



Shear bands in undrained plane strain compression of Norwegian quick clays

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Complete List of Authors:	Thakur, Vikas; Norwegian University of Science and Technology, Civil and Environmental Engineering Nordal, S.; Norwegian University of Science and Technology, Civil and Environmental Engineering Viggiani, Gioacchino; Universite Grenoble Alpes Charrier, Pascal; Universite Grenoble Alpes, L3S-R
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1 **Shear bands in undrained plane strain compression of Norwegian**
2 **quick clays**

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4 Vikas Thakur¹ and Steinar Nordal², Gioacchino Viggiani³ and Pascal Charrier⁴
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23 ¹ Vikas.Thakur@ntnu.no , Norwegian University of Science and Technology, Norway, Phone:
24 +47 41 29 57 17, Fax : +47 73 59 46 09

25 ² Steinar.Nordal@ntnu.no, Norwegian University of Science and Technology (NTNU),
26 Trondheim, Norway, Phone: +47 73 59 45 95, Fax : +47 73 59 46 09

27 ³ Cino.Viggiani@3sr-grenoble.fr, Univ. Grenoble Alpes, CNRS, Grenoble INP*, 3SR, F-
28 38000 Grenoble, France

29 ⁴ Pascal.Charrier@3sr-grenoble.fr, Univ. Grenoble Alpes, CNRS, Grenoble INP*, 3SR, F-
30 38000 Grenoble, France

31 * *Institute of Engineering Univ. Grenoble Alpes*
32

58 **Introduction**

59 The sensitive or quick clays of Scandinavia are highly susceptible to liquefaction upon shear
60 loading beyond peak strength (Bjerrum 1955; Janbu 1979; Karlsrud et al. 1985; Thakur and
61 Degago 2012 & 2013; Thakur et al. 2014). This implies that even a modest triggering event
62 may result in devastating landslides, such as the Rissa landslide (Gregersen 1981), the
63 Kattmarka landslides (Nordal et al. 2009), the Byneset flow slide (Thakur et al. 2014).
64 Determining the strength and stability of quick clay is therefore essential in Scandinavian
65 geotechnical practice (*e.g.*, Bjerrum 1955; Janbu 1967; Karlsrud and Hernandez-Martinez
66 2013; Thakur et al. 2012&2014; Amundsen et al. 2015 & 2016). Unfortunately, stability
67 evaluations using classical limit equilibrium methods exhibit shortcomings when applied to a
68 strain-softening material (*e.g.*, Bernander 2000; Jostad and Andresen 2002; Jostad et al. 2006;
69 Thakur 2007; Gylland et al. 2010; Jostad et al. 2014). The main shortcomings of *LE* methods
70 are that peak strengths are considered along a potential shear surface; in reality, some soil
71 along the shear surface may have experienced softening with loss of strength while peak shear
72 strength has not been attained yet along other parts of the surface. Progressive failure
73 mechanisms must be considered (*e.g.*, Andresen and Jostad 2007; Gylland et al. 2013; Thakur
74 2011; Grimstad and Jostad 2012). For Scandinavian quick clays, this involves modeling the
75 strain-softening behavior, including progressive *propagation of localized failure along a shear*
76 *band, which defines the slip surface. Most shear bands associated with slope failures develop under conditions*
77 *very close to plane-strain. However, to the best of the authors' knowledge, no plane-strain tests have earlier*
78 *been performed on quick clays, which have always been tested under more conventional triaxial conditions.*
79 This paper presents the results of a comprehensive laboratory testing program specifically
80 designed to study the formation of shear bands in Norwegian quick clays under plane-strain
81 conditions. Mechanisms for the formation and propagation of shear bands in Norwegian quick
82 clays are studied using digital image correlation. Local pore water pressure (pwp) transducers
83 are applied to see if localized deformation is accompanied by high pwp.

84 **Background**

85 **General aspects of quick clays**

86 During the last glaciation marine clays were formed in Scandinavia. When the ice
87 disappeared, the land rose, and over the next 10.000 years sodium chloride was leached from
88 the marine clays (Rosenqvist 1953). Large volume of the marine clay turned quick. In
89 Norway, sensitive clays with remolded shear strength less than 0.5 kPa are defined as quick
90 clays. Quick clays have a natural water content (w) higher than their liquid limit (w_L).
91 Norwegian quick clays are brittle, and they have a plasticity index less than 10%. [Thakur and](#)
92 [Degago \(2012, 2014\)](#), [Thakur et al. \(2013, 2014\)](#) have demonstrated that the remolded quick
93 clays behave as a viscous fluid rather than a plastic solid.

94 Quick clays are typically classified in terms of sensitivity (S_t) which is the ratio of the intact
95 shear strength (c_{ui}) to the corresponding remolded shear strength (c_{ur}) measured using the fall
96 cone test at the same water content. Several classification systems have been proposed in the
97 literature (Skempton and Northey 1952; Rosenqvist 1953; Norwegian Geotechnical Society
98 (NGF) 1974). A synthesis of these classifications is presented in Table 1.

99

100 **Undrained behavior of quick clays in triaxial compression**

101 The quick clays of Scandinavia are soft and very sensitive to disturbance during sampling.
102 This is illustrated in Fig. 1, which shows results from conventional undrained triaxial
103 compression tests on Tiller quick clay using two different samplers with diameters of 160 and
104 54 mm. Despite careful sampling for both samplers, a higher peak strength is found when
105 using the largest sampler. Sample disturbance similarly [affects the pre-consolidation pressure](#)
106 [obtained from the oedometer tests in Fig. 1](#). [The 160 mm diameter high quality block sample](#)
107 [shows a higher pre-consolidation pressure than the 54-mm diameter sample](#). Lacasse et al.
108 (1985) and Lunne et al. (1997) suggested that sample disturbance causes partial

109 microstructure collapse prior to loading. Quick clay samples exhibit strain softening when
110 sheared in undrained conditions. Positive excess pwps are generated in undrained
111 compression, which is consistent with the contractive behavior exhibited by quick clays when
112 they are sheared in undrained conditions. This can be seen from the effective stress path
113 presented in Fig. 1. Minimal sample disturbances result in a smaller pwp build up prior to
114 failure and a larger pwp during strain softening. Interestingly, *effective* strength parameters,
115 such as the cohesion (c) and friction angle (ϕ) at failure, are unique for the tested material
116 regardless of the sample disturbance. Interpretation of triaxial test results of six different soft
117 sensitive clays by Thakur et al. (2014) also shows that undrained soft sensitive clays exhibit
118 strain softening due to shear-induced pwp and not due to cohesion and friction softening at
119 strain level up to 10-20%. However, for a very large strain levels cohesion and friction
120 softening may be observed.

121
122
123

124 **Stability of quick clay slopes in undrained conditions**

125 Rapid deviatoric loading beyond peak leads to pwp build up in quick clays. In a zone of
126 concentrated shear deformation, increasing pwp will follow the increase of shear
127 deformations. However, if failure does not occur immediately, this pwp will dissipate with
128 time, accompanied by an increase in effective stresses and shear stress capacity in the band.
129 *Two counterbalancing mechanisms may be seen: increasing shear deformations will increase*
130 *the local pwp and local drainage reduces the pwp (Fig. 2).* The rate of loading and the
131 material response in terms of stress changes with pwp inside and outside the shear band will
132 control the failure process in the material (Bernander 2002; Thakur et al. 2005; Jostad et al.
133 2006; Thakur 2011; Gylland et al. 2012; Jostad et al. 2014). *In the current paper this behavior*
134 *is studied experimentally using plane-strain tests with appropriate loading rates under*
135 *globally undrained conditions.*

136 **Literature review on plane-strain testing of fine-grained materials**

137 In recent decades, many experimental studies of strain localization have been performed by
138 different research groups for a variety of geomaterials such as rock, sandstones, sand, stiff
139 clays and soft clay. Finno and Rhee (1992), Viggiani et al. (1994), Lizcano et al. (1997),
140 Viggiani et al. (2001), Chen (2004), Yuan et al. (2013), and Gylland et al. (2014) confirms that
141 shear banding may take place in both contractive and dilative clay specimens, but for the
142 latter, the onset of localization is delayed until cavitation take place in the pore fluid. It clear
143 from the available literatures that soft clays have taken some attention but not as much as that
144 of stiff clays. The formation and orientation of shear bands in sand is comprehensively
145 discussed by Desrues and Viggiani (2004) and the several reference cited therein.

146

147 In the literature only few experimental studies related to the plane strain compression testing
148 in soft clays have been reported; for instance Topolnicki et al. (1990), Finno and Rhee (1992),
149 Lizcano et al (1997), Cheng (2004) and Yuan et al. (2013). Most of these studies have focused
150 on investigating shear band thickness, local dilatancy angle, void ratio in the vicinity of the
151 shear band, and orientation of the shear band. A recent study by Yuan et al. (2013) on two
152 different medium plastic silty clays measures dilatancy in shear bands and locally lower pwps
153 in the vicinity of these bands than further away.

154

155 Viggiani et al. (1994) have brought focus on shear band analysis for stiff clays tested in an
156 undrained plane strain compression apparatus. Similarly, Marelllo (2005) also provided
157 comprehensive information on propagation and formation of shear bands in stiff clays using a
158 plane strain compression apparatus. False Relief stereo photogrammetry technique was used
159 for the image correlation. Both the studies report local changes in the pwp within the

160 specimen at the onset of localization. The pwp later smoothed by local drainage within the
161 clay specimen.

162

163 Cheng (2004) studies the formation and the propagation of cracks in organic clays. Three
164 different rates have applied; 0.5mm/hr, 1mm/hr and 5mm/hr. The tests resulted in the cracks
165 forming near to the top plate. The pwp measurements were made at the center of the ends of
166 specimens. Cheng (2004) concludes that soft organic clays exhibits strong discontinuity, in a
167 form of cracks or just as interfaces, instead of shear bands. Gylland et al. (2014) have reported
168 an experimental investigation on formation and propagation of shear bands in a Norwegian
169 sensitive clay using a modified triaxial cell allowing for shear band formation. They studied
170 the effect of varying the displacement rate on the onset of strain localization and on the
171 softening response of the tested material. A reduced shear band thickness and a shear band
172 inclination approaching 45° were obtained for increasing displacement rates.

173

174

175 **Laboratory experiments**

176

177 **Material tested: Tiller quick clay**

178 The quick clay chosen for this study was sampled from Tiller, located approximately 10 km
179 southeast of the city of Trondheim in Norway. The area is covered by a quick clay deposit
180 approximately 10 m in depth overlain by a silty, low-sensitivity marine clay. The samples for
181 this study were carefully recovered from two different bore locations at depths between 6 and
182 10 m using a 95 mm piston sampler with a steel cylinder. Routine characterization and index
183 tests were carried out, the results of which are summarized in Table 2. It should be
184 emphasized, however, that the Tiller quick clay deposit covers a large area; thus, certain

185 variations in soil properties (both laterally and with depth) should be expected, as shown in
186 the table. The odometer tests performed by Gylland et al. (2013) and Amundsen et al. (2017)
187 suggest that the overconsolidation ratio of the quick clay deposit varies between 1.3-1.6, and
188 the effective earth pressure coefficient at rest (K_o') for the deposit is 0.6. A low-sensitivity
189 soft clay specimen (referred to as T6) was also tested in the test study. This sample was taken
190 from the same area, but the sensitivity and remolded shear strength of the sample were 20 and
191 1.5 kPa, respectively. By definition, this is not a quick clay, although it is still sensitive.

192

193 **The plane-strain apparatus**

194 The plane-strain apparatus at Laboratoire 3SR was originally developed in Grenoble by
195 Desrues (1984) and later modified by Hammad (1991). The design of such a device shares its
196 underlying concept with those developed by Vardoulakis and Goldscheider (1981) and
197 Drescher et al. (1990) in that the plane-strain apparatus is specifically conceived to allow free
198 shear-band formation in a soil specimen. The top plate is free to slide horizontally (but not to
199 rotate) in the plane of deformation. The lower and upper loading plates house porous stones
200 connected to drainage lines. A large cell, filled with silicone oil, surrounds the specimen. The
201 cell sustains up to 2 MPa. Two opposing pairs of 50-mm-thick glass plates windows support
202 the sample laterally and provide plane strain conditions. Strain-controlled axial loading is
203 applied through a screw jack that rested atop the device. An LVDT measures the vertical
204 displacement, while the axial load is measured by an internal load cell at the top. Cell pressure
205 is supplied by a compressor and monitored by a pressure transducer, while a self-
206 compensating mercury control is used to apply a backpressure to the cell oil and pore water.
207 The output signals of all transducers are conditioned by a process interface unit, which was
208 linked to a microcomputer controlling all operations. (Desrues and Viggiani, 2004)

209

210 A 34-mm-thick prismatic specimen surrounded by a latex membrane is mounted between two
211 rigid glass plates, inducing the plane-strain conditions. In this study, the initial height and
212 width of the specimen (in the plane of deformation) are 120 mm and 60 mm, respectively.
213 The sidewalls allow photographs to be taken of the in-plane deformation of a specimen during
214 the test. Glass surfaces in contact with the specimen are lubricated with silicone grease to
215 minimize friction. The plane strain apparatus used is shown in Fig. 3. Further details of the
216 plane strain apparatus and the testing procedure can be found in Desrues and Viggiani (2004)
217 and the references cited therein.

218

219 Specimen preparation and instrumentation

220 Large specimens (120 mm x 60 mm x 34 mm) were carefully cut from the 95-mm diameter
221 samples. Although the standard sampler size in Norway is 54 mm, a 95 mm sampler was used
222 in this study to obtain a higher sample quality, motivated by Lacasse et al. (1985) and Lunne
223 et al. (2007). A block sampler was not available. To avoid disturbing the sensitive specimens,
224 a special arrangement had to be developed to mount the quick clay specimen in the biaxial
225 plane-strain apparatus. A splitting- and sliding-type stainless-steel mold was prepared to
226 place the specimen into a stretched latex membrane. The working principle of the mold is: the
227 inner mold holds the specimen while the outer shell stretches the membrane. The advantage of
228 the arrangement is that it allows us to place a specimen into the membrane with minimum
229 disturbance. This process is explained using a set of photographs in Fig. 4.

230

231 Once the specimen is placed inside the membranes, two temporary supports are attached to it.
232 These additional supports were needed to transfer loads (such as self-weights and external
233 forces) directly from the top plate to the bottom plate without damaging the quick clay sample
234 while fixing the top plates, connecting the drainage lines, and inserting the pwp transducers.

235 These supports were removed only after the specimen was completely mounted in the
236 apparatus. O-rings were used to seal the contact between membrane and the (top and bottom)
237 plates. Fig. 4 also shows these two vertical supports that connect the top and bottom plates. A
238 typical final set up of the specimen is presented in Fig. 5.

239

240 The present study attempts to address pwp induced softening in shear bands. In an attempt to
241 measure this miniature pwp transducers are used to measure local pwp, Fig 5. The miniature
242 pwp probes were calibrated so that small fluctuation in pwp could be captured. See Hight
243 (1982) for details. Unfortunately, pwps could not in addition and simultaneously be measured
244 in the filter stones at the sample top and bottom due to equipment restrictions. The two
245 miniature probes were mounted onto the surface of the specimen in two different layouts: 1)
246 on left or right side of the sample at mid-height, 60 mm from the top of the specimen (LP or
247 RP); and 2) both on the same side of the specimen, one at mid-height (CP) and the other at
248 one-quarter of the height from the top (TP) or bottom (BP). The top probe (TP) is thus located
249 30 mm from the top, and the bottom probe (BP) is located 30 mm from the bottom. The
250 location of the probes were chosen based on assumed positions of possible shear bands. The
251 intention was to see if pwp would increase more in the probe closer to an emerging and
252 propagating shear band. The probes were carefully placed on the surface of the specimen to
253 avoid disturbing the contact zone. As shown in Fig 5, two O-rings were used on each rubber
254 socket to seal the contact between the membrane and the probes. See Hight (1982) for details.
255 Utter care was taken to bring the pwp probes in touch with the surface specimen and keep
256 them at that location. As can be seen in Fig. 5, a mesh was printed on the membrane before
257 mounting. A series of 10-megapixel resolution digital photographs were taken at during the
258 compression tests.

259

260 Test program

261 A series of plane strain tests were conducted, each of which included plane strain
262 consolidation at a selected effective mean stress followed by undrained compression, Table 3.
263 The consolidation stress was applied in the x-z direction, Fig. 5., and chosen at the level of
264 the expected in situ horizontal effective stress, at 60 – 70kPa. Experience has shown that
265 consolidation to higher effective stresses may destroy a transported quick clay sample. A
266 small back pressure of 10 -15 kPa was used. The tests were run under displacement control,
267 and two different rates of axial shortening were chosen: 0.06 mm/min and 0.006 mm/min.
268 Note that the former value corresponds to the rate typically used in standard undrained triaxial
269 tests on quick clay. The globally undrained condition was imposed by simply closing the
270 drainage valves. Table 3 gives a short summary of the experimental program.

271

272 During the consolidation phase, two-way vertical drainage through the top and bottom was
273 imposed. Note that during consolidation, plane strain conditions need to be maintained. There
274 is a danger that the thickness of the specimen (in the direction orthogonal to the sidewalls) can
275 reduce so a gap could form between the specimen and the sidewalls. The consolidation stress
276 had to be carefully selected and applied in order to maintain full contact between the sample
277 and the glass walls. As far as it could be seen, this was satisfied for all test reported herein.

278

279

280

281 Shear stress and deformation pattern of quick clays

282 Shear stress (t) and deformation patterns recorded for the tests are presented Fig. 7. Here,
283 shear stress (t) is $(\sigma'_z - \sigma'_x)/2$ where σ are the effective stresses; the subscripts z and x stand for
284 the axial and horizontal direction in the plane of deformation. The peak shear stress (t_p) varied

285 from 20 -30 kPa for quick clay samples and about 60 kPa for the non-quick clay sample (the
286 T6 test). The failure axial strain (ε_p) varied from 2- 8%. As expected, we observe strain
287 softening after a peak except for the T1 test, which show low peak strength and thus is
288 believed to be disturbed. The peak shear stress is for the other tests reduced by 30-50% when
289 they were sheared to 10 – 15% nominal axial strain level (vertical deformation of the sample
290 top divided by the initial sample height). The normalized peak shear stress (t_p/σ_{z_0}') for the
291 quick clay specimens were 0.25 – 0.35. Here, σ_{z_0}' is the in-situ effective vertical stress, while
292 T6 show a surprisingly high value of 0.5. The obtained range of t_p/σ_{v_0} is in line with the data
293 reported by Gylland et al. (2014) for the quick clay.

294

295 The results show that the quick clays tested in plane-strain compression exhibited somehow
296 non-smooth stress-strain patterns. On contrary to this, Fig. 1 suggests that quick clays exhibit
297 smoother stress-strain response when subjected to undrained triaxial loading. Such distinction
298 in the stress-strain response between triaxial and the plane strain test results may be attributed
299 to the imposed boundary conditions (stick slip against the glass plates) and / or the emergence
300 and propagation of shear bands during the tests. Readers are referred to Scavia et al. (1997),
301 Charrier et al. (2001), Viggiani et al. (2001), Marello et al. (2003), and Desrues and Viggiani
302 (2004) for more information in this regard.

303

304 The emergence and propagation of shear bands during the undrained shearing phase were
305 recorded using a continuous movie for tests T1, T3, and T4 and by a series of 10-megapixel
306 resolution images taken at different nominal axial strain levels during tests T2, T5, and T6.
307 Image analyses were carried out using the Davis V8.0 (2015) digital image correlation (DIC)
308 tool.

309

310 Digital Image Correlation (DIC) is essentially a mathematical tool for assessing the spatial
311 transformation (including translations and distortions) between two digital images. In
312 practice, DIC is implemented as a computer program that allows regions of a photographed
313 object to be tracked automatically from one digital image to the next, from which
314 displacements can be deduced. From the measured displacement field, a strain field can be
315 derived, which is based on the gradients of the derived displacements and a continuum
316 assumption. A number of textbooks and papers describe the technique in detail, see for
317 example Hall (2012) and the many references given therein. In this study, DIC was carried
318 out carried out using the commercial software Davis V8.0 (2015).

319

320 The DIC analyses were carried out for the T2, T5, and T6 tests. The results in terms of total
321 and incremental shear strain distributions are presented in Figs. 7, 8, and 9, respectively. The
322 incremental strain distributions were obtained for two consecutive images, while the total
323 strain distributions result from comparing a state with its initial state. It must be noted that the
324 image correlation for two images over long intervals may obscure certain important
325 information; therefore, the selected intervals should be rather small for accurately capturing
326 deformation behavior. In the later part of this paper, the onset, formation and propagation of
327 bands during the tests were investigated using a term denoted the pwp non-uniformity (Δp_w).
328 Here, Δp_w refers to a difference between the pwp readings from the two probes on a sample.

329

330 ***T2 test***

331 The shear stress (t) versus nominal axial strain (ϵ) is presented in Fig. 7 along with the
332 corresponding maximum deviatoric strain distribution resulting from the image correlations
333 for images taken from the start (P0) through the end of the test (P8). Pore water pressure non-
334 uniformities, Fig. 7(b) is discussed later. The total shear strain accumulated inside the sample

335 was measured and obtained using the DIC analysis of the images (P1 to P8) with respect to
336 P0, as shown in Fig. 7(c). The shear strain distribution between two consecutive images is
337 shown in Fig. 7(d).

338 The incremental shear strain distribution corresponding to the numbered points P0–P1 in the
339 figure shows a slightly non-homogenous deformation in the test specimen where the
340 incremental strains are concentrated in the upper part of the specimen. This result indicates
341 that localization initiates before peak (in the hardening regime). The P1–P2 result indicates an
342 emergence of a shear band at the upper left corner of the specimen. The P3–P4 result shows a
343 fully developed curved shear band emerging from the upper left to the middle right of the
344 sample. This observation is associated with a distinct drop in the shear stress between P3 and
345 P4. In addition, the P3–P4 result also shows the emergence of a new shear band from the
346 upper right edge of the specimen. The P4–P5 and P5–P6 results show completely new shear
347 bands from the upper right edges of the specimen progressing towards the lower left ends.
348 However, at this stage, the progression of the previously observed shear bands at P3–P4
349 appears to be halted, which is a peculiar behavior at this stage because the shear stress is
350 gradually decreasing. Finally, the P7–P8 result shows that cross-shaped but non-symmetrical
351 shear bands are formed by the end of the test.

352

353 The DIC results indicate that the shear band formation process in the quick clay was
354 progressive, non-symmetric, and complex. The total and incremental shear strain distributions
355 suggest that the propagation of the shear bands was smooth and gradual, and the shear bands
356 were non-unique and alter throughout the test (see Fig. 7). This observation also indicates that
357 certain parts of the shear bands must be subjected to more strain softening than others. The
358 failure process continued until a kinematic mechanism was formed, dividing the sample into
359 several shear bands and non-localized zones. The shear strain inside the localized zones (e.g.

360 ~ 30% for P0-P8) are in order of three times the globally applied nominal strain (~ 10% at
361 P8). The 10% nominal axial strain corresponds to 12 mm deformation. Accordingly, the
362 thickness of localized shear band could be 36 mm. An accurate thickness of shear bands is
363 difficult to measure for the membrane wrapped specimen. At the end of the test, the
364 orientation of the shear bands varied from 45-50° from the horizontal planes as they were
365 curved.

366

367

368 *T5 test*

369 The P2–P3 result shows a gradual growth of localized shear zones. The P3–P4 result shows a
370 distinct shear band emerging from the upper left edge of the specimen prior to the peak. The
371 P4–P5 and P5–P6 results vaguely indicate a completely developed shear band that amplifies
372 in P6-P7 at a 53° inclination from the horizontal planes. In the post-peak region, a new shear
373 band emerges upward from the lower left of the specimen and proceeds toward the center (the
374 P6-P7). Finally, the P7–P8 reveals a nearly cross-shaped shear band inside the specimen, but
375 the majority of the localized strains were concentrated inside the original shear band already
376 observed at the peak, whereas the other shear band located in the lower left to the upper right
377 seems to not grow further. Similar to T2 test, at the end of the test, the maximum shear strain
378 inside the localized zones (e.g. ~ 30% for P0-P8) is order of again three times the globally
379 applied nominal strain (~ 12% at P8). However, at the peak shear stress (P4-P5) the thickness
380 of the shear band found to be around 4-5 mm only. The thickness of the shear bands or the
381 localized zones towards the end seems to be much larger. The DIC analysis shows that the
382 new shear bands emerged and fluctuated throughout the test.

383

384 *T6 test*

385 Fig. 9 shows a rather diffuse deformation mode before reaching peak for the T6 test, unlike
386 the other two tests. It must be noted that the T6 test was carried out on less-sensitive clay. The
387 P1–P2, P2–P3, and P0–P3 results reveal localized zones at the peak from the upper left and
388 right edges of the test specimens. At the peak, the P3–P4 result shows that a partially
389 developed shear band emerges from the upper part of the specimen. Cross-shaped shear bands
390 (P4–P5) simultaneously develop around an 8–9% nominal axial strain and continue to grow
391 until the end of the test. Finally, the P5–P6, P6–P7, and P7–P8 results show a fully developed,
392 nearly symmetric cross-shear band. The DIC result for the T6 test indicate several thinner
393 shear bands forming the final kinematic failure mechanism. The shear bands tend to initiate in
394 the upper portion of the sample but moves downwards and steepens towards the end of the
395 test.

396

397 The T6 test resulted in an almost symmetric failure mode. The T2 and T5 tests show that the
398 shear bands were initiated locally and gradually grew until the quick clay specimens were
399 split into several localized zones. **The thickness of shear bands varied throughout the test.**

400 Thakur and Degago (2012, 2013), Thakur et al. (2013, 2014) suggests that the collapse
401 behavior of sensitive clays with a remolded shear strength over 1 kPa is not as metastable as
402 that of quick clays. In other words, sensitive clays having remolded shear strength over 1 kPa
403 (As T6) is more or less a solid material and therefore they don't flow, whereas quick clays
404 flow when they are remolded. This can explain why the T6 test results are more similar to
405 failure patterns seen in less sensitive clays.

406

407 **Discussion on local pore water pressure measurements**

408 The effective stress paths obtained by using pore water pressures (pwp) measured at the two
409 probe locations are presented in Fig. 10. The local pwp measured by the two probes make the

410 two stress paths for each test different. In addition, the minor differences in the residual pwp
411 at the end of the consolidation stage caused a different initial effective stresses at the probe
412 locations. The local effective stress paths for the tests are plotted in terms of $s' - t$ plots. In this
413 figure, s' represents the mean effective stresses $((\sigma'_z + \sigma'_x)/2)$, and σ' are the effective stresses;
414 the subscripts z and x stand for the axial and horizontal direction in the plane of deformation
415 shown in Fig. 5, respectively. The positive pwp generated during the tests confirms that the
416 quick clays exhibit contractive behavior during undrained shearing. Some of the irregular
417 shape of the initial part of the effective stress paths in some of the tests could be related to a
418 stick-slip friction against the glass walls. A stress path inclining 1:1 in this plot would result
419 if a test was drained. We observe tendencies that parts of the stress paths has such an
420 inclination. This may suggest that there is some air in the system and that we do not have
421 ideal undrained conditions. Effective stress paths are seen generally not to end on the Mohr
422 Coulomb failure line in the figure. This might be simply caused by local pwp variations due to
423 localized deformations. We are unable to measure the pwp in the shear band and do not have
424 the average pwp to go with the average total stresses s and t .

425

426 The pwp measurements from the two different pwp probes were quite different. A minor part
427 of the difference in pwps was caused by the different residual pwp at the end of the
428 consolidation stage. The residual pwp is largest at the center of the sample. To focus on
429 differences in the development of the pwp towards failure, the pwp data shown in Figs 7, 8
430 and 9 are reset to zero at the start of the shearing stage which corresponds to nominal axial
431 strain $(\epsilon) = 0\%$, while Fig 11 show the actual measured values.

432

433 We observe that the excess pwp are much smaller in the tests run at 0.006 mm/min (T5 and
434 T6) compared to the values found for 0.06 mm/min (T1 – T4). The opposite would have been

435 expected based on previous quick clay experience: Rapid tests normally show higher peak
436 strength and lower pore water pressures. Slow tests show less peak strength, earlier collapse
437 and higher pore water pressures. At the beginning, test T5 and T6 shows a tendency to build
438 higher excess pwp however with increasing deformation the pwp is much small. The current
439 results indicate that there could some air in the system and that the condition of a globally
440 fully undrained condition is not fully present. Dissipation into filter stones etc. will be more
441 pronounced when time is allowed for it. This T5 and T6 show rather low pore water
442 pressures. The stress paths in Fig 10 is hence “more drained” than the others. The results of all
443 the tests at 0.06 mm/min indicate that the pwp response does not show many peaks or sudden
444 drops during the shearing. However, the tests at 0.006 mm/min provide a more complex
445 variation in the pwp. pwp

446

447 ***Pore water pressure non-uniformities***

448 The direct measurement of pwp inside the shear bands can be a challenging task due to
449 difficulties in predicting the location of the bands prior to the test. However, measurements
450 near the shear bands may also provide significant information related to the onset of
451 localization and the local drainage situation. A variation in pwp between the two probes
452 should occur upon the emergence of a shear band close to one of the probes. Such behavior
453 has been observed for the stiff clays Viggiani et al. (1994). Yaun et al. (2013) presented such
454 results for dilating Shanghai silty clays.

455

456 The (b) plots in Figs. 7, 8, and 9 show the normalized pwp non-uniformities ($|\Delta p_w|/\sigma_{x_0}$) for
457 the T2, T5, and T6 tests, respectively. The $|\Delta p_w|/\sigma_{x_0}$ is the difference in the measurements
458 recorded by the two probes on one sample, and the emergence of shear bands and the
459 variation in Δp_w are interrelated, as shown in these figures. A decrease in the $|\Delta p_w|/\sigma_{x_0}$ was

460 often observed when the shear bands were fully developed. However, the $|\Delta p_w|/\sigma_{x_0}$ increased
461 when the shear bands propagated or grew progressively. The correlation between the DIC
462 results and the $|\Delta p_w|/\sigma_{x_0}$ for the T2 test shows that the emergence of the shear band and the
463 first peak in the $|\Delta p_w|/\sigma_{x_0}$ were observed at a 4% nominal axial strain. At this stage, the DIC
464 results for P1-P2 result indicates the emergence of a shear band at the upper left corner of the
465 specimen.

466

467 Similarly, the emergence of shear bands and peaks in the $|\Delta p_w|/\sigma_{x_0}$ were recorded at 2% and
468 6.4% nominal axial strain, respectively, for the T5 test. As mentioned earlier, a distinct shear
469 band appeared at 2% nominal axial strain, which led to the growth of a distinct shear band
470 from the upper left corner of the specimen. A sudden drop in $|\Delta p_w|/\sigma_{x_0}$ as well as in the shear
471 stress was registered. A reduction in $|\Delta p_w|/\sigma_{x_0}$ beyond 6.4% nominal axial strain could be
472 again related to reduction in the peak shear stress. The DIC results also confirms that at these
473 nominal axial strains. Peaks in the $|\Delta p_w|/\sigma_{x_0}$ were noted at 1.5%, 5.5%, 7.5%, and 11.5%
474 axial deformation for the T6 test associated with the initiation and propagation of shear bands
475 inside the specimen. Interestingly, these peaks were difficult to note in the Fig.9(d) plot for
476 the T6 test.

477

478 The fluctuations in shear bands observed resulted in multiple peaks in $|\Delta p_w|/\sigma_{x_0}$ throughout
479 the test. The peaks in pwp non-uniformity observed for the T2 test and for Shanghai silty clay,
480 as reported by Yuan et al. (2013), are compared in Fig. 12. Clearly, the trends are remarkably
481 identical, i.e., the $|\Delta p_w|/\sigma_{x_0}$ increased until the onset of localization (O) and there were
482 insignificant variations in the $|\Delta p_w|/\sigma_{x_0}$ until the formation of the primary shear band was
483 completed (B). One shall expect $|\Delta p_w|/\sigma_{x_0} = 0$ for an homogenously deforming specimen,

484 however the measured data suggests that soft clay specimen may be subjected to non-uniform
485 deformation prior to the onset of localization e.g. $|\Delta p_w|/\sigma_{x0}' > 0$.

486

487 Unfortunately, pwp variations between the two probes may also be caused by other effects. In
488 spite of careful calibration measurements errors can not be totally excluded. Further,
489 variations in pwp during testing may be due to equalization of residual pwps remaining after
490 an unfinished consolidation phase, friction between the specimen and the glass wall, or
491 friction between the top/bottom plate and specimen, or non-homogenities in the specimen
492 itself. It should be noted that a sudden increase or decrease in the $|\Delta p_w|/\sigma_{x0}'$ was not observed
493 in any of the tests performed in this study, in contrast to the observations by Viggiani et al.
494 (1994) for still clays. The present study illustrates a complex interaction between generations
495 of pwp in shear bands and shear band formation. Non-smooth stress deformation pattern and
496 irregular excess pwp are associated with the formation and propagation of shear bands in
497 quick clays. However, in absence of DIC results the onset of shear bands were difficult to
498 register for quick clays based on the $|\Delta p_w|/\sigma_{x0}'$ values alone.

499

500 **Conclusions**

501 This study presents results related to the behavior of quick clays under plane-strain
502 conditions. Localized deformations are seen to occur in fluctuating shear bands before a final
503 failure mechanism is formed. The results from the DIC revealed that the process by which
504 shear bands are formed in the quick clays is quite complex. Formation of the shear bands was
505 progressive, non-symmetric, and seemingly random. The shear bands were observed to
506 fluctuate throughout the tests. The accumulated strain inside shear bands were as high as three
507 to four times the applied nominal axial strains. The total and incremental shear strain
508 distributions shows that that certain parts of the shear bands are subjected to more strain

509 softening than others. The failure process continued until a kinematic mechanism is formed,
510 dividing the sample into several shear bands and non-localized zones. The pwp measurements
511 taken at two different locations on the surface of the quick clay samples show that shear
512 banding involves significant variations in the buildup of pwps within the sample followed by
513 local drainage of pore water within the sample. The local effective stress paths suggest that
514 unloading was prevented in the neighboring soil material due to the flux of pore water to this
515 soil volume from the shear bands. If the observation is correct, this implies that failure is less
516 brittle than it otherwise might have been. The pwp measurements show that the differences in
517 locally measured pwps may indicate the onset of localization and gradual progression of the
518 shear bands inside the tested samples. The differences in locally measured pwps are rather
519 modest in this study on quick clays and are not as abrupt and large as those for the stiff clays
520 reported in the literature. This may be due to a low stress level in the present study and some
521 sample disturbance, which is hard to avoid for quick clays. A decrease in the pwp non-
522 uniformities was often observed when the shear bands were fully developed. However, the
523 pwp non-uniformities increased when the shear bands propagated or grew progressively. The
524 study confirms a complex process in the formation and propagation of shear bands in quick
525 clays.

526

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752 **Table 1 A summary of different sensitivity scales proposed in the literature.**

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Sensitivity (S_t)	Classifications
1	Non sensitive
1–8	LS
8–16	HS/ES/SQ
16–32 (30)	Q/MQ
>32	Q

L: low; M: medium; H: high; E: extra; S: sensitive; Q: quick

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791 **Table 2. Summary of the Physical and Mechanical Properties of Tiller Quick Clay**

Soil Parameters	
Unit weight, γ , [kN/m ³]	18.4–18.7
Natural water content, w , [%]	33–40
Liquid limit, w_L , [%]	24–26
Plastic limit, w_p , [%]	18
Plasticity index, I_p , [%]	6–8
Liquidity index, I_L , [-]	1.5–1.8
Undrained shear strength, c_u , [kPa]	18–25
Effective frictional angle, ϕ , [degrees]	26.5
Effective cohesion, c , [kPa]	6
Remolded undrained shear strength, c_{ur} , [kPa]	0.1–0.3
Sensitivity, S_t , [-]	83–180
Over consolidation ratio [-]	1.3–1.6

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825 **Table 3. Testing Program**

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Tests	Rate of axial shortening [mm/min]	In-situ effective vertical stress σ_{zo}' [kPa]	Selected confining pressure* [kPa] $= K_o' \cdot \sigma_{zo}'$	Probe locations [^]
T1	0.06	120	70	Left and Right (LP, RP)
T2	0.06	100	60	Central and Bottom (CP,BP)
T3	0.06	100	65	Central and Bottom (CP,BP)
T4	0.06	100	63	Central and Bottom (CP,BP)
T5	0.006	100	60	Central and Bottom (CP,BP)
T6	0.006	120	70	Central and Top (CP,TP)

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* $K_o' = 0.6$

[^] CP, BP and TP, were always placed on the left side of the specimen

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Figure captions

854 Figure 1. An illustration of the effect of sample disturbance on the results from consolidated
855 undrained triaxial compression (CUC) test and constant rate of strain odometer on Tiller quick
856 clays sampled using a 54 mm-diameter cylinder, and a 160 mm-diameter mini Sherbrook
857 block sampler developed by Emdal et al. (2016). The CUC tests were done at 1.2%/hr strain
858 rate and the CRS tests were done at 6.0 %/hr. The properties of the tested materials are given
859 in Table 2. Here, M is the odometer modulus, ϵ_v is the volumetric strain, p' is the mean
860 effective stress, q is the deviator stress.

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863 Figure 2. Excess pwp may develop and then dissipate during the formation and propagation of
864 shear bands in slopes and laboratory samples of quick clay. The shear stress and shear strain
865 patterns for two soil elements A and B are shown in the figure. Element A is located inside
866 the shear band whereas element B is outside the shear band. The element A is already
867 subjected to strain softening whereas the element B is yet to reach to the peak shear stress.

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869 Figure 3: The plane strain apparatus

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871 Figure 4. Specimen preparation for the tests: (1) Mold in the split form: outer and inner shells;
872 (2) Assembled mold; (3) Membrane placed on the outer shell and lateral supports mounted to
873 support the top plate; (4) Inner shell holding a specimen placed inside the outer shell; (5)
874 Shells can be removed together, leaving the specimen inside the membrane.

875

876 Figure 5. Left: Quick clay specimen with pore water pressure transducers and lateral supports;
877 middle: Quick clay specimen mounted in the plane-strain apparatus; Right: Schematic of the
878 specimen size and the axis direction adopted in this study.

879

880 Figure 6. Shear stress (τ) and nominal axial strain (ϵ) plots for the tested specimen.

881

882 Figure 7. DIC results (total and incremental shear strain field) for the T2 test. (a): Shear stress
883 deformation pattern the location of the images, P0 to P8, along the curve (b): Normalized pore
884 water pressure non-uniformity versus deformation behavior and the location of the images, P0
885 to P7, along the curve. (c): The total shear strain distribution on the sample surface computed
886 using the DIC technique. (d): The incremental shear strain distribution on the sample surface
887 computed using the DIC technique. *[Note: the colored images can be found in the electronic
888 version of this paper].*

889

890 Figure 8. DIC results (total and incremental shear strain field) for the T5 test. (a): Shear stress
891 deformation pattern the location of the images, P0 to P8, along the curve (b): pore water
892 pressure non-uniformity versus deformation behavior and the location of the images, P0 to P7,
893 along the curve. (c): The total shear strain distribution on the sample surface computed using
894 the DIC technique. (d): The incremental shear strain distribution on the sample surface
895 computed using the DIC technique. *[Note: the colored images can be found in the electronic
896 version of this paper].*

897

898 Figure 9. DIC results (total and incremental shear strain field) for the T6 test. (a): Shear stress
899 deformation pattern the location of the images, P0 to P8, along the curve (b): pore water
900 pressure non-uniformity versus deformation behavior and the location of the images, P0 to P7,
901 along the curve. (c): The total shear strain distribution on the sample surface computed using
902 the DIC technique. (d): The incremental shear strain distribution on the sample surface
903 computed using the DIC technique. *[Note: the colored images can be found in the electronic
904 version of this paper].*

905

906

907 Figure 10. Effective stress paths obtained for the plane strain tests. In this figure, s' represents
908 the mean effective stress $((\sigma'_z + \sigma'_x)/2)$, t denotes the shear stress $(\sigma'_z - \sigma'_x)/2$, and σ' are the
909 effective stresses; the subscripts z and x stand for the axial and horizontal direction in the
910 plane of deformation. The dotted line refers to a failure line corresponding to $c = 6$ kPa and φ
911 $= 26.5^\circ$. The selected c and φ values are typical for Norwegian quick clays.

912

913

914 Figure 11. Measured pwp (p_w) at the probe locations vs nominal axial strain (ε) plots for the
915 plane strain tests. The location of the probes on sample is shown on the plots. Here LP, RP,
916 CP, BP, and TP refers to left probe, right probe, central probe, bottom probe and top probe
917 respectively. Here, σ_{x0}' is the effective cell pressured as given in Table 3 and H is the sample
918 height which is equal to 120 mm.

919

920 Figure 12. Pore water pressure non-uniformities observed for quick clay (this study) and a
921 comparison with Shanghai silty clay reported by Yuan et al. (2013)

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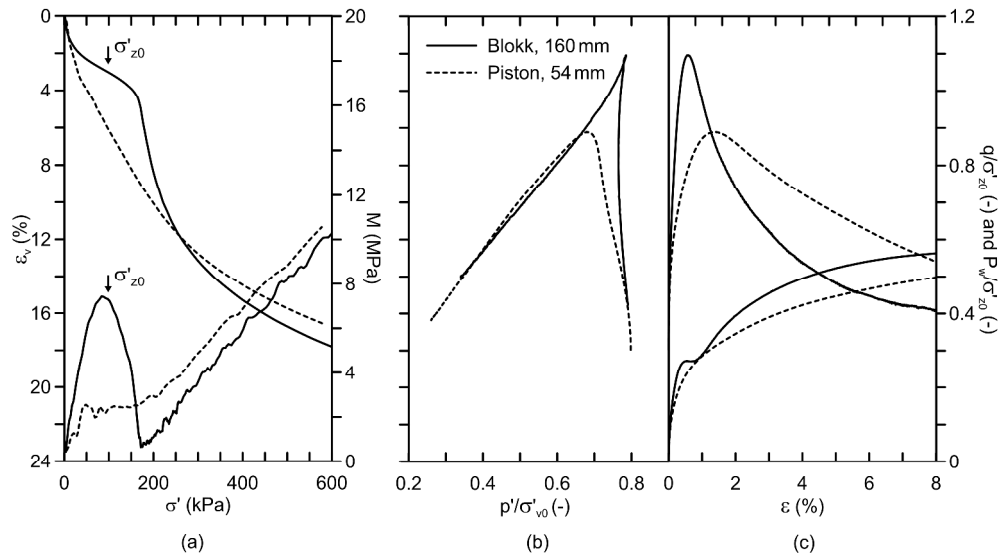


Figure 1. An illustration of the effect of sample disturbance on the results from consolidated undrained triaxial compression (CUC) test and constant rate of strain odometer on Tiller quick clays sampled using a 54 mm-diameter cylinder, and a 160 mm-diameter mini Sherbrook block sampler developed by Emdal et al. (2016). The CUC tests were done at 1.2%/hr strain rate and the CRS tests were done at 6.0 %/hr. The properties of the tested materials are given in Table 2. Here, ϵ_v is the volumetric strain P' is the mean effective stress, q is the deviator stress, M is the oedometer modulus,

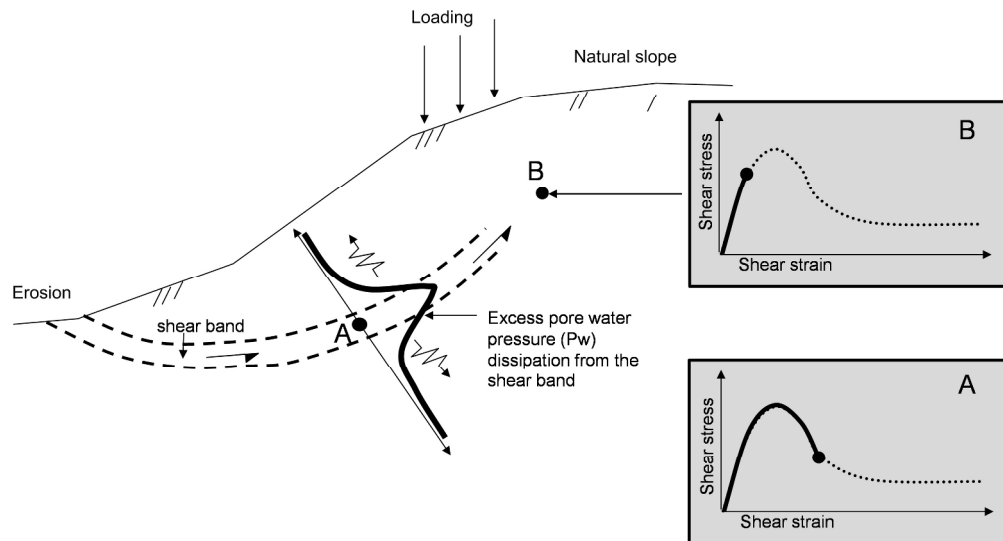


Figure 2. Excess pore water pressure may develop and then dissipate during the formation and propagation of shear bands in slopes and laboratory samples of quick clay. The shear stress and shear strain patterns for two soil elements A and B are shown in the figure. Element A is located inside the shear band whereas element B is outside the shear band. The element A is already subjected to strain softening whereas the element B is yet to reach to the peak shear stress.

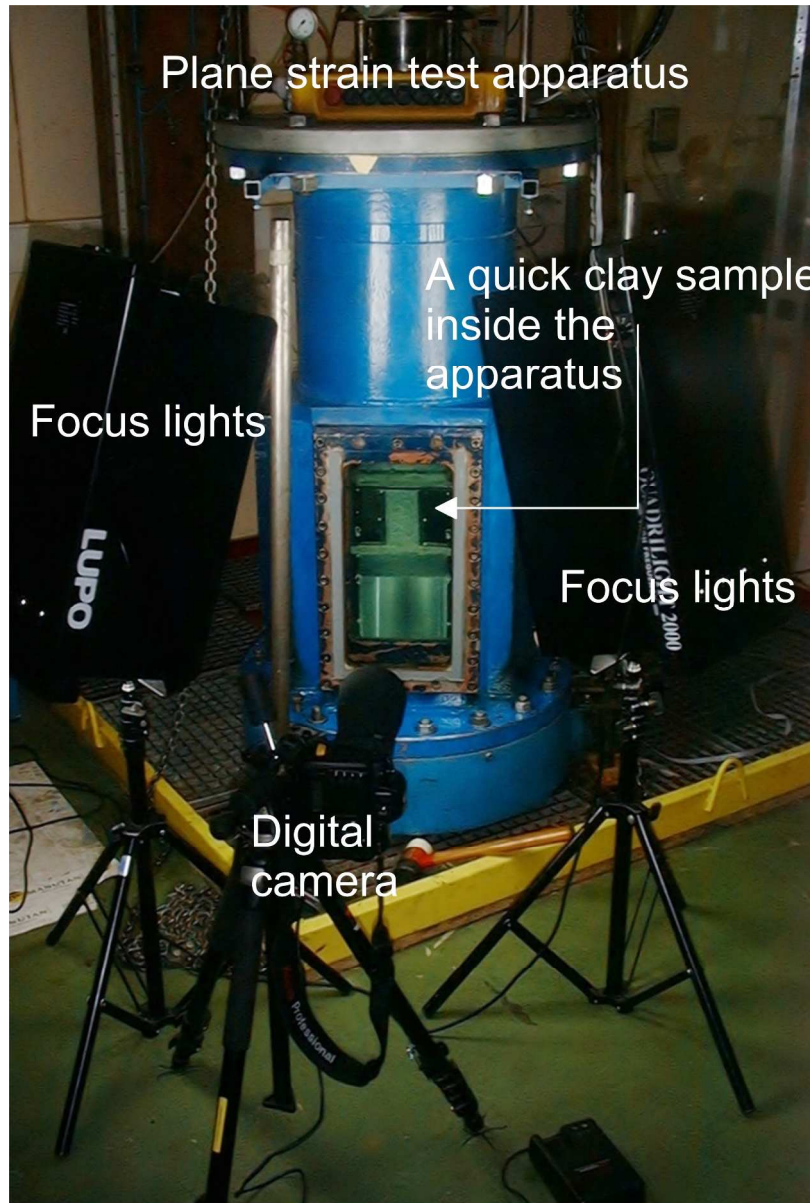


Figure 3: The plane strain apparatus

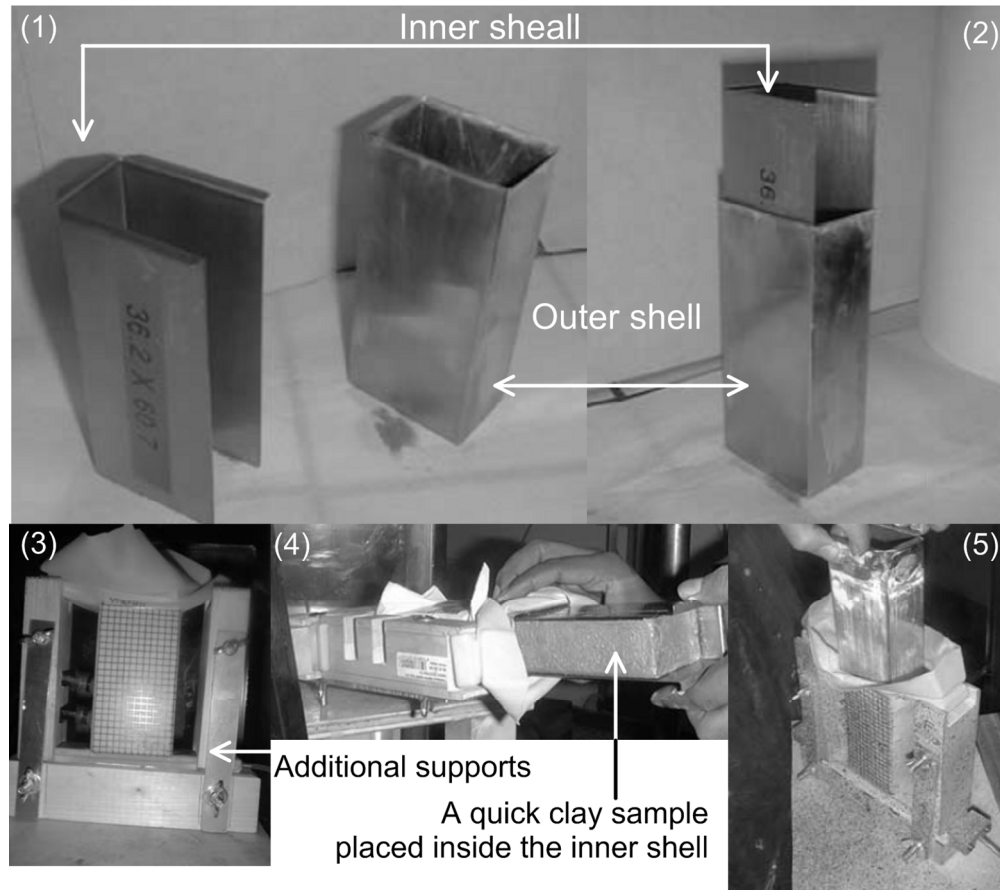


Figure 4. Specimen preparation for the tests: (1) Mold in the split form: outer and inner shells; (2) Assembled mold; (3) Membrane placed on the outer shell and lateral supports mounted to support the top plate; (4) Inner shell holding a specimen placed inside the outer shell; (5) Shells can be removed together, leaving the specimen inside the membrane.

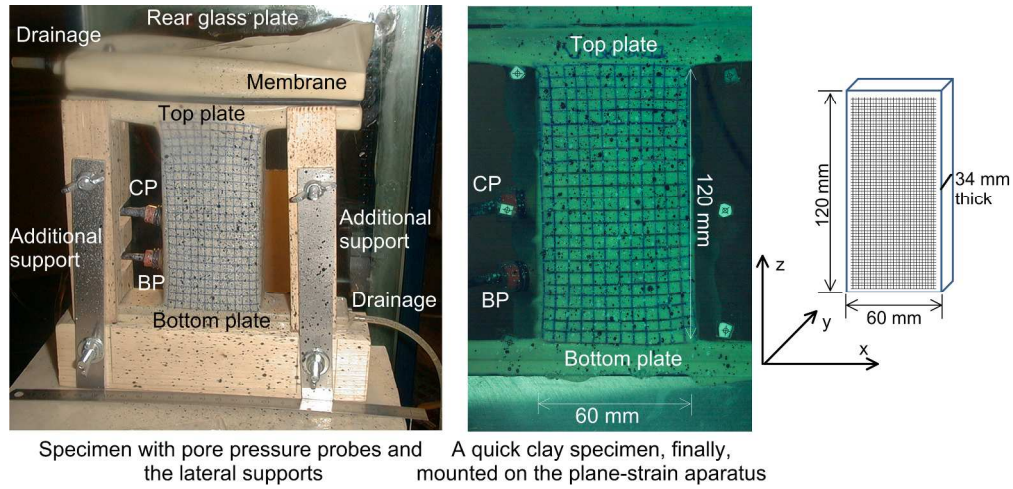


Figure 5. Left: Quick clay specimen with pore water pressure transducers and lateral supports; middle: Quick clay specimen mounted in the plane-strain apparatus; Right: Schematic of the specimen. The locations of the pore water pressure probes on the samples are shown, CP is the central probe and BP is the bottom probe.

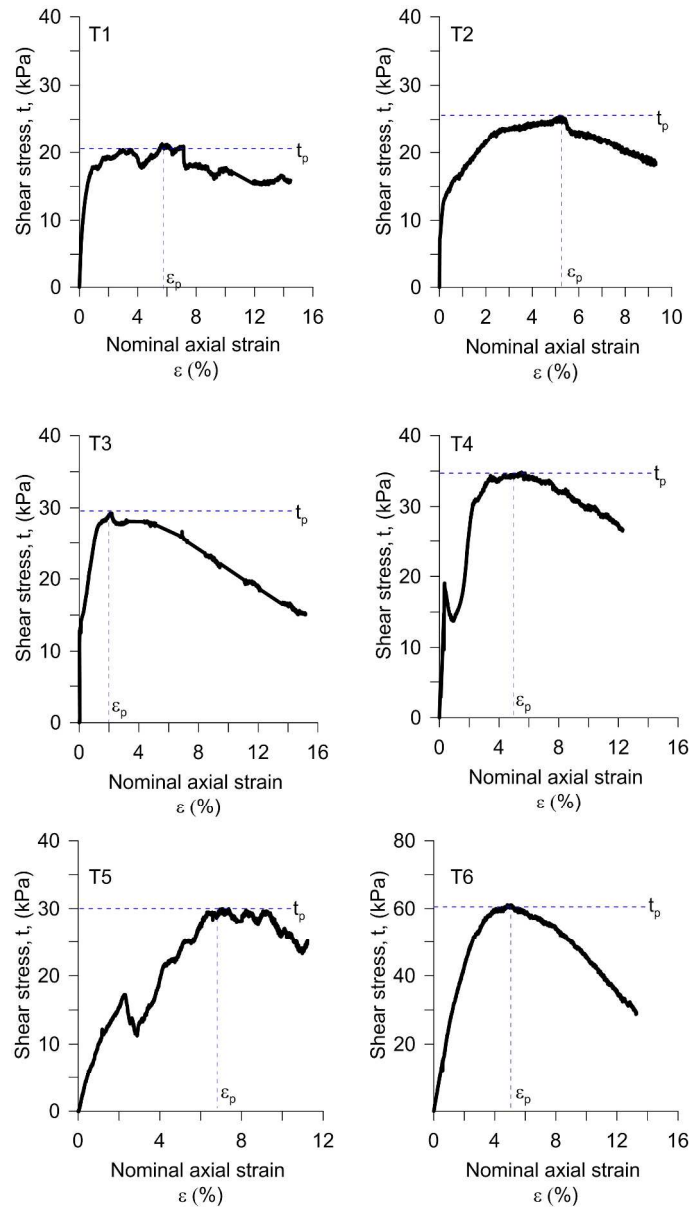


Figure 6. Shear stress (t) and nominal axial strain(ϵ) plots for the tested specimen

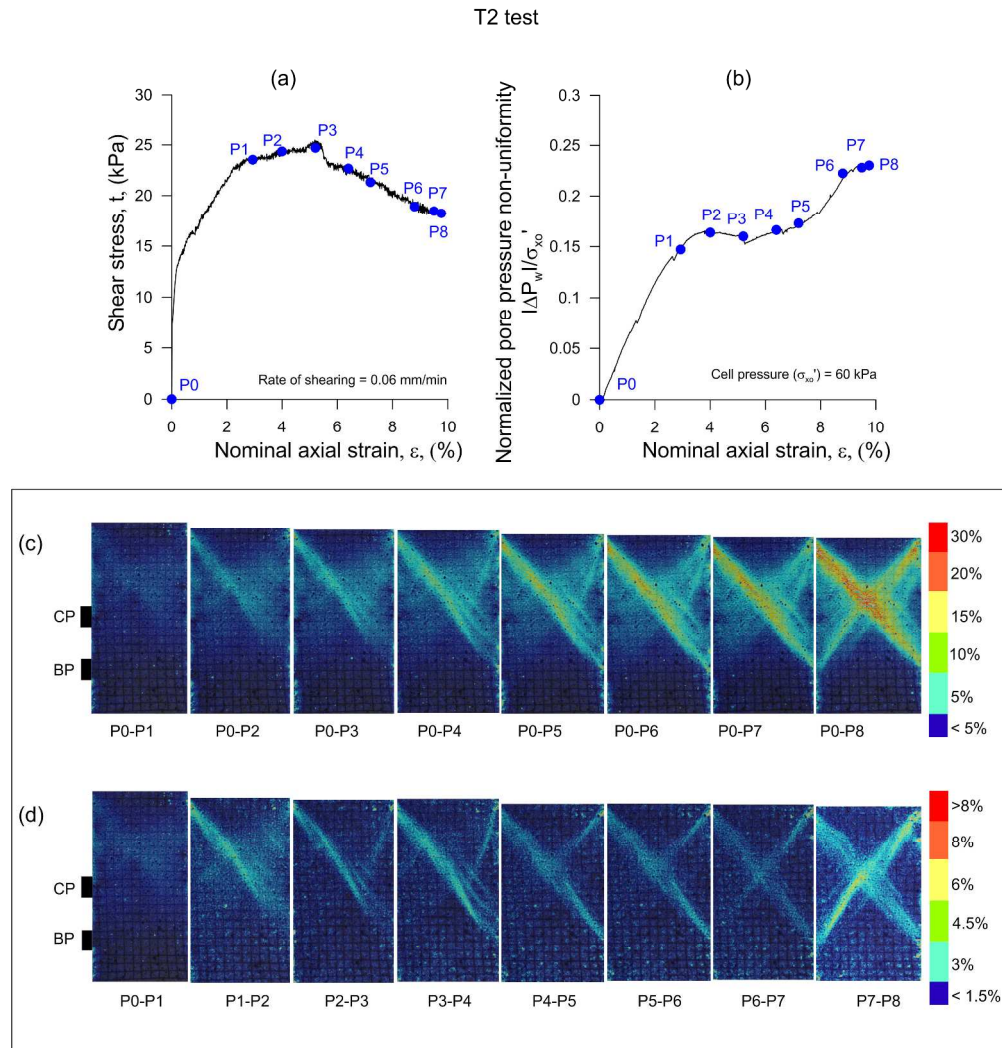


Figure 7. DIC results for the T2 test. (a): Shear stress versus relative deformation (nominal or globally measured strain). The positions P0 to P8 show where images are taken. (b): Normalized pore water pressure difference (CP – BP) versus relative deformation, (reset to zero difference at the end of consolidation). (c): The total shear strain distribution on the sample surface computed using the DIC technique. (d): The incremental shear strain distribution on the sample surface computed using the DIC technique. [Note: the colored images can be found in the electronic version of this paper].

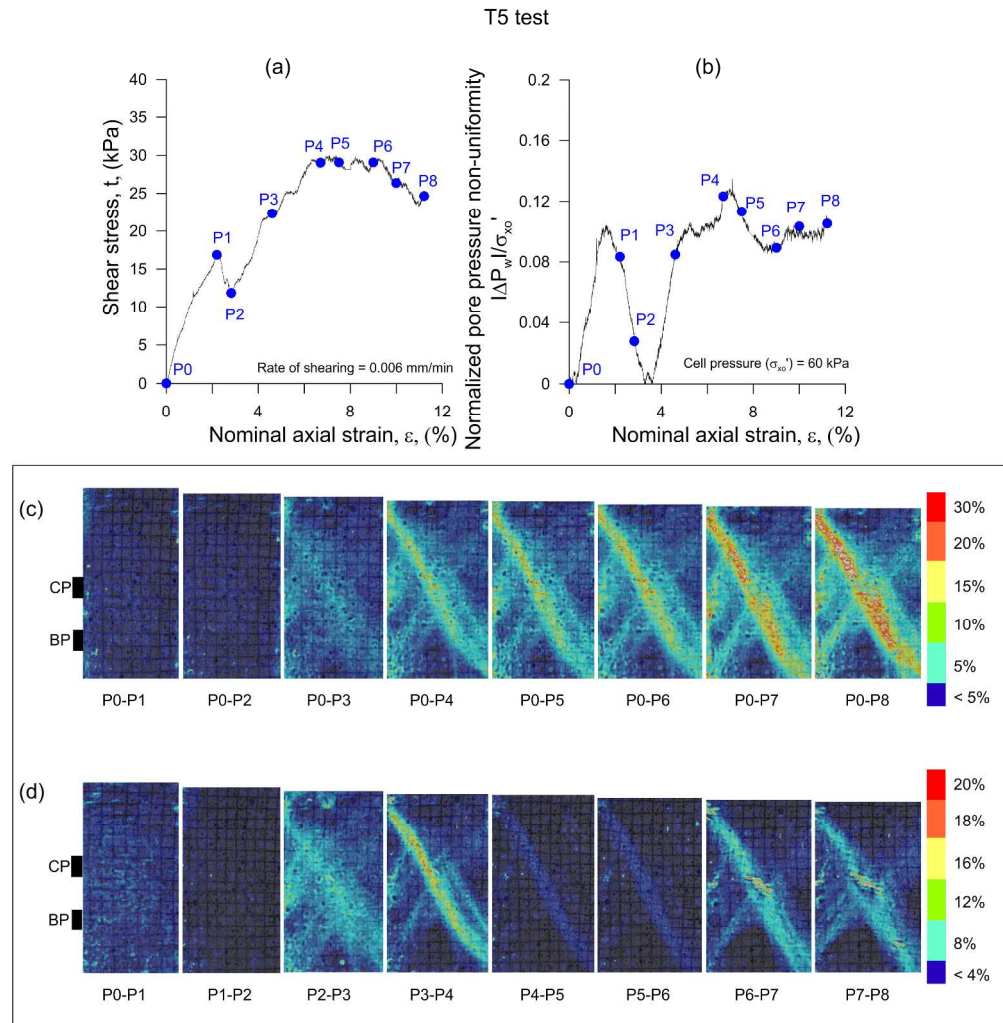


Figure 8. DIC results for the T5 test. (a): Shear stress versus relative deformation (nominal or globally measured strain). The positions P0 to P8 show where images are taken. (b): Normalized pore water pressure difference (CP – BP) versus relative deformation, (reset to zero difference at the end of consolidation). (c): The total shear strain distribution on the sample surface computed using the DIC technique. (d): The incremental shear strain distribution on the sample surface computed using the DIC technique. [Note: the colored images can be found in the electronic version of this paper].

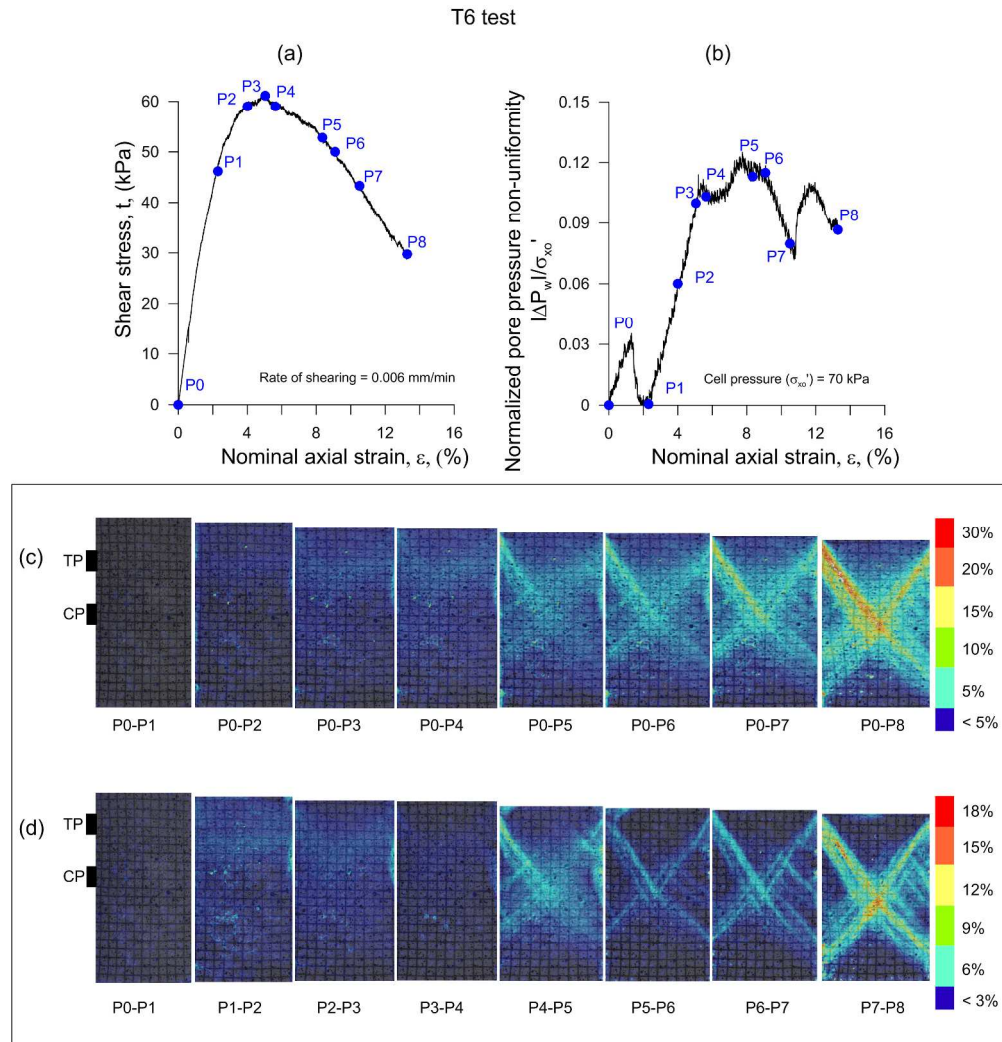


Figure 9. DIC results for the T6 test. (a): Shear stress versus relative deformation (nominal or globally measured strain). The positions P0 to P8 show where images are taken. (b): Normalized pore water pressure difference (TP – CP) versus relative deformation, (reset to zero difference at the end of consolidation). (c): The total shear strain distribution on the sample surface computed using the DIC technique. (d): The incremental shear strain distribution on the sample surface computed using the DIC technique. [Note: the colored images can be found in the electronic version of this paper].

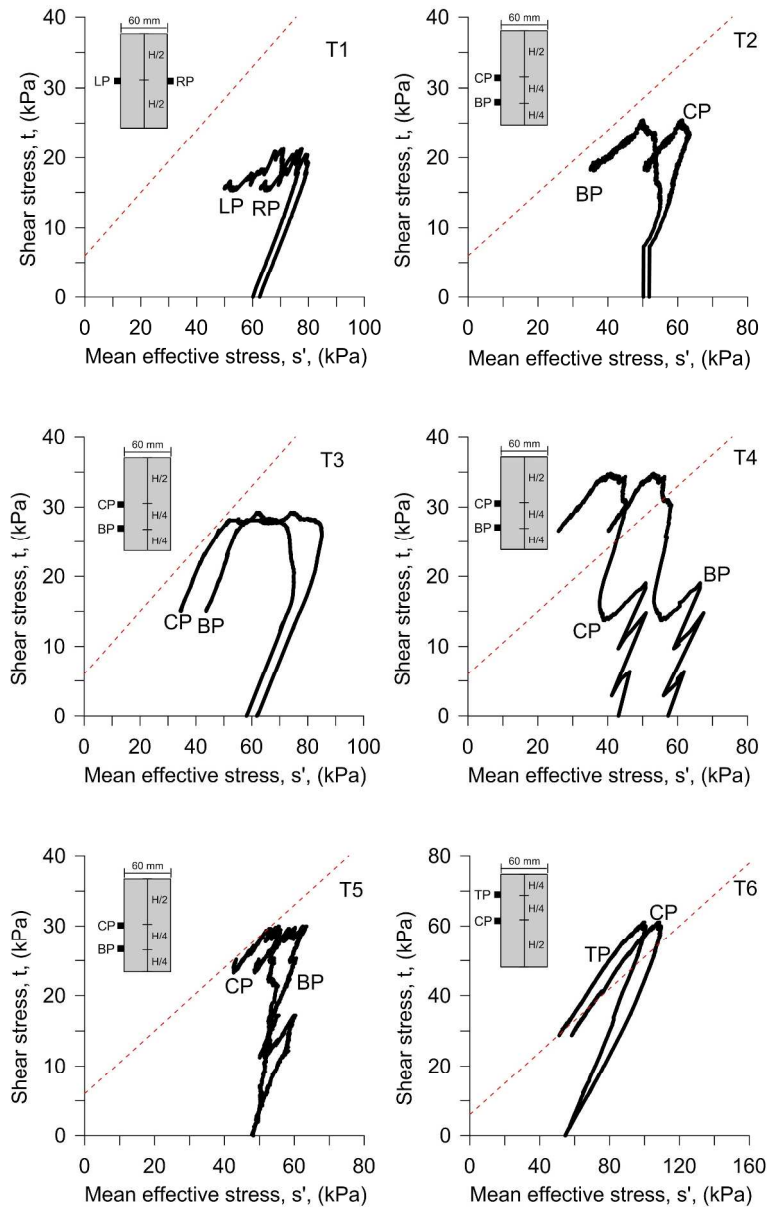


Figure 10. Effective stress paths obtained for the plane strain tests. In this figure, s' represents the mean effective stress $((\sigma'_z + \sigma'_x)/2)$, t denotes the shear stress $(\sigma'_z - \sigma'_x)/2$, and σ' are the effective stresses; the subscripts z and x stand for the axial and horizontal direction in the plane of deformation. The dotted line refers to a failure line corresponding to $c = 6$ kPa and $\phi = 26.5^\circ$. The selected c and ϕ values are typical for quick clays for this site.

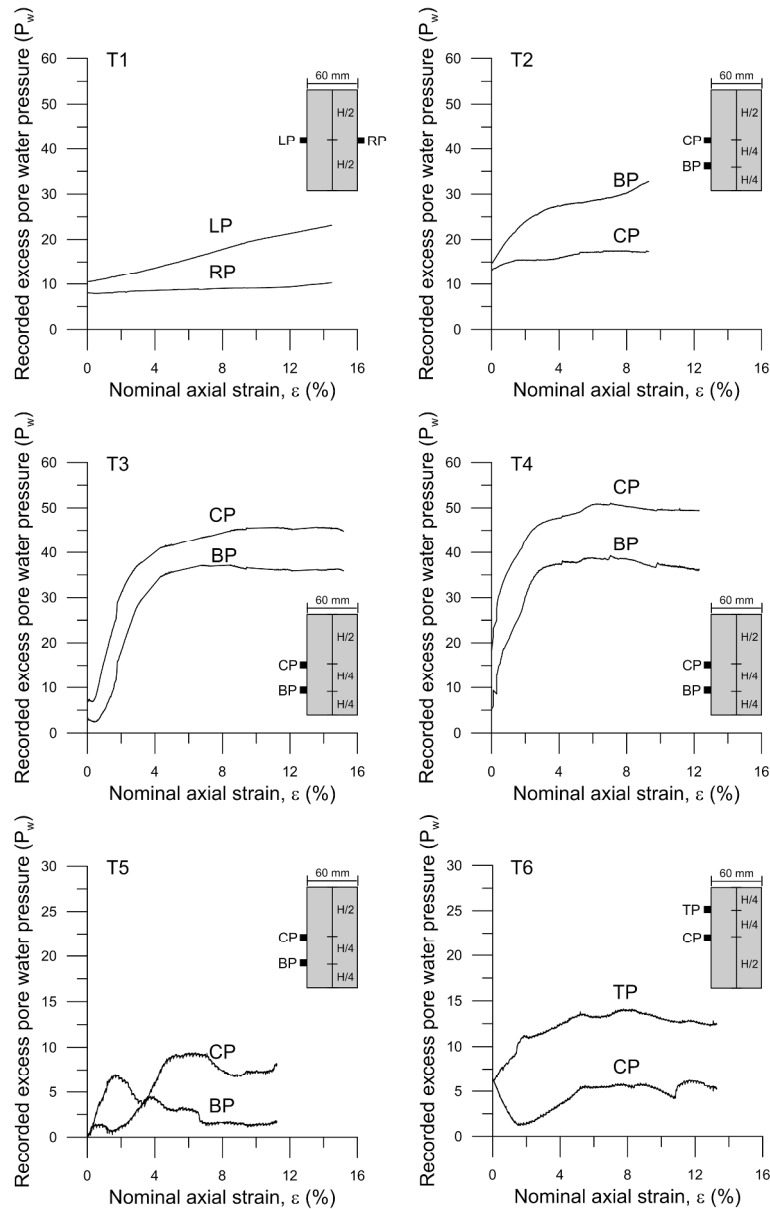


Figure 11 Measured pore water pressure at the probe locations vs relative deformation (ϵ) for the plane strain tests. The locations of the probes on the samples are shown. LP, RP, CP, BP, and TP refers to left probe, right probe, central probe, bottom probe and top probe respectively. Here, $\sigma_x \sigma'_x$ is the effective cell pressured as given in Table 3 and H is the sample height which is equal to 120 mm. The graphs focus on differences in pore water pressure development between the probes and is therefore set to zero at the beginning of the shear phase.

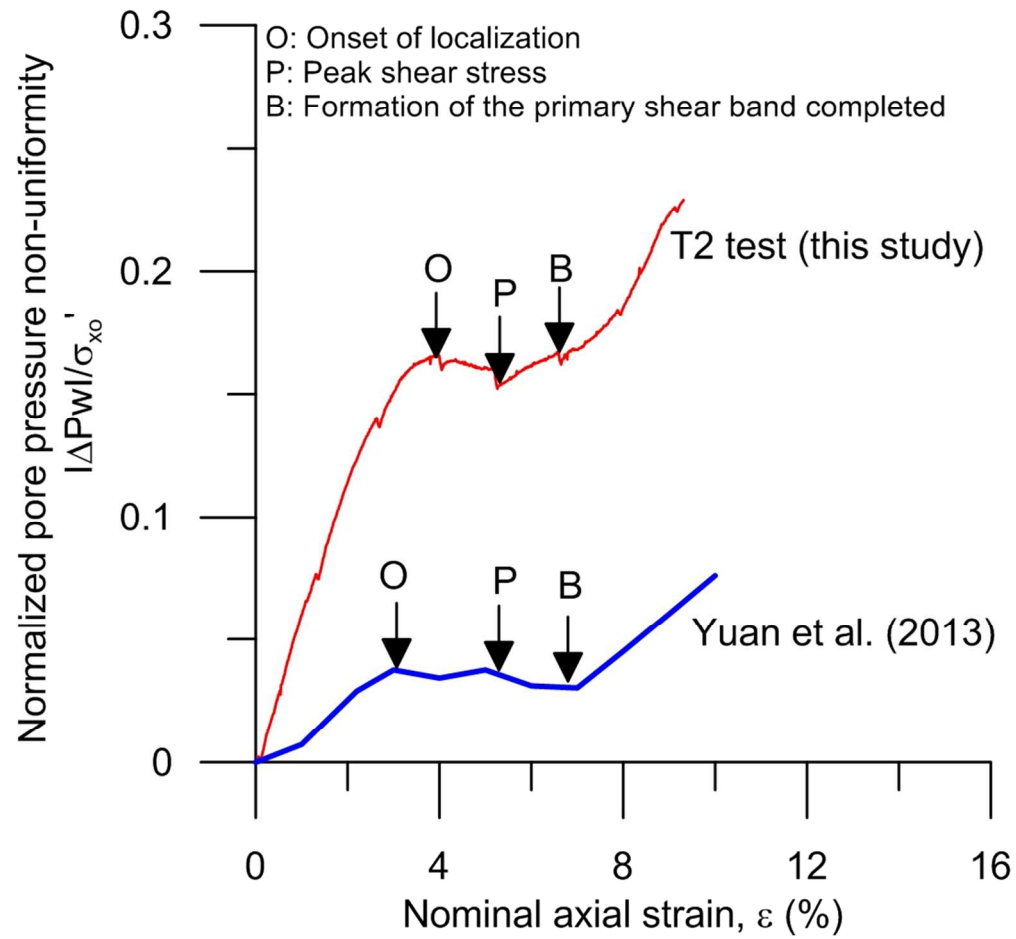


Figure 12. Pore pressure non-uniformities observed for quick clay (this study) and a comparison with Shanghai silty clay reported by Yuan et al. (2013)