

Electric-field-induced structuring and rheological properties of kaolinite and halloysite

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Abstract

Electric-field-induced structuring of kaolinite and halloysite particles was studied in respect to their electrorheological (ER) response in silicone oil and in paraffin dispersions. The structural and morphological properties of both clay minerals were studied by XRD, FTIR, SEM, TEM and TGA techniques. The dipolar arrangement induced under application of an electric field was investigated by 2D-WAXS and optical microscopy techniques. The ER response of the samples was measured by both the shear rate controlled method and bifurcation tests. Kaolinite particle dispersions were found to have an improved ER response relative to dispersions of halloysite particles. Finally, the electric currents of these ER fluids were measured and the results revealed differences in the current-magnitude between halloysite- and kaolinite-based silicone oil dispersions.

Keywords: kaolinite, halloysite, XRD, FTIR, electron microscopy, rheology

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35 1. Introduction

36

37 Both kaolinite and halloysite belong to the kaolinite group of minerals having essentially
38 similar chemical composition with the nominal formula $\text{Al}_2\text{Si}_2\text{O}_5(\text{OH})_4$ per half unit cell, and
39 they have important structural layer stacking differences. Natural kaolinites have various
40 degree of structural disorder or “crystallinity”, which is often related to the conditions of
41 genesis of the particular mineral (Brindley et al., 1986; Giese, 1988; Madejová et al., 1997).
42 Therefore, kaolinites are classified as either low defect or high defect kaolinites depending on
43 their varying degrees of order in the crystal structure (Brindley et al., 1947). Halloysite differs
44 intrinsically from the kaolinite in its layer stacking sequence. It can intercalate a monolayer of
45 water molecules between the aluminosilicate layers and therefore the existence of two
46 different mineral species of halloysite have been reported, namely the anhydrous 7 Å form
47 and its hydrated 10 Å form, marked also as *halloysite (7Å)* and *halloysite (10Å)*, respectively.
48 The stoichiometry of halloysite (10Å) follows approximately the formula
49 $\text{Al}_2\text{Si}_2\text{O}_5(\text{OH})_4 \cdot 2\text{H}_2\text{O}$ per half unit cell. This hydrated form converts easily into the dehydrated
50 form at atmospheric pressure, when dried at temperatures above 60°C, or in vacuum at room
51 temperature. The anhydrous form with a basal spacing near 7.2 Å is metastable, and can
52 recover its interlayer water in a wet environment. Particles of halloysite consist of tubes, rolls
53 and cylinders, as well as irregular or spheroidal particles, whereas disc-like shapes are mainly
54 expected for kaolinite particles. Halloysite presents a highly disordered structure, with
55 random dislocations and shifts in both the *a* and *b* crystallographic directions (Brindley et al.,
56 1946). The reason for the curvature of the layers is usually attributed to the lateral misfit
57 between the octahedral and tetrahedral sheets in the structure of halloysite (Bates et al., 1959).
58 It was postulated that stacking disorder plays an important role for hydration of kaolinite and
59 formation of halloysite (Costanzo et al., 1984; ~~Costanzo et al., 1984a, b~~). Because the 1:1

60 layers in hydrated halloysite are separated from each other by a layer of water molecules, and
61 due to higher structural disorder, halloysite have usually a larger cation exchange capacity and
62 surface area than kaolinite ([Costanzo et al., 1985](#); [Gardolinski et al., 2003](#); [Nicolini et al.,
63 2009](#); [Cheng et al., 2010](#)).

64 With respect to the chemical identity but different morphology of kaolinite and halloysite
65 particles, the effect of an electric field on electrorheological (ER) properties of silicone oil
66 dispersions of both clay minerals have been investigated. Such investigations are important
67 for practical utilisations of ER fluids in future mechanical coupler and/or junction devices, or
68 in designing electrical birefringent devices. Most of the previously studied clay mineral
69 dispersions were water-based systems. ~~(e.g. Chassagne et al., (2009))~~ reported the
70 electrophoretic mobility of kaolinite dispersions for different types of salt, various pH and
71 ionic strengths; [Rowlands et al. \(1995\)](#) investigated the dynamic mobility and dielectric
72 response of kaolinite particles; rheological properties of aqueous kaolinite dispersions were
73 investigated by [Lagaly \(1989\)](#). However, to our knowledge, there are no data published yet
74 for halloysite particles concerning electrokinetic response in non-polar media, and in
75 particular not much information can be found regarding the comparison of ER behaviours of
76 kaolinite and halloysite particles in respect to their different morphologies.

78 **2. Samples and experimental techniques**

80 **2.1. Sample preparation**

81 Kaolin from a primary deposit in Bayern-Oberpfalz was used in this study. The purified
82 sample received from Hirschau, Germany, was washed with distilled water (conductivity ~1.0
83 μS), dried at 65°C, and crushed in an agate mortar before the characterization measurements
84 (referred as Kaol). The halloysite sample was from a -primary deposit located at Michalovce -

85 Biela Hora. Soluble phases of iron oxide/hydroxides were removed according to the
86 procedure of [Tributh et al. \(1986a, b\)](#). This procedure includes removal of carbonates, iron
87 oxohydroxides, organic matter such as humic material and size fractionation. The fine fraction
88 of halloysite particles was prepared by a sedimentation method using Stoke's [equation](#).
89 Collected fine particles were centrifuged, washed with distilled water (conductivity ~1.0 μ S),
90 dried at 65°C, and crushed in an agate mortar before performing the characterization
91 measurements (referred as Hal).

92 For rheological measurements dispersions of Kaol and Hal in silicone oil (~5 m%) were
93 prepared by mixing in an orbital shaker for minimum 12 hours and applying 30 minutes of
94 ultrasonication prior to each rheological and current measurement.

95 [Kaol/paraffin and Hal/paraffin composites were prepared \(instead of silicone oil-based](#)
96 [dispersions\) for wide-angle X-ray scattering \(WAXS\) experiments, since the position of a](#)
97 [diffuse Bragg diffraction peak from silicone oil overlaps with the 001 Bragg reflection from](#)
98 [both clay minerals](#). The composites were prepared as following: 1.4 g of each clay mineral
99 powder was slowly added into 7g of already pre-melted paraffin-wax (120-130°C). After
100 vigorous stirring, the dispersions were left undisturbed for 5 minutes to let the largest
101 aggregates sediment and then the top portion (80 %) was poured into a new 10 ml glass vial.
102 The dispersions were kept at ~125°C under stirring. After 2 hours four samples were prepared
103 and these included: Kaol/paraffin and Hal/paraffin composites cast with and without *E*-field
104 present. After the samples solidified, having a form of stripes (~15 x 6.5 x 1.5 mm), the
105 WAXS measurements were performed. Optical microscopy measurements were done using
106 low concentration (below 0.5 m%) clay mineral in oil dispersions (for observation clarity).

107

108 **2.2. Experimental techniques**

109 **2.2.1. XRD**

110 X-ray diffraction (XRD) patterns from kaolinite and halloysite powders were obtained
111 using a PHILIPS PW 1710 X-ray powder diffractometer equipped with a Graphite
112 monochromator PW 1752 and Cu radiation ($\text{CuK}\alpha_1 \lambda=0.154056 \text{ nm}$). The patterns were
113 scanned in the range of $4\text{-}70^\circ$ in 2θ with a step size of 0.02° and a counting time of 2.0 s/step.

114
115 *2.2.2. FTIR*

116 Infrared spectra of the Kaol and Hal samples were obtained using a Nicolet 6700
117 Fourier Transform Infrared (FTIR) spectrometer from Thermo Scientific. The KBr pressed
118 disk technique (0.5 mg of sample and 200 mg KBr) was used to get spectra in the MIR region
119 ($4000\text{-}400\text{cm}^{-1}$). The discs were heated in a furnace overnight at 130°C to minimize the water
120 adsorbed on KBr and the clay mineral sample. For each sample 128 scans with the resolution
121 of 4 cm^{-1} were recorded. Spectra manipulations were performed using the Thermo Scientific
122 OMNICTM software package.

123
124 *2.2.3. TGA/DTG*

125 Thermal gravimetric analysis (TGA) was performed on a TGA/SDTA851^o instrument
126 (Mettler Toledo As.) with a heating rate of $2^\circ\text{C}/\text{min}$ up to 800°C under static air conditions.
127 About 20 mg of sample was supplied to the Alumina crucible (with no lid covered).

128
129 *2.2.4. TEM/SEM*

130 Transmission electron microscopy (TEM) images of Kaol and Hal were recorded on a
131 JEOL JEM-2000FX transmission electron microscope with an accelerating voltage of 160 kV.
132 For this characterization the samples were prepared as follows: Kaol and Hal ethanol
133 dispersions were treated 5 minutes by ultrasounds. Then a drop of the sample was spilled on a
134 copper reticle coated colloidal film with a layer of carbon and finally the sample was dried at
135 room temperature.

136 Scanning electron micrographs (SEM) were acquired using a field emission scanning
137 electron microscope (Zeiss Ultra, 55 Limited Edition, accelerated voltage 10-15kV). For the

138 SEM samples, a dispersion of a small amount (<0.01 m%) of sample was dispersed in de-
139 ionized water and ultrasonicated for 1 hour, and then pipetted onto a SEM stub. Before
140 images were collected, all samples were carbon-coated in a conventional coating unit.

141

142 2.2.5. WAXS

143 The WAXS experiment was carried out at the European Synchrotron Radiation Facility
144 (ESRF) in Grenoble, France. An X-ray beam with a wavelength of 0.9 \AA and a $0.5 \times 0.5 \text{ mm}^2$
145 beam size at the sample was used. The Swiss-Norwegian beamline (SNBL) BM01A is
146 equipped with a two-dimensional MAR345 image plate detector with a diameter of 345 mm.
147 The sample to detector distance was set to 350 mm, enabling the detection of scattering in a q -
148 range of approximately $0.65 - 17 \text{ nm}^{-1}$, which corresponds to the real space d -range of $0.37 -$
149 10 nm.

150

151 2.2.6. Rheometry and current measurements

152 The rheological properties of the clay mineral dispersions were measured under direct
153 current (DC) electric fields using a Physica MCR300 Rotational Rheometer equipped with a
154 coaxial cylinder (Physica ERD CC/27). All the rheological measurements were performed at
155 constant temperature of 23°C . These included controlled shear rate tests for measuring shear
156 stress as a function of shear rate and bifurcation measurements. In the former experiment the
157 so-called flow curves were collected in the shear rate range between 0.1 and 1000 s^{-1} and
158 fitted to Herschel–Bulkley model in order to obtain the static yield stresses for samples at
159 different E -field strengths, namely 250, 500, 750, 1000, 1500 and 2000 V/mm, respectively.
160 The bifurcation tests were performed as a complementary method to verify the values of the
161 yield stresses. In this method samples were forced to flow under a constant stress, and then let
162 to evolve in the presence of an E -field (see details in section 3.7). In addition, current

163 measurements were performed (in the same rheological cell) using an Agilent 34401A
164 multimeter.

165 **3. Results and discussion**

166

167 **3.1. XRD analysis**

168 The structural and morphological properties of layered clay minerals can be indirectly
169 deduced from their diffraction patterns. XRD patterns of both samples are shown in Figure
170 1A. The transition from the sharp diffraction peaks in Kaol to the mainly diffuse and “tailed”
171 reflections in the pattern of Hal are shown, indicating decreased layer stacking order of the
172 Hal sample. The d -spacing of the first basal reflections are 0.71 nm and 0.74 nm, for Kaol and
173 Hal respectively. We suppose that an almost anhydrous form of halloysite was used in the
174 experiments (~~see TGA/DTG analysis in section 3.3~~). The group of reflections from 020 to 002
175 particularly reflects the change of structural disorder. In this region the clearly resolved
176 doublet $11\bar{1}$ and $\bar{1}\bar{1}1$ of the Kaol sample yields a single broadened reflection for the Hal
177 sample (Figure 1B). This represents evidence for the increase in structural disorder for Hal
178 (Grim, 1968).

179

180 **3.2. FTIR spectroscopy.**

181 FTIR was used as a complementary method to the XRD to investigate possible mineral
182 admixtures in the samples. ~~IR spectra serve as a fingerprint pattern for mineral identification
183 and gives unique information about the mineral structure (Madejová et al., 2001).~~ Well-
184 ordered Kaol samples revealed four clearly-resolved absorption bands in the OH stretching
185 region $3693\text{-}3620\text{ cm}^{-1}$ (Figure 2). A strong band at 3696 cm^{-1} is related to the in-phase
186 symmetric stretching vibration, two weak absorptions at 3668 and 3652 cm^{-1} are assigned to
187 out-of-plane stretching vibrations (Farmer, 2000; Madejová et al., 2002). In contrary, the IR

188 spectrum of Hal shows intensive absorption bands only at 3696 and 3621 cm^{-1} in the OH
189 stretching region. The very low intensity of OH stretching bands at 3671 and 3652 cm^{-1}
190 reflect a poorly ordered structure typical for halloysite samples (Brindley et al., 1947).

191 Structural stretching and bending as well as OH bending absorption bands are in the region
192 1300–400 cm^{-1} (Figure 2). Differences in layer stacking arrangement of the basic layers are
193 reflected in the positions and shape of the bands. Clear differences between Kaol and Hal can
194 be seen in the 1300-400 cm^{-1} region. The AlAlOH bending bands at 937 and 912 cm^{-1} for
195 Kaol are detected as a shoulder near 939 cm^{-1} and as a distinct band at 913 cm^{-1} for Hal. The
196 band at 430 cm^{-1} was observed in both Kaol and Hal spectra and belongs to Si-O deformation
197 vibrations (Madejová et al., 2002). The inset in Figure 2 shows the details of OH stretching
198 bands located at 3654 and 3649 cm^{-1} . These are typical wavelengths for OH vibrations from
199 kaolinite, thus a small fraction of kaolinite-like particles is expected to be present in the Hal
200 sample. The inset in Figure 2 (region 3700–3600 cm^{-1}) shows also stretching bands typical for
201 kaolinite in the Hal sample at 3654 and 3649 cm^{-1} , indicating that kaolinite-like particles are
202 present in the halloysite. However, no other mineral admixtures or impurities were detected
203 by the FTIR technique.

204

205 3.3. TGA/DTG

206 TGA was conducted mainly to prove the mineral purity and water content in both minerals.
207 Figure 3 shows typical TGA/DTG behaviour of both the Kaol and the Hal samples in the
208 temperature window 50-800°C.

209 The first significant change in the mass ratio can be seen in temperature range up to
210 200°C, and is attributed to the loss of physisorbed water molecules, i.e. water held in located
211 at micropores of the clay mineral aggregate surfaces (Nicolini et al., 2009), observable for
212 both the Kaol and the Hal samples on the level of about 3%. However, with the temperature

213 increase up to 400°C, a continuous mass drop of about next 3% is noted only for Hal. This is
214 attributed to the loss of ~~water molecules present on the internal surfaces, hence the~~
215 intercalated water molecules in the interlayer space. As far as the XRD data revealed the
216 characteristic distance for basal ~~reflection~~diffraction of Hal at 7.4 Å, this confirms the
217 presence of some small amount of intercalated water molecules (Moore et al., 1997). The
218 main mass loss corresponding to structural ~~dehydroxylation~~dehydroxilation of the samples
219 occurs at ~490°C for halloysite and at ~510°C for kaolinite, which is in agreement with
220 previously published results (Horvath et al., 2003). ~~The TGA/DTG analysis showed also that~~
221 ~~excess thermal reactions connected to any impurities present in the samples were not detected.~~

222

223 3.4. TEM/SEM

224 ~~The crystal size and shape are important variables because layers can be planar, curved,~~
225 ~~rolled, cylindrical or quasi-spherical (Brindley, 1980).~~ TEM images of the Kaol sample
226 (Figure 4) show the well-crystallized mineral with platy particles of hexagonal and/or
227 pseudo-hexagonal symmetry and a lateral size ranging from 0.2 to 4.0 µm. The aspect ratio
228 (lateral length/height) of the Kaol particles was estimated by Janek et al. (2007) to be about 5.
229 ~~(Janek et al., 2007).~~ TEM images of the Hal sample (Figure 5) show that the morphology of
230 the halloysite particles is significantly different from that of the kaolinite samples. Many
231 irregular particles with flake shape and a high portion of tubular particles were found. The
232 outer diameters of rolled halloysite tubes are roughly in the range 0.05 - 0.10 µm.

233 For comparison, SEM images show the general morphology of aggregated particles (right
234 panel of Figure 4 and Figure 5). The SEM image of Kaol shows a typical aggregated structure
235 made out of a few *single particles* with their edges pointing out of the image (other particles
236 in the image lay flat on the SEM stub). The *single particle* in this study is composed of about
237 tens layers. The Hal particles are much smaller than Kaol and they tend to form aggregates in
238 a not clearly defined fashion. Most of the SEM micrographs of Hal showed randomly oriented

239 aggregates formed from many particles of different shapes as demonstrated also on the TEM
240 images.

241

242 **3.5. WAXS**

243 Paraffin-wax (hydrocarbons with a general chemical formula C_nH_{2n+2}) is an electrically
244 non-conductive and non-polar material with a low dielectric constant. It is used here as an
245 alternative material to silicone oil being used as a carrier for electrorheological (ER)
246 dispersions (see Section 3.6). Since the position of the diffuse Bragg diffraction peak from the
247 silicone oil overlaps with the 001 Bragg reflection from both clay minerals, its use is limited.
248 The melting temperatures of paraffin-waxes are relatively low, which make them easy to
249 handle during the preparation of composites. In general, the solid-to-liquid transition
250 temperature T_{s-l} depends on n , which also determines the characteristic length of the paraffin
251 chain L when in crystalline form (see Figure 6A - the strong inner ring near to the beamstop).
252 Hence, it is important to choose an appropriate paraffin type, because the characteristic Bragg
253 peaks from clay mineral can overlap with those from paraffin.

254 Figure 6B and Figure 6C show 2-D WAXS diffractograms from Hal and Kaol powders
255 respectively. The distance $d = 2\pi/q$ between basic clay mineral layers was found to be
256 0.734 nm and 0.718 nm for Hal and Kaol, respectively. These basal spacing are in very good
257 agreement with those identified in the XRD experiment (see section 3.1). As expected,
258 different behaviour was observed for the Kaol and Hal paraffin-wax composites prepared
259 under applied strong electric field equal to 500 V/mm. The isotropic Bragg 001 ring shown in
260 Figure 7A points to a random orientation of Kaol particles distributed in the paraffin wax
261 without E -field. However, a strong anisotropy was clearly seen in Figure 7B with the E -field
262 applied during preparation of the samples. The electric field direction was horizontal and
263 normal to the direction of the X-ray beam. This indicates that kaolinite particles were

264 preferentially arranged with their basal planes being parallel to the electric field direction. The
265 quantification of the degree of anisotropy is normally achieved by fitting the azimuthal plots
266 (Figure 7C) to a Maier-Saupe function. The fitting parameter is related to the full width at half
267 maximum (smaller its value determine higher degree of anisotropy) and can be expressed
268 using the standard nematic order parameter ([Méheust et al., 2006](#); [Fossum et al., 2006](#);
269 [Engelsberg et al., 2008](#); [Hemmen et al., 2009](#); [Dozov et al., 2011](#); [Rozynek et al., 2012](#);
270 The initial Kaol/paraffin composite made without *E*-field present shows a slightly anisotropic
271 arrangement ($S_2 = -0.05$). This might be caused by the sample preparation procedure, since
272 particles can align to some extent while the solution was being poured into the mould (sample
273 cell). However, the degree of anisotropy significantly increased (7 times) after the application
274 of electric field ($S_2 = -0.35$).

275 Compared to the behaviour of kaolinite particles, the situation is very much different for
276 Hal/paraffin composites. The WAXS results shown in Figure 8 indicate no particle alignment
277 at all. Both the initial Hal/paraffin composite and the *E*-field treated sample present an
278 isotropic arrangement of particles and the fitted order parameter is the same for both samples
279 ($S_2 = -0.003$, i.e. essentially zero).

280 Possible explanations for not observing any anisotropy from halloysite particles under the
281 influence of *E*-field are: (i) particles are of different and irregular shapes, i.e. kinked tubes; (ii)
282 large agglomerations are expected from many particles having random orientations when
283 ~~suspended-dispersed~~ in a non-polar medium; and finally (iii) halloysite particles in their
284 present form become non-ER species, i.e. they do not re-orient, even though they become
285 polarized under an electric field (for introduction of the non-ER concept etc., see a review on
286 ER dispersions by Hao, 2002). The two former reasons are believed to apply here, and the
287 justification is provided by both the electron microscopy (see Figure 4 and Figure 5) and
288 optical microscopy measurements (see Figure 9). The particles may orient along the electric
289 field direction, but due to their very irregular shapes, no basal plane parallel orientation (as in

290 the case of kaolinite particles) can be achieved. Since it is not possible to observe structuring
291 from particles being embedded into the paraffin-wax (becomes turbid when solidifying),
292 optical observations of particles ~~suspended~~-dispersed in silicone oil were performed.

293

294 **3.6. Optical microscopy observations**

295 The optical microscopy observations aided to exclude the hypothesis that halloysite
296 particles make a non-ER fluid. Figure 9 shows images of both the Kaol and the Hal particles
297 ~~suspended~~-dispersed in silicone. Randomly dispersed particles can be seen on the left panel of
298 Figure 9, whereas two images of the particles subjected to an E -field of 500 V/mm are
299 presented on the right side. The formation of chain-like structures aligning parallel to the field
300 is clearly observed. In the case of kaolinite ER dispersion, many thin chains are visible (~10-
301 50 μm). The particles in the halloysite ER fluid retain larger agglomerates of particles
302 reaching nearly 200 μm . The optical observations indicate that the electrorheological
303 properties may differ for each clay mineral dispersion, and these are presented in the next
304 section together with the electrical current measurements.

305

306 **3.7. Rheology and current response measurements**

307 The flow curves were measured using the controlled shear rate mode for both clay/silicone
308 oil dispersions (Figure 10). In the absence of an E -field, the ER fluids behave as a Newtonian
309 fluid, i.e. their viscosities η stay constant independently of values of the shear rate $\dot{\gamma}$ (remark:
310 two grounding brushes connected to the measuring bob induce an artificial yield stress τ of
311 ~0.7 Pa). When a DC electric field of strengths 250, 500, 750, 1000 and 2000 V/mm were
312 applied, the behaviour of both ER fluids changed and resembled that of a Bingham fluid, i.e. a
313 viscoelastic material that behaves as a rigid body at low stresses but flows as a viscous fluid at
314 high stresses. This behaviour can be described by the Bingham fluid model or its expanded
315 form (includes the power-law index) described by the Herschel-Bulkley rheological model:

316 $\tau_y = \tau_y + b \cdot \dot{\gamma}^p$, where τ_y , b , p are constants named the static yield stress, the consistency
317 index, and the power-law index, respectively. The values of the static yield stress are
318 presented in Table 1. To compare the yield stress values presented here with that of similar
319 systems where different types of clay minerals were used ~~(remark: the authors refer to the~~
320 ~~following publications: (, see Wang et al., 2009; Rozynek et al., 2010; Méheust et al., 2011.)~~
321 ~~if one wished to compare the values of the yield stresses presented here with that of similar~~
322 ~~systems where different types of clay minerals were used)~~. It can be seen that ~~t~~The ER
323 response from the Kaol sample is significantly better and the yield stress valueses are nearly 3
324 times higher than those observed for the Hal sample.

325 So-called bifurcation tests (Bonn et al., 2002; Parmar et al., 2008; Wang et al., 2009;
326 Khaldoun et al., 2009) were performed as a cross-check on the yield stresses determined
327 from the controlled shear rate measurements. Two examples of such tests are shown in Figure
328 11. If a constant shear stress is applied to a sample, then a bifurcation in the flow curves may
329 occur at the yield stress τ_y , indicating that microstructure re-build up is dominant if the applied
330 stress $\tau_{ap} < \tau_y$. At high values of the applied stress on the other hand, particle re-structuring
331 fails, e.g. $\tau_{ap} > 3.35$ and $\tau_{ap} > 0.8$ Pa for Kaol and Hal, respectively in the example below.

332 Table 1 presents compares the yield stresses values for Kaol and Hal samples measured at
333 different electric field strengths using both mentioned methods. ~~As can be seen, t~~The values
334 obtained from bifurcation tests coincide very well with those estimated from Herschel-
335 Bulkley fits within experimental errors.

336 The values of the yield stress for the Kaol are considerably higher than those for the Hal.
337 This behaviour can be attributed to either the differences in dielectric properties of the
338 samples or dispersion conductivity, as this is a commonly found dependence for majority of
339 ER-fluids. The dielectric constant of halloysite is supposed to be in general slightly higher
340 than that of kaolinite (Churchman et al., 1975), however, ~~theour~~ investigations on micaseeous

341 | ~~clays~~ using terahertz time-domain spectroscopy (THz-TDS) showed that despite significant
342 | variation in chemical composition of selected micas, the dielectric behaviour for this group of
343 | clay minerals is rather similar with the same magnitude of refractive and absorption indexes,
344 | e.g. at about 1 THz (Janek et al., 2009; Janek et al., 2010) . Taking into consideration either
345 | the polarization model or the dielectric loss model one would expect the ER response from
346 | the Kaol sample to be similar or lower. However, this is clearly not the case here. The
347 | possible validity of the conduction model, in which the conductivity mismatch between
348 | particle and liquid medium (rather than the dielectric constant mismatch) is thought to be a
349 | dominant factor for DC and low frequency alternating current (AC) excitation, was therefore
350 | tested.

351 | The electrical conductivities of each sample were measured within the E-field range of
352 | 500 to 2000 V and the results are shown in Table 2. Since the magnitude of the DC electric
353 | conductivity I_H is significantly higher than I_K , and the conductivity mismatch Hal/silicone oil
354 | is also higher than that of Kaol/silicone oil, it can be concluded ~~we conclude~~ that the
355 | conductivity model has no direct application here either.

356 | Both the ability of respective clay mineral to form interparticle connections and the water
357 | content adsorbed at their surface are two parameters that influence the difference between
358 | magnitudes of I_K and I_H . At the moment it is not clear which parameter is important here.
359 | However, one can postulate that the main source of conducting species in silicon-oil
360 | dispersions are the dissociated water molecules physically adsorbed at external surfaces of
361 | Kaol and on external and internal surfaces of Hal. The presence of different quantities of
362 | water was proved by TGA/DTG experiments (section 3.3). Another significant factor
363 | affecting the conductivity may be the generation of interparticle contacts after voltage
364 | application to the measuring cell. The Kaol formed highly ordered columnar structures and
365 | regular arrangement, ~~in contrary to Hal~~ (Figure 9). The explanation of this different behaviour

366 requires more detailed current measurements as a function of e.g. particle concentration,
367 humidity, electric field strength and time.

368 | ~~To summarize, we believed that t~~The way in which these two types of clay mineral make
369 | structures during the “chain/column” formation, as well as the concentration of available
370 | surface conducting species, ~~seem to be are~~more important factors determining samples ER
371 | responses than the small differences between dielectric constants or material conductivity of
372 | the samples.

373

374 **4. Conclusions**

375 The structural and morphological properties of both types of clay minerals were probed in
376 detail by means of XRD, FTIR, SEM/TEM and TGA techniques. WAXS was used to
377 investigate the electric field induced structuring from two types of particles belonging to the
378 kaolin group of minerals, namely kaolinite and halloysite. It was found that the overall
379 alignment in *E*-field of the polarized kaolinite particles was significantly better compared to
380 that of polarized halloysite particles. The authors believe that this is mainly a result of the
381 different kind of aggregates each type of particles formed, although similar columnar final
382 structures were made out of these aggregates in the presence of an external *E*-field. The disc-
383 like kaolinite particles stack one another (in a coarse approximation) if immersed in a non-
384 polar medium, and when an external *E*-field is applied such a stacked particle aligns with the
385 *E*-field direction perpendicular to the stacking direction, which was evident in observed 2-D
386 WAXS diffractograms. The halloysite samples were found to give no rise to anisotropy on the
387 2-D WAXS diffraction patterns, indicating no basal plane preferential orientation in this case.
388 SEM and TEM images confirmed that the kaolinite sample is a well-crystallized mineral with
389 platy particles of hexagonal symmetry, whereas the morphology of halloysite particles was
390 found to be very different, consisting of irregular shape particles including flakes and tubular

391 particles. Optical microscopy was used to observe the particle alignment in the presence of
392 the *E*-field. It was found that the electrorheological response was considerably stronger for
393 kaolinite dispersions compared to that of the halloysites. In addition, currents at different
394 electric field strengths were measured and it was found that the magnitude of the current was
395 significantly larger for halloysite particles. In addition the scaling behaviour was found to be
396 different and it is believed that the way in which these two types of clay mineral structure
397 during the chain/column formation, as well as the concentration of available conducting
398 species, are more important factors than the small differences between dielectric constants or
399 material conductivity of the samples.

400

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414

415

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495

- The structural and morphological properties of halloysite and kaolinite clays are investigated.
- Kaolinite particle dispersions have superior electro-rheological response to that of halloysite.
- Different mechanisms for the improved ER effect are tested.
- The nematic order parameter is higher for kaolinite particles dispersions.
- The magnitude of the conducting current is significantly higher for halloysite particles.

Electric-field-induced structuring and rheological properties of kaolinite and halloysite

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Abstract

Electric-field-induced structuring of kaolinite and halloysite particles was studied in respect to their electrorheological (ER) response in silicone oil and in paraffin dispersions. The structural and morphological properties of both clay minerals were studied by XRD, FTIR, SEM, TEM and TGA techniques. The dipolar arrangement induced under application of an electric field was investigated by 2D-WAXS and optical microscopy techniques. The ER response of the samples was measured by both the shear rate controlled method and bifurcation tests. Kaolinite particle dispersions were found to have an improved ER response relative to dispersions of halloysite particles. Finally, the electric currents of these ER fluids were measured and the results revealed differences in the current-magnitude between halloysite- and kaolinite-based silicone oil dispersions.

Keywords: kaolinite, halloysite, XRD, FTIR, electron microscopy, rheology

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35 1. Introduction

36

37 Both kaolinite and halloysite belong to the kaolinite group of minerals having essentially
38 similar chemical composition with the nominal formula $\text{Al}_2\text{Si}_2\text{O}_5(\text{OH})_4$ per half unit cell, and
39 they have important structural layer stacking differences. Natural kaolinites have various
40 degree of structural disorder or “crystallinity”, which is often related to the conditions of
41 genesis of the particular mineral (Brindley et al., 1986; Giese, 1988; Madejová et al., 1997).
42 Therefore, kaolinites are classified as either low defect or high defect kaolinites depending on
43 their varying degrees of order in the crystal structure (Brindley et al., 1947). Halloysite differs
44 intrinsically from the kaolinite in its layer stacking sequence. It can intercalate a monolayer of
45 water molecules between the aluminosilicate layers and therefore the existence of two
46 different mineral species of halloysite have been reported, namely the anhydrous 7 Å form
47 and its hydrated 10 Å form, marked also as *halloysite (7Å)* and *halloysite (10Å)*, respectively.
48 The stoichiometry of halloysite (10Å) follows approximately the formula
49 $\text{Al}_2\text{Si}_2\text{O}_5(\text{OH})_4 \cdot 2\text{H}_2\text{O}$ per half unit cell. This hydrated form converts easily into the dehydrated
50 form at atmospheric pressure, when dried at temperatures above 60°C, or in vacuum at room
51 temperature. The anhydrous form with a basal spacing near 7.2 Å is metastable, and can
52 recover its interlayer water in a wet environment. Particles of halloysite consist of tubes, rolls
53 and cylinders, as well as irregular or spheroidal particles, whereas disc-like shapes are mainly
54 expected for kaolinite particles. Halloysite presents a highly disordered structure, with
55 random dislocations and shifts in both the *a* and *b* crystallographic directions (Brindley et al.,
56 1946). The reason for the curvature of the layers is usually attributed to the lateral misfit
57 between the octahedral and tetrahedral sheets in the structure of halloysite (Bates et al., 1959).
58 It was postulated that stacking disorder plays an important role for hydration of kaolinite and
59 formation of halloysite (Costanzo et al., 1984a, b). Because the 1:1 layers in hydrated

60 halloysite are separated from each other by a layer of water molecules, and due to higher
61 structural disorder, halloysite have usually a larger cation exchange capacity and surface area
62 than kaolinite (Costanzo et al., 1985; Gardolinski et al., 2003; Nicolini et al., 2009; Cheng et
63 al., 2010).

64 With respect to the chemical identity but different morphology of kaolinite and halloysite
65 particles, the effect of an electric field on electrorheological (ER) properties of silicone oil
66 dispersions of both clay minerals have been investigated. Such investigations are important
67 for practical utilisations of ER fluids in future mechanical coupler and/or junction devices, or
68 in designing electrical birefringent devices. Most of the previously studied clay mineral
69 dispersions were water-based systems. Chassagne et al. (2009) reported the electrophoretic
70 mobility of kaolinite dispersions for different types of salt, various pH and ionic strengths;
71 Rowlands et al. (1995) investigated the dynamic mobility and dielectric response of kaolinite
72 particles; rheological properties of aqueous kaolinite dispersions were investigated by Lagaly
73 (1989). However, to our knowledge, there are no data published yet for halloysite particles
74 concerning electrokinetic response in non-polar media, and in particular not much information
75 can be found regarding the comparison of ER behaviours of kaolinite and halloysite particles
76 in respect to their different morphologies.

77

78 **2. Samples and experimental techniques**

79

80 **2.1. Sample preparation**

81 Kaolin from a primary deposit in Bayern-Oberpfalz was used in this study. The purified
82 sample received from Hirschau, Germany, was washed with distilled water (conductivity ~1.0
83 μS), dried at 65°C, and crushed in an agate mortar before the characterization measurements
84 (referred as Kaol). The halloysite sample was from a primary deposit located at Michalovce -

85 Biela Hora. Soluble phases of iron oxide-hydroxides were removed according to the
86 procedure of Tributh et al. (1986a, b). This procedure includes removal of carbonates, iron
87 oxohydroxides, organic matter such as humic material and size fractionation. The fine fraction
88 of halloysite particles was prepared by a sedimentation method using Stoke's equation.
89 Collected fine particles were centrifuged, washed with distilled water (conductivity $\sim 1.0 \mu\text{S}$),
90 dried at 65°C , and crushed in an agate mortar before performing the characterization
91 measurements (referred as Hal).

92 For rheological measurements dispersions of Kaol and Hal in silicone oil ($\sim 5 \text{ m\%}$) were
93 prepared by mixing in an orbital shaker for minimum 12 hours and applying 30 minutes of
94 ultrasonication prior to each rheological and current measurement.

95 Kaol/paraffin and Hal/paraffin composites were prepared (instead of silicone oil-based
96 dispersions) for wide-angle X-ray scattering (WAXS) experiments, since the position of a
97 diffuse Bragg diffraction peak from silicone oil overlaps with the 001 Bragg reflection from
98 both clay minerals. The composites were prepared as following: 1.4 g of each clay mineral
99 powder was slowly added into 7g of already pre-melted paraffin-wax ($120\text{-}130^\circ\text{C}$). After
100 vigorous stirring, the dispersions were left undisturbed for 5 minutes to let the largest
101 aggregates sediment and then the top portion (80 %) was poured into a new 10 ml glass vial.
102 The dispersions were kept at $\sim 125^\circ\text{C}$ under stirring. After 2 hours four samples were prepared
103 and these included: Kaol/paraffin and Hal/paraffin composites cast with and without *E*-field
104 present. After the samples solidified, having a form of stripes ($\sim 15 \times 6.5 \times 1.5 \text{ mm}$), the
105 WAXS measurements were performed. Optical microscopy measurements were done using
106 low concentration (below 0.5 m\%) clay mineral in oil dispersions (for observation clarity).

107

108 **2.2. Experimental techniques**

109 *2.2.1. XRD*

110 X-ray diffraction (XRD) patterns from kaolinite and halloysite powders were obtained
111 using a PHILIPS PW 1710 X-ray powder diffractometer equipped with a Graphite
112 monochromator PW 1752 and Cu radiation ($\text{CuK}\alpha_1$ $\lambda=0.154056$ nm). The patterns were
113 scanned in the range of 4-70° in 2θ with a step size of 0.02° and a counting time of 2.0 s/step.

114
115 *2.2.2. FTIR*

116 Infrared spectra of the Kaol and Hal samples were obtained using a Nicolet 6700
117 Fourier Transform Infrared (FTIR) spectrometer from Thermo Scientific. The KBr pressed
118 disk technique (0.5 mg of sample and 200 mg KBr) was used to get spectra in the MIR region
119 (4000–400 cm^{-1}). The discs were heated in a furnace overnight at 130°C to minimize the water
120 adsorbed on KBr and the clay mineral sample. For each sample 128 scans with the resolution
121 of 4 cm^{-1} were recorded. Spectra manipulations were performed using the Thermo Scientific
122 OMNIC™ software package.

123
124 *2.2.3. TGA*

125 Thermal gravimetric analysis (TGA) was performed on a TGA/SDTA851° instrument
126 (Mettler Toledo As.) with a heating rate of 2°C/min up to 800°C under static air conditions.
127 About 20 mg of sample was supplied to the Alumina crucible (with no lid covered).

128
129 *2.2.4. TEM/SEM*

130 Transmission electron microscopy (TEM) images of Kaol and Hal were recorded on a
131 JEOL JEM-2000FX transmission electron microscope with an accelerating voltage of 160 kV.
132 For this characterization the samples were prepared as follows: Kaol and Hal ethanol
133 dispersions were treated 5 minutes by ultrasounds. Then a drop of the sample was spilled on a
134 copper reticle coated colloidal film with a layer of carbon and finally the sample was dried at
135 room temperature.

136 Scanning electron micrographs (SEM) were acquired using a field emission scanning
137 electron microscope (Zeiss Ultra, 55 Limited Edition, accelerated voltage 10-15kV). For the

138 SEM samples, a dispersion of a small amount (<0.01 m%) of sample was dispersed in de-
139 ionized water and ultrasonicated for 1 hour, and then pipetted onto a SEM stub. Before
140 images were collected, all samples were carbon-coated in a conventional coating unit.

141

142 2.2.5. WAXS

143 The WAXS experiment was carried out at the European Synchrotron Radiation Facility
144 (ESRF) in Grenoble, France. An X-ray beam with a wavelength of 0.9 Å and a $0.5 \times 0.5 \text{ mm}^2$
145 beam size at the sample was used. The Swiss-Norwegian beamline (SNBL) BM01A is
146 equipped with a two-dimensional MAR345 image plate detector with a diameter of 345 mm.
147 The sample to detector distance was set to 350 mm, enabling the detection of scattering in a q -
148 range of approximately $0.65 - 17 \text{ nm}^{-1}$, which corresponds to the real space d -range of $0.37 -$
149 10 nm.

150

151 2.2.6. Rheometry and current measurements

152 The rheological properties of the clay mineral dispersions were measured under direct
153 current (DC) electric fields using a Physica MCR300 Rotational Rheometer equipped with a
154 coaxial cylinder (Physica ERD CC/27). All the rheological measurements were performed at
155 constant temperature of 23°C. These included controlled shear rate tests for measuring shear
156 stress as a function of shear rate and bifurcation measurements. In the former experiment the
157 so-called flow curves were collected in the shear rate range between 0.1 and 1000 s^{-1} and
158 fitted to Herschel–Bulkley model in order to obtain the static yield stresses for samples at
159 different E -field strengths, namely 250, 500, 750, 1000, 1500 and 2000 V/mm, respectively.
160 The bifurcation tests were performed as a complementary method to verify the values of the
161 yield stresses. In this method samples were forced to flow under a constant stress, and then let
162 to evolve in the presence of an E -field (see details in section 3.7). In addition, current

163 measurements were performed (in the same rheological cell) using an Agilent 34401A
164 multimeter.

165 **3. Results and discussion**

166

167 **3.1. XRD analysis**

168 The structural and morphological properties of layered clay minerals can be indirectly
169 deduced from their diffraction patterns. XRD patterns of both samples are shown in Figure
170 1A. The transition from the sharp diffraction peaks in Kaol to the mainly diffuse and “tailed”
171 reflections in the pattern of Hal are shown, indicating decreased layer stacking order of the
172 Hal sample. The *d*-spacing of the first basal reflections are 0.71 nm and 0.74 nm, for Kaol and
173 Hal respectively. We suppose that an almost anhydrous form of halloysite was used in the
174 experiments. The group of reflections from 020 to 002 particularly reflects the change of
175 structural disorder. In this region the clearly resolved doublet $11\bar{1}$ and $1\bar{1}1$ of the Kaol sample
176 yields a single broadened reflection for the Hal sample (Figure 1B). This represents evidence
177 for the increase in structural disorder for Hal (Grim, 1968).

178

179 **3.2. FTIR spectroscopy.**

180 FTIR was used as a complementary method to the XRD to investigate possible mineral
181 admixtures in the samples. Well-ordered Kaol samples revealed four clearly-resolved
182 absorption bands in the OH stretching region $3693\text{-}3620\text{ cm}^{-1}$ (Figure 2). A strong band at
183 3696 cm^{-1} is related to the in-phase symmetric stretching vibration, two weak absorptions at
184 3668 and 3652 cm^{-1} are assigned to out-of-plane stretching vibrations (Farmer, 2000;
185 Madejová et al., 2002). In contrary, the IR spectrum of Hal shows intensive absorption bands
186 only at 3696 and 3621 cm^{-1} in the OH stretching region. The very low intensity of OH

187 stretching bands at 3671 and 3652 cm^{-1} reflect a poorly ordered structure typical for halloysite
188 samples (Brindley et al., 1947).

189 Structural stretching and bending as well as OH bending absorption bands are in the region
190 1300–400 cm^{-1} (Figure 2). Differences in layer stacking arrangement of the basic layers are
191 reflected in the positions and shape of the bands. Clear differences between Kaol and Hal can
192 be seen in the 1300-400 cm^{-1} region. The AlAlOH bending bands at 937 and 912 cm^{-1} for
193 Kaol are detected as a shoulder near 939 cm^{-1} and as a distinct band at 913 cm^{-1} for Hal. The
194 band at 430 cm^{-1} was observed in both Kaol and Hal spectra and belongs to Si-O deformation
195 vibrations (Madejová et al., 2002). The inset in Figure 2 shows the details of OH stretching
196 bands located at 3654 and 3649 cm^{-1} . These are typical wavelengths for OH vibrations from
197 kaolinite, thus a small fraction of kaolinite-like particles is expected to be present in the Hal
198 sample. However, no other mineral admixtures or impurities were detected by the FTIR
199 technique.

200

201 3.3. TGA/DTG

202 TGA was conducted mainly to prove the mineral purity and water content in both minerals.
203 Figure 3 shows typical TGA/DTG behaviour of both the Kaol and the Hal samples in the
204 temperature window 50-800°C.

205 The first significant change in the mass ratio can be seen in temperature range up to 200°C,
206 and is attributed to the loss of physisorbed water molecules, i.e. water held in micropores of
207 the clay mineral (Nicolini et al., 2009), observable for both the Kaol and the Hal samples on
208 the level of about 3%. However, with the temperature increase up to 400°C, a continuous
209 mass drop of about next 3% is noted only for Hal. This is attributed to the loss of intercalated
210 water molecules in the interlayer space. As far as the XRD data revealed the characteristic
211 distance for basal reflection of Hal at 7.4 Å, this confirms the presence of some small amount

212 of intercalated water molecules (Moore et al., 1997). The main mass loss corresponding to
213 structural dehydroxylation of the samples occurs at ~490°C for halloysite and at ~510°C for
214 kaolinite, which is in agreement with previously published results (Horvath et al., 2003).

215

216 **3.4. TEM/SEM**

217 TEM images of the Kaol sample (Figure 4) show the well-crystallized mineral with platy
218 particles of hexagonal and/or pseudohexagonal symmetry and a lateral size ranging from 0.2
219 to 4.0 µm. The aspect ratio (lateral length/height) of the Kaol particles was estimated by Janek
220 et al. (2007) to be about 5. TEM images of the Hal sample (Figure 5) show that the
221 morphology of the halloysite particles is significantly different from that of the kaolinite
222 samples. Many irregular particles with flake shape and a high portion of tubular particles were
223 found. The outer diameters of rolled halloysite tubes are roughly in the range 0.05 - 0.10 µm.

224 For comparison, SEM images show the general morphology of aggregated particles (right
225 panel of Figure 4 and Figure 5). The SEM image of Kaol shows a typical aggregated structure
226 made out of a few *single particles* with their edges pointing out of the image (other particles
227 in the image lay flat on the SEM stub). The *single particle* in this study is composed of about
228 tens layers. The Hal particles are much smaller than Kaol and they tend to form aggregates in
229 a not clearly defined fashion. Most of the SEM micrographs of Hal showed randomly oriented
230 aggregates formed from many particles of different shapes as demonstrated also on the TEM
231 images.

232

233 **3.5. WAXS**

234 Paraffin-wax (hydrocarbons with a general chemical formula C_nH_{2n+2}) is an electrically
235 non-conductive and non-polar material with a low dielectric constant. It is used here as an
236 alternative material to silicone oil being used as a carrier for electrorheological (ER)
237 dispersions (see Section 3.6). Since the position of the diffuse Bragg diffraction peak from the

238 silicone oil overlaps with the 001 Bragg reflection from both clay minerals, its use is limited.
239 The melting temperatures of paraffin-waxes are relatively low, which make them easy to
240 handle during the preparation of composites. In general, the solid-to-liquid transition
241 temperature T_{s-l} depends on n , which also determines the characteristic length of the paraffin
242 chain L when in crystalline form (see Figure 6A - the strong inner ring near to the beamstop).
243 Hence, it is important to choose an appropriate paraffin type, because the characteristic Bragg
244 peaks from clay mineral can overlap with those from paraffin.

245 Figure 6B and Figure 6C show 2-D WAXS diffractograms from Hal and Kaol powders
246 respectively. The distance $d = 2\pi/q$ between basic clay mineral layers was found to be
247 0.734 nm and 0.718 nm for Hal and Kaol, respectively. These basal spacing are in very good
248 agreement with those identified in the XRD experiment (see section 3.1). As expected,
249 different behaviour was observed for the Kaol and Hal paraffin-wax composites prepared
250 under applied strong electric field equal to 500 V/mm. The isotropic Bragg 001 ring shown in
251 Figure 7A points to a random orientation of Kaol particles distributed in the paraffin wax
252 without E -field. However, a strong anisotropy was clearly seen in Figure 7B with the E -field
253 applied during preparation of the samples. The electric field direction was horizontal and
254 normal to the direction of the X-ray beam. This indicates that kaolinite particles were
255 preferentially arranged with their basal planes being parallel to the electric field direction. The
256 quantification of the degree of anisotropy is normally achieved by fitting the azimuthal plots
257 (Figure 7C) to a Maier-Saupe function. The fitting parameter is related to the full width at half
258 maximum (smaller its value determine higher degree of anisotropy) and can be expressed
259 using the standard nematic order parameter (Méheust et al., 2006; Fossum et al., 2006;
260 Engelsberg et al., 2008; Hemmen et al., 2009; Dozov et al., 2011; Rozynek et al., 2012;).
261 The initial Kaol/paraffin composite made without E -field present shows a slightly anisotropic
262 arrangement ($S_2 = -0.05$). This might be caused by the sample preparation procedure, since

263 particles can align to some extent while the solution was being poured into the mould (sample
264 cell). However, the degree of anisotropy significantly increased (7 times) after the application
265 of electric field ($S_2 = -0.35$).

266 Compared to the behaviour of kaolinite particles, the situation is very much different for
267 Hal/paraffin composites. The WAXS results shown in Figure 8 indicate no particle alignment
268 at all. Both the initial Hal/paraffin composite and the *E*-field treated sample present an
269 isotropic arrangement of particles and the fitted order parameter is the same for both samples
270 ($S_2 = -0.003$, i.e. essentially zero).

271 Possible explanations for not observing any anisotropy from halloysite particles under the
272 influence of *E*-field are: (i) particles are of different and irregular shapes, i.e. kinked tubes; (ii)
273 large agglomerations are expected from many particles having random orientations when
274 dispersed in a non-polar medium; and finally (iii) halloysite particles in their present form
275 become non-ER species, i.e. they do not re-orient, even though they become polarized under
276 an electric field (for introduction of the non-ER concept etc., see a review on ER dispersions
277 by Hao, 2002). The two former reasons are believed to apply here, and the justification is
278 provided by both the electron microscopy (see Figure 4 and Figure 5) and optical microscopy
279 measurements (see Figure 9). The particles may orient along the electric field direction, but
280 due to their very irregular shapes, no basal plane parallel orientation (as in the case of
281 kaolinite particles) can be achieved. Since it is not possible to observe structuring from
282 particles being embedded into the paraffin-wax (becomes turbid when solidifying), optical
283 observations of particles dispersed in silicone oil were performed.

284

285 **3.6. Optical microscopy observations**

286 The optical microscopy observations aided to exclude the hypothesis that halloysite
287 particles make a non-ER fluid. Figure 9 shows images of both the Kaol and the Hal particles
288 dispersed in silicone. Randomly dispersed particles can be seen on the left panel of Figure 9,

289 whereas two images of the particles subjected to an E -field of 500 V/mm are presented on the
290 right side. The formation of chain-like structures aligning parallel to the field is clearly
291 observed. In the case of kaolinite ER dispersion, many thin chains are visible ($\sim 10\text{-}50\ \mu\text{m}$).
292 The particles in the halloysite ER fluid retain larger agglomerates of particles reaching nearly
293 200 μm . The optical observations indicate that the electrorheological properties may differ for
294 each clay mineral dispersion, and these are presented in the next section together with the
295 electrical current measurements.

296

297 **3.7. Rheology and current response measurements**

298 The flow curves were measured using the controlled shear rate mode for both clay/silicone
299 oil dispersions (Figure 10). In the absence of an E -field, the ER fluids behave as a Newtonian
300 fluid, i.e. their viscosities η stay constant independently of values of the shear rate $\dot{\gamma}$ (remark:
301 two grounding brushes connected to the measuring bob induce an artificial yield stress τ of
302 $\sim 0.7\ \text{Pa}$). When a DC electric field of strengths 250, 500, 750, 1000 and 2000 V/mm were
303 applied, the behaviour of both ER fluids changed and resembled that of a Bingham fluid, i.e. a
304 viscoelastic material that behaves as a rigid body at low stresses but flows as a viscous fluid at
305 high stresses. This behaviour can be described by the Bingham fluid model or its expanded
306 form (includes the power-law index) described by the Herschel-Bulkley rheological model:
307 $\tau_y = \tau_y + b \cdot \dot{\gamma}^p$, where τ_y , b , p are constants named the static yield stress, the consistency
308 index, and the power-law index, respectively. The values of the static yield stress are
309 presented in Table 1. To compare the yield stress values presented here with that of similar
310 systems where different types of clay minerals were used, see Wang et al., 2009; Rozynek et
311 al., 2010; Méheust et al., 2011. The ER response from the Kaol sample is significantly better
312 and the yield stress values are nearly 3 times higher than those observed for the Hal sample.

313 So-called bifurcation tests (Bonn et al., 2002; Parmar et al., 2008; Wang et al., 2009;
314 Khaldoun et al., 2009) were performed as a cross-check on the yield stresses determined
315 from the controlled shear rate measurements. Two examples of such tests are shown in Figure
316 11. If a constant shear stress is applied to a sample, then a bifurcation in the flow curves may
317 occur at the yield stress τ_y , indicating that microstructure re-build up is dominant if the applied
318 stress $\tau_{ap} < \tau_y$. At high values of the applied stress on the other hand, particle re-structuring
319 fails, e.g. $\tau_{ap} > 3.35$ and $\tau_{ap} > 0.8$ Pa for Kaol and Hal, respectively in the example below.

320 Table 1 presents the yield stress values for Kaol and Hal samples measured at different
321 electric field strengths using both mentioned methods. The values obtained from bifurcation
322 tests coincide very well with those estimated from Herschel-Bulkley fits within experimental
323 errors.

324 The values of the yield stress for the Kaol are considerably higher than those for the Hal.
325 This behaviour can be attributed to either the differences in dielectric properties of the
326 samples or dispersion conductivity, as this is a commonly found dependence for majority of
327 ER-fluids. The dielectric constant of halloysite is supposed to be in general slightly higher
328 than that of kaolinite (Churchman et al., 1975), however, the investigations on micas using
329 terahertz time-domain spectroscopy (THz-TDS) showed that despite significant variation in
330 chemical composition of selected micas, the dielectric behaviour for this group of clay
331 minerals is rather similar with the same magnitude of refractive and absorption indexes, e.g.
332 at about 1 THz (Janek et al., 2009; Janek et al., 2010) . Taking into consideration either the
333 polarization model or the dielectric loss model one would expect the ER response from the
334 Kaol sample to be similar or lower. However, this is clearly not the case here. The possible
335 validity of the conduction model, in which the conductivity mismatch between particle and
336 liquid medium (rather than the dielectric constant mismatch) is thought to be a dominant
337 factor for DC and low frequency alternating current (AC) excitation, was therefore tested.

338 The electrical conductivities of each sample were measured within the E-field range of
339 500 to 2000 V and the results are shown in Table 2. Since the magnitude of the DC electric
340 conductivity I_H is significantly higher than I_K , and the conductivity mismatch Hal/silicone oil
341 is also higher than that of Kaol/silicone oil, it can be concluded that the conductivity model
342 has no direct application here either.

343 Both the ability of respective clay mineral to form interparticle connections and the water
344 content adsorbed at their surface are two parameters that influence the difference between
345 magnitudes of I_K and I_H . At the moment it is not clear which parameter is important here.
346 However, one can postulate that the main source of conducting species in silicon-oil
347 dispersions are the dissociated water molecules physically adsorbed at external surfaces of
348 Kaol and on external and internal surfaces of Hal. The presence of different quantities of
349 water was proved by TGA/DTG experiments (section 3.3). Another significant factor
350 affecting the conductivity may be the generation of interparticle contacts after voltage
351 application to the measuring cell. The Kaol formed highly ordered columnar structures and
352 regular arrangement (Figure 9). The explanation of this different behaviour requires more
353 detailed current measurements as a function of e.g. particle concentration, humidity, electric
354 field strength and time.

355 The way in which these two types of clay mineral make structures during the
356 “chain/column” formation, as well as the concentration of available surface conducting
357 species, seem to be more important factors determining samples ER responses than the small
358 differences between dielectric constants or material conductivity of the samples.

359

360 **4. Conclusions**

361 The structural and morphological properties of both types of clay minerals were probed in
362 detail by means of XRD, FTIR, SEM/TEM and TGA techniques. WAXS was used to

363 investigate the electric field induced structuring from two types of particles belonging to the
364 kaolin group of minerals, namely kaolinite and halloysite. It was found that the overall
365 alignment in E -field of the polarized kaolinite particles was significantly better compared to
366 that of polarized halloysite particles. The authors believe that this is mainly a result of the
367 different kind of aggregates each type of particles formed, although similar columnar final
368 structures were made out of these aggregates in the presence of an external E -field. The disc-
369 like kaolinite particles stack one another (in a coarse approximation) if immersed in a non-
370 polar medium, and when an external E -field is applied such a stacked particle aligns with the
371 E -field direction perpendicular to the stacking direction, which was evident in observed 2-D
372 WAXS diffractograms. The halloysite samples were found to give no rise to anisotropy on the
373 2-D WAXS diffraction patterns, indicating no basal plane preferential orientation in this case.
374 SEM and TEM images confirmed that the kaolinite sample is a well-crystallized mineral with
375 platy particles of hexagonal symmetry, whereas the morphology of halloysite particles was
376 found to be very different, consisting of irregular shape particles including flakes and tubular
377 particles. Optical microscopy was used to observe the particle alignment in the presence of
378 the E -field. It was found that the electrorheological response was considerably stronger for
379 kaolinite dispersions compared to that of the halloysites. In addition, currents at different
380 electric field strengths were measured and it was found that the magnitude of the current was
381 significantly larger for halloysite particles. In addition the scaling behaviour was found to be
382 different and it is believed that the way in which these two types of clay mineral structure
383 during the chain/column formation, as well as the concentration of available conducting
384 species, are more important factors than the small differences between dielectric constants or
385 material conductivity of the samples.

386

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478

<i>E</i> -field [V/mm]		250	500	750	1000	2000
τ_y [Pa]	Kaol (CSR)	1.7 ± 0.4	3.6 ± 0.1	5.2 ± 0.3	10.2 ± 0.4	18.5 ± 1.3
τ_y [Pa]	Kaol (bif.)	1.5 ± 0.5	3.3 ± 0.1	5.5 ± 0.5	9.5 ± 0.5	16.5 ± 0.5
τ_y [Pa]	Hal (CSR)	0.9 ± 0.8	1.3 ± 0.5	1.6 ± 0.9	1.9 ± 0.8	6.3 ± 1.1
τ_y [Pa]	Hal (bif.)	0.6 ± 0.1	0.9 ± 0.1	1.5 ± 0.5	2.5 ± 0.5	7.5 ± 0.5

Table 1. Comparison of the yield stresses for Kaol and Hal dispersions measured at different *E*-field strengths employing constant shear rate (CSR) and bifurcation (bif.) methods.

Table 2[Click here to download Table: Table 2.doc](#)

<i>E</i> -field [V/mm]		500	750	1000	1250	1500	1750	2000
I [μ A]	Kaol	0.6	1.5	2.9	4.9	7.5	11	15
I [μ A]	Hal	34	59	91	132	181	238	305

Table 2. Comparison of DC currents for Kaol and Hal dispersions measured at different *E*-field strengths.

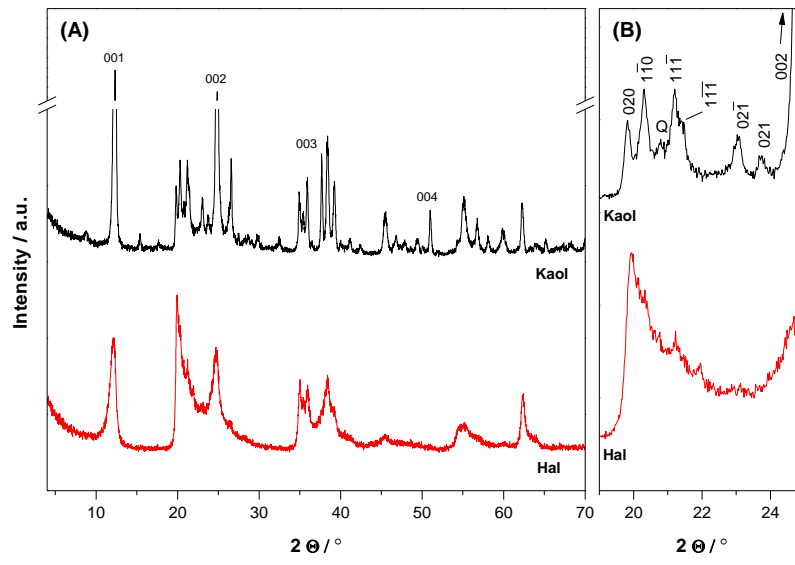


Fig. 1. The XRD patterns of Kaol and Hal samples with indicated basal reflections.

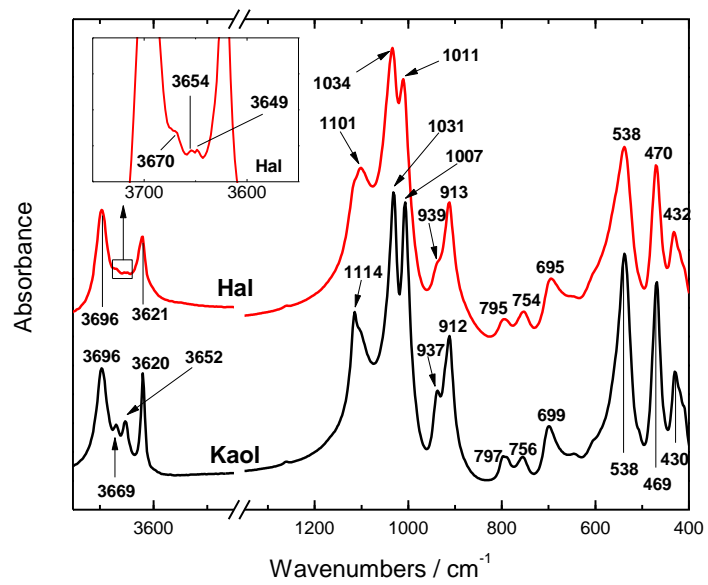


Fig. 2. FTIR spectra of Kaol and Hal samples.

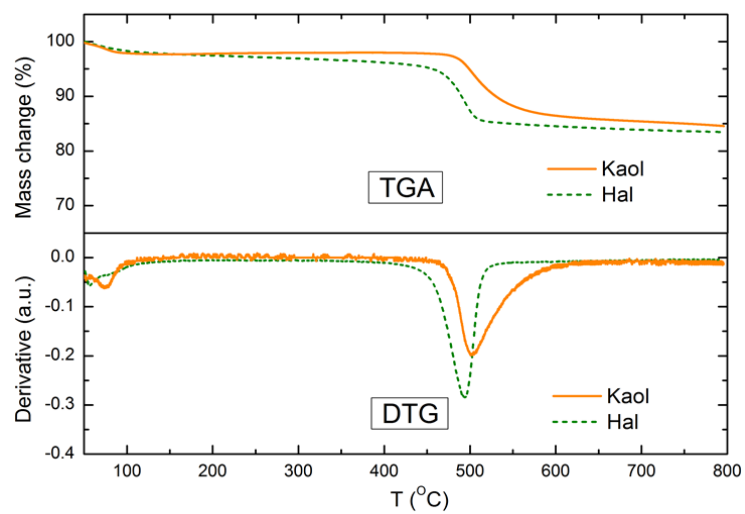


Fig. 3. TGA and DTG traces (50-800°C) of Kaol (solid) and Hal (dashed) samples.

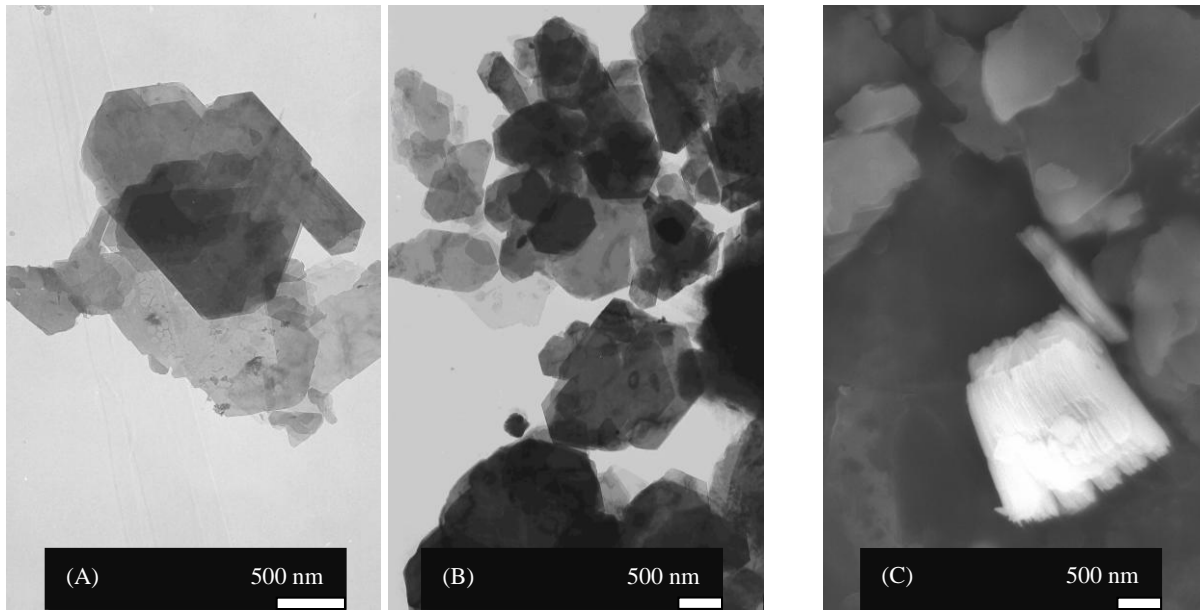


Fig. 4. The Kaol particles' shape and size distribution can be determined from TEM (A,B) micrographs. The SEM (C) image shows the Kaol typical aggregated structure made out of few single particles.

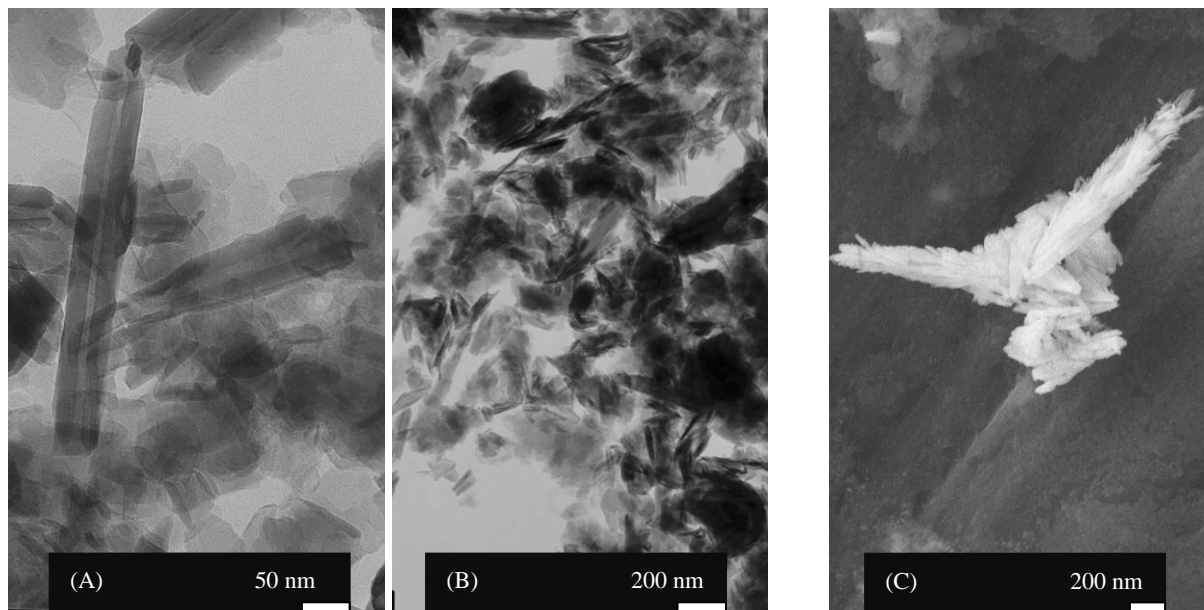


Fig. 5. The Hal particles' shape and size distribution can be determined from TEM (A,B) micrographs. The SEM (C) image shows the Hal undefined aggregated structure made out many small tubular single particles.

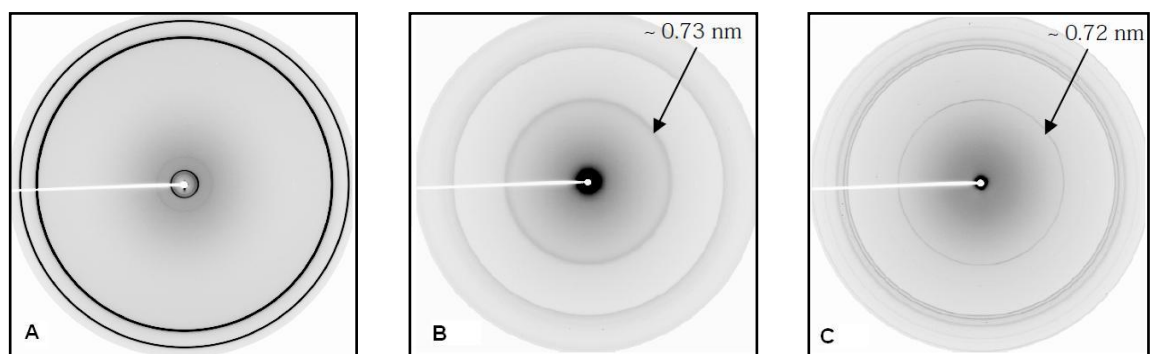


Fig. 6. WAXS 2-D images of pure paraffin-wax (A), Hal powder (B) and Kaol powder (C).

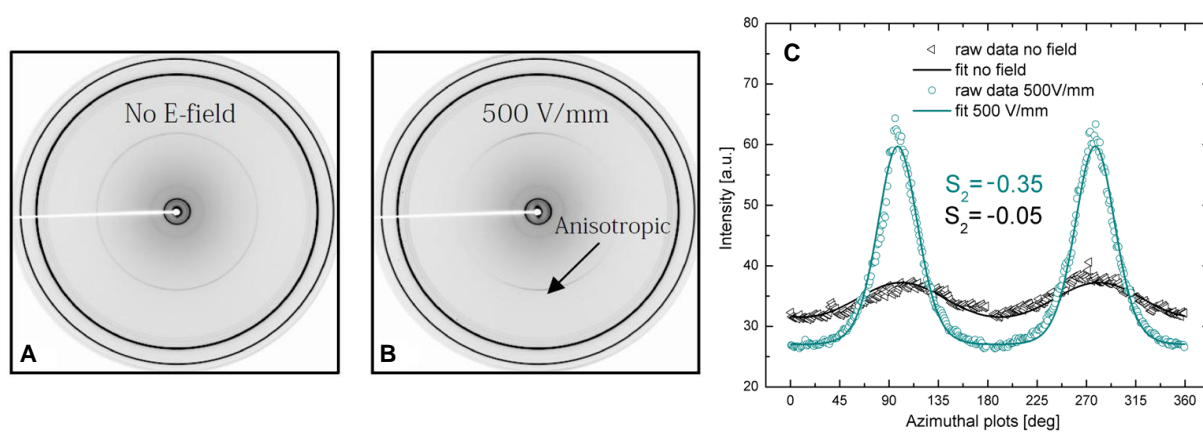


Fig. 7. WAXS 2-D images of Kaol/paraffin composite without (A) and with (B) E -field of 500 V/mm. Azimuthal plots with calculated nematic order parameter (C).

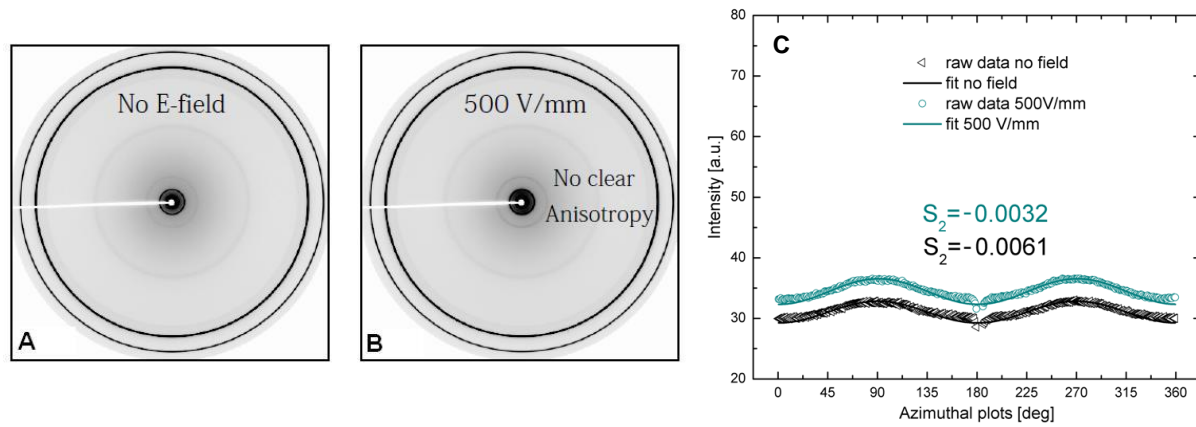


Fig. 8. WAXS 2-D images of Hal/paraffin composite without (A) and with (B) *E*-field of 500 V/mm. Azimuthal plots with calculated nematic order parameter (C).

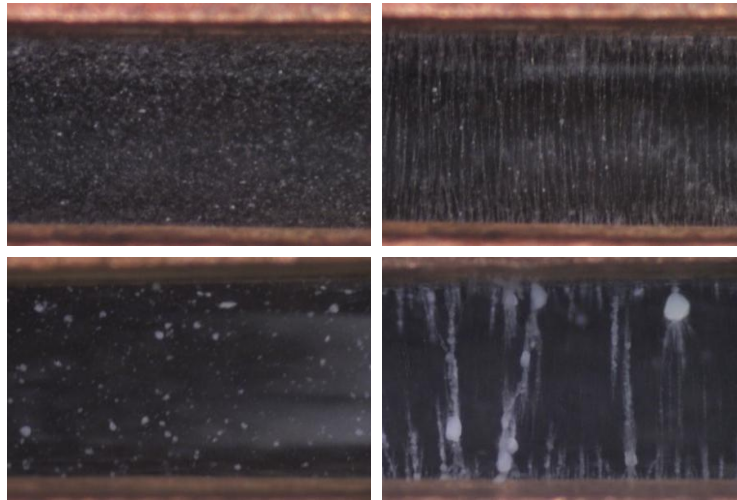


Fig. 9. Optical microscopy images of Kaol/silicone oil (top) and Hal/silicone oil (bottom) dispersions, without (left) and with E -field present (right). The gap between electrodes is 1 mm.

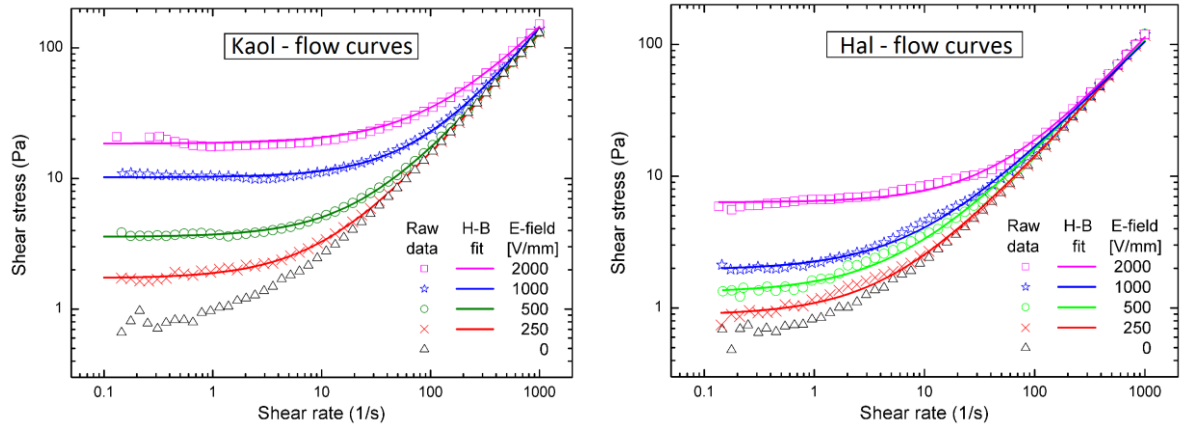


Fig. 10. Log-log plot of the flow curves of Kaol (left) and Hal (right) ER dispersions with particle concentration of $\Phi \sim 5$ m%. Experimental data are represented by symbols and the corresponding H-B fits are drawn using solid lines. (Measurements taken at 750 are not shown for sake of clarity, but the results are included in Table 1)

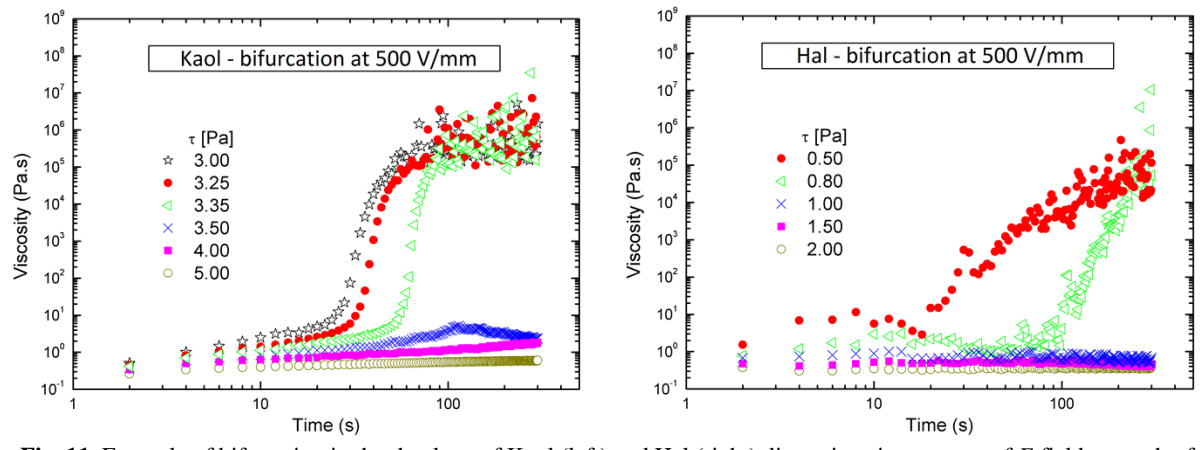


Fig. 11. Example of bifurcation in the rheology of Kaol (left) and Hal (right) dispersions in presence of E -field strength of 500 V/mm. Here the yield stresses are 3.3 ± 0.1 and 0.9 ± 0.1 Pa for Kaol and Hal, respectively.