orc,tur}$ (kW)	$W_{orc,pump}$ (kW)	$W_{ng,tur}$ (kW)	$W_{lng,pump}$ (kW)
R116	150.14	1.41	30.38	44.34	40.20	320.14	15.79	-71.61	26.69	88.72	4.20	70.64	5.01
R23	151.77	2.99	47.16	35.42	104.72	144.89	24.94	-60.57	38.20	59.32	2.98	108.74	13.31
R170	161.10	2.44	48.80	57.90	32.73	242.01	16.38	-70.51	25.19	103.80	5.74	67.09	4.05
R125	152.80	1.00	36.19	41.97	84.18	185.04	23.59	-48.39	31.86	81.41	4.32	86.38	10.67
R143a	160.16	1.00	37.64	46.59	74.36	191.99	26.55	-47.41	8.87	97.08	4.53	77.02	9.40
R32	145.38	1.00	37.35	23.32	105.17	129.53	49.02	-51.65	43.54	44.80	1.34	115.28	13.37
R290	155.45	1.00	42.49	38.30	86.31	185.17	34.21	-42.53	9.54	82.61	4.45	88.23	10.94
R1270	145.77	1.00	45.50	22.50	136.84	113.56	58.34	-48.23	41.60	44.61	2.56	121.15	17.44
R134a	139.88	1.00	40.54	24.40	109.92	142.19	72.11	-26.36	21.42	52.19	2.64	104.31	13.98
R227ea	129.97	1.00	29.11	23.58	106.98	100.14	100.61	-16.72	41.74	39.38	2.68	106.88	13.60
R3110	119.03	1.00	23.23	27.88	87.61	159.45	93.87	-2.64	27.74	43.37	3.40	90.16	11.11
R152a	142.11	1.00	45.00	40.89	87.60	210.48	49.10	-24.20	8.85	69.36	4.33	88.19	11.11
R236fa	126.58	1.00	32.19	21.63	96.74	141.47	101.28	-1.15	10.10	42.85	2.62	98.64	12.28
R600a	134.14	1.00	33.73	28.61	106.50	119.04	97.90	-11.96	8.69	50.57	3.38	100.49	13.54
R600	130.83	1.00	25.00	12.67	118.78	22.44	146.72	-0.76	14.24	24.70	1.08	122.33	15.12
R744	143.65	6.02	73.91	29.57	119.39	109.42	57.06	-52.52	48.52	41.14	2.82	120.53	15.20

## 1 **5.2 Working fluid selection for ORCs across ambient temperature**

2 In CODW, the ORC is operated across the ambient temperature. Since waste heat is  
3 considered in CODW, the characteristics of CODW have great influence on the system  
4 design. Therefore, it is difficult to know which working fluid performs better than others  
5 in CODW without given waste heat conditions. To decide on the optimal working fluid,  
6 the waste heat conditions have to be fixed. In this part, the waste heat source in the  
7 CODW is assumed to be the compression heat of the flue gas in a natural gas combined  
8 cycle power plant. The treated flue gas can be regarded as pure CO<sub>2</sub>. Since the LNG mass  
9 flowrate is assumed to be 1620 kg/h, the molar flowrate of CO<sub>2</sub> should be 9509 kg/h  
10 based on the combustion of natural gas given in this study. The inlet temperature of  
11 compression heat is assumed to be 150°C. The target pressure of natural gas is fixed at 6  
12 bar since the natural gas is used to fire the power plant. Since the critical temperature of  
13 R1150 is only 9.2°C, the seawater can even heat the working fluid to superheated state  
14 and the degree of superheating in the ORC evaporator will be extremely large in the  
15 CODW. For RC318, R236ea, R245fa, R601a and R601, the saturate temperature at 1 bar  
16 is greater than 0°C. These working fluids will have large exergy destruction in the LNG  
17 evaporator due to very large heat transfer approach temperature. Therefore, R1150,  
18 RC318, R236ea, R245fa, R601a and R601 are not appropriate working fluids in this  
19 scenario. The performance of different working fluids for an ORC operating across  
20 ambient temperature is shown in Figure 5. The detailed results are listed in Table 6.

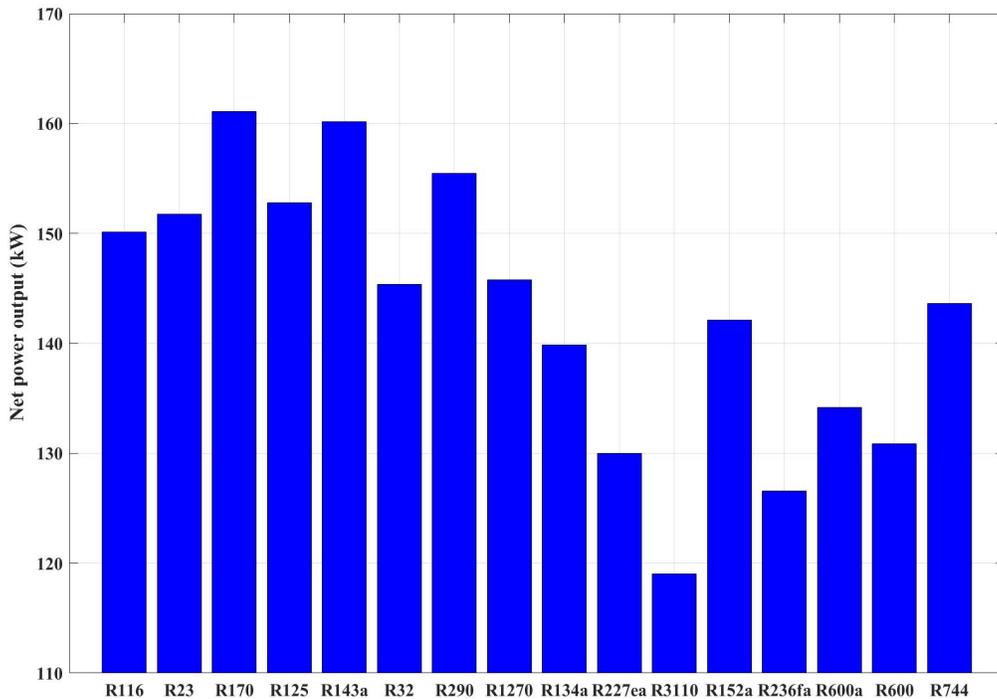


Fig. 5 Power output of working fluids in CODW

R170, R143a and R290 shows much higher net power output compared with other working fluids. The reason can be explained as follows: For working fluids with higher critical temperatures, the condensation temperatures at 1 bar are higher. Therefore, exergy destruction in the LNG evaporator will be very large due to the large heat transfer approach temperature. Similarly, the working fluids with lower critical temperature can attain lower condensation pressures, which results in smaller exergy destruction in the LNG evaporator. Since the evaporation pressure is limited by the critical pressure, the working fluids with low critical temperatures have to be superheated to a large extent to match well with the waste heat. Due to the low heat transfer coefficient for superheated gases, the capital cost of the ORC evaporator could increase significantly. As indicated in Table 6, for working fluids from R125 to R236fa the evaporation pressures are (close to) the critical pressures, which indicate that supercritical ORCs could perform better than

1 subcritical ORCs in this scenario. R744 (CO<sub>2</sub>) does not perform well since the  
2 evaporation pressure is limited by the critical pressure. However, supercritical ORCs are  
3 out of the scope of this study and deserves more attention in future research. In CODW,  
4 the working fluids with lower critical temperatures have to be superheated to a large  
5 extent.

6 Figure 6 illustrates the logarithmic mean temperature difference (LMTD) of LNG and  
7 ORC evaporators for different working fluids. With the increase of the critical  
8 temperatures of working fluids, the LMTD of the LNG evaporator generally increases  
9 because of higher condensation temperature in the ORC. On the contrary, the LMTD of  
10 the ORC evaporator generally decreases due to better thermal match for working fluids  
11 with higher critical temperature. R170, R134a and R290 can balance the LMTD of the  
12 LNG and ORC evaporators properly, therefore these working fluids perform better than  
13 other working fluids. However, the LMTD is still very large for these working fluids,  
14 which results in significant exergy destruction. This indicates that the system  
15 configuration has its own limitations and motivates the search for new system  
16 configurations. It can be inferred that one stage ORCs cannot utilize the cold energy and  
17 waste heat efficiently. Beyond the working fluid screening, new system configurations  
18 such as cascaded ORCs, series ORCs and multi-stage ORCs are promising ways to boost  
19 the exergy efficiency of the system. However, the capital cost of cascaded ORCs and  
20 series ORCs is definitely much higher. Therefore, cascaded ORCs and series ORCs with  
21 economic evaluation should be investigated in future research. For the CODW case  
22 investigated in this study, the top 3 working fluids are R170, R143a and R290.

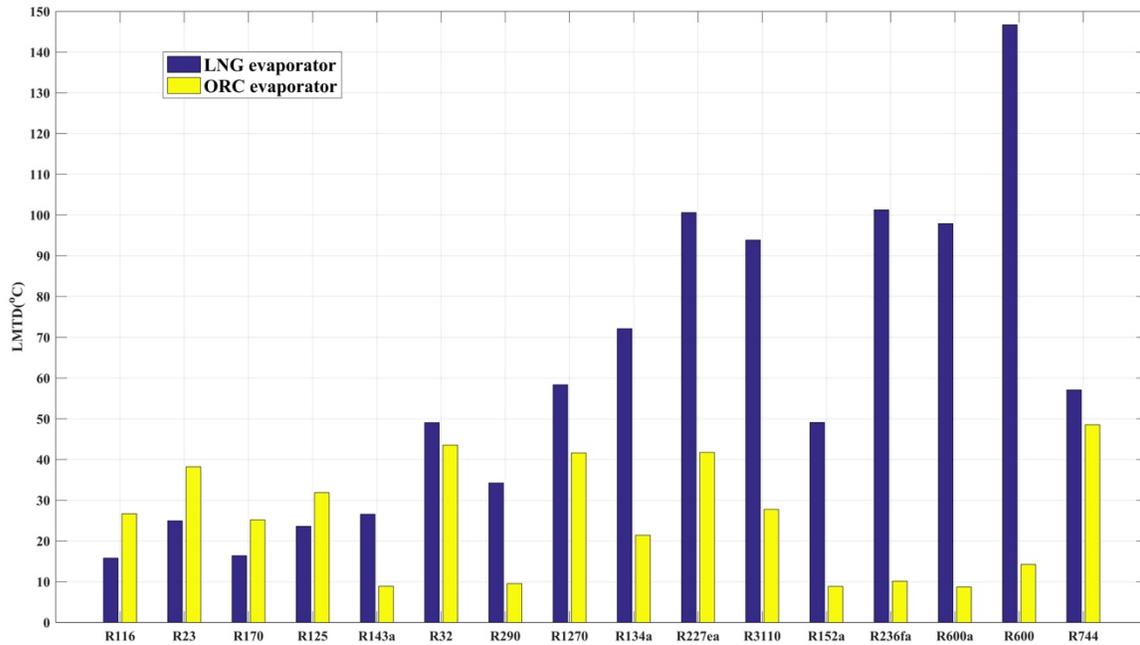


Fig. 6 LMTD for LNG and ORC evaporators in CODW

## 6. Conclusion

A combined single-stage Organic Rankine Cycles (ORCs) and Direct Expansion (DE) cycle recovering LNG cold energy with/without waste heat utilization are investigated in this study. The paper addresses the working fluid selection for such a combined cycle, where the ORC is operated below or across the ambient temperature. Therefore, the ORC investigated in this study is quite different from the conventional ORC system for low-temperature heat utilization. The favorable working fluids for conventional ORCs are inappropriate and even not operable due to the quite low operating temperature of the ORC utilizing LNG cold energy. A systematic investigation of the working fluids for the ORC is performed in this study. A simulation-based optimization framework is proposed based on the connection between Aspen HYSYS and Matlab. The evolutionary Particle Swarm Optimization has been adopted in this study. 22 working fluids are investigated in

1 this study for different LNG cold energy utilization scenarios with/without waste heat  
2 utilization.

3 For CODS, the following conclusions are drawn:

4 • The top 4 working fluids under all the targets pressures of the regasified natural gas  
5 are R290, R1270, R125 and R143a.

6 • Working fluids whose saturation temperature at 1 bar is in the range  $-40^{\circ}\text{C}$  to  $-50^{\circ}\text{C}$   
7 are promising candidates for the ORC in CODS.

8 • Since the top 4 working fluids are the same under different natural gas target  
9 pressures, the target pressure of natural gas has little effect on the optimal working  
10 fluids.

11 For CODW, the following conclusions are drawn:

12 • In this scenario, the heat capacity flowrate of the waste heat source has great  
13 influence on the system. The optimal working fluids depend on the waste heat  
14 conditions. Therefore, it is not possible to conclude about the optimal working fluid  
15 without knowing the conditions of the waste heat.

16 • For the case when flue gas compression heat in an LNG fired power plant is utilized  
17 as the heat source, the top 3 working fluids are R170, R143a and R290.

18 • There is still large exergy destruction in the LNG and ORC evaporators even for the  
19 top 3 working fluids. This indicates that working fluid screening can only improve the  
20 exergy efficiency to a limited extent, and new system configurations should be  
21 investigated simultaneously with working fluid selection in future research.

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## 7 Nomenclature

### Symbols

$mf$	Molar flow rate
$P$	Pressure
$Q$	Heat load
$T$	Temperature
$VF$	Vapor fraction
$x$	Independent variables
$\Omega$	Search space
$\eta$	Efficiency
$\Delta T$	Minimum heat transfer approach temperature
$T_0$	Ambient temperature

### Subscripts

$c$	Critical
$con$	Condensation/Condenser
$eva$	Evaporation/Evaporator

<i>in</i>	Inlet
<i>min</i>	Minimum
<i>net</i>	Net power output
<i>ng</i>	Natural gas
<i>out</i>	Outlet
<i>pre</i>	Precooler
<i>pump</i>	Pump
<i>sh</i>	Superheater
<i>tur</i>	Turbine
<i>t</i>	Target

#### Acronyms

AHV	Ambient air-based Heating Vaporizer
CODS	Combined ORC and DE with Seawater
CODW	Combined ORC and DE with Waste heat
DE	Direct Expansion
GWP	Global Warming Potential
IFV	Intermediate Fluid Vaporizer
LMTD	Logarithmic Mean Temperature Difference
LNG	Liquefied Natural Gas
ODP	Ozone Depletion Potential
ORC	Organic Rankine Cycle
ORV	Open Rack Vaporizer
PSO	Particle Swarm Optimization

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