

## **Prevalence and correlates of successful aging in a population-based sample of older adults: the HUNT Study**

Ingunn Bosnes <sup>1, 2</sup>, Ove Almkvist <sup>3, 4</sup>, Ole Bosnes <sup>1</sup>, Eystein Stordal<sup>1, 5</sup>, Ulla Romild <sup>6</sup>, and Hans M. Nordahl <sup>2, 5</sup>

<sup>1</sup> *Namsos Hospital, Nord-Trøndelag Hospital Trust, Namsos, Norway*

<sup>2</sup> *Department of Psychology, Norwegian University of Science and Technology (NTNU), Trondheim, Norway*

<sup>3</sup> *Department of Psychology, Stockholm University, Stockholm, Sweden*

<sup>4</sup> *Department of Neurobiology Care Sciences and Society, Karolinska Institutet, Stockholm, Sweden*

<sup>5</sup> *Department of Neuroscience, Norwegian University of Science and Technology (NTNU), Trondheim, Norway*

<sup>6</sup> *Department of Research and Development, Levanger Hospital, Nord-Trøndelag Hospital Trust, Levanger, Norway*

**Key words:** successful aging, components, prevalence, correlates, HUNT

Running head: Successful aging in the HUNT study

*Correspondence should be addressed to:* Ingunn Bosnes, Department of Psychiatry, Namsos Hospital, Nord-Trøndelag Hospital Trust, 7800 Namsos, Norway.

Phone: +47 74 21 54 00; fax number +47 74 21 54 53; e-mail: [ingunn.bosnes@hnt.no](mailto:ingunn.bosnes@hnt.no)

**ABSTRACT**

**Background:** The factors influencing successful aging (SA) are of great interest in an aging society. The aims of this study were to investigate the prevalence of SA, the relative importance across age of the three components used to define it (absence of disease and disability, high cognitive and physical function, and active engagement with life), and its correlates.

**Methods:** Data were extracted from the population-based cross-sectional Nord-Trøndelag Health Study (HUNT3 2006-2008). Individuals aged 70 to 89 years with complete datasets for the three components were included (N=5773 of 8040, 71.8%). Of the respondents, 54.6% were women. Univariate and multivariate regression analyses were used to analyze possible correlates of SA.

**Results:** Overall, 35.6% of the sample met one of the three criteria, 34.1% met combinations, and 14.5% met all of the three criteria. The most demanding criterion was high function, closely followed by absence of disease, while approximately two-thirds were actively engaged in life. The relative change with age was largest for the high cognitive and physical function component and smallest for active engagement with life. The significant correlates of SA were younger age, female gender, higher education, weekly exercise, more satisfaction with life, non-smoking, and alcohol consumption, whereas marital status was not related to SA.

**Conclusions:** The prevalence of SA in this study (14.5%) is comparable to previous studies. It may be possible to increase the prevalence by intervention directed towards more exercise, non-smoking and better satisfaction with life.

## **Introduction**

Identifying factors that may positively influence successful aging (SA) are of great interest both for individuals and the society as the proportion of the world's population over 60 years is expected to almost double between 2015 and 2050 (World Health Organization, 2015). One of the most influential models of SA was proposed by Rowe and Kahn (Rowe and Kahn, 1987). They drew a distinction between “usual aging”, i.e. aging with some decline and risk of disease and disability, and “successful aging” as aging with minimal or no physiological loss (Rowe and Kahn, 1987). They later refined their model and described SA as consisting of three components: (a) absence of disease and disability, (b) high cognitive and physical function, and (c) active engagement with life (Rowe and Kahn, 1998). The Rowe and Kahn model implies a partial hierarchical organization, with absence of disease and disability making it easier to maintain cognitive and physical function, which in turn enables engagement with life. The model is in line with the definition of health from the World Health Organization as “a state of complete physical, mental and social well-being, and not merely the absence of disease or infirmity” (World Health Organization, 1948, p.100). However, Rowe and Kahn did not operationalize their concept of SA in detail (Rowe and Kahn, 1987; Rowe and Kahn, 1997; Rowe and Kahn, 1998).

The model has been subject to criticism due to its biomedical focus, without explicit input of layperson perspectives (Phelan and Larson, 2002) and the fact that old age free of disease is unrealistic for most older persons (Bowling and Dieppe, 2005). Another critique has been that the focus on individual responsibility for health may overshadow the importance of structural factors for health, like adequate income, healthy neighbourhoods and other factors (Holstein and Minkler, 2003). Other definitions or views of SA have been proposed, with more emphasis on adaption to the current life and health situation (Baltes and Baltes, 1990), a stress-theory based model of preventive and corrective proactivity (Kahana and Kahana,

*Successful aging in the HUNT Study*

1996) and self-perceived SA (Phelan *et al.*, 2004; von Faber *et al.*, 2001). However, although controversial, the Rowe and Kahn model has inspired much research and is the most widely used approach in the field of SA (Bowling and Dieppe, 2005). The model also gives a broad range of information of the health status among old adults on diverse areas (McLaughlin *et al.*, 2010).

The lack of a standard definition of SA makes studies hard to compare, and affects prevalence estimates. In an extensive review (Cosco *et al.*, 2014), the prevalence ranged from 0.4% in one study (Garfein and Herzog, 1995) to 92% in another (Montross *et al.*, 2006). Larger population-based studies with a multidimensional concept of SA in line with Rowe and Kahn's definition based in various European countries, the US, and Canada showed a prevalence of SA among adults aged 65 years and older that ranged from 8.5% to 37.2% (Hank, 2011; McLaughlin *et al.*, 2010; Meng and D'Arcy, 2014). A cross-national variation in SA was observed in the European sample, with the highest levels of SA in the included Scandinavian countries (Hank, 2011). In the three studies from Europe, the US, and Canada, absence of disease was defined as only those diseases which are "major causes of death among older adults" or "major diseases" (cancer, chronic lung disease, diabetes, heart disease, and stroke, and depression). Another Canadian study using a wider range of chronic illnesses, found a SA prevalence of 11% (Baker *et al.*, 2009).

Although there are a number of studies on the prevalence of SA as a multidimensional construct and correlates of the concept, there are few detailed analyses of how Rowe and Kahn's three components affect SA status across the age span. A Canadian study pointed to impaired cognitive and physical function as the variable primarily responsible for the lower rates of SA after the age of 80 (Weir *et al.*, 2010). This indicates that the relative importance of the SA components may vary across old age.

In one review, SA was related to younger age, not smoking, absence of disability,

*Successful aging in the HUNT Study*

arthritis, and diabetes, better self-rated health, absence of depression and cognitive impairment, fewer medical conditions and more physical activity and social networks. Few relationships to SA were found for demographic factors (Depp and Jeste, 2006). Studies using a multidimensional concept of SA have suggested that younger age (Hamid *et al.*, 2012; Hank, 2011; Li *et al.*, 2006; McLaughlin *et al.*, 2010; Meng and D'Arcy, 2014), a higher level of education (Hamid *et al.*, 2012; Ng *et al.*, 2009), marriage (Li *et al.*, 2006; Meng and D'Arcy, 2014), greater satisfaction with life (Li *et al.*, 2006; Meng and D'Arcy, 2014), higher socioeconomic status (Hank, 2011; McLaughlin *et al.*, 2010), and higher income (Hamid *et al.*, 2012) are related to SA. Regarding gender differences the results are inconclusive, as both male gender (Hank, 2011) and female gender (Chou and Chi, 2002; McLaughlin *et al.*, 2010; Ng *et al.*, 2009) have been found to be associated with SA.

Based on previous research, it is hypothesized that the prevalence of SA, the relative importance of components of SA, and the correlates of SA will change with increasing age. The aims of this study were to investigate: (i) the prevalence of SA in a Norwegian cohort, (ii) the relative importance of Rowe and Kahn's three components across age, and (iii) the correlates of SA in a large representative sample of older adults. This study contributes particularly to the relative importance of the components.

**Methods**

The data were obtained from respondents aged 70-89 years who had participated in the cross-sectional HUNT3 Survey conducted in 2006-2008. HUNT is a large population-based longitudinal health survey, which has been conducted three times over 20 years in Nord-Trøndelag County, Norway. Nord-Trøndelag County is characterized by a stable, homogeneous population that constitutes 3% of the Norwegian population. All inhabitants of

*Successful aging in the HUNT Study*

Nord-Trøndelag County aged 20 years or older (93 860 persons) were invited to HUNT3. A total of 50 807 participated (54.1%). The response rates were 66.8% of those aged 70-79 years and 41.6% of those aged 80-89 years. Details of the HUNT3 Survey have been reported previously (Krokstad *et al.*, 2013).

Only respondents who had complete data for the three components of SA were included in this study (n=5773 of 8040, 71.8%). Of the respondents included, 3151 were women and 2622 were men, with a mean age of 76.7±4.7, see Table 1. The proportion of women increased with higher age. Ineligible respondents were more likely to be of higher age (p<0.001) and to be unmarried (26.4% of the married, 30.0% of the unmarried or divorced, and 30.7% of the widowed were not included) (p<0.001). Ineligible respondents were also more likely to have less or equal to 9 years of education (29.8% compared to 23.5% for those with 9-12 years and 19.3%, for those with more than 12 years, p<0.001). Ineligible respondents were as healthy as the selected respondents for nine of the ten conditions/diseases included in the component absence of disease, but were more likely to have a Hospital Anxiety and Depression Scale (HADS-D) score  $\geq 8$  (p=0.002).

/Insert Table 1 about here/

**Dependent variables**

In this study, self-reported health information from HUNT questionnaire 1 and 2 were used to match the Rowe and Kahn definition of SA (a) absence of disease and disability, (b) high cognitive and physical function, and (c) active engagement with life, as well as other studies of SA referring to the Rowe and Kahn model (Hank, 2011; McLaughlin *et al.*, 2010; Rowe and Kahn, 1998; Weir *et al.*, 2010). To satisfy Rowe and Kahn's criteria of SA, all three criteria had to be met.

### *Successful aging in the HUNT Study*

#### ABSENCE OF DISEASE

The first component was assessed as no self-reported history or presence of any of the following nine different diseases: myocardial infarction, angina pectoris, heart failure, other heart disease, stroke or brain hemorrhage, chronic bronchitis, emphysema or COPD, diabetes, or cancer. Individuals reporting any of these conditions were classified as having disease. All questions had to be answered with no for a person to be classified with absence of disease. In addition, absence of depression as defined by the depression subscale of the Hospital Anxiety and Depression Scale (HADS-D) was included. Absence of depression was defined as HADS-D less than 8 (Bjelland *et al.*, 2002; Stordal *et al.*, 2001). A valid rating of HADS-D was defined as five or more completed items on HADS-D. For respondents who had answered five or six items, the completed sum was multiplied with 7/5 or 7/6. This procedure has been used in previous HUNT studies (Solhaug *et al.*, 2012).

#### HIGH COGNITIVE AND PHYSICAL FUNCTION

Physical capacity was measured in terms of physical activities of daily living (PADLs) and instrumental activities of daily living (IADLs). High functioning in terms of PADL was defined as being able to carry out the following activities independently: walk around indoors on the same floor, going to the toilet, washing, showering, dressing, eating, and get to bed and get up. IADLs were measured as ability to independently prepare warm meals, carry out light house-work such as washing the dishes, heavy house work such as washing the floor, wash clothes, pay bills, do the shopping, take the bus and take medication and go out. Respondents reporting to be unable to perform any of these 16 activities independently were recorded as having impaired physical function. An item measuring cognitive function was also added. Respondents who reported never having trouble remembering what happened some days ago were classified as having high cognitive function. If reporting having trouble “sometimes” or

*Successful aging in the HUNT Study*

“often” remembering what happened some days ago, the respondents were classified as having impaired cognitive function. All questions regarding PADL, IADL, and memory had to be answered for a person to be included in the study.

**ACTIVE ENGAGEMENT WITH LIFE**

Rowe and Kahn describes active engagement as both being related to others and being productive in society by doing paid or unpaid work (Rowe and Kahn, 1998). Respondents were classified as being actively engaged in life if they reported (a) being currently in paid or unpaid work or (b) having gone to a museum/art exhibition, a concert/theatre, film, church/chapel, or a sports event or having participated in community service, a choir or theater, or church work at least once a month over the last six months. Respondents were included if they had answered positively to at least one of the questions of interest. All questions had to be answered negatively to be classified as non-active.

The relative importance of components was evaluated as the frequency of participants able to satisfy the criteria of the three components and as change in frequency across age.

**Independent variables**

Sociodemographic variables used in the analysis included age, gender, marital status, and education. Data on education were obtained from HUNT2, and were consequently collected 11 years before other measures. As the respondents were 70 years and older in HUNT3, it is likely that the data on education were reliable. Education was split into three levels, see Table 1. Other variables included were overall satisfaction with life, while health-related behavior included smoking, alcohol consumption and exercise. Overall satisfaction with life was measured by the following item: “Thinking about your life at the moment, would you say that you by and large are satisfied with life, or are you mostly dissatisfied?” The original seven

### *Successful aging in the HUNT Study*

levelled scale were transformed to a three levelled scale (1 = very satisfied and satisfied, 2 = somewhat satisfied, and 3 = a bit of both to very dissatisfied). Health related behavior measured included smoking (current smoking versus ex-smoking and nonsmoking), alcohol consumption (weekly consumption, monthly or sometimes, and no drink in the last 12 months), and exercise as going for walks, skiing, swimming and working out/sports. The original five levelled scale was transformed to exercising at least once a week or less.

### **Statistical Analysis**

The prevalence of SA and the relative importance of the three components were calculated for the total sample and according to age. Univariate and multivariate logistic regression analyses were performed to examine potential factors associated with SA. SPSS version 23.0 was used for the analyses.

### **Results**

#### **Prevalence of successful aging**

The overall prevalence of SA was 14.5%, decreasing from 18.3% in the youngest cohort (70-74 years) to 8.2% in the oldest cohort (85-89 years; see Table 2). Across all ages, 44.9% satisfied the criteria for absence of disease, 41.3% met the criteria for high cognitive and physical function, and 61.2 % reported being actively engaged in life. For all three components, the number of respondents satisfying the criteria decreased with advancing age, with the exception of active engagement with life, for which there was a small increase in the percentage in the group aged 80-84 years, in contrast to that aged 75-79 years.

#### **The relative importance of the three components across age**

*Successful aging in the HUNT Study*

In Table 2, the pattern of prevalence across age groups and components is presented. The component most difficult to satisfy was high cognitive and physical function (41.3%), closely followed by absence of disease (44.9%). The relative change with increasing age was also greatest for high cognitive and physical function (47.5 % in the youngest cohort to 24.9% in the oldest). Active engagement with life changed least, from 64.0% in the youngest group, to 56.9% in the oldest. The prevalence of the most telling component (SAII) decreased significantly across all age groups (all  $p$ 's < 0.05 or less), whereas the least telling component (SAIII) decreased relatively little across age.

/Insert Table 2 about here/

Table 3 shows the number of individuals classified as successful agers using different criteria and combinations of criteria. Across all age groups, 35.6% met the criteria for one of the three SA components (most commonly component III), whereas 34.1% met some combination of criteria (most commonly I and III). Only 15.8% did not meet any criteria.

/Insert Table 3 about here/

### **Interrelationships among the three components of successful aging**

The correlation coefficients according to Kendall's tau between components I and II (absence of disease and high cognitive and physical function) and I and III (absence of disease and active engagement) yielded low positive correlations ( $r = 0.19$ ,  $p < 0.001$ ;  $r = 0.08$ ,  $p < 0.001$ ), whereas the correlation between components II and III was insignificant ( $r = 0.002$ ,  $p = 0.90$ ).

### **Correlates of successful aging**

Variables regarded as likely to be related to SA, as suggested by previous research, were included in a univariate logistic regression model, see Table 4. Younger age, female gender,

*Successful aging in the HUNT Study*

marital status, higher education, exercising every week, more satisfaction with life, and non-smoking were related to SA. Alcohol consumption was not significantly related to SA.

*/Insert Table 4 about here/*

The variables with a p value < 0.20 in the univariate analysis were included in a multivariate logistic regression model, see Table 5. The model, containing all predictors, was statistically significant,  $\chi^2(15, N = 4597) = 268.27, p < 0.001$ . The model accounted for between 5.7% (Cox & Snell  $R^2$ ) and 9.9 % (Nagelkerke  $R^2$ ) of the total variance. As shown in Table 5, seven of the eight variables made a statistically significant contribution to the model, whereas marital status was non-significant. The odds of being a successful ager were reduced with advancing age. The odds of being a successful ager were higher for women compared to men (odd ratio (OR) = 2.38), for weekly exercisers (OR = 1.60), for the higher educated groups (OR = 1.23 and OR = 1.68 times higher for 9-12 years and more than 12 years of education respectively), and for the respondents most satisfied with life compared to the somewhat satisfied or a bit of both/dissatisfied group. Respondents who had never smoked were more likely to be SA than smokers (OR = 1.40), while the results for smokers versus ex-smokers were not reliably different. In addition, consuming alcohol monthly or sometimes was significantly related to SA compared to no consumption in the last 12 months (OR = 1.41), while there was no reliable difference between weekly alcohol consumption and no consumption last 12 months.

*/Insert Table 5 about here/*

## **Discussion**

This study investigated the prevalence and the relative importance of the three components of SA as defined by Rowe and Kahn, and important demographic and health-related factors associated with SA in a large population-based Norwegian sample.

**Prevalence of SA**

The prevalence of SA was 14.5% in the total sample of participants aged 70-89 years. The proportion of the sample that was classified as successfully aging decreased with advancing age for two of the three components (absence of disease and high cognitive and physical function). In contrast, the component active engagement with life showed an unexpected minor increase between the ages of 75-79 and 80-84 years. The prevalence of the three components varied from highest 61.2% for active engagement to lowest 41.3% for high functioning.

A substantial proportion of the elderly participants satisfied only one criterion (35.6%) or two criteria (34.1%). Compared to a previous study by Chou and Chi (2002) 33.1% satisfied one criterion while two criteria were satisfied by 24.7%. However, results were different for the percentage of SA (0.7%) and no criteria (33.5%). To speculate, these differences may be due to four included criteria of SA, low education in the sample and a more demanding cognitive criterion.

The prevalence of SA varies widely across previous studies, along a variation in how SA are defined and measured. Two recent studies with a multidimensional approach in line with Rowe and Kahn classified 11.9% of participants as aging successfully in the US (McLaughlin *et al.*, 2010), and a mean of 8.5% aging successfully across diverse European countries, but with substantial cross-national variation (Hank, 2011). The prevalence in the present study is higher than some European countries, while in accordance with the prevalence of SA in the other Scandinavian countries (Hank, 2011). Although the prevalence of SA also varies somewhat between studies even with a similar definition of SA, it is clear that with a multidimensional concept such as outlined by Rowe and Kahn, only a small proportion of elderly can satisfy all criteria. This may limit the usefulness of the concept for public health

*Successful aging in the HUNT Study*

purposes and a less rigid definition of SA can be needed (McLaughlin *et al.*, 2010).

Nevertheless, the Rowe and Kahn definition catches different and important aspects of aging.

The Rowe and Kahn model has, however, been criticized for placing too much responsibility on individual choices on the expense of structural factors beyond the individual's control (Holstein and Minkler, 2003). In Hanks' study (2010), the prevalence of SA varied across the European countries included. Sweden and Denmark for example, had the highest percentages of successful agers, with 17.4 and 21.1% compared to 1.6% in Poland (Hank, 2011). Hank suggested that the differences between European countries in the prevalence of SA offer some support to a hypothesis that in more egalitarian societies greater proportions of elders manage to age successfully (Hank, 2011). In our Norwegian sample, 14.5% met the SA criteria. Norway, Sweden and Denmark are egalitarian countries with many similarities in welfare state policies. Our prevalence estimate is in accordance with the findings in Hanks study (Hank, 2011), underlining the possible importance of the availability of preventive health practices for improving the possibility of SA and the possible importance of structural factors out of the individuals control for SA.

**The relative importance of the three components of SA across age**

Rowe and Kahn proposed that successful aging is comprised of three components where absence of disease and disability make it easier to maintain cognitive and physical function, which in turn enables active engagement with life (Rowe and Kahn, 1998). The implication of this model is that the components are to some extent overlapping. However, the relative importance of components across age has not been extensively investigated in previous studies. In the present study, the three components had weak, and in one case, no correlation to each other. Low correlations between the SA dimensions have been previously reported (Chou and Chi, 2002; Hank, 2011). The different criteria of the multidimensional SA concept

### *Successful aging in the HUNT Study*

therefore seem valid for measuring distinct aspects of aging, thus supporting a multidimensional view of SA.

In the present study, the importance of cognitive and physical function increased with increasing age, whereas active engagement with life was relatively stable over the age range investigated. Increasing importance of cognitive and physical function with high age (80+) has also been reported in previous research (Weir *et al.*, 2010).

In our study, a large proportion of the sample was also regarded as actively engaged with life across all age groups, even though they were not necessarily successful in the other areas, also previously reported (Weir *et al.*, 2010). Indeed, it seems possible to be actively engaged with life, regardless of disease and reduced functional ability. The high level of active engagement across age is positive, as disease and some functional reduction may be difficult to avoid for many older adults. One explanation may be that many elderly are able to compensate for health losses with external help (Baltes and Baltes, 1990), possibly through the help of family members or others. The level of engagement can also be influenced by structural factors like day care services, the availability of cultural activities etc. This indicates that interventions may be possible, not only in the young elderly but also in the oldest of the old.

### **Correlates of SA**

The third purpose was to identify significant factors associated with SA. In agreement with previous studies (Hamid *et al.*, 2012; McLaughlin *et al.*, 2010; Meng and D'Arcy, 2014), age was negatively associated with SA in this study. The role of gender in SA appears inconclusive. In our study, a greater proportion of women met the SA criteria, a finding also reported in previous studies (McLaughlin *et al.*, 2010; Strawbridge *et al.*, 2002), although other studies have found that male gender is related to SA (Hank, 2011; Li *et al.*, 2006), and

*Successful aging in the HUNT Study*

still others have found no gender differences in SA (Meng and D'Arcy, 2014). This may be related to several factors. It may be due to differences between the samples, but can also be due to the operationalization of SA. The component absence of disease was operationalized as only major causes of death like cancer and heart disease. It was noted by McLaughlin et al. (2010) that as heart disease is more frequent among men than women, more women could satisfy the criteria. On the other hand, a common condition among women like arthritis was not included in the definition. We observed a similar trend in our data. Marital status was not clearly related to SA in this study, in line with the general finding from the review by Depp and Jeste (2006). Other studies have found a relationship, so the role of marital status seems unclear (Li *et al.*, 2006; Meng and D'Arcy, 2014). Higher levels of education were related to SA, possibly through cognitive reserve (Stern, 2009). More satisfaction with life was also related to SA, as has been reported in previous studies (Li *et al.*, 2006; Meng and D'Arcy, 2014). Exercise was clearly related to SA. Findings regarding the relationship between exercise and a multidimensional concept of SA have also been reported in other studies (Baker *et al.*, 2009), supporting the focus on exercise in preventive health practices. Respondents who had never smoked were also more likely to be SA compared to current smokers, a finding in accordance with previous studies (Depp and Jeste, 2006). Less than weekly consumption of alcohol was also positively related to SA, compared to no consumption last year and weekly consumption. This finding corresponds to previous reports of a “J- shaped” curve between alcohol consumption and risk of mortality (Gmel *et al.*, 2003). As the group of non-consumers in this study may be comprised of both ex-drinkers and lifetime abstainers, caution should be taken in making generalizations from the data.

**Strengths and limitations**

*Successful aging in the HUNT Study*

The major strengths of this study are that it used a large population-based sample (N=5773) that included a relatively large proportion of the oldest of the old (80+ years, 25.2%). The total population of a county was invited to participate, and a relative large proportion participated compared to other epidemiological studies (Galea and Tracy, 2007). A broad range of health-related variables were also investigated across four different age cohorts. Another strength compared to other studies is that the three components of SA were studied as well as the relative importance of these three components.

Some possible limitation should be noted. First, as in much epidemiological research, the data in this study were self-reported, with the consequence that the reliability and validity of the information may vary.

Second, although a relatively large proportion of the oldest old (80+ years, 25.2%) participated in the study, in the HUNT Study in general, a smaller proportion of individuals aged  $\geq 80$  than  $< 80$  years participated (Langhammer *et al.*, 2012). This may to some degree affect SA prevalence estimates in the 80-84 and 85-89 year cohorts, as the higher proportion of non-attendance may be related to worse health status. This may have resulted in overestimation of SA in the very elderly.

Third, some individuals with dementia, diagnosed either in nursing homes (N=141) or in hospital (N=99) have participated in the HUNT3 Survey (Bergh *et al.*, 2014). The impact for the data's validity and reliability has not been estimated, but their responses might to a certain degree obscure some of the results. However, it seems unlikely that individuals with dementia would be included in the SA group, because of the multidimensional SA construct used.

Fourth, the choice of SA criteria affects not only prevalence estimates but also related factors. The high level of engagement in this study may be related to a less strict measure of active engagement compared to the criteria of absence of disease and high cognitive and

physical function. For instance, the criterion we used to measure productive activity consisted of two items (unpaid or paid work and formal volunteerism) and the criterion for social relationships was measured in terms of attendance at diverse activities such as church, theater, cinema, and so on. Other activities that could be regarded as productive (like caring for or assisting a family member or neighbor) were not captured. Social relationships could possibly also have been measured in terms of the numbers of close relationships, friendships, and so on. More precise estimates of active social engagement as defined by Rowe and Kahn could be obtained with better measures of social relationships and productive activities.

## **Conclusions**

In spite of limitations, this cross-sectional study has shown that the proportion of elderly participants meeting the criteria for high cognitive and physical function decreased substantially with advancing age, that a large proportion of even the oldest old were still actively engaged with life, and that few elderly participants, even in the youngest age cohort (70-74 years), met the Rowe and Kahn criteria for SA, largely because of the occurrence of functional disability and chronic diseases. Furthermore, the study has shown that SA was related to three demographic factors (younger age, female gender, and higher levels of education), and three modifiable factors (weekly exercise, non-smoking and greater satisfaction with life). This could indicate that it may be possible to increase the prevalence of SA by interventions directed towards more exercise, non-smoking and better satisfaction with life.

## **Conflicts of interest**

None.

**Description of authors' roles**

Ingunn Bosnes, Ove Almkvist, Ole Bosnes, Eystein Stordal, and Hans M. Nordahl designed the study. Ingunn Bosnes undertook the literature searches, participated in the statistical analysis, and had main responsibility for the paper. Ove Almkvist, Ole Bosnes, Eystein Stordal, and Hans M. Nordahl assisted with writing the paper. Ulla Romild was responsible for the statistical design of the study and for carrying out the statistical analysis. All authors have contributed to the final draft of the paper.

**Acknowledgements**

The Nord-Trøndelag Health Study (HUNT Study) is a collaboration between the HUNT Research Centre (Faculty of Medicine, Norwegian University of Science and Technology, NTNU), the Nord-Trøndelag County Council and the Norwegian Institute of Public Health. Ingunn Bosnes has received funding from the Nord-Trøndelag Hospital Trust and the Liaison Committee between the Central Norway Regional Health Authority (RHA) and the Norwegian University of Science and Technology (NTNU).

## References

- Baker, J., Meisner, B. A., Logan, A. J., Kungl, A. M. and Weir, P.** (2009). Physical activity and successful aging in Canadian older adults. *Journal of Aging and Physical Activity*, 17, 223-235.
- Baltes, P. B. and Baltes, M. M. (Eds.)** (1990). *Successful aging. Perspectives from the behavioral sciences*. Cambridge: Cambridge University Press.
- Bergh, S., et al.** (2014). Cohort profile: the Health and Memory Study (HMS): a dementia cohort linked to the HUNT study in Norway. *International Journal of Epidemiology*, 43, 1759-1768.
- Bjelland, I., Dahl, A. A., Haug, T. T. and Neckelmann, D.** (2002). The validity of the Hospital Anxiety and Depression Scale. An updated literature review. *Journal of Psychosomatic Research*, 52, 69-77.
- Bowling, A. and Dieppe, P.** (2005). What is successful ageing and who should define it? *BMJ*, 331, 1548-1551.
- Chou, K. L. and Chi, I.** (2002). Successful aging among the young-old, old-old, and oldest-old Chinese. *International Journal of Aging and Human Development*, 54, 1-14.
- Cosco, T. D., Prina, A. M., Perales, J., Stephan, B. C. and Brayne, C.** (2014). Operational definitions of successful aging: a systematic review. *International Psychogeriatrics*, 26, 373-381.
- Depp, C. A. and Jeste, D. V.** (2006). Definitions and predictors of successful aging: a comprehensive review of larger quantitative studies. *The American Journal of Geriatric Psychiatry*, 14, 6-20.
- Galea, S. and Tracy, M.** (2007). Participation rates in epidemiologic studies. *Annals of Epidemiology*, 17, 643-653.
- Garfein, A. J. and Herzog, A. R.** (1995). Robust aging among the young-old, old-old, and oldest-old. *Journals of Gerontology, Series B: Psychological Sciences and Social Sciences*, 50, S77-87.
- Gmel, G., Gutjahr, E. and Rehm, J.** (2003). How stable is the risk curve between alcohol and all-cause mortality and what factors influence the shape? A precision-weighted hierarchical meta-analysis. *European Journal of Epidemiology*, 18, 631-642.
- Hamid, T. A., Momtaz, Y. A. and Ibrahim, R.** (2012). Predictors and prevalence of successful aging among older Malaysians. *Gerontology*, 58, 366-370.
- Hank, K.** (2011). How "successful" do older Europeans age? Findings from SHARE. *Journals of Gerontology, Series B: Psychological Sciences and Social Sciences*, 66, 230-236.
- Holstein, M. B. and Minkler, M.** (2003). Self, society, and the "new gerontology". *The Gerontologist*, 43, 787-796.
- Kahana, E. and Kahana, B.** (1996). Conceptual and Empirical Advances in Understanding Aging Well Through Proactive Adaption. In V. L. Bengtson (Ed.) (pp. 18-40) New York: Springer Publishing Company.
- Krokstad, S., et al.** (2013). Cohort Profile: the HUNT Study, Norway. *International Journal of Epidemiology*, 42, 968-977.
- Langhammer, A., Krokstad, S., Romundstad, P., Heggland, J. and Holmen, J.** (2012). The HUNT study: participation is associated with survival and depends on socioeconomic status, diseases and symptoms. *BMC Medical Research Methodology*, 12, 143.
- Li, C., et al.** (2006). Successful aging in Shanghai, China: definition, distribution and related factors. *International Psychogeriatrics*, 18, 551-564.
- McLaughlin, S. J., Connell, C. M., Heeringa, S. G., Li, L. W. and Roberts, J. S.** (2010). Successful aging in the United States: prevalence estimates from a national sample of older adults. *Journals of Gerontology, Series B: Psychological Sciences and Social Sciences*, 65, 216-226.
- Meng, X. and D'Arcy, C.** (2014). Successful aging in Canada: prevalence and predictors from a population-based sample of older adults. *Gerontology*, 60, 65-72.
- Montross, L. P., et al.** (2006). Correlates of self-rated successful aging among community-dwelling older adults. *American Journal of Geriatric Psychiatry* 14, 43-51.
- Ng, T. P., Broekman, B. F., Niti, M., Gwee, X. and Kua, E. H.** (2009). Determinants of successful aging using a multidimensional definition among Chinese elderly in Singapore. *American Journal of Geriatric Psychiatry*, 17, 407-416.

*Successful aging in the HUNT Study*

- Phelan, E. A., Anderson, L. A., LaCroix, A. Z. and Larson, E. B.** (2004). Older adults' views of "successful aging"—how do they compare with researchers' definitions? *Journal of the American Geriatrics Society*, 52, 211-216.
- Phelan, E. A. and Larson, E. B.** (2002). "Successful aging"—where next? *Journal of the American Geriatrics Society*, 50, 1306-1308.
- Rowe, J. W. and Kahn, R. L.** (1987). Human aging: usual and successful. *Science*, 237, 143-149.
- Rowe, J. W. and Kahn, R. L.** (1997). Successful aging. *The Gerontologist*, 37, 433-440.
- Rowe, J. W. and Kahn, R. L.** (1998). *Successful aging*. New York: Dell Publishing.
- Solhaug, H. I., Romuld, E. B., Romild, U. and Stordal, E.** (2012). Increased prevalence of depression in cohorts of the elderly: an 11-year follow-up in the general population—the HUNT study. *International Psychogeriatrics*, 24, 151-158.
- Stern, Y.** (2009). Cognitive reserve. *Neuropsychologia*, 47, 2015-2028.
- Stordal, E., Bjartveit Kruger, M., Dahl, N. H., Kruger, O., Mykletun, A. and Dahl, A. A.** (2001). Depression in relation to age and gender in the general population: the Nord-Trøndelag Health Study (HUNT). *Acta Psychiatrica Scandinavica*, 104, 210-216.
- Strawbridge, W. J., Wallhagen, M. I. and Cohen, R. D.** (2002). Successful aging and well-being self-rated compared with Rowe and Kahn. *The Gerontologist*, 42, 727-733.
- von Faber, M., Bootsma-van der Wiel, A., van Exel, E. and et al.** (2001). Successful aging in the oldest old: Who can be characterized as successfully aged? *Archives of Internal Medicine*, 161, 2694-2700.
- Weir, P. L., Meisner, B. A. and Baker, J.** (2010). Successful aging across the years: does one model fit everyone? *Journal of Health Psychology*, 15, 680-687.
- World Health Organization** (1948). *Preamble to the Constitution of the World Health Organization as adopted by the International Health Conference, New York, 19-22 June, 1946; signed on 22 July 1946 by the representatives of 61 States (Official Records of the World Health Organization, no. 2, p. 100) and entered into force on 7 April 1948*. Last accessed: October 26, 2015. Available at: <http://www.who.int/about/definition/en/print.html>.
- World Health Organization** (2015). *10 facts on ageing and health*. Last accessed: April 19, 2016. Available at: <http://www.who.int/features/factfiles/ageing/en/>.

**Table 1.** Characteristics of the eligible population

VARIABLE	N	%
Total	5773	100
Age (years)		
70-74	2480	43.0
75-79	1835	31.8
80-84	1080	18.7
85-89	378	6.5
Gender		
Female	3151	54.6
Male	2622	45.4
Marital status		
Unmarried	282	4.9
Married	3346	58.0
Widowed	1909	33.1
Divorced/separated	233	4.0
Missing data	3	0.1
Education		
≤ 9 years	2986	51.7
9-12 years	1474	25.5
> 12 years	662	11.5
Missing data	651	11.3
Satisfaction with life		
Very satisfied/ satisfied	3387	58.7
Somewhat satisfied	1802	31.2
A bit of both/dissatisfied	504	8.7
Missing	80	1.4
Weekly exercise		
Yes	4320	74.8
No	1257	21.8

*Successful aging in the HUNT Study*

Missing	196	3.4
Alcohol consumption		
No drink last 12 months	1139	19.7
Monthly or sometimes	2973	51.5
Weekly	1361	23.6
Missing	300	5.2
Smoking		
Smoker	795	13.8
Smoked previously	2333	40.4
Never smoked	2374	41.1
Missing	271	4.7

---

*Successful aging in the HUNT Study***Table 2.** Prevalence of successful aging (SA) in four age groups as a percentage

AGE (YEARS)	70-74 (1)	75-79 (2)	80-84 (3)	85-89 (4)	P-VALUE	TOTAL
n	2480	1835	1080	378		5773
Components I-III of SA	18.3 <sup>2,3,4</sup>	13.3 <sup>1,3,4</sup>	10.3 <sup>1,2</sup>	8.2 <sup>1,2</sup>	<0.001	14.5
SA I: Absence of disease	50.5 <sup>2,3,4</sup>	42.1 <sup>1,4</sup>	40.7 <sup>1,4</sup>	33.1 <sup>1,2,3</sup>	<0.001	44.9
SA II: High functioning	47.5 <sup>2,3,4</sup>	41.1 <sup>1,3,4</sup>	33.2 <sup>1,2,4</sup>	24.9 <sup>1,2,3</sup>	<0.001	41.3
SA III: Active engagement	64.0 <sup>2,3,4</sup>	58.9 <sup>1</sup>	60.3 <sup>1</sup>	56.9 <sup>1</sup>	0.001	61.2

Note: <sup>1</sup>Significant difference ( $p < 0.05$ ) compared to age group 1; <sup>2</sup>Significant difference ( $p < 0.05$ ) compared to age group 2; <sup>3</sup>Significant difference ( $p < 0.05$ ) compared to age group 3; <sup>4</sup>Significant difference ( $p < 0.05$ ) compared to age group 4.

**Table 3.** Prevalence of the sample satisfying the three components of successful aging

SA CRITERIA	NUMBER OF RESPONDENTS	%
SA in I, II, and III	839	14.5
SA in I and II	494	8.6
SA in I and III	850	14.7
SA in II and III	623	10.8
SA I	407	7.1
SA II	430	7.4
SA III	1220	21.1
No criteria	910	15.8

*Successful aging in the HUNT Study***Table 4.** Variables predicting successful aging (univariate logistic regression analysis)

CHARACTERISTIC	$\beta$	SE	WALD	ODDS RATIO (95% CI)	P-VALUE
Age (years)			56.58		<0.001
70-74				1	
75-79	-0.38	0.09	19.09	0.69 (0.58-0.81)	<0.001
80-84	-0.67	0.11	35.05	0.51 (0.41-0.64)	<0.001
85-89	-0.92	0.20	22.22	0.40 (0.27-0.59)	<0.001
Gender					
Male				1	
Female	0.73	0.08	82.75	2.07 (1.77-2.42)	<0.001
Marital status			5.99		0.050
Married				1	
Widowed	0.11	0.08	1.75	1.11 (0.95-1.30)	0.186
Unmarried/ divorced/ separated	-0.26	0.15	3.03	0.77 (0.58-1.03)	0.082
Education			16.65		<0.001
$\leq 9$ years				1	
9-12 years	0.07	0.09	0.55	1.07 (0.90-1.28)	0.458
$> 12$ years	0.45	0.11	16.48	1.57 (1.26-1.95)	<0.001
Satisfaction with life			71.67		<0.001
Very satisfied/ satisfied				1	
Somewhat satisfied	-0.58	0.09	43.62	0.56 (0.47-0.66)	<0.001
A bit of both/ dissatisfied	-1.13	0.19	36.85	0.32 (0.23-0.47)	<0.001
Weekly exercise					
No				1	
Yes	0.68	0.11	39.74	1.97 (1.59-2.43)	<0.001
Alcohol consumption			4.75		0.093
No drink last 12 months				1	
Monthly or sometimes	0.22	0.10	4.56	1.25 (1.02-1.52)	0.033

*Successful aging in the HUNT Study*

Weekly	0.20	0.12	3.02	1.23 (0.97-1.54)	0.082
Smoking			33.44		<0.001
Smoker				1	
Smoked previously	0.09	0.13	0.49	1.09 (0.85-1.41)	0.483
Never smoked	0.51	0.12	16.90	1.66 (1.31-2.12)	<0.001

---

*Successful aging in the HUNT Study***Table 5.** Variables predicting successful aging (multivariate binary logistic regression)

(N=4597)

CHARACTERISTIC	$\beta$	SE	WALD	ODDS RATIO (95% CI)	P-VALUE
Age (years)			51.65		<0.001
70-74				1	
75-79	-0.46	0.10	21.26	0.63 (0.52-0.77)	<0.001
80-84	-0.78	0.14	32.46	0.46 (0.35-0.60)	<0.001
85-89	-1.00	0.23	18.24	0.37 (0.23-0.58)	<0.001
Gender					
Male				1	
Female	0.87	0.10	73.33	2.38 (1.95-2.90)	<0.001
Marital status			3.04		0.219
Married				1	
Widowed	0.12	0.10	1.42	1.13 (0.92-1.38)	0.233
Unmarried/ divorced/ separated	-0.18	0.17	1.02	0.84 (0.60-1.18)	0.312
Education			18.32		<0.001
$\leq 9$ years				1	
9-12 years	0.20	0.10	4.03	1.23 (1.01-1.50)	0.045
$> 12$ years	0.52	0.12	17.91	1.68 (1.32-2.14)	<0.001
Satisfaction with life			43.79		<0.001
Very satisfied/ satisfied				1	
Somewhat satisfied	-0.54	0.10	29.44	0.58 (0.48-0.71)	<0.001
Dissatisfied/ A bit of both	-0.90	0.20	20.61	0.41 (0.28-0.60)	<0.001
Weekly exercise					
No				1	
Yes	0.47	0.12	15.06	1.60 (1.26-2.03)	<0.001
Alcohol consumption			8.19		0.017
No drink last 12				1	

*Successful aging in the HUNT Study*

months					
Monthly or	0.34	0.12	8.14	1.41 (1.11-1.78)	0.004
sometimes					
Weekly	0.25	0.14	3.15	1.29 (0.97-1.70)	0.076
Smoking			7.56		0.023
Smoker				1	
Smoked	0.14	0.14	0.99	1.15 (0.87-1.52)	0.320
previously					
Never smoked	0.34	0.14	5.86	1.40 (1.07-1.84)	0.016

---