1	The effects of hydropeaking on hyporheic interactions based on field experiments
2	
3	Roser Casas-Mulet ^{1,2} , Knut Alfredsen ¹ , Byman Hamududu ¹ and Netra Prasad Timalsina ¹
4	¹ Department of Hydraulic and Environmental Engineering, Norwegian University of Science
5	and Technology, Trondheim, N-7491
6	² corresponding author: <u>roser.casas-mulet@ntnu.no</u>
7	
8	
9	
10	
11	
12	
13	
14	
15	
16	
17	
18	
19	
20	
21	
22	
23	
24	
25	
26	
27	
28	
29	
30	
31	
32	
33	
34	

- 35 Abstract

Hydropeaking power production has the potential to pose serious challenges towards hydrology, water quality and ecology in the downstream water bodies. The effects of such abrupt changes of flow in hyporheic exchange have been explored in a few cases in the literature. This paper extends previous works with a study of finer time resolution in a river of a smaller size and with different climatic characteristics, adding to the current knowledge of peaking-hyporheic interactions. A high frequency logging field experiment measuring hyporheic flow and temperature was conducted on a ~30 by 20 m gravel bar frequently exposed to dry conditions due to fast and abrupt flow changes. This study demonstrates that hyporheic processes are sensitive to hydropeaking with respect to rates of change, durations and temperature. Differences individual events, seasons, watering and dewatering processes and positions in the river bed that can be potentially relevant to ecology were investigated. Understanding the complexity of those processes at the fine scale from the physical point of view is both important for the judgment of potential ecological impacts and for the future management of such regulated systems.

69 **1. Introduction**

Renewable energy production from wind and solar energy sources have put an increasing
emphasis on storage potential and load balancing needs in the energy system. Hydropower is
a well suited source for load balancing being the only renewable with a feasible storage
potential and production flexibility. Norway has at present 50% of storage potential in Europe
and shows vast possibilities for further increase (Catrinu-Renström and Knudsen 2011).

75 Hydropower load balancing and peak production (hydropeaking) poses a challenge in the

downstream river systems due to sudden water level fluctuations. Such dam operations can

alter hydrological, thermal and geochemical processes in the HZ (Sawyer *et al.* 2009).

78 Thermal alterations due to hydropeaking may result in potential ecological implications

79 (Toffolon *et al.* 2010, Zolezzi *et al.* 2011). Short regulation regimes can significantly

80 influence hyporheic exchange flows (Hancock 2002). Particularly sudden flow fluctuations

result in large water level differences governing surface water- groundwater interactions that

82 ultimately drive hyporheic dynamics (Maier and Howard 2011).

83 The hyporheic zone (HZ) plays an important role in freshwater ecology. Hyporheic exchange

is fundamental to vertical connectivity, transporting mass and energy between the sediment

and the water column, resulting in a mixing chemistry that contributes to the energy and

nutrient cycles (Malard *et al.* 2002). The HZ supports unique communities of benthic

organisms (Boulton 2001) and serves as spawning grounds for fish (Power *et al.* 1999). The

HZ has the potential to act as refugia against drifting for macroinvertebrates during sudden

high flows (Bruno *et al.* 2009), to serve as thermal benthic shelter (Wood *et al.* 2010), and as

90 potential refugia for stranded fish (Saltveit *et al.* 2001) during low flows. However, living

conditions in the hyporheic can also be negatively affected, such as fish embryo mortality due

92 to hypoxic groundwater dominated HZ (Malcolm *et al.*, 2008).

Hyporheic water quality change naturally on inter-annual basis (Soulsby *et al.* 2009), but

sudden flow changes due to regulation may alter such dynamics (Nyberg *et al.* 2008). High-

95 frequency field logging studies of the physico-chemical processes in the HZ have been proved

to be the right approach to examine hyporheic dynamics (Malcolm *et al.* 2006, Malcolm *et al.*

97 2009), providing means to capture short-term and abrupt changes. Several studies on

98 hyporheic exchange in regulated river have been undertaken (Arntzen *et al.* 2006, Fritz and

99 Arntzen 2007, Gerecht et al. 2011, Hanrahan 2008, Sawyer et al. 2009), particularly focusing

100 on such abrupt flow fluctuations. On them, emphasis is made on the need of further sitespecific and high temporal resolution data. More knowledge on the interaction between 101 fluctuating flow and hyporheic processes is needed to fully understand the potential impacts 102 103 of peaking river flow. Of particular interest are the consequences of sudden stops in 104 hydropower production flow (later referred to as "production") on hyporheic processes at 105 scale relevant for the response of hyporheic fauna. Findings will be both important for 106 understanding impacts and for mitigation of adverse impacts and management in regulated 107 rivers.

108 This paper aims to evaluate whether the current findings in the literature are valid for a small 109 heavily regulated stream in a cold climate context. It focusses on the low flow periods 110 between sudden production stops and starts and it extents previous approaches by studying 111 hydropeaking with faster stage changes in a finer time resolution on a smaller spatial scale. 112 Specific objectives are: (i) to assess the changes in hyporheic water elevation in the HZ by characterizing dewatering (falling limb) and flooding (rising limb) in using key hydrological 113 parameters; and (iii) to investigate the extent of temperature changes in the HZ due to surface 114 thermal alterations caused by hydropeaking.. 115

116

117 **2. Methods**

118 2.1.Study site

The Lundesokna River (central Norway) is a regulated tributary to the Gaula River with a 119 hydropower system of 395 km² in catchment consisting of three regulated reservoirs, three 120 power plants and three interbasin transfers mainly located in the headwaters, characterized by 121 122 high gradient streams (Figure 1A). The lower parts of the Lundesokna mainstream are characterized by average channel widths between 15 and 25 m and mild gradient. The soil 123 124 surface in the Lundesokna catchment is dominated by thin moraine with fluvial and fjord depositions. The aquifer consists of alluvial deposits or eskers with relatively shallow 125 groundwater (Hilmo, 2007). 126

Sokna, the lowermost of the power plants in the system, operates according to daily and
weekly market price fluctuations *vs* water availability in the reservoirs, resulting in the lower
4 km being subject to periodical hydropeaking operations that result in flow fluctuations with

130 a typical range from 20 m³ s⁻¹ to 0.45 m³ s⁻¹. This translates into changed in stage of up to 1 m 131 in less than 20 minutes.

The study site was a 30 m by 20 m (at maximum exposure to dry conditions) side gravel bar located on the left bank of a bend 700 m downstream Sokna hydropower plant outlet (Figure 1C). Grain size distributions in the upstream and downstream of the side bar were 33-36 mm in D_{95} and 12-17 mm in D_{50} , and gradient along the bend was 0.29%.

136

137 2.2.Experimental design

138 We established a network that consisted of 12 piezometers installed across and along the study site at several depths below the streambed, ranging from 0.25 to 0.65 m at the time of 139 installation. They were inserted in the upstream and downstream part of the gravel bar in 140 groups of 1 and 3 vertically nested piezometers across the transect slope (Figure 1D). A 141 142 specially designed metallic instrument consisting on an outer casing and a pointed driver rod fitting inside the casing with a sturdy top (Baxter et al., 2003) was used for installation. A 143 144 sledgehammer aided insertion of the instrument in the ground. Once the instrument was 145 inserted into the ground, the inner driver was pulled out and a piezometer was inserted. The 146 outer casing was later pulled out with the help of its two lateral handles leaving the 147 piezometer in the ground. Installation was carried out during low flows. Piezometers ABC 148 were located along the exposed gravel and were in contact with hyporheic water. Piezometers W were used to measure stage as they were located at the permanently wet area. Coordinates 149 and elevations were surveyed using a Leica[®] GS10 differential GPS (Leica Geosystems, 150 151 USA) with a reported accuracy of 10 mm.

Several 0.032 m inside diameter Durapipe[®] (Durapipe UK, UK) were used to construct the 152 piezometers. They were sealed at the lower end allowing a small aperture for drainage. The 153 bottom 0.15 m was perforated with several 5 mm holes and a 1mm mesh was placed on top to 154 prevent excessive sediment intrusion. Eijkelkamp[®] (The Netherlands) Divers water pressure 155 156 transducers with integrated temperature loggers were inserted at each of the piezometers and provided 1 to 4 minutes resolution in water pressure (± 0.5 cm accuracy) and temperature 157 158 (±0.1°C accuracy) data. All loggers were previously calibrated in the lab for accuracy and 159 resolution. The experimental design was based on Malcolm et al. (2004).

160 Additionally, one VEMCO[®] Minilog-II-T (Vemco Group, Australia) temperature logger

- 161 $(\pm 0.1^{\circ}C \text{ accuracy})$ was installed 400 m upstream the study site at Sokna power plant outlet to
- 162 measure surface water temperature (Figure 1B), and one Eijkelkamp[®] Baro Divers was
- located at the left bank of the site to measure air pressure (± 0.5 cm accuracy) to compensate
- 164 the absolute pressure readings in the piezometers, and air temperature ($\pm 0.1^{\circ}$ C accuracy).

165 All data was collected between December 2011 and June 2012. Additional geometric and

discharge data were collected at the selected site between 2010 and 2011. Geometric data

167 were obtained during several low flow events with means of laser scanner (Topcon[®] GLS-

- 168 1000, Topcon Corporation, Japan) for dry areas combined with Topcon[®] RTK differential
- 169 GPS xyz point data (for water covered areas).
- 170

171 2.3.Data analysis

Data analysis was done focusing on the low flow period between a production stop and the
end of the rising limb following production start. Figure 2 illustrates a typical hydropeaking
event describing the use of nomenclature in 4 identified periods: (i) high flow period, (ii)
falling limb, (iii) low flow periods and (iv) rising limb; and five key time steps: (i) start of the
falling limb, (ii) end of the falling limb, (iii) minimum stage, (iv) start of rising limb and (v)
end of rising limb.

178 Hyporheic water elevations variations with stage changes

179 Stage (positions W) and hyporheic water elevations (positions A, B, C) changes were first 180 analyzed for the full-length of the studied period (December 2011 to June 2012), followed by 181 analysis on specific periods and individual events assessment. Water elevation data measured in the pipes was compensated against air pressure and adjusted to field measured water 182 elevations along and across the study site. All data (except data from positions A due to its 183 184 exposure to dry conditions for some of the episodes) was input into a Visual Basic based tool to obtain the 5 key time steps for each individual hydropeaking event. A minimum stage value 185 186 of 30.8 m.a.s.l. was used to identify significant low flow periods (in which great part of the 187 gravel bar was exposed) and to obtain two threshold points (point 1 and 2) in each individual hydropeaking event. The start of the falling limb was identified as the maximum point within 188 10 minutes before point 1; and the end of the rising limb as the minimum point within 10 189

190 minutes after point 2 (ten minutes was considered the maximum time lap in which the water was rising or falling from the 30.8 m.a.s.l. threshold). The minimum point between points 1 191 192 and 2 was identified as the minimum water elevation of the hydropeaking event. The stop of 193 the falling limb and start of the rising limb were then found as the first derivative of the water 194 elevation respect to time (Figure 2). The results of the analysis were plotted and visually 195 checked. Following the application of the mentioned tool, data obtained was used to calculate the following parameters for each of the hydropeaking events: maximum and minimum 196 stage/water elevation, maximum stage fall/rise, duration of falling/rising limb and low flows, 197 198 rates of falling/rising limb change, maximum water depth below the ground and time to reach the minimum stage/water elevation after the falling limb. 199

200 Two representative hydropeaking events with full data availability were selected for further

analysis. Hyporheic water elevations were analyzed for an event of average duration

202 occurring in January and a long duration event occurring in February. The above mentioned

203 parameters were then calculated for the upstream and downstream piezometer transects

including pipes A1, B1, C1 and W1 and A4, B6/B7, C3 and W2.

205 Differences in head pressure and VHG variations with stage changes

Measurements of surface and hyporheic water elevations for all positions were used to estimate differences in head pressure for all hydropeaking events occurring during the study period, and Vertical Hydraulic Gradient (VHG) for a selected event in February. They were calculated for each of the 5 key time steps of a single event, and in addition both the middle of the falling and rising limbs.

211 Water temperature analysis

A total of three hydropeaking events were chosen to analyze hyporheic water with stage

213 changes. The chosen events occurred in January, April and June respectively. Temperature at

214 positions ABCW and surface water was plotted against stage.

All data process and analyses were carried out in the software package R, version 2.14.1 (*R*

216 Development Core Team, 2012). Sigma Plot version 12.0. was used for graphical

217 presentations.

218

219 **3. Results**

220 3.1. Hyporheic water elevations variations with stage changes

Figure 3 illustrates the hydropeaking regime in Lundesokna for the study period with the correspondent air temperatures. A total of 54 low flow episodes were identified. Forty-seven of those occurred between December and March, when air temperatures reached the minimum of the period. This followed a period of natural flooding in spring and less frequent peaking was detected towards the summer. Fifty four events occurred during the night, 20 of which extended to the next day.

Table 1 summarizes key hydraulic parameters for the whole study period at positions B1, C1,

W1 and B6, C3, W2. High variability in both the time to reach the minimum stage after

decrease and the total low flow duration was detected for the whole period. This was due to

the variant patterns in production and operation strategies, ranging from very short events of

zero minutes low flow duration to some very long events of more than 10 hours duration.

Table 2 and Figure 4 summarize and illustrate durations and minimum stages the two selectedhydropeaking events occurring in January and February at positions A, B, C and W in the

upstream and downstream cross sections. Minimum stages in the event in January reached

stages down to 30.27 m and had an average duration of some 5 hours, whilst the one in

February showed higher minimum stages of 30.39 m and a duration of >18 hours. In both

cases, minimum stage was achieved towards the end of the low flow episode after a

progressive slow decrease between 1 and 16 cm from the end of the falling limb. This

indicated a slow emptying in the ground during low flows as stage continued to decrease

down to a minimum level, at which it could hold the water until production started again. In

the January episode, stage increased slightly from the river to the banks after reaching the

242 minimum and it is thought to be due to an increase on residual flows.

Despite evident variability between individual events, in general rates of changes were slower
during the falling limb (between 1.2 and 2.6 cm min⁻¹) than during the rising limb (3.2 - 5.2
cm min⁻¹) for all positions. Differences can be explained by higher total stage increase and
lower durations in the rising limb.

Longitudinally (upstream *vs.* downstream cross sections), differences were minimal by
comparing positions B1and B6, C1 and C3 and W1 and W2 (positions A1 and A4 were at

- slightly different positions in the cross section level). However, consistent differences in low
- 250 flows minimum stages and falling and rising rates were detected between cross sectional
- 251 positions. Falling and rising rates generally decreased from positions closer to the river

thalweg (W) to positions closer to the banks (A). Minimum stages were in all cases

253 progressively higher from positions W to A, showing a sloping water level towards the river

in all cases. In positions C, the water level was found some mm below the ground, whilst in

positions A, it could be found down to 0.6 m (Table 2).

Along the cross section, water fell and rose faster at positions W and progressively slowed

down at positions A. In both cases, the first minutes of the fall and rise were the quickest,

258 illustrated by a major separation between lines, and they slowed down at the end of the event,

259 lines being closer. Falling and rising limb durations showed quick responses in W and C in

comparison to B and A positions.

This general tendency shows an exception in position C1 located at the upstream transect, that
showed higher rates of change than those in W1. In this position, an influx of lateral
interstitial flow was observed during field campaigns. The above results and observations

support the initial hypothesis of lateral inflow from the ground occurring.

265

3.2. Differences in head pressure and Vertical Hydraulic Gradient (VHG) variations with
 stage changes

Figure 5 illustrates the differences in head pressure between the river and the piezometers. At the cross section level, the mean difference in head pressure was in most cases higher in the pipes that were closer to the bank (A and B), during all the stages. Longitudinally, the mean difference is head pressure kept almost the same for all B positions with few exceptions. In both cases, the highest variability was shown in the middle of the rising limb and the highest values at the end of the falling limb. The highest mean values of head difference were found at the end of the falling limb.

Figure 6 illustrates that VHG is positive from the start of the falling limb until the start of the

rising limb, showing potential upwelling. In the middle of the rising limb, VHG becomes

highly negative and this potential downwelling is shown until the end of the rising limb. At

stable high flows, VHG is almost zero.

Figure 7 illustrates changes VHG in relation to water elevation for the mean three stages of a 279 hydropeaking event including the falling limb, the minimum stage and the rising limb at 280 positions A, B and C. The relationship between river stage and VHG demonstrates hysteresis 281 282 in all positions. As the river stage decreases (falling limb), VHG is positive indicating 283 potential upwelling. As the river reached the minimum stage, VHG reached almost zero, but 284 continued positive in all positions. During the rising limb, VHG became negative indicating potential downwelling. Absolute VHG values were greater in all cases during the rising limb 285 than during the falling limb, indicating a greater downwelling potential than upwelling. 286

At the transect level (Figures 6 and 7), positions C present greater VHG in all stages than positions B and A progressively. The closest to the river thalweg, VHG changes are more sudden, presenting higher values both during upwelling and downwelling.

290

291 3.3. Water temperature analysis

Water temperature changes with stage variations are illustrated in Figure 8 for several
hydropeaking events occurring in January, April and June, representing cold, temperate and
warm periods respectively. Those are illustrated for positions W1 and B1 only, limited to full
data availability. Figures 9 illustrate such temperature changes for an individual hydropeaking
event occurring in each of the periods.

In January, with minimum air temperatures reaching -0.9 °C, as water stage fell due to 297 production stop, surface water temperature immediately decreased from 0.8 °C to 0.2 °C and 298 continued to slowly dropping down to 0 °C. This can be explained by the dominance of river 299 water that is cooler in comparison to the water that was released from the reservoir. During 300 301 stage rise, temperature suddenly increased up to 1.2 °C and quickly reached back the original 302 0.8 °C. An increase in surface temperature due to production start can be expected due to a 303 dominance of water from the reservoir. The sudden and short peak in temperature before 304 reaching the original temperature can be explained by the initial release of the water that had 305 been standing in the tunnel system and subject to warming. Surface temperature shows an 306 expected thermo peaking pattern for the winter period. In the ground temperature changes 307 were less obvious. They showed a slight increase as the water stage fell and reached initial 308 values slowly after the stage increase. Temperatures in position W1 were lower than in C1

and B1, due to the influence of surface water. In A1, the lowest temperatures were result of
the exposure to dry conditions and air temperatures below zero for longer periods than in the
other positions.

In April the temperature in the river was higher than in the reservoir, therefore when

production stopped, the influence of the natural river water increased temperature from 0.8 to

nearly 2 °C. In the ground, as in January, water temperature increased slightly after the falling

limb and increased 0.2 °C (in position B1) during low flows. After the rising limb,

temperature fell back to the initial 1 °C. This behavior can be explained in positions B1 and

317 C1 as a potential influence of ground/interstitial water. In position W1 due to a greater

influence from the river water temperatures remained stable at $\sim 1^{\circ}$ C. In June (minimum air

temperature 9.6 °C), overall water temperatures were higher. Temperature in the river was

also higher than in the reservoir. During the falling limb, surface temperatures started at 7 $^{\circ}$ C

and rose to $2.5 \,^{\circ}$ C due to natural flow dominance. After the rising limb, they fell with 2 $^{\circ}$ C.

Positions A1 and C1 kept an almost constant temperature, A1 was 0.5 °C warmer than C1 due

to the influence of air temperature. At position B1, temperature slowly decreased 0.5 $^{\circ}$ C as the

324 river water recessed and suddenly increased 1.5 $^{\circ}$ C as the stage rose.

In all cases, temperature shows a slower rate of change during the falling limb than during the rising limb.

327 Figure 10 illustrates a summary of the above discussion in terms of hydraulic

328 (upwelling/downwelling potential) and temperature development with stage changes at the

329 cross section level on a typical winter (cold), spring (temperate) and summer (warm)

330 hydropeaking scenario.

331

332 **4. Discussion**

The pattern observed in Lundesokna is representative of a typical hydropeaking regime in Norway, characterized by irregular flow patterns with important differences in occurrence and durations between events. The highest concentration occurred in the cold periods due to higher energy demand, and the high variability in low flows durations can be explained by the operation strategies of the power company. Such variable patterns poses a challenge for the prediction of potential environmental impacts of hydropeaking events and to enable a simple assessment based on one characteristic event. Hanrahan (2008) emphasizes the difficulties to

340 measure surface-subsurface exchange due to the spatial and temporal complexity of the

341 hyporheic zone. In this paper, the spatial scale is much smaller and the logging frequency

342 much shorter allowing catching the variability in single hydropeaking time steps, and

343 therefore contributing to a better knowledge of the processes at this scale. Some general

patterns in terms of the hydraulic behavior at the small scale can be drawn.

During any individual hydropeaking event, the falling and rising limb showed remarkable 345 346 differences in terms of hydraulic behavior, as expected. The falling limb decreased 347 significantly slower than the rising limb increased. The slow decrease during the falling limb 348 can be explained as a combination of bank seepage but mainly subsurface return flows to the 349 river that controlled the rated on which the water flowed out of the bar with a modest head 350 gradient. In contrast, during the rising limb, a very steep vertical gradient from the overlying 351 stream water to the bar surface results in a faster refill of the gravel bar with stream water. 352 The quick response of subsurface flow paths to such dam operations was already discussed in Sawyer et al. (2009) and Francis et al. (2010). In the latter, they illustrated a case of 353 indistinguishable bank storage from hyporheic exchange, which coincides with the results 354 355 found in the present work.

356 Potential upwelling as a result of positive VHG was shown during the falling limb and during 357 the minimum stage. Potential downwelling (negative VHG) appeared only between the 358 middle and end of the rising limb, but with a greater absolute magnitude than during the falling limb, supporting the above explanation. The findings coincide with Gerecht et al. 359 360 (2011), showing that an entire transect is gaining when the river at its lower stage and loosing when it is at its maximum stage. Moreover, low flows periods reached a stable minimum 361 stage level after the end of the falling limb. A delay with a continuous but slow decrease until 362 363 reaching the minimum was reported. Hanrahan (2008) showed the variations in head pressure 364 and VHG between stable and unstable flows at different sites. Variability and magnitude of 365 VHG was higher during unstable flows than in stable flows, coinciding with the findings in 366 this paper. Variations in VHG showed a hysteretic relationship depending on whether the 367 river stage was relatively high or relatively low as shown in some of the sites in Arntzen et al. (2006) and Gerecth et al. (2011). Such studies included data from a greater spatial scale, with 368 369 variability between sites. In the present paper, little differences in VHG magnitudes between 370 the downstream and upstream cross sections were found, as expected due to the scale of the

371 field experiment. All locations showed a strong hysteretic relationship that could potentially

be explained, as hypothesized in Arntzen *et al.* (2006), by a relative low hydraulic

373 conductivity. Small differences observed between the upstream and downstream cross

sections are however difficult to relate to hydraulic conductivity changes given the available

data in this study, leaving only local differences in granulometry as the potential cause for

376 such differences.

377 Both the falling and rising limb of a hydropeaking event pose a change on the natural 378 environmental conditions. Whilst the rising limb means a variation from low to high flows; 379 the falling limb translates into a change from wet to dry conditions. During the rising limb, 380 the sudden increase in discharges have the potential to initiate "catastrophic drift" in some 381 areas of the permanently wet stream bed as reported in Gibbins et al. (2007); but it also can 382 contribute to a high exchange of nutrients or displacement of sediments due to the great 383 downwelling potential (Malard et al. 2002). During the falling limb, the rate of change can be 384 high enough to prevent organisms such as macroinvertebrates or juvenile fish from the chance 385 to react to the water level sudden decrease and to be exposed dry conditions, resulting in stranding (Saltveit et al. 2001, Bradford 1997). On the other hand, it is during the falling limb 386 387 where the upwelling of subsurface water shows the greatest potential, likely to contribute to a 388 higher diversity of lotic habitats (Malard et al. 2002, Stanford and Ward 1993).

389 Low flows can be seen as critical episodes in a hydropeaking event, especially in winter, 390 when most of the production occurs in Norway due to the higher energy demand. Such episodes can be particularly critical for organisms if they have long durations (Halleraker et 391 392 al.2003, Saltveit et al. 2001). During low flows, organisms such benthos and fish have been reported to search for potential shelter in the ground (Bruno et al. 2009, Saltveit et al. 2001). 393 A delay on reaching the minimum water elevations and continuous upwelling might mean a 394 395 better chance to find refugia; but the final hyporheic water elevation plays an important role 396 for the survival of in-stream organisms with limited mobility such as fish embryo, as they can 397 be left exposed to dry and freezing conditions for long periods and die (Skoglund *et al.*, 2012; 398 Casas-Mulet *et al.* submitted; Vollset *et al.*, unpublished data); or for fish in entrapped pools 399 that might not survive if the drainage period is too low (Irvine et al. 2009). In both cases, the 400 duration of the productions stop becomes a key factor. This outlines the importance of hydropower operations management to be used for the benefit of freshwater organisms that 401 depend on the hyporheic zone. Some examples include the adjustment of dam operations to 402

protect salmonid embryos (Arntzen, *et al.* 2009), and the alteration of flows to prevent
dewatering after spawning and reduce stranding following emergence (Skoglund *et al.*, 2012;
Harnish et al., 2014).

406 Differences in hydraulic behavior were found between cross sectional positions (ABCW 407 positions) and very similar hydraulic behaviors were found between B positions (with a 408 longitudinal arrangement). Positions closer to the thalweg (C and W) presented the highest falling and rising rates of change, those also presented the highest minimum stages, which 409 410 meant water was found only a few cm below the ground. But in positions closer to the banks which presented smoothed rates of changes, the depth of hyporheic water below the ground 411 412 reached down to 60 cm. This coincides with the findings in Gerecht et al. (2011), describing 413 the extent of the hyporheic zone is much shallower and the exchange time is much smaller 414 near the river thalweg than in the bank.

415 The specific location of the organisms across the bed transect when a low flows hydropeaking 416 event occur can therefore be an important factor influencing their possibilities for shelter in 417 the ground and hence for survival. In terms of rates of change, especially during the rising 418 limb, organisms located closer to the thalweg will experience the changes much more acutely than those located closer to the banks. During the falling limb and low flows, positions closer 419 420 to the thalweg water will hold at a higher hyporheic water elevation meaning a lesser loss of 421 saturated hyporheic area and potentially a better chance of survival for organisms with low 422 mobility or more closely available shelter for other in-stream organisms. In contrast, positions 423 closer to the bank might face interstitial water losses of up to 60 cm depth, decreasing the 424 chance for e.g. salmonid embryos to survive below their 30-45 cm threshold (DeVries 1997, Geist 2000). Refugia potential in the subsurface is reduced with increasing distance from the 425 426 stream.

427 Surface water temperature responded to production patterns and time of the year, following 428 the natural river *vs*. the production water temperatures differences. Water temperature in the 429 ground showed slow increases during the cool and temperate period as the water level felt and 430 slow decreases in values during the warm period.

431 Changes in temperature, although not significantly high, gave an indication of a potential
432 lateral hyporheic water exchange across the hyporheic bar feature. Such changes responded to
433 both hydropower production patterns but also to seasonal changes in hyporheic dynamics and

14

434 quality as those reported in Krause *et al.*, (2007) and Soulsby *et al.* (2009). A slower increase

- and decrease of temperature as a response of stage falls and rises was reported, coinciding
- with the finding by Zolezzi *et al.* (2011) showing a slight delay in temperature waves in
- 437 respect to hydraulic waves.

438 The idea of hyporheic refugia is discussed in Wood *et al.* (2010) for stream

439 macroinvertebrates and with particular reference to low flow conditions. Heggenes et al. 440 (2011) reported small fish may move vertically into the substrate to find thermal refugia. In 441 Norwegian rivers, dominance of shallow groundwater with non-anoxic characteristics can provide a high potential for survival of organisms during such conditions (Brabrand et al., 442 443 2002). Particularly, in the Lundesokna river, a pattern of changes in dissolved oxygen with 444 hydropower operations could not be identified, dissolved oxygen levels in the hyporheic water were high around 12 mg l^{-1} and >90% in concentration, not significantly different from the 445 river water (Casas-Mulet et al., submitted). During an abrupt increase of discharge or rising 446 447 limb, Carolli et al. (2012) and Bruno et al. (2012) highlighted the importance of thermo peaking to induce behavioral drift in macroinvertebrates. Although not significant temperature 448 differences in tin a single event at the Lundesokna river, the change from above zero to below 449 450 zero temperature became a limiting factor for survival of salmon embryo when the river bed 451 changes from wet to dry (Casas-Mulet et al., submitted).

Physical processes occurring in the hyporheic zone are especially important for hydropeaked rivers and the potential impact of changes on the hyporheos should be included in their management strategies. The hyporheic zone can alleviate some negative consequences of rapid flow fluctuations, but at the same time drought or frost in the hyporheic zone can be detrimental. Particularly, temperature variations should be taken in account in river management due to its important role both in freshwater organism's behavior and potential survival.

459

460

461 **5.** Conclusions

462 This paper provides an assessment of the influence of hydropeaking on hyporheic exchange463 that can be used as a template to investigate potential ecological consequences. The high-

464 frequency logging field data set shows for the hydraulic processes occurring at specific time 465 stages in an individual hydropeaking event. The study demonstrates that hyporheic processes 466 are sensitive to hydropeaking with respect to rates of change, durations and temperature, and 467 that those changes are depending on both production patterns and seasonality. Understanding

the complexity of those processes at the fine scale is both important for the judgment of

469 potential impacts for ecology and for the future management of such regulated systems.

471 Acknowledgements

This work was supported and carried out under the Center for Environmental Design of
Renewable Energy (CEDREN) framework. The authors are grateful to Dr Iain Malcolm for
his precious input and advice on the experimental design stage. We thank Arne Grostad and
Geir Tesaker for providing technical support with field equipment, Dr Morten Stickler for
very insightful comments on the manuscript, Trønder Energi to greatly facilitate fieldwork
and Håkon Sundt, Hans-Petter Fjeldstad, Thibault Boissy, Bruno Capon and Jahn Peter
Storvold for their help in the field.

495 **References**

Arntzen, E. V., D. R. Geist, and P. E. Dresel (2006), Effects of fluctuating river flow on
groundwater/surface water mixing in the hyporheic zone of a regulated, large cobble bed
river, *River Research and Applications*, 22(8), 937-946.

499 Arntzen, E. V., D. R. Geist, K.J. Murray, J. Vavrinec, E.M. Dawley, and D.E. Schartz (2009),

- 500 Influence of hte Hyporheic Zone on Supersaturated Gas Exposure to Incubating Chum
- Sol Salmon, North American Journal of Fisheries Management, 29(6), 1714-1727.
- 502 Baxter, C., F. R. Hauer, and W. W. Woessner (2003), Measuring Groundwater–Stream Water
- 503 Exchange: New Techniques for Installing Minipiezometers and Estimating Hydraulic
- 504 Conductivity, *Transactions of the American Fisheries Society*, *132*(3), 493-502.
- Boulton, A. J. (2001), Twixt two worlds: Taxonomic and functional biodiversity at the surface
 water/groundwater interface, *Records of the Western Australian Museum*, 64, 1-13.
- Brabrand, Å., A. G. Koestler, and R. Borgstrøm (2002), Lake spawning of brown trout related
 to groundwater influx, *Journal of Fish Biology*, 60(3), 751-763.
- 509 Bradford, M. J. (1997), An experimental study of stranding of juvenile salmonids on gravel
- 510 bars and in sidechannels during rapid flow decreases, *Regulated Rivers: Research* &
- 511 *Management*, 13(5), 395-401.
- 512 Bruno, M. C., B. Maiolini, M. Carolli, and L. Silveri (2009), Impact of hydropeaking on
- hyporheic invertebrates in an Alpine stream (Trentino, Italy), *Annales de Limnologie - International Journal of Limnology*, 45(03), 157-170.
- 515 Bruno, M. C., A. Siviglia, M. Carolli, and B. Maiolini (2012), Multiple drift responses of 516 benthic invertebrates to interacting hydropeaking and thermopeaking waves, *Ecohydrology*.
- 517 Carolli, M., M. C. Bruno, A. Siviglia, and B. Maiolini (2012), Responses of benthic
- invertebrates to abrupt changes of temperature in flume simulations, *River Research and Applications*, 28(6), 678-691.
- 520 Casas-Mulet, R., S. J. Saltveit, and K. Alfredsen, The survival of Atlantic salmon (Salmo
 521 salar) eggs during dewatering in a river subjected to hydropeaking, *Manuscript submitted for*522 *publication*.
- Catrinu-Renström, M. D., and J. K. Knudsen (2011), Perspectives on hydropower's role to
 balance non-regulated renewable power production in Northern Europe*Rep.*, Trondheim.
- Cushman, R. M. (1985), Review of ecological effects of rapidly varying flows downstream of
 hydroelectric facilities, *North American Journal of Fisheries Management*, *5*, 330-339.
- 527 DeVries, P. (1997), Riverine salmonid egg burial depths: review of published data and
 528 implications for scour studies, *Canadian Journal of Fisheries and Aquatic Sciences*, 54(8),
 529 1685-1698.
- 530 Francis B. A., L. K. Francis, M. Bayani Cardenas (2010), Water table dynamics and
- 531 groundwater-surface water interaction during filling and draining of a large fluvial island due

- to dam-induced river stage fluctuations, *Water Resources Research*, 46, W07513,
 doi:10.1029/2009WR008694.
- Fritz, B. G., and E. V. Arntzen (2007), Effect of Rapidly Changing River Stage on Uranium
 Flux through the Hyporheic Zone, *Ground Water*, 45(6), 753-760.
- Geist, D. R. (2000), Hyporheic discharge of river water into fall chinook salmon
- 537 (Oncorhynchus tshawytscha) spawning areas in the Hanford Reach, Columbia River,
- 538 *Canadian Journal of Fisheries and Aquatic Sciences*, 57(8), 1647-1656.
- 539 Gerecht, K. E., M. B. Cardenas, A. J. Guswa, A. H. Sawyer, J. D. Nowinski, and T. E.
- 540 Swanson (2011), Dynamics of hyporheic flow and heat transport across a bed-to-bank
- continuum in a large regulated river, *Water Resources Research*, 47(3), W03524,
- 542 doi:10.1029/2010WR009794.
- Gibbins, C., D. Vericat, and R. J. Batalla (2007), When is stream invertebrate drift
 catastrophic? The role of hydraulics and sediment transport in initiating drift during flood
 events, *Freshwater Biology*, 52(12), 2369-2384.
- Halleraker, J. H., S. J. Saltveit, A. Harby, J. V. Arnekleiv, H. P. Fjeldstad, and B. Kohler
 (2003), Factors influencing stranding of wild juvenile brown trout (Salmo trutta) during rapid
 and frequent flow decreases in an artificial stream, *River Research and Applications*, 19(5-6),
- 549 589-603.
- Hancock, P. J. (2002), Human Impacts on the Stream–Groundwater Exchange Zone, *Environmental Management*, 29(6), 763-781.
- Hanrahan, T. P. (2008), Effects of river discharge on hyporheic exchange flows in salmon
 spawning areas of a large gravel-bed river, *Hydrological Processes*, 22(1), 127-141.
- Harnish, R.A., R. Sharma, G.A. McMichael, R.B. Langshaw, and T. N. Pearsons (2014),
- 555 Effect of hydroelectric dam operations on the freshwater productivity of a Columbia River fall
- 556 Chinook salmon population, *Canadian Jouranl of Fisheries and Aquatic Sciences*, 71(4), 602-557 615.
- Heggenes, J., G. Bremset, and Å. Brabrand (2011), Groundwater, critical habitats, and
- behaviour of Atlantic salmon, brown trout and Arctic char in streams, *Rep. 654*, 28 pp, NINA,
 Trondheim.
- Hilmo, B. O. (2007), Grunnvannsforekomster i Melhus kommune risiko og statusvurdering,
 Rep., 37 pp, Asplan Viak, Trondheim.
- 563 Irvine, R. L., T. Oussoren, J. S. Baxter, and D. C. Schmidt (2009), The effects of flow
- reduction rates on fish stranding in British Columbia, Canada, *River Research and Applications*, 25(4), 405-415.
- 566 Krause, S., A. Bronstert, and E. Zehe (2007), Groundwater–surface water interactions in a
- 567 North German lowland floodplain Implications for the river discharge dynamics and
- riparian water balance, *Journal of Hydrology*, *347*(3–4), 404-417.

- Maier, H. S., and K. W. F. Howard (2011), Influence of Oscillating Flow on Hyporheic Zone
 Development, *Ground Water*, 49(6), 830-844.
- Malard, F., K. Tockner, M.-J. Dole-Olivier, and J. V. Ward (2002), A landscape perspective
 of surface–subsurface hydrological exchanges in river corridors, *Freshwater Biology*, 47(4),
 621-640.
- Malcolm, I.A., C. Soulsby, A. F. Youngson, D. M. Hannah, I. S. McLaren, and A. Thorne
 (2004), Hydrological influences on hyporheic water quality: implications for salmon egg
 survival, *Hydrological Processes*, *18*(9), 1543-1560.
- Malcolm, I. A., C. Soulsby, and A. F. Youngson (2006), High-frequency logging technologies
 reveal state-dependent hyporheic process dynamics: implications for hydroecological studies, *Hydrological Processes*, 20(3), 615-622.
- 580 Malcolm, I. A., A. F. Youngson, S. Greig, and C. Soulsby (2008), Hyporheic influences on
- spawning success, in Salmon Spawning Habitat in Rivers: Physical Controls, Biological
- 582 *Responses and Approaches to Remediation*, edited by D. Sear and P. DeVries, pp. 225-248,
- 583 American Fisheries Society.
- 584 Malcolm, I. A., C. Soulsby, A. Youngson, and D. Tetzlaff (2009), Fine scale variability of
- 585 hyporheic hydrochemistry in salmon spawning gravels with contrasting groundwater-surface 586 water interactions, *Hydrogeology Journal*, *17*(1), 161-174.
- Nyberg, L., O. Calles, and L. Greenberg (2008), Impact of short-term regulation on hyporheic
 water quality in a boreal river, *River Research and Applications*, 24(4), 407-419.
- Power, G., R. S. Brown, and J. G. Imhof (1999), Groundwater and fish—insights from
 northern North America, *Hydrological Processes*, *13*(3), 401-422.
- R Development Core Team (2012), R: A language and environment for statistical computing,
 reference index version 2.14.1. R Foundation for Statistical Computing, Vienna, Austria.
 ISBN 3-900051-07-0, URL http://www.R-project.org., edited.
- Saltveit, S. J., J. H. Halleraker, J. V. Arnekleiv, and A. Harby (2001), Field experiments on
 stranding in juvenile atlantic salmon (Salmo salar) and brown trout (Salmo trutta) during rapid
 flow decreases caused by hydropeaking, *Regulated Rivers: Research & Management*, 17(4-5),
 609-622.
- Sawyer, A. H., M. Bayani Cardenas, A. Bomar, and M. Mackey (2009), Impact of dam
 operations on hyporheic exchange in the riparian zone of a regulated river, *Hydrological Processes*, 23(15), 2129-2137.
- Skoglund H., Barlaup B., Gabrielsen S., Lehmann G., Halvorsen G., Wiers T., Skår B., Pulg
 U., & Vollset K. (2012) Fiskebiologiske undersøkelser i Eidfjordvassdraget sluttrapport for
- 603 perioden 2004-2012. uniMiljø Report. 108 pp
- Soulsby, C., I. A. Malcolm, D. Tetzlaff, and A. F. Youngson (2009), Seasonal and inter-
- annual variability in hyporheic water quality revealed by continuous monitoring in a salmon
 spawning stream, *River Research and Applications*, 25(10), 1304-1319.

- 607 Stanford, J. A., and J. V. Ward (1993), An ecosystem perspective of alluvial rivers:
- 608 connnectivity and the hyporheic corridor, *Journal of the North American Benthological*609 *Society*, *12*, 48-60.
- Vollset, K., B. Barlaup, H. Skoglund, S. Gabrielsen, and T. Wiers, Effects of hydropeaking on
- the spawning behaviour of Atlantic salmon (Salmo salar) and brown trout (Salmo trutta). *Unpublished manuscript*.
- Wood, P. J., A. J. Boulton, S. Little, and R. Stubbington (2010), Is the hyporheic zone a
- refugium for aquatic macroinvertebrates during severe low flow conditions?, *Fundamental and Applied Limnology*, *176*(4), 377-390.
- Colezzi, G., A. Siviglia, M. Toffolon, and B. Maiolini (2011), Thermopeaking in Alpine
- 617 streams: event characterization and time scales, *Ecohydrology*, 4(4), 564-576.