

1 **The Fear Avoidance Beliefs Questionnaire (FABQ): does it really measure**
2 **fear beliefs?**

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46

1 **Abstract**

2 **Study Design:** A cohort study with 12 months of follow-up.

3 **Objective:** To assess 1) the unidimensionality of the Fear-Avoidance Beliefs Questionnaire
4 (FABQ) and 2) whether single questions in the FABQ predict future sickness absence as well
5 as the whole scale.

6 **Summary of the Background Data:** The fear-avoidance model is a leading model in
7 describing the link between musculoskeletal pain and chronic disability. However, reported
8 measurement properties have been inconsistent regarding the FABQ.

9 **Methods:** Individuals (n=722) sick listed due to musculoskeletal, unspecified or common
10 mental health disorders undergoing rehabilitation was included. A Rasch analysis was applied
11 to evaluate the measurement properties of FABQ and its two subscales (physical activity and
12 work). Linear regression was used to assess how well single items predicted future sickness
13 absence.

14 **Results:** The Rasch analysis did not support the FABQ or its two subscales representing a
15 unidimensional construct. The 7-point scoring of the items was far too fine meshed and in the
16 present population the data only supported a yes or no or a 3-point response option. The items
17 were invariant to age, whereas two of the items revealed gender differences. The item “I do
18 not think that I will be back to my normal work within 3 months” was the best predictor of
19 future sickness absence. Adding the item “I should not do my regular work with my present
20 pain” improved the prediction model slightly.

21 **Conclusions:** The FABQ is not a good measure of fear-avoidance beliefs about work or
22 physical activity, and the predictive property of the FABQ questionnaire is most likely related

23 to expectations rather than fear. Based on these results we do not recommend using the

24 FABQ to measure fear-avoidance beliefs.

25

26 **Keywords:** return to work, sick leave, musculoskeletal diseases, mental health. Rasch

27 analysis

28

1 **Introduction**

2 The fear-avoidance model is a leading model in describing the link between musculoskeletal
3 pain and chronic disability^{1,2}. Central in this model is fear that activity will aggravate pain¹.
4 Based on experiences of how physical activity affects their pain, patients develop fear-beliefs
5 about pain and its consequences, which may lead to avoidance of activities, inactivity and
6 reduced functioning^{2,3}.

7 The Fear-Avoidance Beliefs Questionnaire (FABQ) aims not only to measure fear-avoidance
8 beliefs, but also to identify patients who are at risk for long-term disability³. The FABQ,
9 although originally developed for low back pain, has later been evaluated for other
10 populations and is now widely used⁴⁻⁷. Several studies have showed that the FABQ,
11 particularly the work-subscale, is a good predictor of future work outcomes⁸⁻¹¹, and is thus
12 much used in the clinic and in research.

13 However, measurements properties of the FABQ have been inconsistent¹²⁻¹⁶. Conventional
14 factor analysis of the FABQ have supported a two-factor structure of physical activity and
15 work³. In contrast a study by Meroni et al.¹⁵, applying Rasch methodology, indicated that
16 neither of the four items comprising the physical activity subscale nor the seven items
17 comprising the work subscale of the FABQ, supported a underlying unidimensional
18 construct. Hence, the study did not support the questionnaire as a general measure of fear-
19 avoidance beliefs.¹⁵ Furthermore, their study indicated the 7-point Likert scaling of the items
20 was far too fine-meshed. The advantage of the Rasch approach, compared to conventional
21 factor analysis, is the lack of assumption of equal intervals of the scoring options and
22 parametric based statistics. In addition, the Rasch approach allows for evaluation of patients

23 and items on the same metrics, and items work in the same way when comparing different
24 sample groups^{17,18}.

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26 One of the questions in the FABQ regards expectations about length of sick leave (“I do not
27 think I will be back to my normal work within 3 months”). Expectations is one of the most
28 consistent predictors of return to work (RTW)¹⁹⁻²². If this question is the main predictor and
29 the remaining 10 questions in FABQ do not contribute substantially, this may explain the low
30 responsiveness of the questionnaire^{12,13}. Hence, the aims of this study were to assess the
31 unidimensionality of the FABQ using a Rasch analysis and to assess whether single questions
32 in the FABQ predict RTW as well as the whole scale.

33

34 **Materials and Methods**

35 **Study design**

36 A cohort study with 12 months of follow-up. Participants were individuals participating in
37 one of three randomized trials evaluating the effects of occupational rehabilitation programs
38 on RTW. The ARIS project compared outpatient work-focused rehabilitation to
39 multidisciplinary rehabilitation²³, while the Hysnes project compared two different inpatient
40 occupational rehabilitation programs to outpatient acceptance and commitment therapy (in
41 two randomized trials)²⁴. The studies were approved by the Regional Committee for Medical
42 and Health Research Ethics in Central Norway (No.: 2012/1241) and evaluated by South-East
43 Norway (S09024b 2009/1000).

44 **Participants**

45 Sick listed workers aged 18 to 60 years were recruited in all trials. The ARIS project
46 recruited patients referred for diagnostic assessment or multidisciplinary treatment for neck
47 and/or back pain at St Olavs Hospital and Oslo University Hospital. Participants had to be
48 employed or self-employed and sick listed from 1 to 12 months. In the Hysnes project,
49 potential participants were identified through the Norwegian Labor and Welfare Service.
50 Participants had to be sick listed from 2-12 months (at least 50% if graded sick leave) with a
51 diagnosis within the musculoskeletal (L), psychological (P) or general and unspecified (A)
52 chapters of ICPC-2 (International Classification of Primary Care, Second edition).

53 Common exclusion criteria for the two projects were serious somatic and psychological
54 disorders, specific disorders requiring specialized treatment, pregnancy and insufficient
55 Norwegian language skills to participate in the programs. For the ARIS project, further
56 exclusion criteria included legal labor dispute and DSM-V diagnosed mental disorders. In the
57 Hysnes project, alcohol and drug abuse and scheduled surgery within the next 6 months were
58 additional exclusion criteria.

59 **The rehabilitation programs**

60 The different programs have been described extensively^{23,24}. Briefly, the work-focused
61 program in the ARIS project consisted of a 5-6 days group-based multidisciplinary program
62 with focus on the RTW process and on reducing fear-avoidance beliefs about work. The
63 program included individual appointments with a caseworker and creating a RTW-plan. The
64 comparative arm consisted of a comprehensive multidisciplinary program consisting of both
65 cognitive behavioral therapy and exercise or a brief intervention focused on diagnostic
66 clarification and encouraging physical activity²³. In the Hysnes project, the inpatient,
67 multimodal groups-based programs consisted of acceptance and commitment therapy,
68 exercise, work-related problem solving and creating a RTW-plan. One program lasted 3.5

69 weeks and the other 4+4 days (with two weeks at home in-between). The comparative arm in
70 both these trials were outpatient acceptance and commitment therapy. The participants in this
71 intervention were offered 2.5 hour-long group sessions once a week during six weeks; one
72 group session with psychoeducation on physical activity, 2 individual sessions with a social
73 worker; and a short individual closing session with the group therapist (a psychologist or a
74 medical doctor)²⁴.

75 **Questionnaires**

76 Self-reported fear-avoidance beliefs were recorded using the FABQ³ at inclusion in all trials.
77 The FABQ consists of two subscales: 1) a 7-item work subscale (FABQ-W, range 0-42), and
78 2) a 4-item physical activity subscale (FABQ-P, range 0-24). Each item on the two subscales
79 is scored on an ordinal 7-point Likert-type scale. In the Hysnes project the questionnaire was
80 modified, to make the questionnaire usable for participants with other complaints than back
81 pain: “complaints” replaced “pain” and “body” replaced “back”.

82 Other variables registered by questionnaires at inclusion were anxiety and depression
83 symptoms (measured using The Hospital Anxiety and Depression scale (HADS)²⁵), pain level
84 and level of education.

85

86 **Sick leave register data**

87 Sick leave was measured using data from the Norwegian Labor and Welfare Service, where
88 all individuals receiving any form of sickness absence or disability benefits in Norway are
89 registered. The data consisted of all registered medical benefits individually traceable for
90 each participant by their social security number. Number of sickness absence days was

91 measured as the number of days receiving medical benefits during 12-months of follow-up
92 after inclusion (adjusted for graded sick leave).

93

94 **Statistical analysis**

95 A Rasch analysis²⁶, the partial credit model²⁷, was applied to evaluate the measurement
96 properties of FABQ and its two subscales FABQ-P and FABQ-W. All items originally
97 scored on a 7-point scale were analyzed regarding the thresholds between the scoring
98 points/levels. If the threshold were disordered, i.e. the score levels did not separate the level
99 of the underlying construct, the responses were rescored. Local dependency of the items was
100 evaluated using a correlation analysis of the residuals of the items. A coefficient of 0.2 was
101 chosen as the threshold value to indicate that the responses to two items were dependent on
102 each other²⁸.

103 Fit to the Rasch model was investigated for the items and individual participants and by a
104 final summary fit for all 11 items in FABQ and for each of the two subscales. The fit of the
105 items was statistically evaluated using standardized residuals and Chi square statistics
106 according to the weighted maximal likelihood method with residuals $< \pm 2.5$ and a non-
107 significant Chi-square probability accepted as fit to Rasch Model. The overall summary fit of
108 FABQ and the subscales was evaluated using the Chi square item trait interaction statistics
109 (X^2). The probability level of 0.05 chosen with Bonferroni adjustment for four items in the
110 FABQ-P and seven items in FABQ-W. A non-significant probability value indicates a fit to
111 the Rasch model²⁹.

112 Invariance across age (dichotomized into groups below and above the median age of 43
113 years), gender and Hysnes/ARIS project was examined using a Differential Item Functioning

114 (DIF) analysis. A DIF is assessed by an analysis of variance for each item, comparing the
115 scores across each level of gender and age³⁰. The Rasch analysis were performed in RUMM
116 2030 (RUMM laboratory, Perth, Australia).

117 Linear regression and adjusted R^2 were used to compare how well single items predicted
118 future sickness absence compared to the FABQ subscales. Only participants with no missing
119 data on the FABQ were included in these analyses. The following models were compared: 1)
120 including the two subscales, separately, 2) including the different FABQ single items
121 separately and 3) adding the single items one at the time, successively according to their
122 explained variance (adjusted R^2). All the FABQ measures were included as continuous
123 variables. The analyses were adjusted for age, gender, education and project (i.e. ARIS and
124 Hysnes). Age was included as a continuous variable. Education was dichotomized as high
125 (college/university) or low. In a sensitivity analysis, the analyses were stratified by project
126 (Hysnes and ARIS). The linear regression analyses were done using STATA 14 (StataCorp.
127 2015. Stata Statistical Software: Release 14. College Station, TX: StataCorp LP).

128

129 **Results**

130 A total of 722 participants answered the FABQ (the ARIS project $n=398$; the Hysnes project
131 $n=324$) and were included in the study (table 1). The mean age was 43 years old (SD 10) and
132 61% were women. The mean FABQ-W score was 25.0 (SD 11.1) and the mean FABQ-P
133 11.6 (SD 6.6). Median number of sickness absence days during 12 months of follow-up was
134 147 (interquartile range 66-269).

135

136

TABLE 1 ABOUT HERE

137

138 The Rasch analysis

139 The Rasch analysis revealed disordered thresholds in all items (table 2), and only 1-2
140 thresholds were detectable for each of the items. The revised scoring options with 2-3 points
141 are given for all items (table 2).

142

143

TABLE 2 ABOUT HERE

144

145 The 11 items of FABQ did not fit the Rasch model despite rescaling all the items with
146 disordered thresholds ($\chi^2 = 274.46$, $p < 0.001$). The subscales of FABQ-P and FABQ-W were
147 subsequently analyzed separately with none of them fitting the Rasch model. The FABQ-P
148 ($\chi^2 = 141.10$, $p < 0.001$) revealed 3 out of 4 items not fitting the Rasch model whereas the
149 FABQ-W subscale ($\chi^2 = 241.07$, $p < 0.001$) revealed 3 out of 7 items not fitting (table 2).
150 Deleting these items and running the Rasch analysis with 4 items (5, 7, 9 and 10), provided
151 low power of analysis as well as indicating additional misfit of item 9 and 10. Item 3 and 4 in
152 the FABQ-P subscale and item 6 and 8 in the FABQ-W subscale showed local dependency
153 with residual correlations above 0.2, and there were in total 16 negative residual correlations,
154 all confined to the FABQ-P subscale. All items revealed invariance to age, but DIF by gender
155 was found for item 2 and 9. DIF was identified by the ARIS/Hysnes project in item 2, 9 and
156 11. Hence, the Rasch analysis was conducted separately for the ARIS ($n=398$) and Hysnes

157 (n=324) without identifying items fitting the FABQ total scale or its subscales FABQ-P and
158 FABQ-W and with similar results.

159

160 **The Linear Regression Analyses**

161 Two models containing single items (Q10 and Q11) from the questionnaire showed greater
162 explained variance in future sickness absence days than the other items and the FABQ
163 subscales (table 3). The model including the question “I do not think that I will be back to my
164 normal work within 3 months” (Q11) had the highest adjusted R^2 (0.116), closely followed by
165 the question “I should not do my normal work with my present pain” (Q10) ($R^2=0.115$). The
166 model including the whole FABQ-W subscale was slightly poorer with an adjusted R^2 of
167 0.111.

168 Combining the two questions with the highest adjusted R^2 in the same model provided greater
169 explained variance ($R^2=0.150$) than including the items separately. Adding more items only
170 negligibly increased the explained variance (table 3). The sensitivity analyses stratified for
171 project showed in general larger explained variances for the Hysnes project than ARIS, but
172 the conclusions did not change (results not shown).

173

174 TABLE 3 ABOUT HERE

175

176 **Discussion**

177 Based on the results of the Rasch analysis, the FABQ does not represent a unidimensional
178 construct, neither do the FABQ-P- nor the FABQ-W subscale. Two of the single items
179 explained more variance in future sickness absence than the subscales. The item “I do not
180 think that I will be back to my normal work within 3 months,” explained most of the variance
181 in future sickness absence. The model was only slightly improved by adding the item “I
182 should not do my regular work with my present pain”.

183

184 The lack of fit of FABQ to the Rasch model in this study is in line with a previous Rasch
185 analysis by Meroni et al.¹⁵, on the Italian version of FABQ for patients with low back pain.
186 They also found that the FABQ does not represent a unidimensional construct, but rather
187 multidimensional constructs. The results of the present study corroborate these results in a
188 broader target population, including participants with both musculoskeletal complaints and
189 mental health problems. More recent studies based on conventional factor analysis also do
190 not support a two-factor structure of FABQ^{6,31,32}. From a measurement point of view, there
191 are several challenges with the FABQ. Invariance of a measurement to demographic
192 characteristics of a population is necessary to provide a valid sum score across these
193 factors^{33,34}. FABQ was invariant to age, whereas two of the items revealed gender
194 differences. Invariance can be overcome by splitting the item and may not necessarily be
195 replicated in another population sample. A larger problem for FABQ was overlapping content
196 of items which contributed to lack of fit to the Rasch model³⁵. Item 3 “I should not do
197 physical activities which make my pain worse” and item 4 “I cannot do physical activities
198 which make my pain worse” in the FABQ-P subscale had a residual correlation above 0.2
199 indicating overlap in content of these two items. The same problem was revealed for item 6
200 “My work aggravated my pain” and item 8 “My work makes or would make my pain worse”

201 in the FABQ-W subscale. These overlaps in content may not be surprising, given the wording
202 of these items. In addition, to contribute to misfit to the Rasch model, overlap between items
203 reduces the variance in the measurement³⁶. Furthermore, the 7-point scoring of the items was
204 far too fine meshed. At least the data from the present population only supported a yes or no
205 or a 3-point response option. These results may explain why previous studies have found low
206 responsiveness for the FABQ^{12,13}.

207

208 The findings of this study suggest the FABQ is not a suitable questionnaire for measuring
209 fear avoidance beliefs. As the Tampa scale for kinesiophobia has been shown to capture a
210 unidimensional construct³⁷, it probably is a better choice regarding measurement properties.
211 However, there is an ongoing debate for both measurements regarding which factors on the
212 fear anxiety spectrum they capture^{16,38}. On the other hand, none of them seems to correlate
213 with more objective pain response measurements³⁹.

214 The question exhibiting the largest explained variance for future sickness absence was the
215 question “I do not think that I will be back to my normal work within 3 months”. This is not
216 surprising, as expectations repeatedly has been shown to predict future sick leave¹⁹⁻²².
217 However, this might suggest that the predictive properties of the FABQ is not related to fear,
218 but rather to expectations. The question with the second largest explained variance was “I
219 should not do my normal work with my present pain”. This question is more in line with the
220 fear avoidance belief model.

221 The main strengths of this study is the large sample size and the use of registry data for sick
222 leave measurements, ensuring no recall bias or loss to follow-up. A limitation in this study is
223 the use of a modified version of the FABQ questionnaire in one of the projects (Hysnes).

224 However, the performed sensitivity analyses stratified for project did not change any
225 conclusions.

226 In summary, the FABQ does not represent a unidimensional construct for fear-avoidance
227 beliefs about work or physical activity. Two of the single items in the FABQ explain the most
228 variance in future sickness absence. One of these items is a question about the patient's
229 expectations about RTW, i.e. the predictive property of the FABQ questionnaire is most
230 likely related to expectations rather than fear. Based on these results, we do not recommend
231 using the FABQ to measure fear-avoidance beliefs.

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234 **Authors' contributions:** LA and GHM conceived the initial idea for this article. CR and SG
235 contributed to developing the idea. LA and CR performed the analyses. LA and CR wrote the
236 first draft of the article. All authors critically reviewed the manuscript and approved the
237 article.

238 **Conflict of Interest:** None declared.

239 **Ethical approval:** All procedures performed in studies involving human participants were in
240 accordance with the ethical standards of the institutional and/or national research committee
241 and with the 1964 Helsinki declaration and its later amendments or comparable ethical
242 standards. Informed consent was obtained from all individual participants included in the
243 study.

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