

Mali Mærk

**Looking for the Big Picture:
Genome-Based Approaches to
Improve Alginate Production in
*Azotobacter vinelandii***

Thesis for the degree of Philosophiae Doctor

Trondheim, January 2014

Norwegian University of Science and Technology
Faculty of Natural Sciences and Technology
Department of Biotechnology



NTNU – Trondheim
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Things you thought impossible before breakfast just might be unavoidable by lunchtime.

- Rebecca Solnit

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Trondheim, December 2013

Mali Mærk

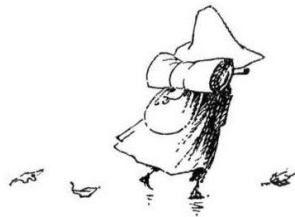


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ABSTRACT

Alginates are commercially important polysaccharides with a wide range of industrial and technological applications. Polymer chain length and monomer distribution greatly affect the material properties, which makes different alginate types ideal for different areas of use. All commercial alginate manufacture is currently based on extraction from brown algae, but the polymers are also produced by bacteria in the genera *Pseudomonas* and *Azotobacter*. Bacterial bioproduction is technically possible, but is not yet economically competitive with algal alginates. *A. vinelandii* is an attractive candidate for development of bacterial bioproduction strains due to its potential for producing homogenous alginates with tailored monomer compositions, and thus high market value. Successful development of strains and cultivation conditions for bioproduction is however dependent on extensive knowledge of the factors affecting the biosynthetic process in question.

Along with the availability of complete genome sequences, a multitude of new opportunities has arisen with regard to investigations of gene functions, metabolic and regulatory relationships, environmental adaptations etc. Part of the work presented in this thesis is a contribution to the manual curation and annotation of the first published *A. vinelandii* genome, which provided the basis for our subsequent genome-based analyses of factors affecting alginate production in this organism. The genome annotation and analysis carried out as part of this thesis was mainly concerned with carbohydrate metabolism genes, and has provided a foundation for further investigations of sugar uptake and utilization in this organism. A notable outcome of the genome analysis was the discovery of numerous highly similar and apparently conserved intra-genome homologs among *A. vinelandii* core carbohydrate metabolism genes. Investigations of 943 bacterial and archaeal genomes confirmed that the number of such homologs is indeed unusually large in *A. vinelandii*. We propose that the retention of multiple gene copies confers adaptive benefits via gene dosage and/or increased regulatory flexibility.

Genes, and thus cellular processes, affecting alginate production in *A. vinelandii* were investigated by construction and screening of a transposon insertion library comprising 4000 mutant strains. Abolished or diminished alginate production was confirmed for ~70 transposon insertion mutants and the disrupted genes were identified by sequencing. The disrupted genes included structural and regulatory genes involved in alginate biosynthesis, as well as genes involved in iron uptake, peptidoglycan recycling, motility and synthesis of several cofactors and central metabolites. Based on these results the effect of various medium supplements on alginate production in wild type *A. vinelandii* was investigated, and addition of thiamine, succinate or a mixture of lysine, methionine and diaminopimelate was shown to result in significantly increased alginate levels. The screening results also revealed two possible new regulators of alginate biosynthesis; the fructose phosphotransferase system protein FruA and an IclR family transcriptional regulator. Two mutants were confirmed to have gained an increase in alginate production. For one of these the disrupted gene was identified as *mucA*, encoding the main negative regulator of alginate biosynthesis.

Global effects of inactivating MucA were investigated by phenotypic characterization and transcriptome analyses of fermentor-grown *A. vinelandii* wild type and *mucA*⁻ strains. The *mucA* mutant has a lowered growth rate, elevated alginate production and diminished respiration rate compared to the wild type strain. Both medium composition and MucA inactivation had profound effects on carbon source utilization. The transcriptome analyses revealed new roles for the key regulators MucA/AlgU with regard to control of alginate composition, cell mass production, respiration and possibly nitrogen fixation. The redirection of carbon utilization in the *mucA* mutant was also reflected in transcriptional changes in genes involved in gluconeogenesis/glycolysis and energy production.

The results presented in this thesis will have importance for further work towards the long-term goal of establishing bacterial systems for commercial bioproduction of alginates.

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Paper I

Setubal JC, dos Santos P, Goldman BS, Ertesvåg H, Espín G, Rubio LM, Valla S, Almeida NF, Balasubramanian D, Cromes L, Curatti L, Du Z, Godsy E, Goodner B, Hellner-Burris K, Hernandez JA, Houmiel K, Imperial J, Kennedy C, Larson TJ, Latreille P, Ligon LS, Lu J, Mærk M, Miller NM, Norton S, O'Carroll IP, Paulsen I, Raulfs EC, Roemer R, Rosser J, Segura D, Slater S, Stricklin SL, Studholme DJ, Sun J, Viana CJ, Wallin E, Wang B, Wheeler C, Zhu H, Dean DR, Dixon R, Wood D (2009) Genome sequence of *Azotobacter vinelandii*, an obligate aerobe specialized to support diverse anaerobic metabolic processes. *J Bacteriol* **191(14)**:4534-45

Paper II

Mærk M, Johansen J, Ertesvåg H, Drabløs F, Valla S. Safety in numbers: multiple occurrences of highly similar homologs among *Azotobacter vinelandii* carbohydrate metabolism proteins probably confer adaptive benefits. *Submitted*

Paper III

Mærk M, Jakobsen ØM, Sletta H, Klinkenberg G, Tøndervik A, Ellingsen TE, Valla S, Ertesvåg H. Identification of genes and metabolic processes important for alginate biosynthesis in *Azotobacter vinelandii* by screening of a transposon insertion mutant library. *Manuscript*

Paper IV

Sletta H, Mærk M, Jakobsen ØM, Moreno S, Tøndervik A, Espín G, Ellingsen TE, Valla S, Ertesvåg H. Inactivation of the anti-sigma factor MucA causes major perturbations in carbon metabolism in *Azotobacter vinelandii*. *Manuscript*

Contributions to other publications not included in this thesis:

Steigedal M, Sletta H, Moreno S, Mærk M, Christensen BE, Bjerkan T, Ellingsen TE, Espín G, Ertesvåg H, Valla S (2008) The *Azotobacter vinelandii* AlgE mannuronan C-5-epimerase family is essential for the in vivo control of alginate monomer composition and for functional cyst formation. *Environ Microbiol* **10(7)**:1760-70

INTRODUCTION

1 INTRODUCTION

1.1 Alginate

1.1.1 Occurrence and functions in nature

The polysaccharides known as alginates (first named algin or alginic acid) were first discovered in marine brown algae (1), where they play a prominent structural role. Alginates can comprise up to 40% of the dry matter of brown algae and are located in the intercellular matrix as a gel containing divalent cations (2). Alginates are also synthesized and secreted by several bacteria in the genera *Azotobacter* and *Pseudomonas* (3-11). The soil bacterium *A. vinelandii* is able to form dormant, desiccation-resistant cysts, in which alginate is an important component of the cyst coat (12). *A. vinelandii* also produces alginate when growing vegetatively, during which the extracellular alginate capsule is assumed to have a role in protecting the oxygen-sensitive nitrogenases used by this bacterium to fix atmospheric nitrogen (13). Unlike *A. vinelandii*, the *Pseudomonas* species investigated so far do not produce alginate constitutively. The role of alginate appears to vary for different pseudomonads, where the polysaccharide has been implicated in biofilm formation, virulence, antibiotic resistance and maintaining cellular hydration (14).

1.1.2 Composition, structure and material properties

Alginates comprise a family of unbranched (1-4)-linked copolymers consisting of the monosaccharides β -D-mannuronic acid (M) and its C5-epimer α -L-guluronic acid (G). The monomers in an alginate chain are arranged in stretches of alternating sequence (MG/GM-blocks) and/or stretches consisting of only one monomer (M-blocks and G-blocks) (15). Alginate chemical structure is shown in Figure 1. In bacterial alginates some of the M monomers may be O-acetylated, which inhibits epimerization and alginate lyase-catalyzed degradation (16, 17) and thus influences monomer distribution and chain length. Block length and distribution as well as the overall length of alginate chains vary widely, even for alginates isolated from the same material. G-blocks have not been observed in bacterial alginates from the species *Pseudomonas*,

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even though *P. syringae* has been shown to contain a gene for an epimerase that can introduce G-blocks *in vitro* (18). Alginates consisting of pure polymannuronan can be isolated from *Pseudomonas* strains in which the mannuronan C5-epimerase-encoding *algG* gene has been inactivated (19, 20).

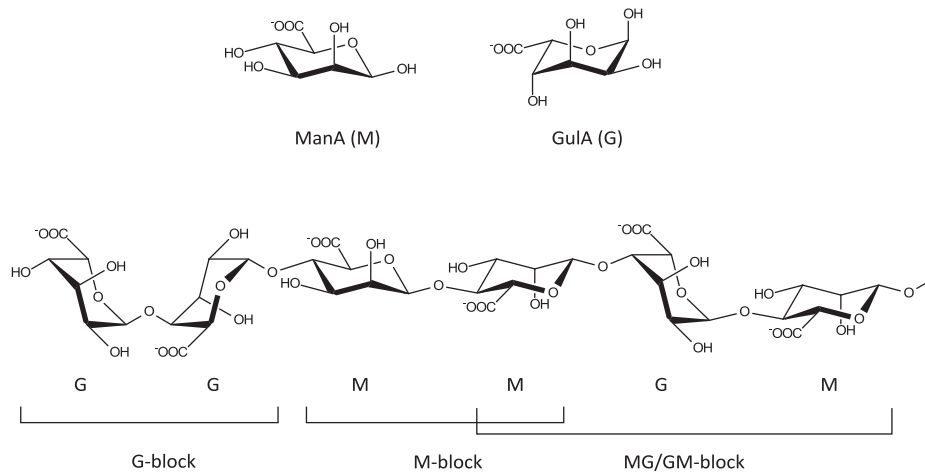


Figure 1: Chemical structure of alginate. The alginate monomers β -D-mannuronic acid (ManA; M) and α -L-guluronic acid (GuIA; G), as well as an alginate chain illustrating linkage conformations and block composition. Alginates may contain G-blocks, M-blocks and/or MG/GM-blocks of varying lengths.

Important properties of alginates are their ability to form viscous solutions and gels. These properties are largely determined by the molecular mass, monomer composition and sequential structure of the alginate chains (21). The intrinsic viscosity of alginates is mainly determined by chain length, but is also affected by the pH and ionic strength of the solution (22) since alginates are polyanions at pH values above 5 (2). Alginates containing G-blocks form gels in the presence of divalent cations, such as Ca^{2+} (23, 24) (Figure 2). The strength and flexibility of ion gels depend on the fraction and lengths of G blocks in the alginate: generally G-rich alginates will form strong, brittle gels, while M-rich alginates will give more flexible gels with lower gel strength (26). Gel strength and the gel's ability to bind water is also influenced by the degree of acetylation (27). In addition to ion gels, alginates may also form acid gels when the pH is brought below the pK_a value of the uronic acid monomers. Both G-blocks and M-blocks form acid gels, but the former provides the strongest gels (28).

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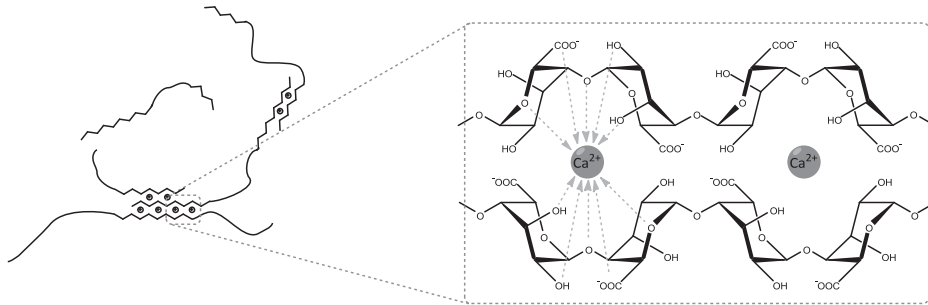


Figure 2: The “egg-box” model (25). This model describes the interactions between alginate G-blocks and divalent cations (here exemplified by Ca²⁺), which result in ionic gel formation.

1.1.3 Industrial and technological applications

The material properties of alginates make them suitable for a wide range of applications. Examples of industrial applications include using alginate as a thickener in dye pastes for textile printing, as a plasticizer, stabilizer and binding agent in welding rods, as a co-flocculant in water purification and to bind water in manufacturing of ceramics. In food technology alginates are used as thickeners, stabilizers and emulsifiers in a variety of products, including ice cream, sauces, dressings and beverages. Furthermore, the gelling properties of alginates are utilized in the production of jams, desserts and restructured foods (29). Well-established pharmaceutical and medical applications of alginates include dental impression materials, immediate- and sustained-release tablets and capsules, wound dressings and formulations for preventing gastric reflux (29, 30). Additionally, the mild conditions for gelling make alginates well suited for encapsulation and immobilization of cells and enzymes; a technique with numerous applications including bioproduction of ethanol, antibiotics and other compounds, and mass production of artificial seeds (31). Alginate is among the most promising materials for encapsulating living cells and tissues for transplantation, where such capsules may protect the transplanted cells from the immune system of the host (32, 33), and is also receiving interest for its potential use in tissue engineering and regenerative medicine (34-36), artificial insemination and *in vitro* fertilization (37), encapsulation of probiotic bacteria to

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increase viability both before and after ingestion (38), polymer-controlled drug-delivery (30), and purification of proteins (39).

1.1.4 Bioproduction

As of today, all commercially produced alginates are isolated from algal sources. However, harvesting alginates from algae yields complex mixtures of alginates with varying composition and molecular mass, depending on species, plant part and growth conditions (2, 40, 41), while the new and emerging medical, pharmaceutical and biotechnological applications for the polymer require high-quality alginates with specific material properties, and mainly alginates with high G contents. This poses an additional challenge, as G-rich algal alginates can mainly be harvested from the stipes of old *Laminaria hyperborea* plants (41), which is a limited resource. With an increasing demand for a stable supply of homogenous alginates with specific compositions, native algal alginates are thus falling short. One possible solution is to increase the G-content in alginates harvested from algae by *in vitro* epimerization using bacterial enzymes (42). Another attractive option is bacterial bioproduction (43), which could make it possible to establish reliable and consistent production of alginates with more well-defined qualities. Furthermore, strain engineering opens up new opportunities for *in vivo* production of tailored alginates with specific properties (44, 45).

A. vinelandii is an attractive candidate for development of bacterial alginate bioproduction strains due to its innate ability to synthesize alginates containing G-blocks (46, 47), a trait which has not been found in any *Pseudomonas* species studied so far. The G-blocks are introduced into the *A. vinelandii* alginate chains after polymerization and export, by a family of extracellular AlgE epimerases which are exported via a type I secretion system (48). Heterologous expression and export of these epimerases in *Pseudomonas* sp. for *in vivo* alginate bioproduction is currently not regarded as feasible, as one would need to introduce a functional secretion system in addition to the enzymes themselves. Intracellular epimerization is not an option, as

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the alginates are not accessible to the AlgE epimerases until after export (see Chapter 1.2.1).

Research on bacterial bioproduction is ongoing and bacterial alginate production by fermentation is technically possible (49-54), but is not yet economically competitive with algal alginates. This is likely to change as new applications demanding high purity alginates with specific characteristics emerge and enter the market. High-quality alginates have the potential to reach higher market values, thus justifying the higher production costs (45). As an example, ultrapure alginates with defined molecular weights and M/G ratios are currently priced at about 100 USD per gram (NovaMatrix web catalogue prices, September 2013), while the bulk alginates used in food and cosmetic industry can be obtained for as little as 5 USD per kilogram. Additionally, the continued development and optimization of bacterial bioproduction processes can be expected to lower the production costs after commercial production has been established, thus contributing to further competitive advantages.

1.2 Bacterial biosynthesis of alginates

1.2.1 Precursors and biosynthetic machinery

Bacterial biosynthesis of alginates has mainly been studied in *A. vinelandii* and *P. aeruginosa*. Most of the structural genes involved alginate biosynthesis are localized in a gene cluster with the same organization in both these organisms (55-58), as well as in *P. syringae* and *P. fluorescens* (59, 60): *algD*-*alg8*-*alg44*-*algK*-*algJ*(*algE*)-*algG*-*algX*-*algL*-*algI*-*algV*(*algJ*)-*algF*-*algA*. The gene names in brackets indicate alternative nomenclature used in *Pseudomonas* spp. Homologous genes with the same or a similar organization are also found in *P. putida*, *P. entomophila*, *P. mendocina*, *P. denitrificans*, *P. protegens*, *P. resinovorans*, *P. poae*, *P. brassicacearum* and *P. fulva*, but not *P. stutzeri* (61).

The alginate monomers are derived from fructose 6-phosphate, so there is a close connection between alginate biosynthesis and the central carbohydrate metabolism. In pseudomonads glucose is primarily degraded to glyceraldehyde 3-phosphate and

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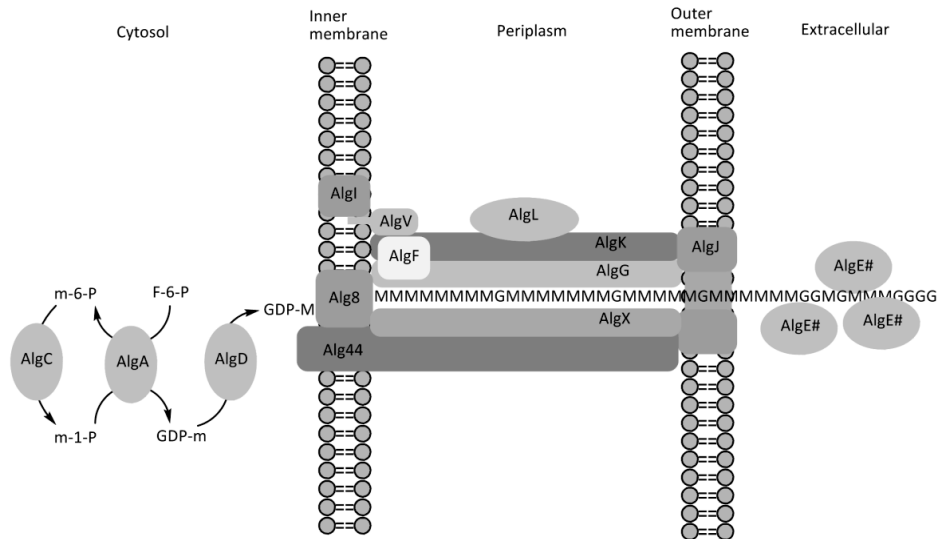


Figure 3: Bacterial alginate biosynthesis. Working model for alginate precursor biosynthesis, polymerization, modification and export, here exemplified by *Azotobacter vinelandii*. See text for details and references. F-6-P: fructose 6-phosphate, m-6-P: mannose 6-phosphate, m-1-P: mannose 1-phosphate, GDP-m: GDP-mannose, GDP-M: GDP-mannuronic acid, M: mannuronic acid monomer, G: guluronic acid monomer. AlgE# symbolizes AlgE1-7. Adapted from (77, 78).

pyruvate via the Entner-Doudoroff (ED) pathway (62). Prior to alginate biosynthesis glucose is converted to fructose 6-phosphate primarily via the ED and gluconeogenic pathways in both *A. vinelandii* and *P. aeruginosa* (63-67), even though these organisms also have at least one phosphoglucose isomerase which catalyzes the interconversion of glucose 6-phosphate and fructose 6-phosphate. The limited alginate synthesis from directly isomerized glucose 6-phosphate could be due to inhibition of phosphoglucose isomerase by the ED pathway intermediate 6-phosphogluconate (65). Fructose is however channeled to alginate biosynthesis primarily as an intact hexose in both *A. vinelandii* and *P. aeruginosa* (64, 65, 68).

The enzymes necessary for conversion of fructose 6-phosphate to the activated alginate precursor GDP-mannuronic acid (69, 70) are encoded by the first and last genes in the *alg* cluster, *algD* (71, 72) and *algA* (73, 74), plus *algC* which is located outside of the cluster (75, 76). The proteins encoded by the remaining genes in the *alg* cluster are involved in polymerization, export and modification of the nascent alginate

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chain. These steps are much more poorly understood, but are believed to be coordinated via the formation of a protein complex involving both inner and outer membrane proteins, as well as periplasmic proteins.

The current model for bacterial alginate biosynthesis from fructose 6-phosphate is shown in Figure 3. Alg8 and Alg44 are membrane proteins required for the polymerization process and transport across the inner membrane (54, 79-83). In the periplasm some of the M monomers are acetylated by a protein complex consisting of AlgI, AlgV (AlgJ in *Pseudomonas* sp.) and AlgF (58, 84-86) and some of the non-acetylated M monomers are epimerized to G monomers by AlgG (56, 87). The alginate-degrading lyase AlgL is also located in the periplasm (88, 89). The biological function of AlgL is not clear, but could be prevention of toxic accumulations of alginate in the periplasm (90, 91). In addition to their enzymatic activities, AlgG and AlgL have been proposed to form a structural complex with the periplasmic scaffold proteins AlgK and AlgX and the outer membrane protein AlgJ (AlgE in *Pseudomonas* spp.) (92). This complex is required for transport of the polymer through the periplasm and across the outer membrane, while at the same time protecting the nascent alginate chain from the lyase activity of AlgL (20, 57, 91, 93-98). It has been suggested that Alg44 might also be a subunit of this complex (92), or function as a physical link between Alg8 in the inner membrane and AlgJ/AlgE in the outer membrane (80, 83). Alginate is most likely secreted from the cell through the pore-forming AlgJ/AlgE protein (93, 98, 99).

In *A. vinelandii* non-acetylated M monomers can also be epimerized after export from the cell, as this organism encodes at least seven secreted mannuronan C5-epimerases (AlgE1-7) in addition to the periplasmic epimerase AlgG (46, 47, 100). With the exception of *algE5*, the genes encoding all these extracellular epimerases are located in the same gene cluster (separate from the *alg* cluster) (101). *P. syringae* also has an additional mannuronan C5-epimerase gene (*psmE*), and the functionality of the corresponding protein has been demonstrated *in vitro* (18). The biological role of PsmE is however not known, and the majority of the sequenced *Pseudomonas* genomes do not contain a *psmE* homolog (61).

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1.2.2 Regulation

Alginate biosynthesis is governed by a complex hierarchical network of regulatory controls encompassing global as well as alginate-specific factors, and which includes transcriptional, post-transcriptional and post-translational regulation. The regulation of alginate biosynthesis has mainly been studied in *P. aeruginosa*, and is thus best understood in this organism. Known alginate regulatory genes in *P. aeruginosa* and *A. vinelandii* are listed in Table 1.

In *P. aeruginosa* the 12 genes in the *alg* cluster are organized as one operon which is transcribed from the promoter *PalgD* (102), while the *A. vinelandii* *alg* cluster contains at least four, possibly overlapping, transcriptional units (57, 58, 72, 74, 79). Recently two putative internal promoters were also identified in the *P. aeruginosa* *alg* operon (103). Transcription from *P. aeruginosa* *PalgD* requires four major transcription factors; the alternative sigma factor σ^{22} , encoded by *algU* (*algT*) (104-107), and the transcriptional regulators AlgR (108, 109), AmrZ (110, 111) and AlgB (106, 112, 113). AlgU also induces expression of these three regulators (106, 110, 114) as well as its own expression (114, 115). AlgR additionally activates expression of *algC*, which probably coordinates expression of this structural gene with expression of the *alg* cluster (116). In addition, AlgP and AlgQ are required for *algD* expression in *P. aeruginosa* (117-119).

In *A. vinelandii* *algD* is transcribed from three promoters, only one of which requires σ^{22} (72, 120-122), and the transcription of at least two of the other operons in the *A. vinelandii* *alg* cluster is also AlgU independent (74, 79). AlgU autoregulation has however been observed in this organism (123), similarly to *P. aeruginosa*. The functions of AmrZ, AlgB, AlgP and AlgQ have not yet been studied in *A. vinelandii*. AlgR inactivation has been shown to reduce alginate production, but does not affect *algD* transcription (124). Similarly to *algD*, *A. vinelandii* *algC* also has two promoters, only one of which is dependent upon AlgU. The elevated AlgC expression provided by the AlgU dependent promoter appears to be required for alginate biosynthesis (76).

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Table 1: Regulatory genes involved in alginate biosynthesis in *Pseudomonas aeruginosa* and/or *Azotobacter vinelandii*.

Gene name	Gene product and known/proposed function(s) in alginate biosynthesis	References ¹	
		<i>P. aeruginosa</i>	<i>A. vinelandii</i>
Positive regulators			
<i>algU/algT</i>	alternative sigma factor (σ^{22}/σ^E) involved in transcription of several alginate biosynthetic genes	(106, 107, 110, 114)	(72, 76, 120, 123)
<i>algR/algR1</i>	<i>PalgD</i> and <i>PalgC</i> transcriptional activator (<i>P. aeruginosa</i>) / putative transcriptional regulator (<i>A. vinelandii</i>)	(108, 109, 116)	(124)
<i>algQ/algR2</i>	anti- σ^{70} factor, regulator of <i>algD</i> transcription	(117, 125, 126)	
<i>algB</i>	<i>PalgD</i> transcriptional activator	(113, 127)	
<i>amrZ/algZ</i>	<i>PalgD</i> transcriptional activator	(110, 111)	
<i>algP/algR3</i>	putative <i>PalgD</i> transcriptional activator	(118, 119)	
<i>algW</i>	protease involved in MucA degradation	(128, 129)	
<i>mucE</i>	activator of MucA cleavage by AlgW	(128, 129)	n/a
<i>mucP/yael</i>	protease involved in MucA degradation	(128, 130, 131)	
<i>clpX</i>	subunit of ClpXP protease involved in MucA degradation	(132)	
<i>clpP</i>	subunit of ClpXP protease involved in MucA degradation	(132)	
<i>clpP2</i>	protease involved in MucA degradation	(132)	
<i>himA</i>	integration host factor (IHF) subunit; regulator of <i>algD</i> and <i>algB</i> transcription	(133, 134)	
<i>himD</i>	IHF subunit; regulator of <i>algD</i> and <i>algB</i> transcription	(133, 135)	
<i>algH</i>	putative transcriptional regulator	(136)	
<i>cysB</i>	<i>PalgD</i> transcriptional activator	(137)	
<i>rpoN</i>	alternative sigma factor (σ^{54}) involved in <i>algD</i> transcription and regulation of MucA degradation	(138, 139)	
<i>prc</i>	protease putatively involved in MucA degradation	(140)	
<i>mucR</i>	c-di-GMP-synthesizing enzyme required for alginate biosynthesis	(141)	
<i>gacS/lemA</i>	sensor kinase that regulates <i>algD</i> expression via the GacA/Rsm system		(122, 142)
<i>gacA</i>	GacS cognate response regulator that activates <i>rsmZ1</i> and <i>rsmZ2</i> transcription		(122, 142)
<i>rsmZ1</i>	small regulatory RNA that antagonizes RsmA activity		(142)
<i>rsmZ2</i>	small regulatory RNA that antagonizes RsmA activity		(142)
<i>rpoS</i>	sigma factor (σ^S) involved in <i>algR</i> transcription (<i>P. aeruginosa</i>) / transcription from the p1- <i>algD</i> promoter (<i>A. vinelandii</i>)	(143, 144)	(145)
Negative regulators			
<i>mucA/algS</i>	anti-sigma factor that represses σ^{22} activity by sequestration	(146, 147)	(120, 123)
<i>mucB/algN</i>	periplasmic protein that inhibits MucA cleavage by AlgW	(129, 146)	(120)
<i>mucC/algM</i>	putative regulatory protein that may act synergistically with MucA or MucB	(148)	(123)
<i>mucD/algY</i>	regulator of AlgW/MucP-mediated MucA degradation	(131, 149)	(120)
<i>rpoD</i>	sigma factor σ^{70} involved in competitive σ^{22} regulation	(126)	
<i>kinB</i>	putative regulator of MucA degradation	(139)	n/a
<i>ampR</i>	suppressor of <i>PalgU</i> activity	(150)	n/a
<i>rsmA</i>	mRNA-binding protein that destabilizes <i>algD</i> mRNA		(142)

¹n/a: No close homologs identified in this organism.

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In both *P. aeruginosa* and *A. vinelandii* *algU* is in an operon with *mucABCD*, whose protein products negatively regulate AlgU and thus alginate biosynthesis (120, 123, 148, 149, 151, 152). MucA, an inner-membrane anti-sigma factor that represses σ^{22} by sequestration, is the principal negative regulator of AlgU sigma factor activity, and the sequestration activity of MucA is dependent on the periplasmic MucB protein (146, 147, 153). While the function of MucC is still unclear, MucD appears to act by controlling the signals that activate regulated intramembrane proteolysis of MucA in *P. aeruginosa* (131), where proteolytic regulation has recently been identified as a mechanism for degradation of MucA and thus activation of σ^{22} (154). The process involves the protease AlgW which cleaves MucA in a MucE-dependent manner (128, 129, 155), and the protease MucP which probably catalyzes the complete degradation of AlgW-cleaved MucA (128, 130) as well as AlgW-independent MucA degradation (131). The proteases ClpXP and ClpP2 have also been implicated in proteolysis of MucA (132, 154). MucE, AlgW, MucP, ClpXP and ClpP2 are thus positive regulators of alginate production. *A. vinelandii* does not encode a MucE homolog (156), and the potential roles of the AlgW, MucP, ClpX and ClpP homologs in alginate production have not been investigated in this organism.

Other positive regulators of alginate biosynthesis in *P. aeruginosa* include the integration host factor (IHF) (133-135), the putative transcriptional regulator AlgH (136), the transcription factor CysB (137), the alternative sigma factor RpoN (138, 139), the protease Prc (140, 155) and the c-di-GMP-synthesizing enzyme MucR (141). *A. vinelandii* contains homologs of all these proteins, but none of them have so far been investigated for their possible roles in alginate biosynthesis. KinB, the cognate histidine kinase of AlgB, and the global transcriptional regulator AmpR have been shown to be negative regulators of alginate biosynthesis in *P. aeruginosa* (139, 150), but neither of these have any close homologs in *A. vinelandii*.

The two-component histidine kinase GacS and its global response regulator GacA positively regulate alginate production in *A. vinelandii* (122, 145). This occurs via an Rsm (regulator of secondary metabolism) system where GacA most likely relieves

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RsmA repression of *algD* expression by inducing transcription of the small RNAs *rsmZ1* and *rsmZ2* (142). The role of GacS/GacA in *Pseudomonas* spp. is less clear. GacS/GacA has been shown to be required for alginate biosynthesis in *P. viridiflava* (157) and *P. syringae* (158), while a *P. aeruginosa gacA*⁻ mutant was found to produce normal levels of alginate (159).

Several of the regulators mentioned in this chapter also have regulatory roles in other metabolic and signalling pathways besides those directly related to alginate biosynthesis, underlining that several cellular processes can be expected to influence alginate production levels. Regulatory connections have already been indicated between alginate biosynthesis and for example cell wall stress and recycling, biosynthesis of other polymers, biofilm formation, encystment, antibiotics resistance, quorum sensing, motility and iron uptake (121, 122, 150, 155, 160-164).

1.3 *Azotobacter vinelandii* – biology and taxonomy

A. vinelandii is a widely distributed Gram negative, motile and metabolically versatile free-living soil bacterium. It is an obligate aerobe and has the ability to fix atmospheric nitrogen even under fully aerobic conditions (165). A very high respiration rate is an important mechanism for protecting the oxygen-sensitive nitrogenases from being deactivated by O₂. This is referred to as respiratory protection (166, 167). Additional factors contributing to the protection of nitrogenases from oxygen damage include the FeSII protein (168), autoprotection (169), cellular ATP levels, and maintenance of a low redox state (170). Furthermore, the extracellular alginate capsule can act as a physical barrier against O₂ transfer into the cell (13).

The cell morphology of *A. vinelandii* can vary from rods to more coccoid shapes (165), and under certain conditions *A. vinelandii* cells may differentiate into a resting stage called cysts (12). Encystment renders the cells more resistant to desiccation and radiation compared to vegetative cells (171). Alginate is an important component of the cyst coat, but is also produced during vegetative growth (12). The monomer composition, and thus the physicochemical properties, of the alginates produced by *A.*

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vinelandii is mainly controlled by the seven extracellular epimerases AlgE1-7. This presumably allows the organism to adapt to varying environmental conditions by producing alginates suitable for fulfilling different functions (101, 172, 173). The ability to generate alginates of varying composition makes *A. vinelandii* a very interesting candidate for strain engineering with the aim of commercial bioproduction of tailored alginates.

An interesting feature of *A. vinelandii* is that the bacterium can harbour a very high number of chromosomes when cultivated in rich media. In early exponential phase each cell contains only one or a few chromosome copies, but the number increases as the cultures grow and appears to reach >80 chromosome copies per cell in stationary phase (174). This has to be taken into account when generating *A. vinelandii* mutants, as cells may retain both wild-type and mutated chromosomes.

Taxonomically *Azotobacter* is classified as a genus in the family Pseudomonadaceae, along with, among others, *Pseudomonas*. *A. vinelandii* is closely related to the *Pseudomonas* species, and genome-based studies have suggested that it might actually belong to the *Pseudomonas* genus (175, 176).

1.4 Genome-wide analyses

1.4.1 Comparative genomics

Genomics deals with the sequencing, assembly, annotation, and structural and functional analysis of genomes. Over the years since the first bacterial genome was sequenced in 1995 (177), bacterial genome sequencing has skyrocketed as development of new technologies keep making it ever easier and cheaper to sequence whole genomes (178, 179). As of today the Genomes OnLine Database contains 6569 completed (finished genomes and permanent drafts) and more than 16 000 ongoing prokaryotic genome projects (180). Despite this progress, functional annotation of bacterial genomes remains a major challenge, especially with regard to direct experimental evidence. There are however many applications of genomic data which do not require access to a gold-standard finished genome.

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As the number of sequenced genomes has increased, comparative genomics has emerged as an interesting field in biological research. The ability to make broad comparisons over large numbers of prokaryotic genomes has provided general insights about genome sizes, organization, structure, plasticity, gene content and repetitive elements (181, 182). Furthermore, information about sequence similarity is a major resource for identifying genes and other functional regions, as well as for predicting the actual functions of such regions. Identifying conserved and divergent regions also provide clues about which properties are prevalent in a group of strains or species and which features are unique to a given organism (183, 184). Finally, comparative genomics is a powerful tool for studying taxonomy, evolutionary relationships and genome evolution (182, 185).

1.4.2 Functional genomics and high-throughput screening

The availability of complete genome sequences has opened up a plethora of new opportunities when it comes to investigating gene functions, metabolic and regulatory networks, environmental adaptations etc. While earlier genetic studies would generally be limited to one or a few genes at a time, functional genomics aims to elucidate the relationship between the whole genome of an organism and its observed phenotype, typically by utilizing microarrays and other high-throughput methods (186-188). The use of robotic colony-picking and liquid handling systems coupled with various detector units, as well as computerized data collection and processing, allows researchers to rapidly screen large strain libraries or compound collections to identify active compounds, specific antibodies, genes affecting particular cellular processes etc. (189, 190). Results from such screens are widely used as starting points for drug design and to provide new insights into the biological roles of genes, including their functional organization and interactions in metabolic pathways and cellular processes. Genome-wide functional studies have been fundamental to recent advances in synthetic biology and biological engineering (191, 192).

AIMS OF THE STUDY

2 AIMS OF THE STUDY

A. vinelandii is a very interesting candidate for the development of bacterial strains producing specialized alginates, due to its natural ability to synthesize alginates with varying G-content. Thus, substantial efforts have been put into investigating the roles and functions of the epimerases responsible for alginate monomer composition in this bacterium. However, in order to develop bioproduction strains that are also optimized with regard to alginate yield, it is equally important to elucidate which factors influence the overall biosynthetic process *in vivo*. The main motivation of this study was to gain a more global understanding of the genes and cellular processes affecting alginate biosynthesis in *A. vinelandii*. At the onset of the study, the first sequenced *A. vinelandii* genome had recently been assembled and was in the manual annotation phase. Access to this genome sequence and annotation data enabled us to carry out genome-wide analyses of factors affecting alginate production.

Specifically, we wanted to:

- Contribute to generating a manually curated and annotated genome for *A. vinelandii*, as this would be crucial for conducting genome-wide studies of this organism. The annotation and analysis work included in this thesis was mainly aimed at genes involved in carbohydrate metabolism.
- Identify genes, and thus cellular processes, affecting alginate biosynthesis in *A. vinelandii* by constructing and screening a transposon mutant insertion library with regard to alginate production.
- Investigate the global effects of deactivating MucA by monitoring growth, alginate production, respiration and gene transcript levels in batch-fermented *A. vinelandii* wild type and *mucA*⁻ strains. MucA is the main negative regulator of bacterial alginate biosynthesis and this analysis will aid in identifying the metabolic changes resulting from *mucA*⁻ mutations that contribute to alginate overproduction.

3 SUMMARY OF RESULTS AND DISCUSSION

3.1 *A. vinelandii* genome annotation and analysis

(Papers I and II, and results not described in papers.)

3.1.1 Summary of genome annotation and analysis

(Paper I)

The publication of the 5.4 Mb genome sequence of the non-alginate producing strain *A. vinelandii* DJ (Paper I) was the result of international community efforts led by key scientists involved in *Azotobacter* research. The original shotgun sequence was generated by the DOE Joint Genome Institute in 2002, and a project to finish, annotate and analyze the genome was initiated in 2006. Approximately 5000 protein-coding genes were identified, out of which ~70% were given a functional assignment (Table 1, Paper I). The *A. vinelandii* DJ genome remained the only published *Azotobacter* genome until the publication of the genomes of *A. vinelandii* CA and its derivative CA6 in June this year (193).

Several previously reported physiological and metabolic properties of *A. vinelandii* were supported by the results from the genome analysis, e.g. obligate aerobic growth, nitrogen fixation, respiratory protection, alginate biosynthesis and the ability to utilize a wide range of carbon sources. The genome analysis also provided new clues about hitherto unknown genes presumed to be involved in several of these cellular processes, either directly or via regulatory mechanisms. Furthermore, several genes encoding verified or predicted oxygen-sensitive enzymes besides the nitrogenases were identified (Figure 3 in Paper I). In early biochemical experiments, *A. vinelandii* was often the organism of choice for producing proteins of high yield and quality. Seen in combination with the organism's innate ability to carry out oxygen-sensitive reactions while at the same time maintaining a strictly aerobic metabolism, *A. vinelandii* shows great promise as a potential host for expression and purification of enzymes that may be damaged by the presence of oxygen.

SUMMARY OF RESULTS AND DISCUSSION

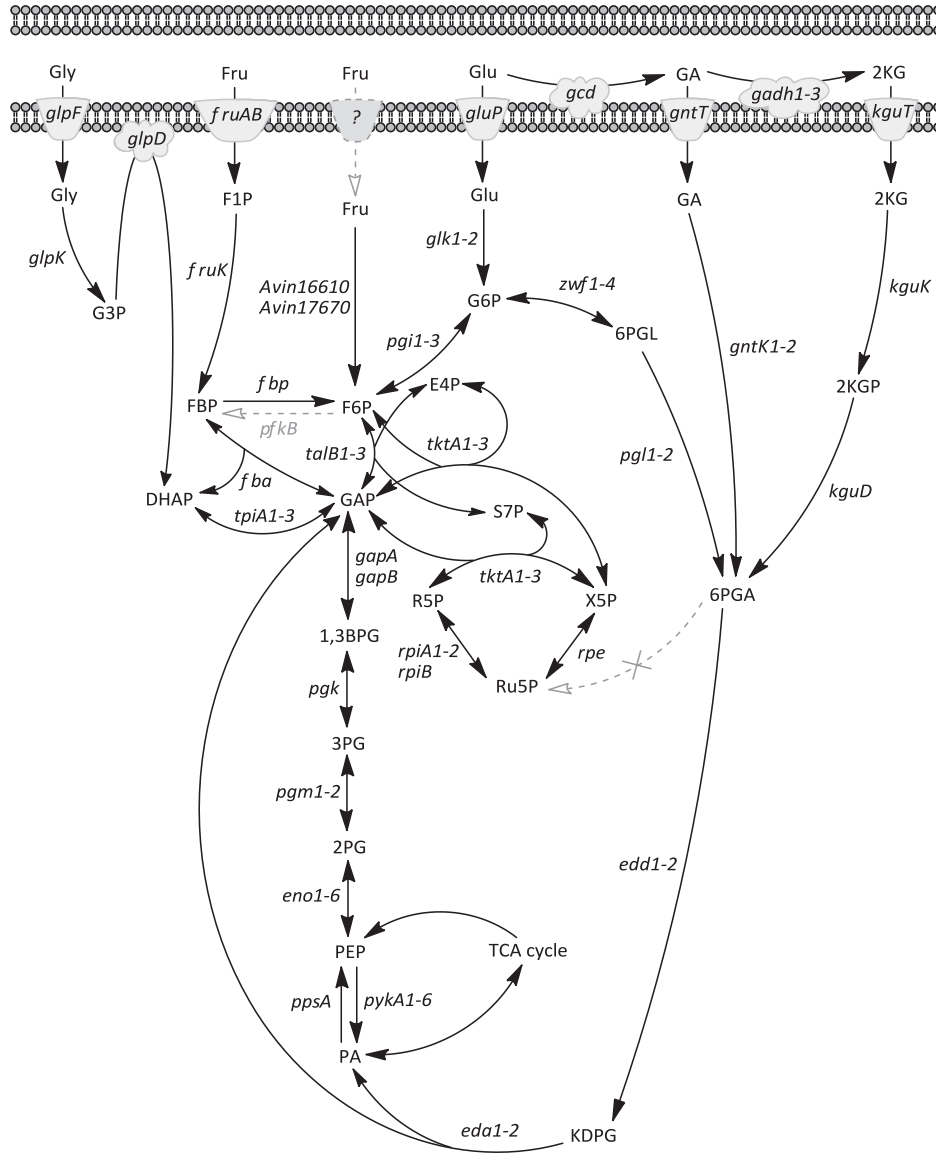


Figure 4: Reconstruction of *Azotobacter vinelandii* core carbohydrate metabolism. Known or putative genes for proteins in the Entner-Doudoroff, gluconeogenic/glycolytic and pentose phosphate pathways, including glucose, fructose, gluconate and glycerol uptake, identified in the *A. vinelandii* DJ genome. Abbreviations: Gly, glycerol; Fru, fructose; Glu, glucose; GA, gluconate; 2KG, 2-ketogluconate; F1P, fructose 1-phosphate; 6PGL, 6-phosphogluconolactone; G3P, glycerol 3-phosphate; G6P, glucose 6-phosphate; FBP, fructose 1,6-bisphosphate; F6P, fructose 6-phosphate; E4P, erythrose 4-phosphate; 2KGP, 2-keto-6-phosphogluconate; DHAP, dihydroxyacetone phosphate; GAP, glyceraldehyde 3-phosphate; S7P, sedoheptulose 7-phosphate; R5P, ribose 5-phosphate; X5P, xylulose 5-phosphate; 6PGA, 6-phosphogluconate; 1,3BPG, 1,3-bisphosphoglycerate; Ru5P, ribulose 5-phosphate; 3PG, 3-

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phosphoglycerate; 2PG, 2-phosphoglycerate; PEP, phosphoenolpyruvate; PA, pyruvate; KDPG, 2-keto-3-deoxy-6-phosphogluconate.

3.1.2 Reconstruction of central carbohydrate metabolism

(Results not described in papers.)

The genome annotation and analysis work carried out as part of this thesis was mainly concerned with genes involved in carbohydrate metabolism. It is known that *A. vinelandii* is capable of utilizing a wide range of carbon sources. Accordingly, the strain DJ genome contains genes for the metabolism of several sugars, organic acids and alcohols, including both simple and polymeric compounds. The genome also harbours homologs of many of the genes presumed to be involved in degradation of aromatic compounds in *P. putida* (194). Several of these compounds are derivatives of lignin, and could result from decomposition of plant materials in soil.

In pseudomonads the ED pathway and gluconeogenesis form the core of the central carbohydrate metabolism. These bacteria utilize the cyclic mode of the ED pathway, and have two routes for the conversion of glucose to 6-phosphogluconate; the oxidative and the phosphorylative pathways (62, 195). This is also assumed to be the case for *A. vinelandii*. As expected, the genes required for the ED, gluconeogenetic and pentose phosphate (PP) pathways were identified in the *A. vinelandii* DJ genome, except for the PP pathway enzyme 6-phosphogluconate dehydrogenase (Gnd) (Figure 4). It is thus possible that *A. vinelandii* lacks this enzyme, like *A. beijerinckii* (196) and several pseudomonads (62). Genes enabling a complete tricarboxylic acid (TCA) cycle were found to be present in the genome.

A common feature among *Pseudomonas* and *Azotobacter* spp. is a lack of 6-phosphofruktokinase, which renders them unable to utilize the glycolytic pathway (62, 196, 197). Interestingly, the *A. vinelandii* DJ genome encodes a protein (Avin23410) with similarity to the minor 6-phosphofruktokinase of *Escherichia coli*, 6-phosphofruktokinase II (PfkB) (198, 199). A close homolog of Avin23410 exists in *P. stutzeri*, but none of the other sequenced *Pseudomonas* spp. (61). It could be

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interesting to investigate further the possibility that *Avin23410* encodes a functional PfkB, and whether this enables *A. vinelandii* to utilize the glycolytic pathway to some extent. Our transcriptome data indicate that the putative *pfkB* gene is not expressed when *A. vinelandii* is cultivated with fructose as the carbon source (Table S2 in Paper IV). 6-Phosphofructokinase is however not necessary for glycolytic degradation of fructose if the sugar is imported via a fructose PTS (Figure 4).

Despite the highly similar pathways of central carbohydrate metabolism, glucose import in *A. vinelandii* appears to differ from that in *Pseudomonas* spp. Neither *A. vinelandii* nor *P. aeruginosa* utilize a glucose PTS (200), and no genes for such a system could be identified in the *A. vinelandii* DJ genome. In *Pseudomonas* spp. glucose is imported via the OprB porin (201, 202) and an ATP-binding cassette transporter (203, 204), neither of which have any homologs in *A. vinelandii* DJ. *A. vinelandii* has been reported to import glucose via a glucose permease (205), and *Avin04150* was identified as a likely candidate due to its similarity to the major facilitator superfamily type glucose/galactose porter GluP in *Brucella abortus* (206). No close homologs of *A. vinelandii* GluP were found in the *Pseudomonas* Genome Database (61). Glucose transport across the outer membrane has not been investigated in *A. vinelandii*, but most likely occurs via general porins or substrate-specific porins other than OprB.

Fructose on the other hand may be imported and phosphorylated by a fructose PTS, similarly to most pseudomonads (62), as genes encoding a putative fructose PTS (FruAB) and 1-phosphofructokinase (FruK) were identified in the *A. vinelandii* DJ genome (*Avin12190-12210*). Results from a previous study have however indicated that fructose is imported without being phosphorylated in *A. vinelandii*, and then converted to fructose 6-phosphate by a fructokinase (65). No putative fructose transporters were identified in our study, but the genome does encode two proteins (*Avin16610* and *-17670*) with homology to the *P. fluorescens* fructose, glucose and glucitol kinase MtIZ (207). It is thus possible that *A. vinelandii* employs two alternative routes for fructose uptake and metabolism (Figure 4), in which case the fructokinase might be the preferred route, since our transcriptome data indicate higher expression

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levels for *Avin16610* and *-17670* than the *fruBKA* genes under the conditions studied (Table S2 in Paper IV).

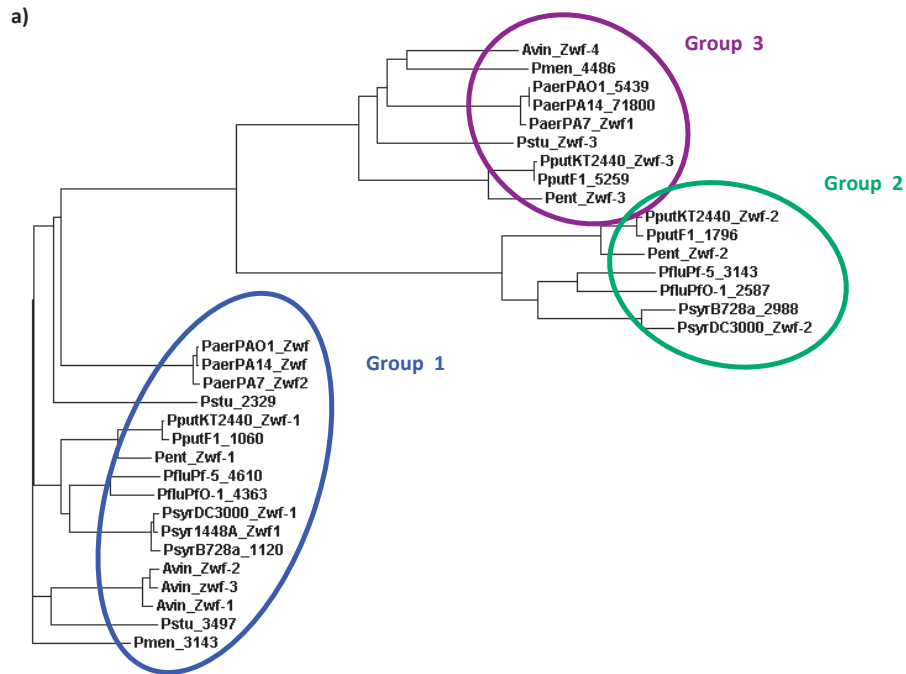
3.1.3 *A. vinelandii* and *Pseudomonas* spp. glucose 6-phosphate dehydrogenase genes

(Results not described in papers.)

Four putative glucose 6-phosphate dehydrogenase (Zwf) genes were identified in the *A. vinelandii* DJ genome (Figure 4), three of which are nearly identical (*zwf1-3*). Glucose 6-phosphate dehydrogenase catalyzes the initial step in the ED and PP pathways, and is thus central to *Pseudomonas* and *Azotobacter* carbohydrate metabolism. In *Pseudomonas* spp. the number of *zwf* genes appears to vary between one and three (61). It seems that *Azotobacter* and *Pseudomonas* *zwf* genes can be divided into three groups, based on phylogenetic relationships and genomic contexts (Figure 5). Group 1 comprises *Pseudomonas* spp. *zwf* genes which are part of the HexR-regulated *zwf-pgl-eda* operon encoding ED pathway proteins (208, 209), as well as *A. vinelandii* *zwf1-3* which display different, but related, genomic contexts. Group 2 consists of *zwf* genes that are part of a possible PP pathway *gnd-zwf* operon, and is not present in the *A. vinelandii*, *P. aeruginosa*, *P. stutzeri* and *P. mendocina* strains investigated, all of which also lack *gnd*. Group 3 contains solo *zwf* homologs located next to a divergently transcribed *hexR* homolog.

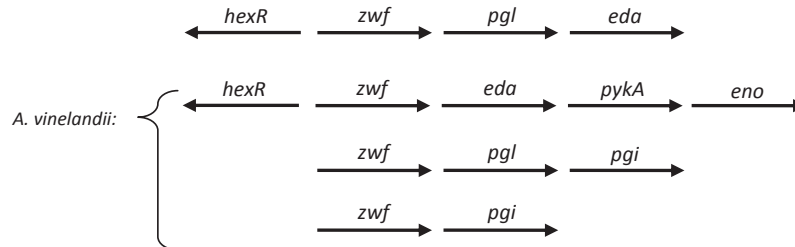
Research on *Pseudomonas* sp. Zwf has mainly focused on the proteins which are here classified as group 1. It has however long been known that two different Zwf proteins, assumed to function primarily in the ED and PP pathway respectively, exist in *P. fluorescens* (210). Based on genomic contexts it seems likely that the *P. fluorescens* group 1 Zwf is the ED enzyme and group 2 Zwf the PP enzyme. No references to the group 3 *zwf* genes could be found in the literature. Thus, this group appears to encompass a set of uninvestigated *zwf* homologs that are present in *A. vinelandii* and several *Pseudomonas* spp.

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b)

Group 1: *P. aeruginosa*, *P. entomophila*, *P. fluorescens*, *P. mendocina*, *P. putida*, *P. stutzeri*¹, *P. syringae*:



Group 2: *P. entomophila*, *P. fluorescens*, *P. putida*, *P. syringae*:



Group 3: *A. vinelandii*, *P. aeruginosa*, *P. entomophila*, *P. mendocina*, *P. putida*, *P. stutzeri*:



Figure 5: Phylogram (a) and genomic contexts (b) for *zwf* genes in *Azotobacter vinelandii* and *Pseudomonas* spp. Avin: *A. vinelandii* DJ, Paer: *P. aeruginosa* (strains PAO1, PA7 and PA14), Pent: *P. entomophila* L48, Pflu: *P. fluorescens* (strains PfO-1 and Pf-5), Pmen: *P. mendocina* ymp, Pput: *P. putida* (strains F1 and KT2440), Pstu: *P. stutzeri* A1501, Psyr: *P. syringae* (strains pv. phaseolicola 1448A, pv. *syringae* B728a and pv. tomato str. DC3000). Phylogram generated using ClustalW2 (211). Gene products: *hexR*; transcriptional repressor, *gnd*; 6-phosphogluconate dehydrogenase. For other gene products see Table 2. ¹*P. stutzeri* has two such clusters, one of which is lacking *hexR*.

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3.1.4 Occurrence of highly similar carbohydrate metabolism synologs

(Paper II and results not described in papers.)

The annotation work on the *A. vinelandii* central carbohydrate metabolism genes revealed numerous occurrences of intra-genome homologs (Figure 4). Such homologs can arise by gene duplication (paralogs) or via horizontal gene transfer (xenologs or pseudoparalogs). It is usually not possible to discern between pseudoparalogs and true paralogs in a single-genome analysis (212), so in this work the term synologs, proposed by Lerat *et al.* (213), will be used to describe intra-genome homologs arising from either duplication or horizontal transfer. The *A. vinelandii* DJ central carbohydrate metabolism genes that were found to have synologs are shown in Table 2. The number of synologs and degree of sequence similarity varies, but in many cases the homologous proteins are nearly identical.

A closer investigation of *A. vinelandii* DJ and 15 fully sequenced *Pseudomonas* strains showed that *A. vinelandii* contains a markedly larger number of families (groups of similar proteins) than the pseudomonads among proteins related to carbohydrate metabolism (Figure 1 in Paper II), but not in any other functional category. Furthermore, in this category highly similar synologs ($\geq 90\%$ protein sequence identity) and protein families with more than two members are more common in *A. vinelandii* than in the investigated *Pseudomonas* strains.

To investigate the occurrence of carbohydrate metabolism synologs in a wider range of organisms, relevant gene data from 57 archaeal and 886 bacterial genomes in the SEED database (214) were analyzed. The initial results indicated that highly similar synologs in carbohydrate metabolism are not common in bacterial and archaeal genomes, as the average protein sequence identity between synolog pairs is $\leq 50\%$ in the vast majority of the analyzed genomes (Figure 2 in Paper II). Filtering the data set to contain only synolog groups (sets of two or more intra-genome homologs) with protein sequence identities $\geq 90\%$ confirmed that such synologs are indeed rare in bacteria and archaea (Figure 6).

SUMMARY OF RESULTS AND DISCUSSION

Table 2: Synologs among *Azotobacter vinelandii* central carbohydrate metabolism genes.

Gene name	Protein	Synologs	Protein length (aa)	Protein sequence similarity: Identities (positives)
<i>glk</i>	glucokinase	Avin15690 (Glk1)	322	58% (68%)
		Avin04130 (Glk2)	322	
<i>pgi</i>	glucose 6-phosphate isomerase	Avin17650 (Pgi1)	554	81-95% (90-96%)
		Avin16630 (Pgi2)	554	
		Avin42740 (Pgi3)	544	
<i>tpiA</i>	triosephosphate isomerase	Avin49960 (TpiA1)	251	79-100% (86-100%)
		Avin45770 (TpiA2)	251	
		Avin49910 (TpiA3)	246	
<i>pgm</i>	2,3-bisphosphoglycerate-independent phosphoglycerate mutase	Avin04670 (Pgm1)	511	97% (98%)
		Avin27430 (Pgm2)	511	
<i>eno</i>	enolase	Avin27230 (Eno1)	437	74-100% (84-100%)
		Avin22210 (Eno2)	437	
		Avin43360 (Eno3)	438	
		Avin45760 (Eno4)	439	
		Avin49980 (Eno5)	439	
		Avin38790 (Eno6)	429	
<i>pykA</i>	pyruvate kinase	Avin27240 (PykA1)	481	64-99% (76-99%)
		Avin22180 (PykA2)	481	
		Avin43370 (PykA3)	481	
		Avin51360 (PykA4)	481	
		Avin50000 (PykA5)	481	
		Avin38410 (PykA6)	483	
<i>gntK</i>	gluconokinase	Avin22480 (GntK1)	174	88% (91%)
		Avin27310 (GntK2)	175	
<i>zwf</i>	glucose 6-phosphate dehydrogenase	Avin27260 (Zwf1)	489	53-98% (66-98%)
		Avin17630 (Zwf2)	488	
		Avin16620 (Zwf3)	487	
		Avin02020 (Zwf4)	485	
<i>pgl</i>	6-phosphogluconolactonase	Avin15710 (Pgl1)	238	56% (64%)
		Avin17640 (Pgl2)	237	
<i>edd</i>	6-phosphogluconate dehydratase	Avin15680 (Edd1)	608	75% (85%)
		Avin27280 (Edd2)	608	
<i>eda</i>	KDPG aldolase	Avin27250 (Eda1)	212	68% (81%)
		Avin15720 (Eda2)	212	
<i>rpiA</i>	ribose 5-phosphate isomerase	Avin22160 (RpiA1)	224	87% (93%)
		Avin48280 (RpiA2)	226	
<i>tktA</i>	transketolase	Avin22150 (TktA1)	672	69-100% (79-100%)
		Avin27330 (TktA2)	672	
		Avin05560 (TktA3)	665	
<i>talB</i>	transaldolase	Avin22140 (TalB1)	322	60-100% (74-100%)
		Avin27340 (TalB2)	322	
		Avin32700 (TalB3)	308	

While there was a positive correlation between the number of synolog groups and the total number of carbohydrate metabolism genes or protein-coding genes in the genomes ($R^2 = 0.80$ and 0.56 respectively for a linear regression), there was practically

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no correlation when only synologs with protein sequence identities $\geq 90\%$ were included ($R^2 = 0.14$ and 0.15 respectively). This shows that the large amount of highly similar synologs observed in some genomes is not simply due to a high number of genes in general.

The analysis showed that *A. vinelandii* DJ contains 38 highly similar ($\geq 90\%$ identity) carbohydrate metabolism synologs distributed into 15 synolog groups. As can be seen from Figure 6, this is an unusually large number, which places strain DJ among the five

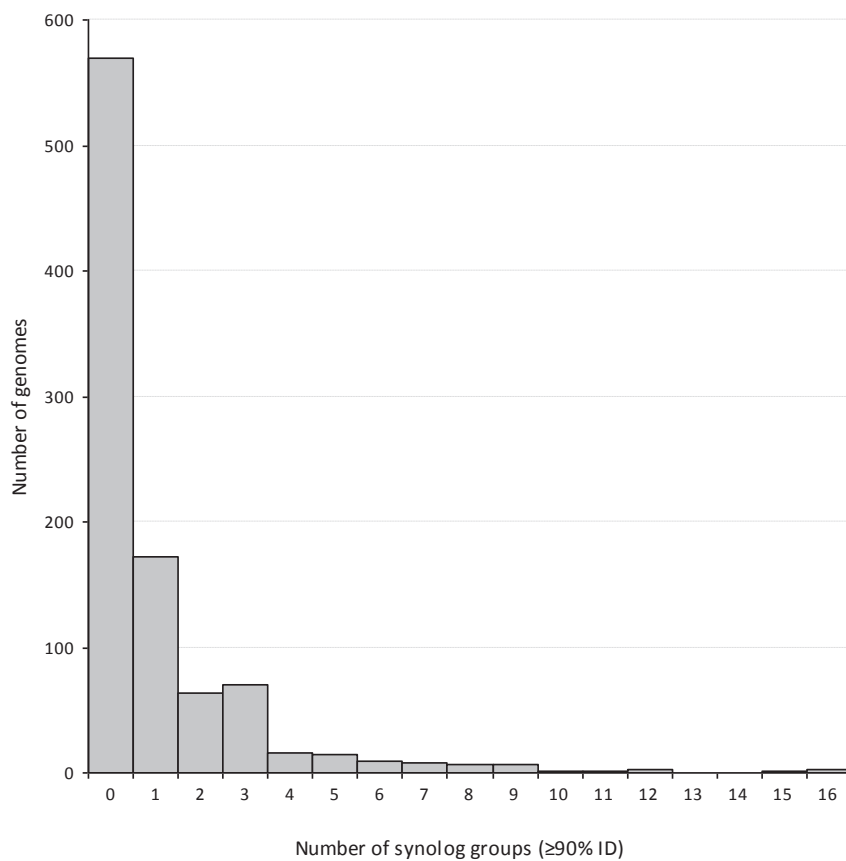


Figure 6: Occurrence of highly similar synolog groups among carbohydrate metabolism proteins in bacteria and archaea. Number of synolog groups with internal protein sequence identity $\geq 90\%$ observed for the 943 investigated prokaryotic genomes. (Synolog group: set of two or more intra-genome homologs.)

SUMMARY OF RESULTS AND DISCUSSION

organisms harbouring the highest number of such synologs. The majority of these synolog groups occur in core metabolic processes, and the genes in a given synolog group are generally localized in varying, but metabolically relevant genomic contexts, often as parts of probable operons (Figure 3 in Paper II). Although the SEED subcategory distribution of synologs varies among the investigated genomes containing large numbers of highly similar synologs (Table 2 in Paper II), the differing but metabolically relevant genomic contexts were observed to be common in several of these genomes. Thus, adaptation leading to favourable genomic arrangements, which is assumed to be a very slow process (215, 216), appears to have taken place along with sequence conservation. This indicates that these synologs are not simply the result of very recent duplications and/or horizontal transfer events.

The presence of highly similar synologs may confer adaptive benefits due to increased gene dosage. However, we propose that retaining highly similar synologs in different operonic contexts could also be advantageous with regard to co-regulation of genes, while at the same time leaving more room for fine-tuning expression of certain proteins due to the presence of multiple gene copies. Furthermore, our results suggest that the occurrence of highly similar carbohydrate metabolism synologs could be connected to certain aspects of an organism's lifestyle or environment. The majority of such synologs identified in a given organism are usually clustered in only one or two SEED subcategories (Table 2 in Paper II), and closer investigation revealed several examples of these synologs occurring in metabolic processes that are central for the organism in question.

3.2 Genome-wide studies of factors affecting *A. vinelandii* alginate biosynthesis

(Papers III and IV)

3.2.1 Identification of genes affecting alginate biosynthesis

(Paper III)

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In order to investigate factors affecting alginate biosynthesis in *A. vinelandii* we constructed a transposon insertion mutant library from the tetracyclin-resistant strain ATCC12518Tc (derived from strain ATCC12518). Cultures of about 4000 independent mutant strains were cultivated in microtiter plates and screened for an increase or decrease in alginate production levels relative to the ATCC12518Tc reference. Cultures that failed to grow were disregarded. Selected mutants were cultivated in deep-well plates, shake flasks and/or batch fermentors to verify the observed phenotypes. The availability of the sequenced and annotated genome of strain *A. vinelandii* DJ (Paper I), which is also an ATCC12518 derivative, enabled easy identification of the affected genes in interesting mutants by sequencing from the transposon insertion. Strain DJ is however non-alginate producing due to a mutation in *algU* (120), and could therefore not be used to construct the transposon insertion library described in this work.

Abolished or decreased alginate production was verified for ~70 transposon insertion mutants, while only two mutants, containing *mucA* and *pgm-2* disruptions respectively, were confirmed to have gained an increase in alginate production (Table 2 in Paper III). The apparent increase in alginate production in the *pgm-2* mutant is likely due to elevated cell culture density. From a bioproduction perspective a mutant with the ability to increase cell yield without reducing alginate production could however still be interesting for further studies. MucA is the main negative regulator of alginate biosynthesis, and inactivation has also previously been shown to increase alginate production in *A. vinelandii* (120, 123) and to activate alginate biosynthesis in initially non-producing *P. aeruginosa* (146, 151) and *P. fluorescens* (217) strains.

The scarcity of alginate overproducing mutants identified in this work indicates that there are very few single gene mutations that will have a profound positive effect on alginate biosynthesis in *A. vinelandii*. Additionally, an earlier study has shown that *A. vinelandii* mutants with substantially increased alginate production are often unstable and quickly revert to normal levels (123). Due to the use of selective media, reversion via loss of the transposon insertion should not occur in our experiments. Suppressor mutations and retention of wild type chromosome copies (see Chapter 1.3) could

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however be contributing factors to the low reproducibility observed for apparent alginate overproducing mutant strains in this work.

As could be expected, the transposon insertions in several of the alginate non-producing mutants are located in structural genes directly involved in alginate biosynthesis (Table 2 in Paper III). Additionally, transposon insertions in homologs of *P. aeruginosa* *amrZ* and *algW*, which encode regulators required for alginate biosynthesis (111, 155), resulted in a loss of alginate production (Table 2 in Paper III). This suggests similar roles for these regulators in *A. vinelandii* alginate biosynthesis. The regulator AlgB is also required for alginate production in *P. aeruginosa* (113), but an *algB::TnCAM140* mutant identified in this work has production levels comparable to the reference strain. Thus, it appears the presence of AlgB is not necessary for alginate production in *A. vinelandii*.

Our results revealed two possible new regulators of *A. vinelandii* alginate biosynthesis: the putative IclR family transcriptional regulator Avin13880 and the fructose PTS protein FruA. Transposon insertions in either of these genes abolished alginate biosynthesis (Table 2 in Paper III). Homologs of both genes are present in *Pseudomonas* spp. (61), but nothing has been reported on either gene's potential role in relation to alginate biosynthesis. It is however known that many carbohydrate PTS systems have regulatory roles besides their function as transporters (218). Furthermore, cross-talk has been demonstrated between the PTS^{Fru} and PTS^{Ntr} systems in *P. putida* (219), and PTS^{Ntr} is believed to be involved in coordination of nitrogen and carbon metabolism (218). The *A. vinelandii fruA::TnCAM140* mutant was unable to produce alginate even if fructose was replaced with glucose, glycerol or sucrose in the growth medium, thus ruling out the possibility that the abolished alginate production is caused by a defective fructose uptake mechanism.

In addition our results showed that gene inactivations affecting iron uptake, peptidoglycan recycling and flagella biosynthesis appear to have a negative effect on alginate production. Connections between alginate biosynthesis and these processes

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have also been reported by others. Elevated siderophore production has been shown for mucoid *P. aeruginosa* strains (220), which fits with our observations. *A. vinelandii* and *P. aeruginosa* mutants impaired in peptidoglycan recycling have however been found to have an increase in alginate levels in previous studies (160, 221). Motility is negatively regulated by the alginate sigma factor AlgU in both *P. aeruginosa* and *A. vinelandii* (162, 222, 223), but there is currently no data in the literature that would suggest an alginate negative phenotype for mutants with impaired flagella biosynthesis. It is clear that further investigations will be necessary to elucidate the metabolic and/or regulatory connections between alginate biosynthesis and the above mentioned processes in *A. vinelandii*.

3.2.2 Medium supplements increasing alginate production

(Paper III)

Several *A. vinelandii* mutants with transposon insertions in genes involved in the biosynthesis of cofactors or central metabolites (isoprenoids, pyridoxine, purines, thiamine, biotin and TCA cycle intermediates) produced no or very small amounts of alginate while retaining normal growth levels (Table 2 in Paper III). This indicates that a lack of certain vitamins or key metabolic precursor molecules has a negative effect on alginate biosynthesis. To investigate whether the availability of such compounds is a limiting factor for alginate production, the reference strain ATCC12518Tc was cultivated in liquid media containing different supplements which were selected based on our observations (Paper III) as well as data from the literature: the purine adenine; the vitamins thiamine (B₁), pyridoxal/pyridoxine (B₆) and biotin (B₇); the TCA cycle intermediate succinate; the amino acids lysine, methionine and diaminopimelate. These three amino acids are derived from TCA cycle intermediates, and have furthermore been shown to lower thiamine requirements in *Salmonella typhimurium* (224).

None of the supplements had a significant effect on growth, but addition of thiamine, succinate or a mixture of lysine, methionine and diaminopimelate increased the

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amount of measured alginate in the cultures (Figure 1 in Paper III). Thus, our results clearly indicate that alginate biosynthesis in *A. vinelandii* is limited by the availability of thiamine, and possibly certain TCA cycle intermediates. The TCA cycle also appears to be involved in alginate biosynthesis in *P. fluorescens*, as TCA cycle genes were upregulated in a *mucA*⁻ mutant (217). The same study also reported upregulation of purine biosynthesis genes, and metabolic profiling of *P. fluorescens* have shown that MucA inactivation and alginate biosynthesis affect the purine NTP pools in the cells (225). No significant effect on alginate concentration was however observed in the adenine supplemented *A. vinelandii* cultures (Figure 1 in Paper III), indicating that although purines are important for alginate biosynthesis this nutrient is not a limiting factor under the conditions used in this experiment.

3.2.3 Phenotypic characterization of wild type and *mucA*⁻ strains

(Paper IV)

MucA is the principal negative regulator of the alternative sigma factor σ^{22} (AlgU) which is required for transcription of several genes involved in alginate biosynthesis (see references in Table 1). MucA inactivation has been shown to activate alginate biosynthesis in *P. aeruginosa* (146, 151) and *P. fluorescens* (217), and to result in increased alginate production in *A. vinelandii* (120, 123, Paper III). To investigate the effects of inactivating *mucA* with regard to growth, alginate production, respiration and carbon source utilization, comparative studies of batch fermented *A. vinelandii* ATCC12518 and a *mucA*⁻ derivative were performed. The *mucA* mutant was constructed by the Molecular Microbiology research group at Universidad Nacional Autónoma de México and the fermentation studies were performed by SINTEF Biotechnology and Nanomedicine.

The results clearly showed that the *mucA*⁻ mutant had increased alginate production and lowered growth rate and respiration, which means the *mucA*⁻ mutant converts less fructose to CO₂ and more to alginate compared to the wild type strain (Figures 1 and 2 in Paper IV). Diminished respiration rates have also been observed for *P. fluorescens*

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mucA⁻ mutants (217). Alginate production requires a high input of both carbon and energy (226), which could be a direct explanation for the diminished growth in the *A. vinelandii mucA*⁻ cultures. *A. vinelandii* is known to have a very high respiration rate, which is assumed to have a role in protection of the oxygen-sensitive nitrogenases (166, 167). From a bioproduction perspective a high respiration rate is not desirable, as it likely contributes to a lower yield of alginate per gram carbon source. The highest alginate yields were obtained in 0.5x PM1 (101) medium (Figure 2 in paper IV). In this medium CO₂ was shown to account for 66% and 40% of the utilized carbon in the wild type and *mucA*⁻ fermentations respectively, while alginate accounted for 14% and 32%. In comparison, xanthan yields of 60-70% have in some cases been reported for batch fermentations of *Xanthomonas campestris*, a bacterium used for commercial bioproduction of this polysaccharide (227).

3.2.4 Comparative transcriptome analyses of wild type and *mucA*⁻ strains

(Paper IV)

In addition to regulating alginate biosynthesis, MucA/AlgU also affects other cellular processes in both *A. vinelandii* (120, 121, 223) and *Pseudomonas* spp. (217, 228-233). In order to further investigate the metabolic changes accompanying *mucA* inactivation and alginate overproduction, we compared the transcriptomes of fructose fed-batch fermented *A. vinelandii* ATCC12518 and its *mucA*⁻ derivative. Since the emphasis of our studies is on alginate production, 0.5x PM1 medium was chosen for the cultivations (see Chapter 3.2.3). Samples for transcript analyses were collected from duplicate cultivations at five different time points ranging from 9.1 to 32.3 hours after inoculation. There was good reproducibility between the replicas (Figure 3 in Paper IV). Collecting data from several time points makes it possible to discover trends that are different in the two data sets, as well as discern between changes caused by the *mucA* mutation and differences attributed to variations in growth kinetics. As could be expected, most genes showed approximately the same transcript levels and patterns in

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both strains. Both transcript level and fold change was considered when evaluating the results, and a twofold difference was regarded as significant.

As could be expected, the majority of the genes directly involved in alginate biosynthesis were significantly upregulated in the *mucA* mutant compared to the wild type strain (Table S5 in Paper IV). Interestingly, this includes the genes encoding the secreted AlgE epimerases, except *algE4*, and their secretion system. As these epimerases introduce the G-blocks required for formation of strong alginate gels (28, 172), *A. vinelandii* AlgU appears to have a role in controlling alginate composition in addition to regulating production levels. The *A. vinelandii* *alg* gene cluster is divided into several transcriptional units, out of which at least two are AlgU independent (see Chapter 1.2.2). The elevated transcription of the entire cluster in the *mucA*⁻ strain thus indicates that the *A. vinelandii* *alg* cluster might be transcribed as one operon, as in *P. aeruginosa*, in addition to the shorter transcriptional units. Since *A. vinelandii* (unlike *Pseudomonas* spp.) produces alginate constitutively, one would expect the biosynthetic proteins to be expressed even in the presence of a functional *mucA*. This is supported by our data, which show that the corresponding genes, despite being upregulated in the *mucA* mutant, also display high transcription levels in the wild type strain (Table S5 in Paper IV). In contrast, transcription of alginate biosynthesis genes was high in a *P. fluorescens* *mucA*⁻ mutant, but only low levels were detected in the non-mucoid wild type strain (217).

Genes encoding glycolytic and/or gluconeogenic enzymes, as well as a putative fructokinase (Avin16610; Figure 4), also appear to be upregulated in the *A. vinelandii* *mucA* mutant (Table S2 and Figure 4 in Paper IV). This could reflect increased channeling of carbon towards the alginate precursor molecule fructose 6-phosphate. Similarly, the elevated transcription of the oxidative phosphorylation pathway genes encoding the ATP-coupled NADH dehydrogenase and cytochrome *c* oxidases (Figure 4 and Table S3 in Paper IV) in the *mucA* mutant could reflect an increased energy need in order to carry out a higher level of alginate biosynthesis. Genes involved in energy generation were also recently found to be upregulated in an alginate-producing *P.*

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fluorescens muca mutant (217). The high respiration rate of *A. vinelandii* is partly caused by the activity of oxidative phosphorylation components that are partially uncoupled from ATP synthesis, like the cytochrome *bd* oxidase (CydAB) (234). The *cydAB* genes displayed significantly lower transcript levels in the *muca*⁻ mutant, which in combination with the increased transcription of genes involved in ATP-coupled oxidative phosphorylation could explain the reduced respiration rates observed for this mutant strain (Figures 1 and 2 in Paper IV).

Expression of *cydAB* is negatively regulated by CydR (235), and *cydR* transcription has previously been shown to be elevated in the presence of AlgU (223). Our data however showed comparable *cydR* transcription levels in the *muca*⁻ and wild type strains (Table S3 in Paper IV). Nevertheless the *flhCD* genes, which are known to be negatively regulated by AlgU via CydR (223), also displayed significant transcriptional downregulation in the *muca* mutant (Table S6 in Paper IV). Our data thus suggest that AlgU controls *cydR* expression, and hence CydAB and respiration rate, in an indirect and possibly post-transcriptional manner.

Interestingly, *fruR* transcription appears to be downregulated in the *muca*⁻ strain (Table S2 in Paper IV). FruR (Cra) has a key role in regulation of carbohydrate metabolism in enteric bacteria (236-238). Furthermore, in *P. putida* FruR controls expression of the *fruBKA* genes encoding the fructose PTS and has been implicated in regulation of cross-talk between PTS^{Fru} and PTS^{Ntr} (239), the latter of which has a role in control of carbon utilization (240). Reduced transcription of *fruR* could thus be involved in the changes in carbon metabolism observed for the *A. vinelandii muca* mutant, possibly via the regulatory functions of PTS systems. We also found that alginate production was abolished in an *A. vinelandii fruA* mutant (Paper III), which further supports a regulatory role for PTS^{Fru} in this organism.

Somewhat surprisingly, the genes encoding the Nif and Vnf nitrogenases displayed high transcript levels in both strains (Table S4 in Paper IV) despite the presence of fixed nitrogen in the PM1 medium. It is generally assumed that the nitrogenase genes are

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only active when the supply of fixed nitrogen is limiting. If so, it appears the supplemented peptone is only sufficient to cover the organism's needs for the first few hours. This should be taken into consideration when developing media for alginate bioproduction, since the high respiration rate of *A. vinelandii* is believed to be coupled to protection of the oxygen-sensitive nitrogenases (166, 167). It should also be noted that the *vnf* genes have previously been reported to be transcribed only under molybdenum deficient conditions (241, 242), but this was not the case in our cultivations.

Expression of the genes encoding the Nif nitrogenase requires the transcriptional activator NifA (243). Genome annotation of the *A. vinelandii* DJ genome revealed a *nifA* homolog, designated *nifA2* (Paper I). Interestingly, *nifA2* had higher transcript levels than *nifA* in both strains. Furthermore, *nifA2* transcription was significantly higher in the wild type than the *mucA*⁻ strain (Table S4 in Paper IV), which suggests that AlgU regulates NifA2 expression negatively. Our data thus indicate a possible regulatory connection between MucA/AlgU and nitrogen fixation. It is however not known whether NifA2 has a function in regulation of the nitrogenase genes, and all previous studies of *nif* gene regulation have been carried out in strains lacking a functional AlgU.

Lastly, two genes (*Avin44240* and *ispD*) involved in biosynthesis of biotin and the isoprenoid precursor isopentylpyrophosphate were observed to be transcriptionally upregulated in the *mucA*⁻ mutant (Table S7 in Paper IV). This is quite interesting, as we have also observed that mutants with transposon insertions in biotin and isoprenoid biosynthesis genes are unable to produce alginate (Paper III). A total of 54 genes showed significant upregulation at all five time points in the *mucA* mutant, while 175 genes were significantly downregulated at all time points (Tables S6 and S7 in Paper IV). The majority of these genes were annotated as hypothetical or with unknown function. Our results nonetheless indicate that MucA/AlgU activity potentially affects a large regulon encompassing several different cellular processes.

CONCLUDING REMARKS

4 CONCLUDING REMARKS

In order to successfully develop strains and protocols for bacterial bioproduction of alginate it is important to gain extensive knowledge about the factors that affect the biosynthetic process. The availability of a sequenced and thoroughly annotated *A. vinelandii* genome (Paper I) has opened up a range of new possibilities for addressing these issues on a global scale. In this work we have established and put to use protocols for two such high-throughput approaches, transcriptional profiling (Paper IV) and transposon insertion library screening (Paper III), optimized for use with alginate-producing *A. vinelandii* strains. These established and demonstrated protocols will be useful for future investigations of this bacterium.

The precursor for alginate biosynthesis is fructose 6-phosphate, which renders this biosynthetic pathway closely tied to the central carbohydrate metabolism (Figure 4). This work has provided a solid foundation for further investigations of sugar uptake and metabolism in *A. vinelandii*, and can be used to design metabolic engineering strategies aimed at optimizing the channeling of imported sugars towards alginate biosynthesis. An unusual and interesting feature of the *A. vinelandii* core carbohydrate metabolism is the abundance of apparently conserved highly similar synologs (Table 2 and Figure 4). We propose that the retention of such synologs may confer adaptive benefits via increased gene dosage and/or increased regulatory flexibility (Paper II), but further studies will be necessary to elucidate the biological roles of these intra-genome homologs.

Our results have provided new insights with regard to regulation of alginate biosynthesis in *A. vinelandii*, including the discovery of new putative regulators (Paper III). Furthermore, we have revealed new roles for the key regulators MucA/AlgU with regard to control of alginate composition, cell mass production, carbon metabolism, respiration and possibly nitrogen fixation (Paper IV). Regulation of alginate biosynthesis has so far mainly been studied in *P. aeruginosa* (244), and our results have underlined both similarities and differences (Paper III and IV) besides those

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already reported in the literature (245). Considering the dissimilar life styles and the constitutive versus circumstantial modes of alginate production, it is hardly surprising that regulation operates differently in these organisms. Nevertheless, this highlights the need for further investigations of the alginate regulatory mechanisms in *A. vinelandii* if this organism is to be used for alginate bioproduction.

Alginate biosynthesis appears to be connected to a variety of cellular processes (Paper III and IV), which adds to the complexity of predicting the effects of both genetic and environmental perturbations. Elucidating the exact nature of all these connections would likely be very demanding. Nevertheless, we have demonstrated that even sparse information about metabolic relationships can aid in identifying nutrients that are limiting alginate production (Paper III), and thus be useful for development and optimization of bioproduction media.

Inactivation of the anti-sigma factor MucA resulted in increased alginate production and decreased respiration and growth rate. The *A. vinelandii mucA*⁻ mutant thus converts less of the supplemented fructose to CO₂ and more to alginate relative to the wild type strain (Paper IV). From a bioproduction perspective this makes the *mucA* mutant an improved production strain candidate due to the increased alginate yield. The high respiration rate of *A. vinelandii* is however a challenge with regard to alginate yield, even in the *mucA* mutant (Paper IV). Engineering mutant strains with reduced respiration rates, for example via inactivation of decoupled oxidative phosphorylation components, could be an interesting experimental strategy for the development of bioproduction strains.

In summary, we have brought forth important results with regard to the long term goal of bacterial strain engineering and cultivation optimization for commercial alginate bioproduction purposes. Our studies underline that in order to improve alginate yield in *A. vinelandii* the relationships between alginate biosynthesis, cell mass production, nitrogen fixation and respiration, and thus carbon utilization, should be investigated further.

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Paper I

Genome Sequence of *Azotobacter vinelandii*, an Obligate Aerobe Specialized To Support Diverse Anaerobic Metabolic Processes^{∇†}

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Azotobacter vinelandii is a soil bacterium related to the *Pseudomonas* genus that fixes nitrogen under aerobic conditions while simultaneously protecting nitrogenase from oxygen damage. In response to carbon availability, this organism undergoes a simple differentiation process to form cysts that are resistant to drought and other physical and chemical agents. Here we report the complete genome sequence of *A. vinelandii* DJ, which has a single circular genome of 5,365,318 bp. In order to reconcile an obligate aerobic lifestyle with exquisitely oxygen-sensitive processes, *A. vinelandii* is specialized in terms of its complement of respiratory proteins. It is able to produce alginate, a polymer that further protects the organism from excess exogenous oxygen, and it has multiple duplications of alginate modification genes, which may alter alginate composition in response to oxygen availability. The genome analysis identified the chromosomal locations of the genes coding for the three known oxygen-sensitive nitrogenases, as well as genes coding for other oxygen-sensitive enzymes, such as carbon monoxide dehydrogenase and formate dehydrogenase. These findings offer new prospects for the wider application of *A. vinelandii* as a host for the production and characterization of oxygen-sensitive proteins.

Azotobacter vinelandii is a free-living nitrogen-fixing bacterium of the gammaproteobacteria. It is found in soils world-

wide, with features of nitrogen and energy metabolism relevant to agriculture (41, 42). This organism has been studied for more than 100 years by numerous scientists throughout the world. Prior to Joshua Lederberg's discovery of sexuality in *Escherichia coli* (47), *A. vinelandii* was the experimental organism of choice for many investigators during the emergence of biochemistry as a dominant discipline within the life sciences. Examples include the classical Lineweaver-Burk kinetic parameters, developed using enzymes from *A. vinelandii* (51), and the isolation by Severo Ochoa of polynucleotide phosphor-

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ylase from *A. vinelandii*, which was used in studies that contributed to the elucidation of the genetic code (62).

A. vinelandii is able to adapt its metabolism to diverse sources of nutrients. If no carbon source is present, *A. vinelandii* will undergo a differentiation process to form cysts that are resistant to desiccation and other chemical and physical challenges (74). While the process of encystment has been known for many years and studied at the physiological and morphological levels, there is little knowledge about the unique biosynthetic pathways that are involved and how they are regulated. Previous work has implicated the alternative sigma factors AlgU and RpoS in the differentiation process (13, 57, 64). Alginate polymers with different monomer compositions are an important structural component of the cyst, and at the end of exponential growth, *A. vinelandii* cells accumulate poly-beta-hydroxybutyrate (PHB) as a reserve carbon and energy source (81). The physiology of PHB formation has been well studied in a variety of different systems, and the PHB biosynthetic operon has been described (67, 77). *A. vinelandii* can also produce copolymers of hydroxybutyrate and hydroxyvalerate, known to improve the flexibility and stretch of bioplastics (63).

A. vinelandii has long served as a model for biochemical and genetic studies of biological nitrogen fixation, the conversion of N₂ into NH₃ by a nitrogenase enzyme. The best-studied nitrogenase consists of two oxygen-sensitive metalloproteins that, in the case of the molybdenum nitrogenase, are denominated the Fe protein and the MoFe protein. *A. vinelandii* is unusual in that it is one of the few bacteria that contain three nitrogenases with different subunit and metal cofactor compositions, namely, the molybdenum nitrogenase, the vanadium nitrogenase, and the iron-only nitrogenase. Expression of these nitrogenases is differentially regulated by metal availability from the medium (27).

Here we present the complete genome sequence of *A. vinelandii* DJ and discuss what the genome has revealed about the organism's ability to protect oxygen-sensitive processes. *A. vinelandii* has been cited as having one of the highest respiratory rates of any known bacterium (10). Diazotrophic growth under aerobic conditions is possible because *A. vinelandii* can adjust oxygen consumption rates to help maintain low levels of cytoplasmic oxygen, which is otherwise detrimental not only to nitrogenase but also to other oxygen-sensitive enzymes expressed by *A. vinelandii*. This phenomenon is called respiratory protection. In this work, we identify unique features of the *A. vinelandii* genome that help to explain the coexistence of oxygen-sensitive reactions and strict aerobic metabolism. The genome sequence and annotation allowed identification of the genes involved in respiration, including key players in respiratory protection. In addition, we have identified unexpected gene clusters encoding a carbon monoxide dehydrogenase (CODH), a formate dehydrogenase (FDH), and a second hydrogenase, all of which are also oxygen-sensitive enzymes.

MATERIALS AND METHODS

Strain description. *A. vinelandii* O is a strain that forms gummy, slimy colonies of pale color. The earliest report of this strain dates from 1952 (92). *Azotobacter agilis* (later named *Azotobacter vinelandii* strain O) was part of the bacterial collection at the University of Wisconsin, Madison. In 1959, Bush and Wilson (11) reported the isolation of a nongummy chromogenic isolate of *A. vinelandii* O, which they named *A. vinelandii* OP. This new strain has a fluorescent color

and well-defined colony shape. *A. vinelandii* strain OP has also been referred to as UW and CA. *A. vinelandii* strain DJ is a high-frequency transforming variant of *A. vinelandii* UW generated in 1984 through multiple rounds of transformation, using chromosomal DNAs from rifampin (rifampicin)-resistant and -sensitive strains (Dennis Dean, personal communication), and is available from the American Type Culture Collection under number ATCC BAA-1303.

Genome sequencing and assembly. A total of 87,000 reads were generated by the Joint Genome Institute for a draft sequence released prior to this project. These reads came from two DNA libraries (insert sizes of 2 to 4 kb and 4 to 8 kb) obtained using mechanical shearing of DNA and cloning into pUC18, followed by a shotgun sequencing approach. The reads and clones were sent to Monsanto, where finishing occurred. The genome was then assembled and edited using Phred, Phrap, and Consed (23, 24, 32). Finishing was completed by generating an optical map (46) cut with the restriction enzymes BamHI and BsiWI and aligning the assembled sequences to the map. Gaps were closed by sequencing specific products. All rRNA operons were amplified with specific flanking primers, sequenced, and assembled individually. All positions with Phred scores of <40 were resequenced using an independent PCR fragment as a template. The error rate is estimated to be less than 1:10,000 bp.

Annotation and comparative genomics. Genome annotation was done by supervised teams of undergraduate students using a web-based system over a preliminary automated annotation, both developed by J. C. Setubal. Comparison to other genomes was done using MUMmer (45) for alignments and orthoMCL (50) for protein families.

Phylogenetic analysis. A total of 18 genomes (see Table S1 in the supplemental material) were used to build the phylogenetic tree. *Chromohalobacter selexigens*, *Hahella chejuensis*, and *Marinomonas* sp. strain MWYL1 were used as outgroup species. OrthoMCL (50) provided 1,445 protein families containing only one representative member of each ingroup genome. These protein sequences were aligned with Muscle (20), and the noninformative columns of the resulting (concatenated) alignment were removed by Gblocks (14). The tree was built using RAxML (79) with the PROTGAMMAWAGF model. Individual gene phylogenies were obtained by aligning protein sequences from *A. vinelandii* and *Pseudomonas* species, using the same method as that described above, but using MrBayes (35) for tree building.

Prediction of σ^{54} - and NifA-binding sites. Multiple alignments of known σ^{54} - and NifA-binding-site DNA sequences were constructed from the literature (3). Using HMMER 1.8.5 (25), we generated profile hidden Markov models representing the binding sequence motifs from the alignments and used HMMER to search the genome for matches.

CydR binding site prediction. We used the sequence proposed by Wu et al. (93) as a query against the genome in a BLASTN (1) search.

Nucleotide sequence accession number. The *A. vinelandii* DJ sequence and its annotation are available at GenBank under accession number CP001157 and at www.azotobacter.org.

RESULTS

General features and phylogeny. The general features of the *A. vinelandii* genome are shown in Table 1. Based on the sequence and its annotation, we have obtained a phylogeny for *A. vinelandii* (Fig. 1) that indicates that it groups most closely with the pseudomonads (family *Pseudomonadaceae*). Among the pseudomonads, the closest relative is the nitrogen-fixing strain *Pseudomonas stutzeri* A1501 (96). Table 1 shows that *A. vinelandii* and *P. stutzeri* A1501 share 46% and 56% of their respective protein coding gene complements. Figure S1 in the supplemental material presents a whole-chromosome alignment between *A. vinelandii* DJ and *P. stutzeri* A1501 in which large-scale conservation of gene order can readily be observed. The "X" pattern seen in this comparison is likely due to inversions around the origin of replication (22) and suggests that many such inversions have taken place since these two species diverged.

Respiration and respiratory protection genes. *A. vinelandii* is an obligately aerobic bacterium that uses the electron transport chain, with molecular oxygen as the final electron acceptor. This strict aerobic metabolism is supported by our analysis

TABLE 1. General features of *Azotobacter vinelandii* DJ and *Pseudomonas stutzeri* A1501 genomes^a

Parameter	Value	
	<i>Azotobacter vinelandii</i> DJ	<i>Pseudomonas stutzeri</i> A1501
Chromosome features		
Size (bp)	5,365,318	4,567,418
% GC	65.7	63.9
Protein-encoding genes		
Total no.	5,051	4,128
No. (%) with functional assignment	3,561 (70.5)	3,180 (77.0)
No. (%) of conserved hypothetical protein genes	739 (14.6)	0 (0.0)
No. (%) of hypothetical protein genes	751 (14.9)	948 (23.0)
No. of pseudogenes	66	11
No. (%) shared protein-encoding genes	2,332 (44.1)	2,298 (55.7)
RNAs		
No. of rRNA operons	6	4
No. of tRNAs	64	61
No. of other RNAs	18	0

^a Data for *P. stutzeri* were taken from reference 96. The number of shared protein-encoding genes was computed using orthoMCL (50).

of the genome, which lacks genes for complete systems involved in anaerobic respiration using alternative terminal electron acceptors or fermentation processes. At the interface of catabolism and respiration, NADH and succinate are the major electron carriers that feed the electron transport chain, via complex I and complex II, respectively. The genome encodes four NADH-ubiquinone oxidoreductases, including one ATP-coupled NADH oxidoreductase of the Nuo type (Avin28440 to Avin28560) and three ATP-uncoupled NADH-ubiquinone oxidoreductases. The latter are Ndh (Avin12000) and two membrane-associated enzymes involved in the transport of cations across the membrane, i.e., the Nqr type (Avin14590 to Avin14640) and the Sha type (Avin19530 to Avin19580). While there is no association of Nuo, Nqr, and Sha with oxygen protection, the *ndh* gene product has been shown to be important for aerobic nitrogen fixation (5). It is possible that NADH-driven protection of nitrogenase is dependent on Ndh and CydAB oxidase via a ubiquinol-dependent electron transfer pathway. Despite its apparent involvement in nitrogen fixation (5), a copy of *ndh* is found in several *Pseudomonas* species, some of which are not known to fix nitrogen. In addition, *A. vinelandii* carries two copies of the Rnf system (Rnf1 [Avin50930 to Avin50980] and Rnf2 [Avin19220 to Avin19270]), which shows sequence similarity to a sodium-dependent NADH-ubiquinone oxidoreductase (18). Although there is no change in respiration upon inactivation of either one or both Rnf systems, Rnf1 is required for accumulation of nitrogenase Fe protein (18). An ortholog of Rnf1 exists in the diazotroph *P. stutzeri* A1501 (YP_001171857 to YP_00117852) but not in any other members of the *Pseudomonas* genus.

Ubiquinol-oxygen oxido-reduction can occur either through a two-step pathway, via cytochrome *c* reductase (complex III; Avin13060 to Avin13080) followed by a cytochrome terminal ox-

idase (complex IV), or in a single-step process, via a ubiquinol-dependent cytochrome terminal oxidase. The genome annotation identified the catalytic and biosynthetic genes of the following five terminal oxidases: cytochrome *c* oxidase (Cdt oxidase; Avin00950 to Avin01020), cytochrome *o* oxidase (Cox; Avin11170 to Avin11180), cytochrome *bd* copy I (CydAB I; Avin19880 to Avin19890), cytochrome *bd* copy II (CydAB II; Avin11040 to Avin11050), and cytochrome *cbb*₃ (Cco; Avin19940 to Avin20010). While the Cox, CydAB II, and Cco oxidases are encoded in all *Pseudomonas* species analyzed to date, the Cdt oxidase gene is found only in *P. stutzeri* A1501 and *Pseudomonas mendocina* ymp.

The presence of two CydAB oxidases in *A. vinelandii* was unexpected. Phylogenetic analysis revealed that CydAB oxidase I (encoded by *cydAB* gene copy I) has its closest orthologs in *P. stutzeri* A1501 but groups with similar genes from members of the *Acinetobacter* and *Shewanella* genera rather than with those from other members of the *Pseudomonas* genus. Copy II, on the other hand, groups with similar genes from several members of the *Pseudomonas* genus (see Fig. S2 to S5 in the supplemental material). CydAB oxidase I has been characterized extensively (21, 39, 40, 94). The precise function of CydAB oxidase II remains to be elucidated.

Oxygen consumption by the aforementioned terminal oxidases is not the only factor responsible for oxygen protection of nitrogenase. The FeSII protein, known as the Shethna protein and encoded by Avin01520, forms a protective complex with nitrogenase when the enzyme is exposed to oxygen (58). In addition, it has been determined that cellular levels of ATP also contribute to protection of nitrogenase against oxygen damage (53). High concentrations of ATP directly correlate with high electron flux to nitrogenase, which influences the dissociation rate constant of the nitrogenase components (84) and, consequently, the susceptibility of the Fe protein to oxygen damage (83). The *A. vinelandii* genome encodes two sets of ATP synthase machineries. The first complex (Avin52150 to Avin52230) is the ortholog of the *Pseudomonas* ATP synthase and is located close to the putative origin of replication. The second copy (Avin19670 to Avin19750) is located 8 genes upstream of the *sha* operon (complex I-like) and 10 genes downstream of *cydAB* I (complex IV). This copy does not seem to be related phylogenetically to ATP synthases from the *Pseudomonas* genus, and the only orthologs are found in *Burkholderia* species, most of which are obligate aerobes, although very few can fix nitrogen.

Genomic analyses of *A. vinelandii* and microaerobic diazotrophs such as *P. stutzeri* show that they have similar respiratory complexes, suggesting that the regulation of these machineries, especially at the transcriptional level, is very important for adjusting rates of oxygen consumption in order to protect oxygen-sensitive processes. The transcriptional regulator CydR (Avin19910) seems to regulate various physiological processes associated with respiratory protection. Wu et al. (94) showed that CydR can coordinate an oxygen-labile [Fe-S] cluster, which provides a mechanism for CydR to sense subtle changes in the intercellular oxygen concentration and to regulate the expression of respiratory genes. During nitrogen fixation, CydR repression is presumably relieved, resulting in increased expression of uncoupled NADH dehydrogenase (Ndh) and CydAB I. A series of reports have suggested a role

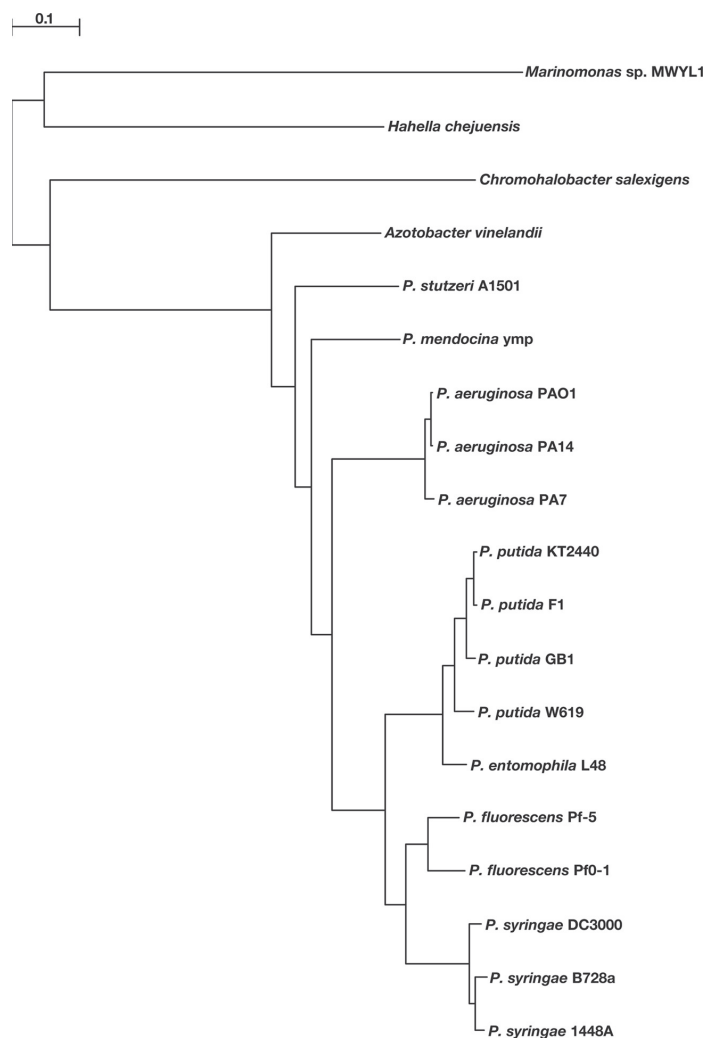


FIG. 1. Phylogenetic tree for *A. vinelandii*, all fully sequenced members of the *Pseudomonas* genus, and three outgroups. Accession numbers for the genomes used are given in Table S1 in the supplemental material. Bootstrap support for all branches was 100%.

for CydR in several other metabolic processes, including synthesis of PHB (95) and flagellar motility (48). Although the role of CydR in respiration is not completely defined, its direct or indirect participation in other cellular processes is even more obscure.

The genomic location of *cydR* (Avin19910) downstream of the *cco* region (containing the *cco* genes [Avin19920 to Avin20010]) suggests that it is involved in the regulation of this system. In support of this, a putative CydR binding site was identified upstream of the *cco* genes, and expression of a *ccoN::lacZ* fusion is CydR dependent (data not shown). Similar regulation of Cco by FNR (a CydR ortholog) is seen in other organisms (16). CydR also binds upstream of the CydAB

oxidase I promoter and is known to repress transcription of this operon (93). While *cydR* in *A. vinelandii* is adjacent to the *cydAB* operon (Avin19890 to Avin19880) encoding CydAB oxidase I, this organization is not seen in other organisms. Our promoter analysis has further identified a CydR binding site immediately upstream of Avin11170, suggesting that CydR also regulates the *cox* genes in *A. vinelandii*.

A putative CydR binding site is also located upstream of the iron-sulfur cluster biosynthetic genes (*isc* genes; Avin40380 to Avin40410). Cross regulation of Fe-S cluster biosynthesis and respiration makes biochemical sense for at least the following two reasons: (i) a large number of Fe-S proteins are involved in respiration, and (ii) elevated oxygen concentrations result in

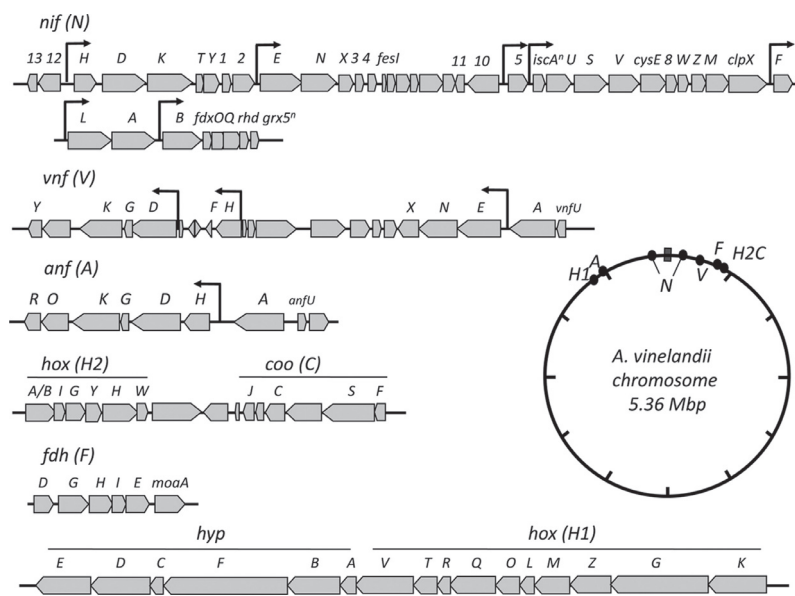


FIG. 2. Oxygen-sensitive protein-encoding genes in *A. vinelandii* and their genomic locations.

increased damage to Fe-S proteins under conditions in which the respiratory proteins are more highly expressed. Therefore, under conditions of high oxygen concentrations, CydR regulation would increase the expression of respiratory components and increase the capacity of the Fe-S cluster biosynthetic apparatus to supply the temporary higher demand for Fe-S clusters.

Nitrogen fixation. *A. vinelandii* expresses three oxygen-sensitive nitrogenase enzymes with different structural subunits and metal cofactor dependencies. Genes encoding the well-studied molybdenum-dependent nitrogenase and its assembly machinery and regulation (*nif*) are located in two regions of the chromosome, adjacent to and equidistant from the origin of replication. Proximity to the origin might result in a higher gene dosage during active growth, which can contribute to the high expression levels of the Nif components. The major *nif* region (comprising genes Avin01360 to Avin01710, oriented away from the putative origin, on the plus strand) encodes the structural subunits and the majority of the assembly machinery. The minor *nif* region (genes Avin50990 to Avin51060, also on the plus strand) contains the regulatory genes *nifL* and *nifA* and genes required for Mo trafficking and nitrogenase cofactor biosynthesis. Adjacent to the minor *nif* region are the *nif* region (upstream) and genes for a putative rhodanese (*rdh_{nif}*; Avin51050) and a monothiol glutaredoxin (*grx_{nif}*; Avin51060) (downstream). The *nifL* gene products are associated with the accumulation of active nitrogenase component 2, also referred to as Fe protein (18). Likewise, the inactivation of the *nif*-associated glutaredoxin resulted in a 50% loss of Fe protein activity (data not shown). The dramatic effect on Fe protein activity and the less pronounced effect on nitrogenase component 1, the MoFe protein, could be attributed to an inherent

susceptibility to oxygen damage and/or deficiency in repair of the [Fe-S] cofactor in strains lacking these genes.

The genome sequence reveals that the region containing the structural genes for the vanadium nitrogenase (Avin02650 to Avin02660) is in close proximity to the gene cluster carrying genes (Avin02740 to Avin02780) involved in its assembly and regulation (Fig. 2). However, these two *vnf* clusters are separated by three genes predicted to participate in molybdopterin biosynthesis (Avin02700 to Avin02720) and a gene, *pcaK*, encoding a hydroxybenzoate transporter (Avin02690). In contrast, the *anf* genes, encoding the iron-only nitrogenase, are located in a single gene region (Avin48950 to Avin49000), which is regulated by the AnfA transcriptional activator (Avin49020) (Fig. 2).

Although no additional nitrogenase genes were identified in the genome sequence, genes with sequence similarity to the *nif*, *vnf*, and *anf* genes were found scattered throughout the genome. Whether or not these other genes are involved in nitrogen fixation remains to be determined. Interestingly, a gene with sequence similarity to *nifD* was identified (Avin39870), but its inactivation did not affect growth under standard diazotrophic or nondiazotrophic conditions (data not shown). Phylogenetic analysis of the NifD-like sequence in comparison with its paralogs in *A. vinelandii* and *P. stutzeri* reinforced the idea that the three structural and accessory nitrogenase genes were derived from a common ancestor and that the *nifD*-like sequence resembles the ancestral gene (see Fig. S6 in the supplemental material).

A paralog of *nifA*, Avin26490, was identified as encoding a protein that contains an almost identical DNA recognition helix in the C-terminal DNA-binding domain, implying that this protein binds similar enhancer sequences to those recog-

nized by NifA (Avin51000). A search for σ^{54} promoters and NifA upstream activator sequences detected the experimentally determined NifA-dependent promoters upstream of the *nif* and *mf* genes and additional putative NifA-dependent σ^{54} promoters upstream of genes encoding ModE (Avin50680), a putative molybdate binding protein, ModA3 (Avin50730), and a putative MbcC-like oxidoreductase (Avin48680). In view of the similarity in DNA recognition motifs, it is possible that these promoters are activated by either NifA or its paralog, Avin26490.

The genome sequence revealed two genes (Avin33440 and Avin47100) with 78% and 71% identity to *vnfA* (Avin02780), respectively. These VnfA paralogs have two of the three cysteines present in the proposed metal-binding cluster in the amino-terminal GAF domain of VnfA, similar to that of AnfA, which also has two cysteine residues implicated in metal or redox sensing (37, 69).

The *nfuA* gene (Avin28760) encodes a protein with a NifU-like C-terminal domain that shares sequence similarity with the products of *vnfU* (Avin02790) and *anfU* (Avin49030), which are located adjacent to *vnfA* (Avin02780) and *anfA* (Avin49020), respectively. Biochemical experiments suggest that NfuA represents an intermediate [Fe-S] cluster carrier involved in [Fe-S] protein maturation (2). It is likely that VnfU and AnfU play a role in the maturation of clusters present in vanadium- and iron-only nitrogenases.

In addition to paralogs of nitrogen fixation genes, other systems critical to nitrogen fixation appear to have been duplicated. Positioned 35 kb upstream of the minor *nif* gene cluster is the high-affinity molybdate transport system, encoded by *modG* and *modEAI1BIC1* (Avin50650 to Avin50690), which supports the expression of Mo-dependent nitrogenase under molybdenum-limiting conditions (65). The second known molybdate transport locus, *modA2B2C2* (Avin01280 to Avin01300), is located 10 kb upstream of the major *nif* gene cluster. Interestingly, we identified a third putative molybdate transport system (Avin50700 to Avin50730), located directly next to the *modEAI1BIC1* operon.

Other oxygen-sensitive processes. (i) **CODH.** The genome of *A. vinelandii* DJ contains genes with sequence similarity to membrane-bound Ni-dependent anaerobic CODH genes. CODH is an α_2 homodimer of the *cooS* product (Avin04490) that carries out the reversible oxidation of CO to CO₂ (71). Electrons extracted from CO are transferred to CooF (Avin04500), a hydrophobic FeS protein similar to the electron transfer subunits of oxidoreductases, such as the FDH beta subunit FdhH (Avin03820).

CODH contains a NiFe₂S₄ center, known as the C cluster, at its active site. The assembly of the CODH active site, which has been well studied in *Rhodospirillum rubrum*, requires the activities of three proteins, encoded by the *cooJ*, *cooC*, and *cooT* genes (43), involved in Ni storage and insertion (36, 91). Whereas one gene similar to *cooC* is present in the *A. vinelandii* genome (Avin04470), the genome does not code for proteins similar to CooT or CooJ. Avin04460, located downstream of *cooC*, encodes a 73-amino-acid histidine-rich protein with sequence similarity to the N-terminal portion (first 20%) of HypB proteins in *R. rubrum* (E value, 10⁻⁷) and *Ralstonia eutropha* (10⁻⁵), which are involved in Ni processing for hydrogenase maturation. Thus, it is likely that the product of

Avin04460 substitutes for the role of CooJ in the maturation of CODH. There is a gene similar to *hupE/ureJ* genes (Avin04450) downstream of Avin04460. HupE/UreJ proteins are secondary Ni transporters, and their coding genes are widespread among bacteria, normally clustered with urease or hydrogenase genes. There is another gene in the *hupE/ureJ* family (Avin50400), located near the *hypEDCFB* gene cluster, that probably encodes a HupE protein. We hypothesize that Avin04450 and Avin50400 may encode two different Ni transport systems, specific for CODH and hydrogenase maturation, respectively.

The *A. vinelandii* *coo* gene cluster includes a gene for a flavin adenine dinucleotide-dependent pyridine nucleotide-disulfide oxidoreductase (Avin04480) inserted between the *cooS* and *cooC* genes. A similar protein is also encoded downstream of *cooFS* in *Carboxydotherrmus hydrogenoformans*. Proteins of this family utilize flavin adenine dinucleotide to shuttle electrons from NADH to a redox-active disulfide bridge. The product of Avin04480 might be involved in CODH maturation. It has been proposed that Ni insertion into the C cluster involves reversible Ni binding to an Fe₃S₄ center followed by coordination to a specific cysteinyl residue (Cys531 in *R. rubrum* CooS) (36). It is also noteworthy that in vitro Ni insertion into CODH requires dithionite as a reductant. Thus, the Avin04480 gene product could be involved in reduction of a cysteine residue in *A. vinelandii* apo-CODH to facilitate Ni insertion.

The genome sequence reveals the presence of a *cooA* gene (Avin47010), as previously reported (98). The *cooA* gene encodes a transcriptional activator distantly related to the CRP family. CooA is a heme-containing homodimeric protein that functions as a CO sensor and controls the expression of the *coo* genes (72). In *R. rubrum*, CooA activates transcription of two contiguous gene clusters, namely, one that encodes CODH and accessory proteins (*cooFSCTJ*) and another encoding a membrane-bound CO-tolerant NiFe hydrogenase and its accessory proteins (*cooMKLXUH*). Although *A. vinelandii* lacks the genes encoding the CO-tolerant hydrogenase, it contains a gene cluster encoding a chimerical soluble NiFe hydrogenase in the corresponding locus (see below).

(ii) **FDH.** FDHs combine heterogeneous groups of enzymes found in both prokaryotes and eukaryotes that catalyze the oxidation of formate to CO₂ and H⁺. In aerobic organisms, the FDHs are mostly NAD⁺-dependent FDHs. Many prokaryotes, however, thrive in anoxic environments, where FDHs are NAD⁺-independent enzymes containing a variety of redox centers with oxygen-sensitive active sites composed of molybdenum or tungsten cofactors. These organisms utilize formate (produced from pyruvate) as a main electron donor for a variety of inducible anaerobic respiratory pathways (49).

The *A. vinelandii* genome contains a gene cluster that encodes a NAD⁺-independent FDH (*fdhDGHIE*; genes Avin03800 to Avin03840). While best BLAST (1) hits of *fdhD* are against *Pseudomonas* spp., including *P. stutzeri* A1501 (E value, 10⁻⁸⁴), the catalytic subunit of FDH encoded by *fdhGHI* shows significant similarity to the alpha, beta, and gamma subunits of the well-studied nitrate-inducible Fdh-N enzyme from enterobacteria, including *E. coli* (85). Similarity E values against *E. coli* O157 were as follows: for *fdhG*, 0.0; for *fdhH*, 10⁻¹²⁹; and for *fdhI*, 10⁻⁴⁸. In the catalytic site of the alpha subunit (FdhG), the molybdopterine-guanine dinucleotide co-

factor extracts electrons from formate. These electrons are transferred first to the [FeS]-containing beta subunit (FdhH) and finally to the heme-containing gamma subunit (FdhI). Although in *E. coli* Fdh-N function is associated with the activity of a respiratory nitrate reductase complex (NarGHI) located in the inner membrane, *narGHI* genes were not found in the genome of *A. vinelandii*. Interestingly, FdnG from *Klebsiella pneumoniae* was suggested to participate in relieving NifL inhibition of NifA (33), since *K. pneumoniae* strains carrying null mutations of *fdnG* (or the NADH-ubiquinone oxidoreductase genes *nuoCD*) showed significantly reduced *nif* induction under nitrogen-fixing conditions. The *moaA* gene (Avin03850), which encodes a SAM-dependent radical enzyme involved in the first step of the biosynthesis of the molybdenum cofactor (34), is located directly downstream of the *fdhDGHIE* gene cluster. Avin30330 encodes another MoaA homolog.

(iii) Hydrogenases. Prokaryotes, mostly from anaerobic ecosystems, have the ability to use H₂ by employing uptake hydrogenases or to produce H₂ by the activity of H₂-evolving hydrogenases. About one thousand hydrogenase sequences have been identified, many by genome sequencing, and more than 100 have been characterized genetically and/or biochemically (89). Three phylogenetically distinct classes of hydrogenases have been described, namely, [NiFe] hydrogenases, [FeFe] hydrogenases, and [Fe] hydrogenases ([Fe-S] cluster-free hydrogenases) (89, 90). Hydrogenases are usually sensitive to oxygen. For example, while [FeFe] hydrogenases are irreversibly destroyed by oxygen, the catalytic function of [NiFe] hydrogenases is reversibly inactivated by oxygen, but their structural integrity remains stable (8). The *A. vinelandii* genome contains genes encoding two [NiFe] hydrogenases, in membrane-bound and water-soluble forms (see below). Genes predicted to encode [FeFe] or [Fe] hydrogenases were not found.

The membrane-bound [NiFe] hydrogenase of *A. vinelandii* has been characterized extensively at both the biochemical (44, 75) and genetic (15, 31, 56) levels. The structural genes for this enzyme (*hoxKG*) (56) are clustered together with genes coding for hydrogenase accessory proteins, *hoxKGZMLQQRTV* (Avin50500 to Avin50590) and *hypABFCDE* (Avin50440 to Avin50480), encoding the components of the hydrogenase electron transport chain and proteins for the biosynthesis of the hydrogenase cofactors and hydrogenase maturation (15, 31, 56). Mutational analysis has shown the requirement of these genes for H₂ oxidation activity (15, 31, 56).

It has generally been assumed that the presence of an uptake hydrogenase in nitrogen-fixing bacteria provides the advantage of recycling H₂ produced by nitrogenase, thereby yielding extra reductant and/or ATP for N₂ reduction and also providing respiratory protection for nitrogenase. Although competitive fitness experiments under carbon-limited conditions supported that notion for the related strain *Azotobacter chroococcum* (97), no significant difference was observed in ATP accumulation or in H₂-dependent O₂ uptake in a *hoxKG*-deficient mutant of *A. vinelandii* (52). Thus, the physiological role of the uptake hydrogenase in N₂ fixation is not completely understood.

Close to *hypE* (Avin50440) there is a gene, Avin50400, which codes for a protein that belongs to the HupE/UreJ family of proteins involved in Ni transport or processing. Two adjacent

open reading frames (Avin50410 and Avin50420), encoding putative membrane proteins, are unique to the *A. vinelandii* genome. Most pseudomonads possess a single copy of this membrane protein-encoding gene, but 12 copies are predicted for the *A. vinelandii* genome (Avin00560, Avin00570, Avin01720, Avin04580, Avin28060, Avin31780, Avin32050, Avin33410, Avin35450, Avin38580, Avin50420, and Avin50410). Whether these genes encode previously unknown accessory proteins for the *A. vinelandii* uptake hydrogenase and/or display an *A. vinelandii*-specific trait needs to be addressed.

A soluble NAD⁺-reducing [NiFe] hydrogenase is predicted to be encoded by Avin04360 to Avin04410. This putative multisubunit enzyme shares characteristics with both the soluble sensing hydrogenase HoxFUYHWHI from *Ralstonia eutropha* (9) and the soluble H₂-evolving, S⁰-reducing sulfhydrogenase ShyBCDA from *Pyrococcus furiosus* (54). There is weak but still significant similarity (E value, 10⁻⁴) between Avin04410 and *R. eutropha* *hoxW* (CAA63575), encoding the hydrogenase peptidase, and between Avin04370 and *hoxI* (NP_942732), encoding the NADPH-binding subunit (E value, 10⁻⁶) (9). These two *A. vinelandii* genes appear to be interspersed among the *P. furiosus* *shyBCDA*-like genes (Avin04360 and Avin04370 to Avin04390) for the hydrogenase structural genes and the accessory subunits encoded by *shyBC*. Thus, *A. vinelandii* would encode a putative soluble [NiFe] hydrogenase of chimerical nature among other previously characterized hydrogenases. A similar arrangement of genes to those of *A. vinelandii* is present in the genomes of other bacteria, such as the aerobic, nitrogen-fixing alphaproteobacterium *Beijerinckia indica*, the aerobic ammonia-oxidizing gammaproteobacterium *Nitrosococcus mobilis* Nb-231, and others, which have been uncovered by genome sequencing projects and represent the most similar relatives to the putative *A. vinelandii* soluble hydrogenase. The corresponding amino acid sequence identities to *A. vinelandii* *shyB-hoxI-shyCDA-hoxW*-like genes of these putative hydrogenase subunits are 66%, 75%, 63%, 73%, 76%, and 52% for *B. indica* and 58%, 60%, 65%, 71%, 65%, and 45% for *N. mobilis*, respectively. Interestingly, this gene cluster appears to have undergone extensive rearrangements during the evolution of hydrogenases. While this cluster is adjacent to the putative CODH gene cluster in *A. vinelandii* (Avin04450 to Avin04490), in *N. mobilis* it is adjacent to the *hypBAEDCF*-like genes for the maturation of the [NiFe] hydrogenase, and in *B. indica* they do not appear to be linked physically to hydrogen metabolism genes. Mutagenesis studies of these genes would shed light on hydrogen metabolism in *A. vinelandii* and other bacteria.

Alginate. There are two possible strategies for keeping the cytoplasm anaerobic: either the bacterium can remove the oxygen after it has entered the cell, or it can create a barrier to impede O₂ transfer into the cell. Sabra et al. showed that the alginate capsule of *A. vinelandii* was affected by the oxygen tension (73). Alginate is a linear copolymer of 1→4-linked β-D-mannuronic acid and α-L-guluronic acid, where some of the mannuronic acid residues may be acetylated. The alginate biosynthetic gene set consists of 12 genes (Avin10860 to Avin10970). The physical organization of this cluster is highly conserved in *A. vinelandii* and *Pseudomonas* species able to produce alginate, including *Pseudomonas aeruginosa*, *Pseudomonas fluorescens*, *Pseudomonas syringae*, *Pseudomonas putida*, *Pseudomonas entomophila*, and *P. mendocina* (see Fig. S7 in

the supplemental material). In addition, *A. vinelandii* encodes a set of seven secreted mannuronan C-5 epimerases (AlgE1-7) that modify the polymer outside the cells (*algE1*, Avin51190; *algE2*, Avin51180; *algE3*, Avin51170; *algE4*, Avin51200; *algE5*, Avin33710; *algE6*, Avin51230; and *algE7*, Avin51250). Some of these epimerases are responsible for introducing consecutive guluronic acid residues into the polymer, and this structural feature is necessary for forming a gel with divalent cations. Close homologs of the epimerase genes *algE1-7* are not described for other species except for *P. syringae*, which encodes a single bifunctional alginate epimerase/deacetylase, PsmE (7).

Wild-type strains of *Pseudomonas* usually do not produce alginate under laboratory conditions, while most strains of *A. vinelandii* produce alginate constitutively and use the polymer both for making the vegetative state capsule and for the cyst coat (see below). This probably explains why the regulation of alginate synthesis in *A. vinelandii* differs from that in *Pseudomonas*. Alginate production in *P. aeruginosa* is controlled by a complex regulatory system that includes AlgR, AlgB, AlgQ, AmrZ, and AlgP (30, 59). Orthologs of the genes encoding these regulators are present in the genome of *A. vinelandii* DJ, as follows: *algR* (Avin47610), *algB* (Avin11120), *algP* (Avin47540), *algQ* (Avin47550), and *amrZ* (Avin34410). While in *Pseudomonas* AlgR is required for alginate production, *algR* mutants of *A. vinelandii* produce alginate but are unable to form mature cysts (60). The participation of AlgB, AlgP, AlgQ, and AmrZ in the regulation of alginate synthesis has not been studied in *A. vinelandii*. Orthologs of these genes are present, however, in *P. stutzeri* A1501, which does not produce alginate.

Other regulators of alginate synthesis, including the *algU-mucABCD* operon (Avin13660 to Avin13730), the protease genes *algW* (Avin12950), *mucP* (Avin38920), and *prc* (Avin35170), and the diguanylate cyclase gene *mucR* (Avin49140), are also conserved in *A. vinelandii* and *Pseudomonas* species. *A. vinelandii* does not carry any close homolog to *mucE*, which in *P. aeruginosa* is necessary for the proteolytic events that degrade the anti-sigma factor MucA enabling alginate production (70). Strain DJ does not produce alginate due to the presence of an insertion sequence that splits *algU* (Avin13660) in two (55).

Polymer production and encystment. A distinctive characteristic of *A. vinelandii* is the formation of a desiccation-resistant cell, described as a cyst, upon encountering adverse growth conditions or upon induction of vegetative cells with specific reagents. Only a few bacterial species have been observed to make cysts. Initial studies of cyst formation in *Rhodospirillum centenum* and *Azospirillum brasilense* identified a few genes (4, 88) that are involved in the regulation of encystment in these bacteria. Genes similar to these regulators, however, have not been found in the *A. vinelandii* genome. Alginate, in addition to its role in respiratory protection, is a major component of the cyst capsule, and alginate with consecutive guluronic residues is essential for the formation of mature cysts (12, 80). During encystment, *A. vinelandii* was shown to incorporate phenolic lipids (alkylresorcinols and alkylpyrones) into the membrane. The proteins involved in the biosynthesis of these lipids have been characterized in *A. vinelandii* (29), and it has been shown that these lipids play a structural role in the cyst capsule, although they are not essential for desiccation resistance (78).

Even though *A. vinelandii* seems unable to utilize alginate as

an energy source, it carries at least six enzymes with alginate lyase activities, including AlyA1-3 (Avin31810, Avin23960, and Avin13810), AlgE7 (Avin51250), Avin46500 (82; our unpublished data), and AlgL (Avin10900), the alginate lyase encoded in the alginate biosynthetic gene cluster that is also present in *Pseudomonas* species. One of these, AlyA3, is required for cyst germination, probably helping the germinating cells to escape from the cyst coat by depolymerizing the alginate (H. Ertesvåg, personal communication). In contrast, AlgL is not required for cyst germination (86).

Abundant PHB granules accumulate during encystment and are a major component of the central body of the cyst. Conditions or strains favoring greater polymer accumulation also produce more mature cysts (81), although PHB synthesis is not essential for encystment (76). The PHB biosynthetic genes *phbB* (Avin23650), *phbA* (Avin23640), and *phaC* (Avin23630), the regulatory genes *phbR* (Avin23660) and *phbF* (Avin23680), and the phasin gene *phbP* (Avin23670) were previously identified (66, 76, 77). The biosynthetic genes seem to be under *CydR* control, since the PhbB and PhbA proteins are increased in a *cydR* mutant (94) and a putative *CydR* binding site is present upstream of *phbB* (66). Genome analysis revealed additional genes probably involved in PHB metabolism, including genes similar to *phbB* and *phbA* and genes encoding putative phasins, PHB synthases, PHB depolymerases, and PHB oligomer hydrolases (see Table S6 in the supplemental material).

PHB is the polyhydroxyalkanoate (PHA) usually produced by *A. vinelandii*. However, the addition of valerate, heptanoate, or nonanoate to a culture of *A. vinelandii* UWD grown in glucose allows the synthesis of a copolymer, poly-(hydroxybutyrate-cohydroxyvalerate) (PHB-co-HV) (63). In the *Pseudomonas* belonging to rRNA homology group I, an (*R*)-specific enoyl-coenzyme A hydratase, the product of the *phaJ* gene, is responsible for the channeling of β -oxidation intermediates to PHA synthesis (28). In accordance with the PHB-co-HV synthetic capacity of *A. vinelandii*, a *phaJ* gene (Avin30160) is present in its genome.

DISCUSSION

While *P. stutzeri* is the closest relative to *A. vinelandii* among fully sequenced prokaryotes, there are marked physiological and metabolic differences between these organisms. Unlike *A. vinelandii*, *P. stutzeri* can fix nitrogen only under microaerobic conditions, but in contrast to *A. vinelandii*, this organism can grow under anaerobic conditions by utilizing nitrate as a terminal electron acceptor. The classical high rates of respiration exhibited by *A. vinelandii* are apparently bestow by the provision of five terminal oxidases (Fig. 3), supported by a number of NADH- and other substrate-driven respiratory chains. Unexpectedly, the genome encodes two cytochrome *bd*-type terminal oxidases. One of these, *CydAB I*, is the well-studied low-affinity *bd* oxidase known to be involved in respiratory protection of nitrogenase (68). This terminal oxidase appears to be essential for aerotolerant nitrogen fixation in *A. vinelandii*. The second *bd*-type terminal oxidase, *CydAB II*, resembles the cyanide-tolerant *Cio* terminal oxidases, which differ in their heme complement from the canonical cytochrome *bd* (17).

The distinctive ability of *A. vinelandii* to carry out nitrogen fixation under aerobic conditions is subject to regulation by the

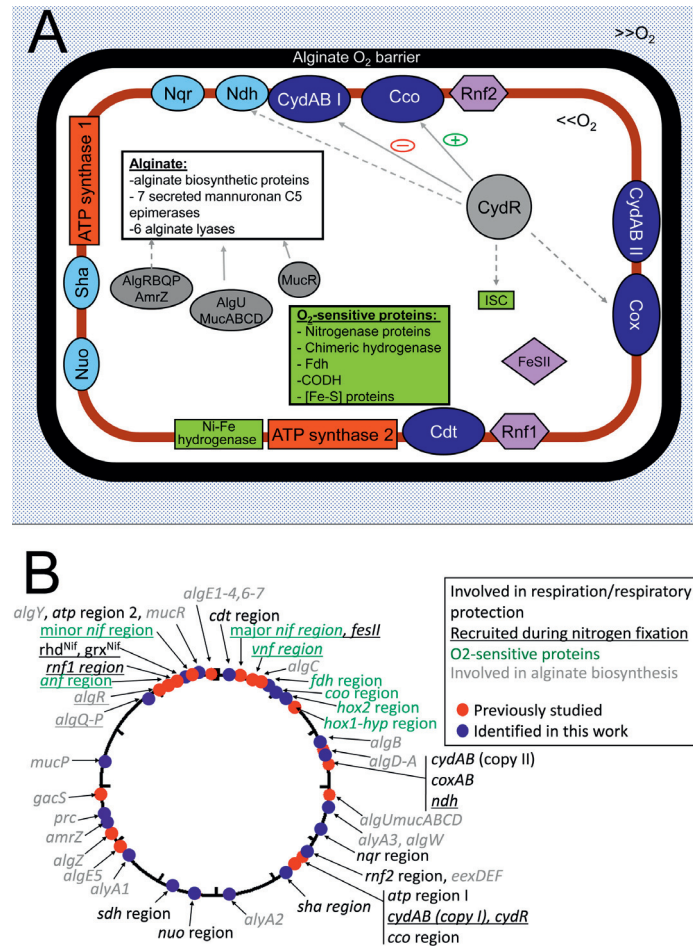


FIG. 3. Respiratory protection in *Azotobacter vinelandii*. (A) Illustration of *A. vinelandii* cell and the key proteins involved in respiratory protection. A number of alginate biosynthetic proteins are produced that direct the formation of an alginate coating around the cell, acting as a physical O₂ barrier (black lining). Respiratory proteins, including five terminal oxidases (dark blue), four NADH-ubiquinone oxidoreductases (light blue), two ATP synthases (orange), and other proteins involved in respiratory protection (lavender), provide the second line of defense against O₂. Proteins sensitive to O₂ exposure are shown in green boxes. Solid line arrows represent regulatory events for which experimental evidence exists. Dashed line arrows represent putative regulation on the basis of promoter binding regions. (B) Locations of respiratory and respiratory protection genes in the *A. vinelandii* genome. Proteins are color coded according to their role in respiration, respiratory protection, and nitrogen fixation. Genes whose protein products have been identified previously are represented by red dots. The genes identified in this work (represented by blue dots) encode the following proteins: cytochrome *o* oxidase (Avin11160 to Avin11180), Rnf2 complex (Avin19220 to Avin19270), Sdh complex (Avin29780 to Avin29810), Sha complex (Avin19530 to Avin19580), Nuo complex (Avin28440 to Avin28560), Nqr complex (Avin14590 to Avin14640), Rhd^{Nif} (Avin51050), Grx^{Nif} (Avin51060), cytochrome *c* oxidase (Avin00940 to Avin01020), chimeric soluble Ni-Fe hydrogenase (Avin04360 to Avin04410), Fdh (Avin03820), CODH (Avin04450 to Avin04490), ATP synthase 2 complex (Avin52150 to Avin52230), AlgB (Avin11120), AlgQ (Avin47550), AmrZ (Avin34410), AlgP (Avin47540), AlgW (Avin12950), MucP (Avin38920), Prc (Avin35170), AlyA1-3 (Avin13810, Avin23960, and Avin31810), AlgY (Avin49400), and the alginate lyase (Avin 46500).

oxygen-responsive transcriptional regulator CydR, which like Fnr contains a [4Fe-4S]²⁺ cluster and negatively regulates expression of the *cydAB* genes that encode the low-affinity CydAB I enzyme (93). CydR also appears to control expression of the uncoupled NADH-ubiquinol dehydrogenase (Ndh) (6), which is thought to supply electrons to CydAB I oxidase and is also essential for aerotolerant nitrogen fixation (5). Our stud-

ies suggest that CydR activates expression of Coo, which may explain why CydR is required for growth under microaerobic conditions (94). Overall, CydR may have a role analogous to that of Anr in controlling the expression of terminal oxidases in *Pseudomonas* (87), but its involvement in the regulation of PHB metabolism (95) implies a wider role in the integration of carbon source and oxygen availability (Fig. 3).

Mechanisms for respiratory protection are complemented by the barrier to oxygen diffusion into the cell provided by the alginate capsule, which appears to play a major role in protecting nitrogenase from oxygen damage, particularly under phosphate-limiting conditions (73). It is noteworthy that alginate biosynthesis is constitutive in *A. vinelandii* and that a large number of secreted mannuronan C-5 epimerases are encoded in the genome by *algE1-7*. These enzymes may be required to increase the L-guluronic acid content of the alginate when the organism is grown at high oxygen tensions (73). Some of the six alginate lyase enzymes encoded by the genome may be responsible for altering the composition of alginate in response to oxygen availability (Fig. 3). *P. stutzeri* does not possess genes for alginate biosynthesis and therefore is not able to take advantage of this mechanism for reducing oxygen diffusion into the cell.

In addition to the oxygen diffusion barrier and respiratory removal of oxygen at the cell surface, a number of other strategies may be used by *A. vinelandii* to protect oxygen-sensitive enzymes in the cytoplasm. These include maintenance of a low redox state and efficient energy metabolism (61). In the latter context, it is interesting that *A. vinelandii* contains a second operon encoding an F-type ATP synthase (Avin19670 to Avin19750) that is not closely related to the ancestral operon, with its closest relative being present in the genus *Burkholderia*. The redundancy of oxygen protection mechanisms may explain why *A. vinelandii* DJ is able to fix nitrogen under standard atmospheric conditions in spite of its inability to produce alginate.

The *A. vinelandii* genome encodes several unexpected anaerobic enzymes in addition to the three well-characterized oxygen-sensitive nitrogenases and the membrane-bound [NiFe] hydrogenase (Fig. 3). The presence of NAD⁺-independent FDH is unusual for a strict aerobe that does not possess a respiratory nitrate reductase. Even more intriguing is the presence of genes predicted to encode a Ni-dependent CODH, although a homolog of the heme-containing CO sensor, CooA, has been found previously (98). These proteins are characteristic of anaerobic CO metabolism and contrast with the molybdopterin-containing CODHs found in aerobes (71). CO oxidation by *A. vinelandii* has not been reported previously, although it may be an important detoxification process given the CO sensitivity of hydrogenase and respiratory enzymes. The electron acceptor for CO oxidation could potentially be provided by the gene cluster encoding the soluble chimeric NiFe hydrogenase (Avin04360 to Avin04410), which intriguingly is positioned in the corresponding locus to that of the membrane-bound CO-tolerant NiFe hydrogenase in *R. rubrum*. It has been suggested that this energy-conserving hydrogenase produces a proton gradient to drive ATP synthesis by coupling CO-dependent H₂ production and proton translocation in *R. rubrum* (26). Clearly, the role of CO in *A. vinelandii* metabolism requires further investigation.

The ability of *A. vinelandii* to reconcile an obligate aerobic lifestyle with the maintenance of fundamental oxygen-sensitive processes, such as nitrogen fixation, is a remarkable metabolic accomplishment that has important implications for biotechnological exploitation. *A. vinelandii* is a model organism for biochemical studies on the basis of the high yield and quality of enzymes that can be prepared from it. Moreover, powerful

genetic approaches facilitated by homologous recombination are readily available, as are a stringent system for controlled expression of proteins encoded within the genome (38) and a means to achieve high-level protein expression (19). Given the high quality of proteins purified from *A. vinelandii*, these combined genetic and biochemical tools make this an ideal organism for the production of enzymes, particularly those that are oxygen sensitive.

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This report is dedicated to the memory of Christina Kennedy (1945–2009). Christina made numerous contributions to our understanding of nitrogen fixation and *Azotobacter* biology over her nearly 4-decade-long career, culminating in the publication of its genome.

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