

1           **Heritability, selection, and the**  
2 **response to selection in the presence**  
3 **of phenotypic measurement error:**  
4 **effects, cures, and the role of**  
5 **repeated measurements**

6  
7           Quantitative genetic analyses require extensive measurements of phe-  
8 notypic traits, a task that is often not trivial, especially in wild pop-  
9 ulations. On top of instrumental measurement error, some traits may  
10 undergo transient (*i.e.* non-persistent) fluctuations that are biologically  
11 irrelevant for selection processes. These two sources of variability, which  
12 we denote here as measurement error in a broad sense, are possible causes  
13 for bias in the estimation of quantitative genetic parameters. We illus-  
14 trate how in a continuous trait transient effects with a classical measure-  
15 ment error structure may bias estimates of heritability, selection gradi-  
16 ents, and the predicted response to selection. We propose strategies to  
17 obtain unbiased estimates with the help of repeated measurements taken  
18 at an appropriate temporal scale. However, the fact that in quantitative  
19 genetic analyses repeated measurements are also used to isolate perma-  
20 nent environmental instead of transient effects, requires a re-assessment  
21 of the information content of repeated measurements. To do so, we  
22 propose to distinguish “short-term” from “long-term” repeats, where the  
23 former capture transient variability and the latter the permanent effects.  
24 We show how the inclusion of the corresponding variance components in  
25 quantitative genetic models yields unbiased estimates of all quantities of  
26 interest, and we illustrate the application of the method to data from a  
27 Swiss snow vole population.

28 **Keywords:** animal model, breeder's equation, error variance, permanent envi-  
29 ronmental effects, quantitative genetics, Robertson-Price identity.

## 30 Introduction

31 Quantitative genetic methods have become increasingly popular for the study of  
32 natural populations in the last decades, and they now provide powerful tools to in-  
33 vestigate the inheritance of characters, and to understand and predict evolutionary  
34 change of phenotypic traits (Falconer and Mackay, 1996; Lynch and Walsh, 1998;  
35 Charmantier et al., 2014). At its core, quantitative genetics is a statistical approach  
36 that decomposes the observed phenotype  $P$  into the sum of additive genetic effects  $A$   
37 and a residual component  $R$ , so that  $P = A + R$ . For simplicity, non-additive genetic  
38 effects, such as dominance and epistatic effects, are ignored throughout this paper,  
39 thus the residual component can be thought of as the sum of all environmental ef-  
40 fects. This basic model can be extended in various ways (Falconer and Mackay, 1996;  
41 Lynch and Walsh, 1998), with one of the most common being  $P = A + PE + R$ , where  
42  $PE$  captures *dependent* effects, the so-called *permanent environmental effects*, while  
43  $R$  captures the residual, *independent* variance that remains unexplained. Permanent  
44 environmental effects are stable differences among individuals above and beyond the  
45 permanent differences due to additive genetic effects. In repeated measurements of  
46 an individual, these effects create within-individual covariation. To prevent inflated  
47 estimates of additive genetic variance, these effects must therefore be modeled and  
48 estimated (Lynch and Walsh, 1998; Kruuk, 2004; Wilson et al., 2010).

49 This quantitative genetic decomposition of phenotypes is not possible at the in-  
50 dividual level in non-clonal organisms, but under the crucial assumption of inde-  
51 pendence of genetic, permanent environmental, and residual effects, the phenotypic  
52 variance at the population level can be decomposed into the respective variance  
53 components as  $\sigma_P^2 = \sigma_A^2 + \sigma_{PE}^2 + \sigma_R^2$ . These variance components can then be used  
54 to understand and predict evolutionary change of phenotypic traits. For example,  
55 the additive genetic variance ( $\sigma_A^2$ ) can be used to predict the response to selection  
56 using the breeder's equation. It predicts the response to selection  $R_{BE}$  of a trait  $\mathbf{z}$   
57 (bold face notation denotes vectors) from the product of the heritability ( $h^2$ ) of the  
58 trait and the strength of selection ( $S$ ) as

$$R_{BE} = h^2 \cdot S \quad (1)$$

59 (Lush, 1937; Falconer and Mackay, 1996), where  $h^2$  is the proportion of additive  
60 genetic to total phenotypic variance

$$h^2 = \frac{\sigma_A^2}{\sigma_P^2} \quad , \quad (2)$$

61 and  $S$  is the selection differential, defined as the mean phenotypic difference between  
62 selected individuals and the population mean or, equivalently, the phenotypic covari-

63 ance  $\sigma_p(\mathbf{z}, \mathbf{w})$  between the trait ( $\mathbf{z}$ ) and relative fitness ( $\mathbf{w}$ ). Besides the breeder's  
 64 equation, evolution can be predicted using the secondary theorem of selection, ac-  
 65 cording to which evolutionary change is equal to the additive genetic covariance of  
 66 a trait with relative fitness, that is,

$$R_{STS} = \sigma_a(\mathbf{z}, \mathbf{w}) \quad (3)$$

67 (Robertson, 1966; Price, 1970). Morrissey et al. (2010) and Morrissey et al. (2012)  
 68 discuss the differences between the breeder's equation and the secondary theorem of  
 69 selection in detail. A major difference is that in contrast to  $R_{BE}$ ,  $R_{STS}$  only estimates  
 70 the population evolutionary trajectory, but does not measure the role of selection in  
 71 shaping this evolutionary change.

72 One measure of the role of selection is the selection gradient, which quantifies the  
 73 strength of natural selection on a trait. For a normally distributed trait ( $\mathbf{z}$ ), it is  
 74 given as the slope  $\beta_z$  of the linear regression of relative fitness on a phenotypic trait  
 75 (Lande and Arnold, 1983), that is,

$$\beta_z = \frac{\sigma_p(\mathbf{z}, \mathbf{w})}{\sigma_p^2(\mathbf{z})}, \quad (4)$$

76 where  $\sigma_p^2(\mathbf{z})$  denotes the phenotypic variance of the trait, for which we only write  
 77  $\sigma_p^2$  when there is no ambiguity about what trait the phenotypic variance refers to.

78 The reliable estimation of the parameters of interest ( $h^2$ ,  $\sigma_p(\mathbf{z}, \mathbf{w})$ ,  $\sigma_a(\mathbf{z}, \mathbf{w})$  and  
 79  $\beta_z$ ) and the successful prediction of evolution as  $R_{BE}$  or  $R_{STS}$ , require large amounts  
 80 of data, often collected across multiple generations and with known relationships  
 81 among individuals in the data set. For many phenotypic traits of interest, data  
 82 collection is often not trivial, and multiple sources of error, such as phenotypic mea-  
 83 surement error, pedigree errors (wrong relationships among individuals), or non-  
 84 randomly missing data may affect the parameter estimates. Several studies have  
 85 discussed and addressed pedigree errors (*e.g.* Keller et al., 2001; Griffith et al.,  
 86 2002; Senneke et al., 2004; Charmantier and Reale, 2005; Hadfield, 2008) and prob-  
 87 lems arising from missing data (*e.g.* Steinsland et al., 2014; Wolak and Reid, 2017).  
 88 In contrast, although known for a long time (*e.g.* Price and Boag, 1987), the ef-  
 89 fects of phenotypic measurement error on estimates of (co-)variance components  
 90 have received less attention (but see *e.g.* Hoffmann, 2000; Dohm, 2002; Macgregor  
 91 et al., 2006; van der Sluis et al., 2010; Ge et al., 2017). In particular, general solu-  
 92 tions to obtaining unbiased estimates of (co-)variance parameters in the presence of  
 93 phenotypic measurement error are lacking.

94 In the simplest case, and the case considered here, phenotypic measurement error  
 95 is assumed to be independent and additive, that is, instead of the actual phenotype

$$\mathbf{z}^* = \mathbf{z} + \mathbf{e}, \quad \mathbf{e} \sim \mathbf{N}(\mathbf{0}, \sigma_{e_m}^2 \mathbf{I}) \quad (5)$$

is measured, where  $\mathbf{e}$  denotes an error term with independent correlation structure  $\mathbf{I}$  and error variance  $\sigma_{e_m}^2$  (see p.121 Lynch and Walsh, 1998). As a consequence, the *observed* phenotypic variance of the measured values is  $\sigma_p^2(\mathbf{z}^*) = \sigma_p^2(\mathbf{z}) + \sigma_{e_m}^2$ , and thus larger than the *actual* phenotypic variance. The error variance  $\sigma_{e_m}^2$  thus must be disentangled from  $\sigma_p^2(\mathbf{z})$  to obtain unbiased estimates of quantitative genetic parameters. However, most existing methods for continuous trait analyses that acknowledged measurement error have modeled it as part of the residual component, and thus implicitly as part of the total phenotypic value (*e.g.* Dohm, 2002; Macgregor et al., 2006; van der Sluis et al., 2010). This means that in the decomposition of a phenotype  $P = A + PE + R$ , measurement error is absorbed in  $R$ , thus  $\sigma_{e_m}^2$  is absorbed by  $\sigma_R^2$ . This practice effectively *downwardly* biases measures that are proportions of the phenotypic variance, in particular  $h^2$  and  $\beta_z$ . To see why, let us denote the biased measures as  $h_\star^2$  and  $\beta_z^\star$ . The biased version of heritability is then given as

$$h_\star^2 = \frac{\sigma_A^2}{\sigma_P^2 + \sigma_{e_m}^2} \leq \frac{\sigma_A^2}{\sigma_P^2}, \quad (6)$$

because under the assumption taken here that measurement error is independent of the actual trait value, measurement error is also independent of additive genetic differences and therefore leaves the estimate of the additive genetic variance  $\sigma_A^2$  unaffected. This was already pointed out *e.g.* by Lynch and Walsh (p.121, 1998) or Ge et al. (2017). Equation (6) directly illustrates that  $h_\star^2$  is attenuated by a factor  $\lambda = \sigma_P^2 / (\sigma_P^2 + \sigma_{e_m}^2)$ , denoted as reliability ratio (*e.g.* Carroll et al., 2006). Using the same argument, one can show that  $\beta_z^\star = \lambda \beta_z$ , but also  $R_{BE}^\star = \lambda R_{BE}$ , as will become clear later.

To obtain unbiased estimates of  $h^2$ ,  $\beta_z$ , or any other quantity that depends on unbiased estimates of  $\sigma_P^2$ , it is thus necessary to disentangle  $\sigma_{e_m}^2$  from the actual phenotypic variance  $\sigma_P^2$ , and particularly from its residual component  $\sigma_R^2$ . Importantly, however, purely mechanistic measurement imprecision is often not the only source of variation that may be considered irrelevant for the mechanisms of inheritance and selection in the system under study. Here, we therefore follow Ge et al. (2017) and use the term “transient effects” for the sum of measurement errors *plus* any biological short-term changes of the phenotype itself that are not considered relevant for the selection process, briefly denoted as “irrelevant fluctuations” of the actual trait.

As an example, if the trait is the mass of an adult animal, repeated measurements within the same day are expected to differ even in the absence of instrumental error,

130 simply because animals eat, drink and defecate (for an example of the magnitude  
131 of these effects see Keller and Van Noordwijk, 1993). Such short-term fluctuations  
132 might not be of interest for the study of evolutionary dynamics, if the fluctuations do  
133 not contribute to the selection process in a given population. Under the assumption  
134 that these fluctuations are additive and independent among each other and of the  
135 actual trait value, they are mathematically indistinguishable from pure measurement  
136 error. In the remainder of the paper, we therefore do not introduce a separate  
137 notation to discriminate between (mechanistic) measurement error and biological  
138 short-term fluctuations, but treat them as a single component ( $e$ ) with a total “error”  
139 variance  $\sigma_{e_m}^2$ . Consequently, we may sometimes refer to “measurement error” when  
140 in fact we mean transient effects as the sum of measurement error and transient  
141 fluctuations.

142 The aim of this article is to develop general methods to obtain unbiased estimates  
143 of heritability, selection, and response to selection in the presence of measurement  
144 error and irrelevant fluctuations of a trait, building on the work by Ge et al. (2017).  
145 We start by clarifying the meaning and information content of repeated phenotypic  
146 measurements on the same individual. The type of phenotypic trait we have in  
147 mind is a relatively plastic trait, such as milk production or an animal’s mass, which  
148 are expected to undergo changes across an individual’s lifespan that are relevant  
149 for selection. We show that repeated measures taken over different time intervals  
150 can help separate transient effects from more stable (permanent) environmental and  
151 genetic effects. We proceed to show that based on such a variance decomposition  
152 one can construct models that yield unbiased estimates of heritability, selection, and  
153 the response to selection. We illustrate these approaches with empirical quantitative  
154 trait analyses of body mass measurements taken in a population of snow voles in  
155 the Swiss alps (Bonnet et al., 2017).

## 156 **Material and methods**

### 157 **Short-term and long-term repeated measurements**

158 Table 1 gives an overview of how the different parameters considered here are (or  
159 are not) affected by the presence of measurement error. In order to retrieve unbi-  
160 ased estimates of all quantities given in Table 1, we must be able to appropriately  
161 model and estimate the measurement error variance  $\sigma_{e_m}^2$ , which can be achieved  
162 with repeated measurements. These repeated measurements must be taken in close  
163 temporal vicinity, that is, on a time scale where the focal trait is not actually un-  
164 dergoing any phenotypic changes that are relevant for selection. We introduce the  
165 notion of a *measurement session* for such *short-term* time intervals. In other words,

166 a measurement session can be defined as a sufficiently short period of time during  
 167 which the investigator is willing to assume that the residual component is constant.  
 168 On the other hand, measurements are often repeated across much longer periods of  
 169 time, such as months, seasons, or years, during which phenotypic change is not ex-  
 170 pected to be solely due to transient effects, and the resulting trait variation is often  
 171 relevant for selection. Thus, *long-term* repeats, taken across different measurement  
 172 sessions, help separating permanent environmental effects from residual components  
 173 (*e.g.* Wilson et al., 2010).

174 The distinction between short-term and long-term repeats, and thus the definition  
 175 of a measurement session, may not always be obvious or unique for a given trait.  
 176 In the introduction we employed the example of an animal’s mass that transiently  
 177 fluctuates within a day. Depending on the context, such fluctuations might not be  
 178 of interest, and the “actual” phenotypic value would correspond to the average daily  
 179 mass. A reasonable measurement session could then be a single day, and within-day  
 180 repeats can thus be used to estimate  $\sigma_{e_m}^2$ . If however *any* fluctuations in body mass  
 181 are of interest, irrespective of how persistent they are, much shorter measurement  
 182 sessions, such as seconds or minutes, would be appropriate to ensure that only the  
 183 purely mechanistic measurement error variance is represented by  $\sigma_{e_m}^2$ .

## 184 Repeated measurements in the animal model

185 In the following we show how measurement error can be incorporated in the key  
 186 tool of quantitative genetics, the *animal model*, a special type of (generalized) linear  
 187 mixed model, which is commonly used to decompose the phenotypic variance of a  
 188 trait into genetic and non-genetic components (Henderson, 1976; Lynch and Walsh,  
 189 1998; Kruuk, 2004).

190 Let us assume that phenotypic measurements of a trait are blurred by measure-  
 191 ment error following model (5), and that measurements have been taken both across  
 192 and within multiple measurement sessions, as indicated in Figure 1a. Denoting by  
 193  $z_{ijk}^*$  the  $k^{\text{th}}$  measurement of individual  $i$  in session  $j$ , it is possible to fit a model that  
 194 decomposes the trait value as

$$z_{ijk}^* = \mu + \mathbf{x}_{ijk}^\top \boldsymbol{\beta} + a_i + id_i + R_{ij} + e_{ijk} , \quad (7)$$

195 where  $\mu$  is the population intercept,  $\boldsymbol{\beta}$  is a vector of fixed effects and  $\mathbf{x}_{ijk}$  is the vector  
 196 of covariates for measurement  $k$  in session  $j$  of animal  $i$ . The remaining components  
 197 are the random effects, namely the breeding value  $a_i$  with dependency structure  
 198  $(a_1, \dots, a_n)^T \sim \mathbf{N}(\mathbf{0}, \sigma_A^2 \mathbf{A})$ , an independent, animal-specific permanent environmen-  
 199 tal effect  $id_i \sim \mathbf{N}(0, \sigma_{PE}^2)$ , an independent Gaussian residual term  $R_{ij} \sim \mathbf{N}(0, \sigma_R^2)$ ,  
 200 and an independent error term  $e_{ijk} \sim \mathbf{N}(0, \sigma_{e_m}^2)$  that absorbs any transient effects

201 captured by the within-session repeats. The dependency structure of the breeding  
 202 values  $a_i$  is encoded by the additive genetic relatedness matrix  $\mathbf{A}$  (Lynch and Walsh,  
 203 1998), which is traditionally derived from a pedigree, but can alternatively be cal-  
 204 culated from genomic data (Meuwissen et al., 2001; Hill, 2014). The model can be  
 205 further expanded to include more fixed or random effects, such as maternal, nest or  
 206 time effects, but we omit such terms here without loss of generality. Importantly,  
 207 model (7) does not require that all individuals have repeated measurements in each  
 208 session in order to obtain an unbiased estimate of the variance components in the  
 209 presence of measurement error. In fact, even if there are, on average, fewer than  
 210 two repeated measurements per individual within sessions, it may be possible to  
 211 separate the error variance from the residual variance, as long as the total number  
 212 of within-session repeats over all individuals is reasonably large. We will in the  
 213 following refer to model (7) as the “error-aware” model.

214 If, however, a trait has not been measured across different time scales (*i.e.* either  
 215 only within or only across measurement sessions), not all variance components are  
 216 estimable. In the first case, when repeats are only taken within a single measurement  
 217 session for each individual, as depicted in Figure 1b, an error term can be included  
 218 in the model, but a permanent environmental effect cannot. The model must then  
 219 be reduced to

$$z_{ik}^* = \mu + \mathbf{x}_{ik}^\top \boldsymbol{\beta} + a_i + R_i + e_{ik} , \quad (8)$$

220 thus it is possible to estimate the error variance  $\sigma_{e_m}^2$  and to obtain unbiased esti-  
 221 mates of  $\sigma_A^2$  and  $h^2$ , while the residual variance  $\sigma_R^2$  then also contains the permanent  
 222 environmental variance. In the second case, when repeated measurements are only  
 223 available from across different measurement sessions, as illustrated in Figure 1c, the  
 224 error variance cannot be estimated. Instead, an animal-specific permanent environ-  
 225 mental effect can be added to the model, which is then given as

$$z_{ij}^* = \mu + \mathbf{x}_{ij}^\top \boldsymbol{\beta} + a_i + id_i + R_{ij} \quad (9)$$

226 for the measurement in session  $j$  for individual  $i$ . Interestingly, this last model mir-  
 227 rors the types of repeats that motivated quantitative geneticists to isolate  $\sigma_{PE}^2$ , which  
 228 may otherwise be confounded not only with  $\sigma_R^2$ , but also with  $\sigma_A^2$ . This occurs be-  
 229 cause the repeated measurements across sessions induce an increased within-animal  
 230 correlation (*i.e.* a similarity) that may be absorbed by  $\sigma_A^2$  if not modeled appropri-  
 231 ately (Kruuk and Hadfield, 2007; Wilson et al., 2010).



232 **Measurement error and selection**

233 Selection occurs when a trait is correlated with fitness, such that variations in the  
 234 trait values lead to predictable variations among the same individuals in fitness.  
 235 The leading approach for measuring the strength of directional selection is the one  
 236 developed by Lande and Arnold (1983), who proposed to estimate the selection  
 237 gradient  $\beta_z$  as the slope of the regression of relative fitness  $\mathbf{w}$  on the phenotypic  
 238 trait  $\mathbf{z}$

$$\mathbf{w} = \alpha + \beta_z \cdot \mathbf{z} + \boldsymbol{\epsilon} , \tag{10}$$

239 with intercept  $\alpha$  and residual error vector  $\boldsymbol{\epsilon}$ . This model can be further extended to  
 240 account for covariates, such as sex or age. If the phenotype  $\mathbf{z}$  is measured with error  
 241 (which may again encompass any irrelevant fluctuations), such that the observed  
 242 value is  $\mathbf{z}^* = \mathbf{z} + \mathbf{e}$  with error variance  $\sigma_{e_m}^2$  as in (5), the regression of  $\mathbf{w}$  against  
 243  $\mathbf{z}^*$  leads to an attenuated version of  $\beta_z$  (Mitchell-Olds and Shaw, 1987; Fuller, 1987;  
 244 Carroll et al., 2006). Using that  $\hat{\beta}_z = \frac{\sigma_p(\mathbf{z}, \mathbf{w})}{\sigma_p^2(\mathbf{z})}$ ,  $\sigma_p^2(\mathbf{z}^*) = \sigma_p^2(\mathbf{z}) + \sigma_{e_m}^2$ , and the  
 245 assumption that the error in  $\mathbf{z}^*$  is independent of  $\mathbf{w}$ , simple calculations show that  
 246 the error-prone estimate of selection is

$$\hat{\beta}_z^* = \frac{\sigma_p(\mathbf{z}^*, \mathbf{w})}{\sigma_p^2(\mathbf{z}^*)} = \frac{\sigma_p(\mathbf{z}, \mathbf{w})}{\sigma_p^2(\mathbf{z}) + \sigma_{e_m}^2} \leq \hat{\beta}_z .$$

247 Hence, the quantity that is estimated is  $\beta_z^* = \lambda \beta_z$  with  $\lambda = \sigma_p^2(\mathbf{z}) / (\sigma_p^2(\mathbf{z}) + \sigma_{e_m}^2)$ ,  
 248 thus  $\beta_z$  suffers from exactly the same bias as the estimate of heritability (see again  
 249 Table 1). To obtain an unbiased estimate of selection it may thus often be necessary  
 250 to account for the error by a suitable error model. Such error-aware model must  
 251 rely on the same type of short-term repeated measurements as those used in (7) or  
 252 (8), but with the additional complication that  $\mathbf{z}$  is now a covariate in a regression  
 253 model, and no longer the response. In order to estimate an unbiased version of  $\beta_z$   
 254 we therefore rely on the interpretation as an error-in-variables problem for classical  
 255 measurement error (Fuller, 1987; Carroll et al., 2006). To this end, we propose to  
 256 formulate a *Bayesian hierarchical model*, because this formulation, together with the  
 257 possibility to include prior knowledge, provides a flexible way to model measurement  
 258 error (Stephens and Dellaportas, 1992; Richardson and Gilks, 1993). To obtain an  
 259 error-aware model that accounts for error in selection gradients, we need a three-  
 260 level hierarchical model: The first level is the regression model for selection, and  
 261 the second level is given by the error model of the observed covariate  $\mathbf{z}^*$  given its  
 262 true value  $\mathbf{z}$ . Third, a so-called *exposure model* for the unobserved (true) trait value  
 263 is required to inform the model about the distribution of  $\mathbf{z}$ , and it seems natural  
 264 to employ the animal model (9) for this purpose. Again using the notation for an  
 265 individual  $i$  measured in different sessions  $j$  and with repeats  $k$  within sessions, the

266 formulation of the three-level hierarchical model is given as

$$w_{ij} = \alpha + \beta_z z_{ij} + \mathbf{x}_{ij}^\top \boldsymbol{\beta} + \epsilon_{ij} , \quad \epsilon_{ij} \sim \mathbf{N}(0, \sigma_\epsilon^2) \quad \text{Selection model} \quad (11)$$

$$z_{ijk}^* = z_{ij} + e_{ijk} , \quad e_{ijk} \sim \mathbf{N}(0, \sigma_{e_m}^2) \quad \text{Error model} \quad (12)$$

$$z_{ij} = \mu + \mathbf{x}_{ij}^\top \boldsymbol{\beta} + a_i + id_i + R_{ij} , \quad R_{ij} \sim \mathbf{N}(0, \sigma_R^2) \quad \text{Exposure model} \quad (13)$$

267 where  $w_{ij}$  is the measurement of relative fitness for individual  $i$ , usually taken only  
 268 once per individual and having the same value for all measurement sessions  $j$ ,  $\boldsymbol{\beta}$  is  
 269 a vector of fixed effects,  $\mathbf{x}_{ij}$  is the vector of covariates for animal  $i$  in measurement  
 270 session  $j$ ,  $\beta_z$  is the selection gradient, and  $\alpha$  and  $\epsilon_{ij}$  are respectively the intercept  
 271 and the independent residual term from the linear regression model. The classical  
 272 independent measurement error term is given by  $e_{ijk}$ . This formulation as a hier-  
 273 archical model gives an unbiased estimate of the selection gradient  $\beta_z$ , because the  
 274 lower levels of the model properly account for the error in  $\mathbf{z}$  by explicitly modelling  
 275 it. It might be helpful to see that the second and third levels are just a hierarchical  
 276 representation of model (7). Model (11)-(13) can be fitted in a Bayesian setup, see  
 277 for instance Muff et al. (2015) for a description of the implementation in INLA (Rue  
 278 et al., 2009) via its R interface R-INLA.

279 Note that model (11) is formulated here for directional selection. Although the  
 280 explicit discussion of alternative selection mechanisms, such as stabilizing or disrup-  
 281 tive selection, is beyond the scope of the present paper, we note that error modelling  
 282 for these cases is straightforward: The only change is that the linear selection model  
 283 (11) is replaced by the appropriate alternative, for example by including quadratic  
 284 or any other kind of non-linear terms (*e.g.* Fisher, 1930; Lande and Arnold, 1983).  
 285 Moreover, (11) can be replaced by any other regression model, for example by one  
 286 that accounts for non-normality of fitness (see *e.g.* Morrissey and Sakrejda, 2013;  
 287 Morrissey and Goudie, 2016). Similarly, it is conceptually straightforward to replace  
 288 the Gaussian error and exposure models, if there is reason to believe that the normal  
 289 assumptions for the error term  $e_{ijk}$  or the residual term  $R_{ij}$  are unrealistic, for ex-  
 290 ample if  $\mathbf{z}$  is a count or a binary variable. In fact, equation (10) to estimate selection  
 291 does not actually assume a specific distribution for  $\mathbf{z}$ , however the interpretation of  
 292  $\beta_z$  as a directional selection gradient to predict evolutionary change may be lost for  
 293 non-Gaussian traits (Lande and Arnold, 1983). Finally and importantly, although  
 294 multivariate selection is not covered in the present paper, it is possible to extend  
 295 the hierarchical model (11)-(13) to the multivariate case.

296 **Measurement error and the response to selection**

297 **The breeder's equation**

298 Evolutionary response to a selection process on a phenotypic trait can be predicted  
 299 either by the breeder's equation (1) or by the Robertson-Price identity (3), and these  
 300 two approaches are equivalent only when the respective trait value (in the univariate  
 301 model) is the sole causal factor affecting fitness (Morrissey et al., 2010, 2012). Even  
 302 if the breeder's equation is formulated for multiple traits, the implicit assumption  
 303 still is that *all* correlated traits causally related to fitness are included in the model.  
 304 Given that fitness is a complex trait that usually depends on many unmeasured  
 305 variables (Møller and Jennions, 2002; Peek et al., 2003), it is not surprising that  
 306 the breeder's equation is often not successful in predicting evolutionary change in  
 307 natural systems (Hadfield, 2008; Morrissey et al., 2010), in contrast to (artificial)  
 308 animal breeding situations, where, thanks to the control over the process, all the  
 309 traits affecting fitness are known and included in the models (Lush, 1937; Falconer  
 310 and Mackay, 1996; Roff, 2007).

311 To understand how transient effects affect the estimate of  $R_{BE} = h^2 \cdot S$ , we must  
 312 understand how the components  $h^2$  and  $S$  are affected. We have seen that  $h_x^2 = \lambda h^2$ .  
 313 On the other hand, the selection differential  $S^* = \sigma_p(\mathbf{z}^*, \mathbf{w})$  is an unbiased estimate  
 314 of  $\sigma_p(\mathbf{z}, \mathbf{w})$ , because under the assumption of independence of the error vector  $\mathbf{e}$  and  
 315 fitness  $\mathbf{w}$ ,

$$\sigma_p(\mathbf{z}^*, \mathbf{w}) = \sigma_p(\mathbf{z} + \mathbf{e}, \mathbf{w}) = \sigma_p(\mathbf{z}, \mathbf{w}) + \underbrace{\sigma_p(\mathbf{e}, \mathbf{w})}_{=0} = \sigma_p(\mathbf{z}, \mathbf{w}) . \quad (14)$$

316 Consequently, the bias in  $h_x^2$  directly propagates to the estimated response to selec-  
 317 tion, that is,  $R_{BE}^* = \lambda R_{BE}$  (Table 1).

318 **The Robertson-Price identity**

319 Response to selection can also be predicted using the secondary theorem of selec-  
 320 tion. Specifically, the additive genetic covariance of the relative fitness  $\mathbf{w}$  and the  
 321 phenotypic trait  $\mathbf{z}$ ,  $\sigma_a(\mathbf{w}, \mathbf{z})$  can be estimated from a bivariate animal model. If  
 322 interest centers around the evolutionary response of a single trait, the model for the  
 323 response vector including the (error-prone) trait values  $\mathbf{z}^*$  and relative fitness values  
 324  $\mathbf{w}$  is bivariate with

$$\begin{bmatrix} \mathbf{z}^* \\ \mathbf{w} \end{bmatrix} = \boldsymbol{\mu} + \mathbf{X}\boldsymbol{\beta} + \mathbf{D}\mathbf{a} + \mathbf{Z}\mathbf{r} , \quad (15)$$

325 where  $\boldsymbol{\mu}$  is the intercept vector,  $\boldsymbol{\beta}$  the vector of fixed effects,  $\mathbf{X}$  the corresponding  
 326 design matrix,  $\mathbf{D}$  is the design matrix for the breeding values  $\mathbf{a}$ , and  $\mathbf{Z}$  is a design

327 matrix for additional random terms  $\mathbf{r}$ . These may include environmental and/or  
 328 error terms, depending on the structure of the data, that may correspond to the  
 329 univariate cases of equations (7) - (9) or again to other random terms such as  
 330 maternal or nest effects. The actual component of interest is the vector of breeding  
 331 values, which is assumed multivariate normally distributed with

$$\mathbf{a} = \begin{bmatrix} \mathbf{a}(z^*) \\ \mathbf{a}(w) \end{bmatrix} \sim \mathbf{N} \left( \mathbf{0}, \begin{bmatrix} \sigma_a^2(z^*)\mathbf{A} & \sigma_a(\mathbf{w}, z^*)\mathbf{A} \\ \sigma_a(\mathbf{w}, z^*)\mathbf{A} & \sigma_a^2(\mathbf{w})\mathbf{A} \end{bmatrix} \right), \quad (16)$$

332 where  $\mathbf{a}(z^*)$  and  $\mathbf{a}(w)$  are the respective subvectors for the trait and fitness, and  $\mathbf{A}$   
 333 is the relationship matrix derived from the pedigree. An estimate of the additive  
 334 genetic covariance  $\sigma_a(\mathbf{w}, z^*)$  is extracted from this covariance matrix. An inter-  
 335 esting feature of the additive genetic covariance, and consequently estimates of the  
 336 response to selection using the STS, is that it is unbiased by independent error in the  
 337 phenotype. This can be seen by reiterating the exact same argument as in equation  
 338 (14), but replacing the phenotypic with the genetic covariance.

339 We confirmed all these theoretical expectations with a simulation study, where  
 340 we analysed the effects of measurement error on the estimates of interest by adding  
 341 error terms with different variances to the phenotypic traits. Details and results of  
 342 the simulations are given in Appendix 2, while the code for their implementation is  
 343 reported in Appendix 3.

### 344 **Example: Body mass of snow voles**

345 The empirical data we use here stem from a snow vole population that has been mon-  
 346 itored between 2006 and 2014 in the Swiss Alps (Bonnet et al., 2017). The genetic  
 347 pedigree is available for 937 voles, together with measurements on morphological  
 348 and life history traits. Thanks to the isolated location, it was possible to monitor  
 349 the whole population and to obtain high recapture probabilities ( $0.924 \pm 0.012$  for  
 350 adults and  $0.814 \pm 0.030$  for juveniles). Details of the study are given in Bonnet  
 351 et al. (2017).

352 Our analyses focused on the estimation of quantitative genetic parameters for the  
 353 animals' body mass (in grams). The dataset contained 3266 mass observations from  
 354 917 different voles across 9 years. Such measurements are expected to suffer from  
 355 classical measurement error, as they were taken with a spring scale, which is prone  
 356 to measurement error under field conditions. In addition, the actual mass of an  
 357 animal may contain irrelevant within-day fluctuations (eating, defecating, digestive  
 358 processes), but also unknown pregnancy conditions in females, which cannot reliably  
 359 be determined in the field. Repeated measurements were available, both recorded  
 360 within and across different seasons. In each season two to five "trapping sessions"

361 were conducted, which each lasted four consecutive nights. Although this definition  
362 of measurement session was based purely on operational aspects driven by the data  
363 collection process, we used this time interval to estimate  $\sigma_{e_m}^2$ . It is arguably possible  
364 that four days might be undesirably long, and that variability in such an interval  
365 includes more than purely transient effects, but the data did not allow for a finer  
366 time-resolution. However, to illustrate the importance of the measurement session  
367 length, we also repeated all analyses with measurement sessions defined as a calendar  
368 month, which is expected to identify a larger (and probably too high) proportion of  
369 variance as  $\sigma_{e_m}^2$ . The number of 4-day measurement sessions per individual was on  
370 average 3.02 (min = 1, max = 24) with 1.15 (min = 1, max = 3) number of short-  
371 term repeats on average, while there were 2.37 (min = 1, max = 13) one-month  
372 measurement sessions on average, with 1.41 (min = 1, max = 6) short-term repeats  
373 per measurement session.

### 374 Heritability

375 Bonnet et al. (2017) estimated heritability using an animal model with sex, age,  
376 Julian date (JD), squared Julian date and the two-way and three-way interactions  
377 among sex, age and Julian date as fixed effects. The inbreeding coefficient was in-  
378 cluded to avoid bias in the estimation of additive genetic variances (de Boer and  
379 Hoeschele, 1993). The breeding value ( $a_i$ ), the maternal identity ( $m_i$ ) and the per-  
380 manent environmental effect explained by the individual identity ( $id_i$ ) were included  
381 as individual-specific random effects.

382 If no distinction is made between short-term (within measurement session) and  
383 long-term (across measurement sessions) repeated measurements, the model that we  
384 denote as the *naive* model is given as

$$z_{ijk}^* = \mu + \mathbf{x}_{ijk}^\top \boldsymbol{\beta} + a_i + m_i + id_i + R_{ijk}, \quad (17)$$

385 where  $z_{ijk}^*$  is the mass of animal  $i$  in measurement session  $j$  for repeat  $k$ . This model  
386 is prone to underestimate heritability, because it does not separate the variance  $\sigma_{e_m}^2$   
387 from the residual variability, and  $\sigma_{e_m}^2$  is thus treated as part of the total phenotypic  
388 trait variability. To isolate the measurement error variance, the model expansion

$$z_{ijk}^* = \mu + \mathbf{x}_{ijk}^\top \boldsymbol{\beta} + a_i + m_i + id_i + R_{ij} + e_{ijk},$$

389 with  $R_{ij} \sim \text{N}(0, \sigma_R^2)$  and  $e_{ijk} \sim \text{N}(0, \sigma_{e_m}^2)$  leads to what we denote here as the  
390 *error-aware* model. Under the assumption that the length of a measurement session  
391 was defined in an appropriate way, and that the error obeys model (5), this model  
392 yields an unbiased estimate of  $h^2$ , calculated as  $\frac{\sigma_A^2}{\sigma_A^2 + \sigma_M^2 + \sigma_{PE}^2 + \sigma_R^2}$  (in agreement with

393 Bonnet et al., 2017), where  $\sigma_{e_m}^2$  is explicitly estimated and thus not included in  
394 the denominator. Both models were implemented in `MCMCglmm` and are reported  
395 in Appendix 4. Inverse gamma priors  $\text{IG}(0.01, 0.01)$ , parameterized with shape and  
396 rate parameters, were used for all variances in all models, while  $\text{N}(0, 10^{12})$  (*i.e.*  
397 default `MCMCglmm`) priors were given to the fixed effect parameters. Analyses were  
398 repeated with varying priors on  $\sigma_{e_m}^2$  for a sensitivity check, but results were very  
399 robust (results not shown).

## 400 Selection

401 Selection gradients were estimated from the regression of relative fitness ( $\mathbf{w}$ ) on body  
402 mass ( $\mathbf{z}^*$ ). Relative fitness was defined as the relative lifetime reproductive success  
403 (rLRS), calculated as the number of offspring over the lifetime of an individual,  
404 divided by the population mean LRS. The naive estimate of the selection gradient  
405 was obtained from a linear mixed model (*i.e.* treating rLRS as continuous trait),  
406 where body mass, sex and age were included as fixed effects, plus a cohort-specific  
407 random effect. The error-aware version of the selection gradient  $\beta_z$  was estimated  
408 using a three-layer hierarchical error model as in (11)-(13) that also included an  
409 additional random effect for cohort in the regression model. Sex and age were also  
410 included as fixed effects in the exposure model, plus breeding values, permanent  
411 environmental and a residual term as random effects. The hierarchical model used  
412 to estimate the error-aware  $\beta_z$  was implemented in `INLA` and is described in Appendix  
413 1, with R code given in Appendix 5. Again,  $\text{IG}(0.01, 0.01)$  priors were assigned to  
414 all variance components, while independent  $\text{N}(0, 10^2)$  priors were used for all slope  
415 parameters. Since rLRS is not actually a Gaussian trait,  $p$ -values and CIs of the  
416 estimate for  $\beta_z$  from the linear regression model are, however, incorrect. Although  
417 recent considerations indicate that selection gradients could directly be extracted  
418 from an overdispersed Poisson model (Morrissey and Goudie, 2016), we followed  
419 the original analysis of Bonnet et al. (2017) and extracted  $p$ -values from an over-  
420 dispersed Poisson regression model with absolute LRS as a count outcome, both  
421 for the (naive) model without error modelling *and* for the hierarchical error model,  
422 where the linear model (13) was replaced by an overdispersed Poisson regression  
423 model (see Appendices 1 and 5 for the model description and code for both models).

## 424 Response to selection

425 Response to selection on body mass was estimated with rLRS using the breeder's  
426 equation (1) and the secondary theorem of selection (3), both for the naive and  
427 the error-aware versions of the model. The naive and error-aware versions of  $R_{\text{BE}}$   
428 were estimated by substituting either the naive  $h_{\star}^2$  or the error-aware estimates of

429  $h^2$  into the breeder's equation, where the selection differential was calculated as  
430 the phenotypic covariance between mass and rLRS. On the other hand,  $R_{\text{STS}}$  was  
431 estimated from the bivariate animal model, implemented in `MCMCglmm` using the  
432 same fixed and random effects as those in equation (17). Again  $\text{IG}(0.01, 0.01)$  priors  
433 were used for the variance components. No residual component was included for the  
434 fitness trait, as suggested by Morrissey et al. (2012), and its error variance was fixed  
435 at 0, because no error modelling is required. Appendix 6 contains the respective R  
436 code.

## 437 Results

### 438 Heritability

439 As expected from theory (Table 1), transient effects in the measurements of body  
440 mass biased some, but not all, quantitative genetic estimates in our snow vole exam-  
441 ple (Table 2). The estimates and confidence intervals of the additive genetic variance  
442  $\sigma_A^2$ , as well as the permanent environmental variance  $\sigma_{PE}^2$  and the maternal variance  
443 (denoted as  $\sigma_M^2$ ) were only slightly corrected in the error-aware models. Residual  
444 variances, however, were much lower when measurement error was accounted for in  
445 the models. The measurement error model separated residual and transient (error)  
446 variance so that  $\hat{\sigma}_R^2 + \hat{\sigma}_{em}^2$  corresponded approximately to  $\hat{\sigma}_R^2$  from the naive model.  
447 The overestimation of the residual variance resulted in estimates of heritability that  
448 were underestimated by nearly 40% when measurement error was ignored ( $\hat{h}^2 = 0.14$   
449 in the naive model and  $\hat{h}^2 = 0.23$  in the error-aware model).

450 As expected, the estimated measurement error variance is larger when a mea-  
451 surement session is defined as a full month ( $\hat{\sigma}_{em}^2 = 7.91$ ) than as a 4-day interval  
452 ( $\hat{\sigma}_{em}^2 = 6.07$ , Table 2), because the trait then has more time and opportunity to  
453 change. As a consequence, heritability is even slightly higher ( $\hat{h}^2 = 0.24$ ) when the  
454 longer measurement session definition is used. This example is instructive because it  
455 underlines the importance of defining the time scale at which short-term repeats are  
456 expected to capture only transient, and not biologically relevant variability of the  
457 phenotypic trait. In the case of the mass of a snow vole, most biologists would prob-  
458 ably agree that changes in body mass over a one-month measurement session may  
459 well be biologically meaningful (*i.e.* body fat accumulation, pregnancy in females,  
460 etc.), while it is less clear how much of the fluctuations within a 4-day measurement  
461 session are transient, and what part of it would be relevant for selection. Within-  
462 day repeats might be the most appropriate for the case of mass, since within-day  
463 variance is likely mostly transient, but because the data were not collected with the  
464 intention to quantify such effects, within-day repeats were not available in sufficient

465 numbers in our example data set.

## 466 Selection

467 As expected, estimates of selection gradients ( $\hat{\beta}_z$ ) obtained with the measurement  
468 error models provided nearly 40% higher estimates of selection than the naive model  
469 (Table 3). The two measurement session lengths yielded similar results. With  
470 and without measurement error modelling, the  $p$ -values of the zero-inflated Poisson  
471 models confirmed the presence of selection on body mass in snow voles ( $p < 0.001$   
472 in all models).

## 473 Response to selection

474 In line with theory, estimates of the response to selection using the breeder's equation  
475 were nearly 40% higher when transient effects were incorporated in the quantitative  
476 genetic models using 4-day measurement sessions ( $\hat{R}_{BE} = 0.10$  in the naive model  
477 and  $\hat{R}_{BE} = 0.16$  in the error-aware model; Table 4). As in the case of heritability, the  
478 one-month measurement session definition resulted in even slightly higher estimates  
479 of the response to selection ( $\hat{R}_{BE} = 0.17$ ). In contrast, response to selection mea-  
480 sured by the secondary theorem of selection  $\hat{R}_{STS}$  did not show evidence of bias, and  
481 the error-aware model with a 4-day measurement session definition estimated the  
482 same value ( $\hat{R}_{STS} = -0.17$ ) as the naive model (Table 4). With a one-month mea-  
483 surement session, we obtained a slightly attenuated value ( $\hat{R}_{STS} = -0.14$ ), although  
484 the difference was small in comparison to the credible intervals (Table 4).

485 This example illustrates that the breeder's equation is generally prone to under-  
486 estimation of the selection response in real study systems when measurement error  
487 in the phenotype is present (Table 1). The results also confirm that estimates for  
488 response to selection may differ dramatically between the breeder's equation and the  
489 secondary theorem of selection approach. As already noticed by Bonnet et al. (2017),  
490 the predicted evolutionary response derived from the breeder's equation points in  
491 the opposite direction in the snow vole data than the estimate derived from the  
492 secondary theorem of selection (*e.g.* naive estimates  $\hat{R}_{BE} = 0.10$  vs.  $\hat{R}_{STS} = -0.17$ ,  
493 with non-overlapping credible intervals; Table 4).

## 494 Discussion

495 This study addresses the problem of measurement error and transient fluctuations  
496 in continuous phenotypic traits in quantitative genetic analyses. We show that mea-  
497 surement error and transient fluctuations can lead to substantial bias in estimates of  
498 several important quantitative genetic parameters, including heritability, selection



499 gradients and the response to selection (Table 1). We introduce modelling strategies  
500 to obtain unbiased estimates in these parameters in the presence of measurement  
501 error and transient fluctuations. These strategies rely on the distinction between  
502 variability from stable effects that are part of the biologically relevant phenotypic  
503 variability, and transient effects, which are the sum of mechanistic measurement er-  
504 ror and biological fluctuations that are considered irrelevant for the selection process.  
505 We argue that ignoring the distinction between stable and transient effects may not  
506 only lead to an *underestimation* of the heritability due to inflated estimates of the  
507 residual variance,  $\sigma_R^2$ , but also to bias in the estimates of selection gradients and the  
508 response to selection. Measurements of the same individual repeated at appropriate  
509 time scales allow the variance from such transient effects to be partitioned, and thus  
510 prevent such bias.

511 How can repeated measurements be used to prevent an *underestimation* of her-  
512 itability, selection, and response to selection, while permanent environment effects  
513 are required in quantitative genetic models of repeated measures to avoid an upward  
514 bias of  $\sigma_A^2$  and, hence, an *overestimation* of  $h^2$  (Wilson et al., 2010)? The fact that  
515 repeated measurements are used to prevent opposite biases in heritability estimates  
516 makes it apparent that the information content in what is termed “repeated measure-  
517 ments” in both cases is very different. The crucial aspect is that it matters at which  
518 temporal distance the repeats were taken, and that the relevance of this distance  
519 depends on the kind of trait under study. Repeats taken on the same individual  
520 at different life stages (“long-term” repeats, *e.g.* across what we call measurement  
521 sessions here) can be used to separate the animal-specific permanent environmental  
522 effect from both genetic and residual variances. On the other hand, repeats taken  
523 in temporal vicinity (“short-term” repeats, *e.g.* within a measurement session) help  
524 disentangle any transient from the residual effects. Only by modelling *both* types of  
525 repeats, that is, across different relevant time scales, is it practically feasible to sep-  
526 arate all variance components. To do so, the quantitative genetic model for the trait  
527 value, typically the animal model, needs extension to three levels of measurement  
528 hierarchy (equation (7)): the individual ( $i$ ), the measurement session ( $j$  within  $i$ )  
529 and the repeat ( $k$  within  $j$  within  $i$ ). As highlighted with the snow vole example, it  
530 may not always be trivial to determine, in a particular system, an appropriate dis-  
531 tinction between short-term and long-term repeats, and consequently how to define  
532 a measurement session. This decision must be driven by the definition of short-term  
533 variation as a variation that is not “seen” by the selection process (see *e.g.* Price  
534 and Boag, 1987, p. 279 for a similar analogy), in contrast to persistent effects that  
535 are potentially under selection. This distinction ultimately depends on the trait, on  
536 the system under study and on the research question that is asked, because some  
537 traits may fluctuate on extremely short time scales (minutes or days), while others

538 remain constant across an entire adult's life.

539 The application to the snow vole data, where we varied the measurement session  
540 length from four days to one month, illustrated that longer measurement sessions  
541 automatically capture more variability, that is, the estimated error variance  $\hat{\sigma}_{e_m}^2$   
542 increased. Consequently, unreasonably long measurement sessions may lead to over-  
543 corrected estimates of the parameters of interest. On the other hand, considering  
544 measurement sessions that are too short may lead to an insufficient number of within-  
545 session repeats, or they may fail to identify transient variability that is biologically  
546 irrelevant. This makes clear that a careful definition of measurement session length  
547 is important already at the design stage of a study.

548 If one is uncertain whether repeated measurements capture effects relevant to se-  
549 lection or not, would averaging over repeats result in better estimates of quantitative  
550 genetic measures? Averaging methods have been proposed specifically to reduce bias  
551 that emerges due to measurement error and transient effects (Carbonaro et al., 2009;  
552 Zheng et al., 2016). While averaging will alleviate bias by reducing the error variance  
553 in the mean, it will not eliminate it completely. This can be seen from the fact that  
554 averaging over  $K$  within-session repeats for all animals and measurement sessions,  
555 the variance  $\sigma_{e_m}^2$  is reduced to  $\sigma_{e_m}^2 = \sigma_{e_m}^2 / K$ , assuming independence of the error  
556 term. Unless  $K$  is large,  $\sigma_{e_m}^2$  will not approach zero. Moreover, this practice only  
557 works if all animals have the same number of repeats within all measurement ses-  
558 sions, but it will not work in the unbalanced sampling design so common in studies  
559 of natural populations.

560 Our method approaches the problem of measurement error and transient fluc-  
561 tuations by assuming a dichotomous distinction between short-term and long-term  
562 repeats. An alternative perspective of within-animal repeated measurements could  
563 take a continuous view, recalling that repeated measurements are usually correlated,  
564 even when taken across long time spans, and that the correlation increases the closer  
565 in time the measurements were taken. A more sophisticated model could thus take  
566 into account that the residual component in the model changes continuously, and  
567 introduce a time-dependent correlation structure instead of simply distinguishing  
568 between short-term and long-term repeats. Such a model might be beneficial if  
569 repeats were not taken in clearly defined measurement sessions, although such a  
570 temporal correlation term introduces another level of model complexity, and thus  
571 entails other challenges.

572 It may sometimes not be possible to take multiple measurements on the same  
573 individual, or to repeat a measurement within a session. However, it may still be  
574 feasible to include an appropriate random effect in the absence of short-term repeats,  
575 provided that knowledge about the error variance is available, *e.g.* from previous  
576 studies that used the same measurement devices, from a subset of the data, or from

577 other “expert” knowledge. The Bayesian framework is ideal in this regard, because  
578 it is straightforward to include random effects with a very strong (or even fixed)  
579 prior on the respective variance component. Such Bayesian models provide error-  
580 aware estimates that are equivalent to those illustrated in Table 1, but with the  
581 additional advantage that posterior distributions naturally reflect all uncertainty  
582 that is present in the parameters, including the uncertainty that is incorporated in  
583 the prior distribution of the error variance.

584 Measurement error and transient fluctuations bias some, but not all quantitative  
585 genetic inferences. When  $\sigma_{em}^2 > 0$ , the naive estimates of  $h^2$ ,  $\beta_z$  and  $R_{BE}$  are  
586 attenuated by the same factor  $\lambda < 1$ , but other components, such as the selection  
587 differential  $S$  or  $R_{STS}$ , are not affected (Table 1). The robustness of the secondary  
588 theorem of selection to measurement error can certainly be seen as an advantage  
589 over the breeder’s equation. Nevertheless, the Robertson-Price identity does not  
590 model selection explicitly, and thus says little about the selective processes. The  
591 Robertson-Price equation can be used to check the consistency of predictions made  
592 from the breeder’s equation, but the breeder’s equation remains necessary to test  
593 hypothesis about the causal nature of selection (Morrissey et al., 2012; Bonnet et al.,  
594 2017). Another quantity that is unaffected by independent transient effects, which  
595 we however did not further elaborate on here, is *evolvability*, defined as the squared  
596 coefficient of variation  $I = \sigma_A^2/\bar{z}^2$ , where  $\bar{z}$  denotes the mean phenotypic value  
597 (Houle, 1992). Evolvability is often used as an alternative to heritability, and is  
598 interpreted as the *opportunity for selection* (Crow, 1958). Not only  $\sigma_A^2$ , but also  $\bar{z}$  can  
599 be consistently estimated using  $z^*$ , namely because the expected values  $E[z^*] = E[z]$   
600 due to the independence and zero mean of the error term. For completeness, we  
601 added evolvability to Table 1.

602 A critical assumption of our models was that the error components are indepen-  
603 dent of the phenotypic trait under study, but also independent of fitness or any  
604 covariates in the animal model or the selection model. While the small changes in  
605  $\hat{R}_{STS}$  that we observed in the snow vole application with one-month measurement  
606 sessions could be due to pure estimation stochasticity, an alternative interpretation  
607 is that the measurement error in the data are not independent of the animal’s fitness.  
608 At least two processes could lead to a correlation between the measurement error in  
609 mass and fitness in snow voles. First, pregnant females will experience temporally  
610 increased body mass, and we expect the positive deviation from the true body mass  
611 to be correlated with fitness, because a pregnant animal is likely to have a higher  
612 expected number of offspring over its entire lifespan. And second, some of the snow  
613 voles were not fully grown when measured, and juveniles are more likely to survive if  
614 they keep growing, so that deviations from mean mass over the measurement session  
615 period would be non-randomly associated with life-time fitness.

616 So far, we have focused on traits that can change relatively quickly throughout  
617 the life of an individual, such as body mass, or physiological and behavioral traits.  
618 Traits that remain constant after a certain age facilitate the isolation of measure-  
619 ment error, because the residual variance term is then indistinguishable from the  
620 error term, given that a permanent environmental (*i.e.* individual-specific) effect is  
621 included in the model. In such a situation it is sufficient to estimate  $\sigma_R^2$ , which then  
622 automatically corresponds to the measurement error variance, while  $\sigma_{PE}^2$  captures  
623 all the environmental variability. However, not many traits will fit that description.  
624 The majority of traits, even seemingly stable traits such as skeletal traits, are in fact  
625 variable over time (Price and Grant, 1984; Smith et al., 1986).

626 We have shown that dealing appropriately with measurement error and transient  
627 fluctuations of phenotypic traits in quantitative genetic analyses requires the inclu-  
628 sion of additional variance components. Quantitative genetic analyses often differ in  
629 the variance components that are included to account for important dependencies  
630 in the data (Meffert et al., 2002; Palucci et al., 2007; Kruuk and Hadfield, 2007;  
631 Hadfield et al., 2013). Besides the importance of separating the right variance com-  
632 ponents, it has been widely discussed which of the components are to be included in  
633 the denominator of heritability estimates, although the focus has been mainly on the  
634 proper handling of variances that are captured by the fixed effects (Wilson, 2008;  
635 de Villemereuil et al., 2018). We hope that our treatment of measurement error in  
636 quantitative genetic analyses sparks new discussions of what should be included in  
637 the denominator when heritability is calculated.

638 The methods presented in this paper have been developed and implemented for  
639 continuous phenotypic traits. Binary, categorical or count traits may also suffer  
640 from measurement error, which is then denoted as misclassification error (Copas,  
641 1988; Magder and Hughes, 1997; Küchenhoff et al., 2006), or as miscounting error  
642 (*e.g.* Muff et al., 2018). Models for non-Gaussian traits are usually formulated in a  
643 generalized linear model framework (Nakagawa and Schielzeth, 2010; de Villemereuil  
644 et al., 2016) and require the use of a link function (*e.g.* the logistic or log link). In  
645 these cases, it will often not be possible to obtain unbiased estimates of quantitative  
646 genetic parameters by adding an error term to the linear predictor as we have done  
647 here for continuous traits. Obtaining unbiased estimates of quantitative genetic  
648 parameters in the presence of misclassification and miscounting error will require  
649 extended modelling strategies, such as hierarchical models with an explicit level for  
650 the error process.

651 We hope that the concepts and methods provided here serve as a useful starting  
652 point when estimating quantitative genetics parameters in the presence of measure-  
653 ment error or transient, irrelevant fluctuations in phenotypic traits. The proposed  
654 approaches are relatively straightforward to implement, but further generalizations

655 are possible and will hopefully follow in the future.

656 **Supporting information:**

657 **Appendix 1:** Supplementary text and figures (pdf)

658 **Appendix 2:** Supplementary text and figures for simulation study (pdf)

659 **Appendix 3:** R script for the simulation and analysis of pedigree data

660 **Appendix 4:** R script for heritability in snow voles

661 **Appendix 5:** R script for selection in snow voles

662 **Appendix 6:** R script for response to selection in snow voles.

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819 **Figures**

820 **Figure 1:** Schematic representation of three study designs, where one individual is  
821 measured a) multiple times across multiple measurement sessions, b) multiple times  
822 in one single measurement measurement session, or c) one single time across multi-  
823 ple measurement sessions. Only case a) allows to disentangle the measurement error  
824 variance  $\sigma_{e_m}^2$  and the permanent environmental effects  $\sigma_{PE}^2$  from  $\sigma_R^2$ , while case b)  
825 allows to separate only the measurement error variance and case c) only allows to  
826 disentangle permanent environmental effects.

827

Parameter	Effect of ME	Biased parameter
$\sigma_A^2$	unbiased	-
$\sigma_{PE}^2$	unbiased	-
$\sigma_R^2$	biased	$\sigma_R^2 + \sigma_e^2$
$h^2$	biased	$\lambda h^2$
$\beta_z$	biased	$\lambda \beta_z$
$\sigma_p(\mathbf{z}, \mathbf{w}) = S$	unbiased	-
$\sigma_a(\mathbf{z}, \mathbf{w}) = R_{STS}$	unbiased	-
$R_{BE}$	biased	$\lambda R_{BE}$
$I$	unbiased	-

Table 1: Overview of the effects of measurement error and transient fluctuations (ME) in a quantitative trait on important quantitative genetic parameters. The table indicates for each parameter whether it is biased or unbiased. For biased parameters the quantities are given that are estimated when ignoring transient effects in the quantitative genetic models.  $\lambda$  is the reliability ratio, defined as  $\lambda = \frac{\sigma_P^2}{\sigma_P^2 + \sigma_{e_m}^2}$ . For notation see the main text.

model	$\hat{h}^2$	$\hat{\sigma}_A^2$	$\hat{\sigma}_{PE}^2$	$\hat{\sigma}_M^2$	$\hat{\sigma}_R^2$	$\hat{\sigma}_{em}^2$
naive	0.14 [0.07, 0.25]	3.40 [1.41, 6.15]	6.09 [4.33, 8.51]	1.16 [0.56, 2.84]	12.40 [11.78, 13.21]	-
error-aware (4-day measurement session)	0.23 [0.09, 0.33]	3.97 [1.46, 6.06]	5.62 [3.68, 7.68]	1.48 [0.57, 2.73]	6.58 [5.76, 7.82]	6.07 [5.54, 7.05]
error-aware (one-month measurement session)	0.24 [0.10, 0.37]	3.82 [1.17, 5.84]	4.78 [3.16, 7.21]	1.58 [0.61, 2.86]	5.77 [4.78, 6.71]	7.91 [7.15, 8.38]

Table 2: Estimates of quantitative genetic parameters of body mass in snow voles using naive and error-aware models. The posterior modes of variance components and heritability are given, together with their 95% credible intervals (in brackets).

model	$\hat{\beta}_z$	$p$ -value
naive	0.065	< 0.001
error-aware (4-day measurement session)	0.104	< 0.001
error-aware (one-month measurement session)	0.104	< 0.001

Table 3: Estimates of selection gradients ( $\hat{\beta}_z$ ) for body mass in snow voles, derived from naive (ML estimate) and error-aware models (posterior means). For both types of models, Bayesian  $p$ -values were derived from zero-inflated Poisson regressions.

model	$\hat{R}_{\text{STS}}$	95% CI	$\hat{R}_{\text{BE}}$	95% CI
naive	-0.17	[-0.54, 0.18]	0.10	[0.05, 0.17]
error-aware (4-day measurement session)	-0.17	[-0.51, 0.19]	0.16	[0.06, 0.23]
error-aware (one-month measurement session)	-0.14	[-0.53, 0.17]	0.17	[0.07, 0.26]

Table 4: Response to selection for body mass in snow voles (posterior modes and 95% credible intervals) estimated with the breeder’s equation ( $\hat{R}_{\text{BE}}$ ) and with the secondary theorem of selection ( $\hat{R}_{\text{STS}}$ ). Results are shown for the naive and the error-aware models.