

Isogeometric collocation for the Reissner-Mindlin shell problem

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Abstract

We present an isogeometric collocation formulation for the Reissner-Mindlin shell problem. After recalling the necessary basics on differential geometry and the shell governing equations, we show that the standard approach of expressing the equilibrium equations in terms of the primal variables is not a suitable way for shells due to the complexity of the underlying equations. We then propose an alternative approach, based on a stepwise formulation, and show its numerical implementation within an isogeometric collocation framework. The formulation is tested successfully on a set of benchmark examples, which comprise important aspects like locking and boundary layers. These test show that locking effects can be conveniently avoided by using high polynomial degrees. An accompanying study on the computational time also confirms that high polynomial degrees are preferable in terms of computational efficiency.

Keywords: Isogeometric, Collocation, Reissner-Mindlin, Shells, NURBS

1. Introduction

2 The motivation of Isogeometric Analysis (IGA) is to bridge the gap between Computer
3 Aided Design (CAD) and Finite Element Analysis (FEA) by adopting Non-Uniform Ra-
4 tional B-Splines (NURBS), commonly used for geometry representation in CAD, as basis
5 functions for analysis [1]. Moreover, IGA has gained enormous popularity as a numerical
6 analysis method since it exhibits increased accuracy and robustness properties on a per-

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7 degree-of-freedom basis compared to standard FEA, which is attributed to the higher order
8 and continuity properties inherent in the basis functions [2–5]. A field where IGA had an
9 especially high impact is shell analysis. The smoothness of the basis functions allows for
10 efficient implementations of rotation-free Kirchhoff-Love shell models [6–14] as well as novel
11 approaches like hierarchic shells [15] and rotation-free shear deformable shells [16]. But also
12 for Reissner-Mindlin shells [17–20] and solid-shells [21–25], the continuity properties of the
13 basis functions and the exact geometry description turn out to be very advantageous in
14 comparison to standard FEA. An interesting feature of IGA in the context of shell analysis
15 is also that locking problems can easily be avoided by simply raising the polynomial degree.
16 However, this comes at the price of increased computational cost during assembly, since the
17 typically used quadrature rules, which are optimal for C^0 -continuous elements, are subop-
18 timal for elements with smooth basis functions. Different improved quadrature rules have
19 been proposed [26–29], but the development of general and efficient integration rules is still
20 an open problem in IGA.

21 The lack of efficient integration rules in IGA has recently led to the development of isoge-
22 ometric collocation (IGA-C) methods [30], where the high continuity of the basis functions
23 is exploited to solve the governing partial differential equations in strong form. In such an
24 approach, no integrals have to be evaluated and only one evaluation point per degree of
25 freedom is needed (which approximately means one point per element), independently of the
26 polynomial degree. This results in a drastically reduced computational cost compared to
27 Galerkin-based IGA, especially for high polynomial degrees. A comprehensive study of the
28 computational costs comparing standard FEA, IGA, and IGA-C can be found in [31], con-
29 sistency and convergence properties of IGA-C are discussed in [32]. Despite being very new,
30 isogeometric collocation has already been applied successfully to various problems including
31 elastostatics and explicit dynamics [33], structural mechanics of beams [34, 35], spatial rods
32 [36–39], and plates [40, 41], large deformation elasticity [42], contact [42, 43], phase-field
33 modeling [44], and fracture [45]. Clearly, the advantages of IGA-C take the most effect for
34 problems where the total computational cost is governed by the formation of the system
35 matrices, as in the case of explicit dynamics. In fact, an important target of IGA-C is the
36 application to explicit structural dynamics, like crash worthiness simulations. An important

37 step towards this goal is the development of appropriate structural formulations, in par-
 38 ticular for shells. This paper presents the first approach to derive isogeometric collocation
 39 formulations for shell analysis. In particular, we present a displacement-based formulation
 40 for the Reissner-Mindlin shell problem. We show that the standard approach in IGA-C,
 41 where the equilibrium equations are directly written in terms of the primal variables and
 42 then collocated, is not a suitable option for shells due to the complexity of the underlying
 43 equations. Instead, we propose a stepwise formulation, which drastically decreases the com-
 44 plexity of the equations to be implemented as well as the computational effort. We present
 45 the details of the formulation and its implementation, and demonstrate its performance on
 46 a series of numerical benchmark examples.

47 The paper is outlined as follows. In Section 1, we present the basics of differential
 48 geometry of surfaces, which are necessary to formulate the shell problem. Section 2 presents
 49 the governing equations of the Reissner-Mindlin shell. In Section 3, we present a stepwise
 50 formulation of the problem and its implementation in an IGA-C approach. In Section 4, we
 51 test the formulation on a set of benchmark examples, and in Section 5, we draw conclusions.

52 **2. Differential geometry of surfaces**

53 In this section, we briefly review the basics of differential geometry, restricting ourselves
 54 to what is needed in the following. We use index notation with Greek indices taking on values
 55 $\{1, 2\}$ and Latin indices taking on values $\{1, 2, 3\}$, and summation over repeated indices is
 56 assumed. Subscript indices indicate covariant quantities, while superscript indices refer to
 57 contravariant quantities.

A shell is represented by its midsurface and a thickness h , which we assume constant over
 the whole shell. A point on the shell midsurface is indicated by $\mathbf{r} = \mathbf{r}(\theta^1, \theta^2)$ with (θ^1, θ^2)
 as the natural curvilinear surface coordinates of the midsurface. Furthermore, we have the
 thickness coordinate (θ^3) in the direction orthogonal to the midsurface. Partial derivatives
 with respect to these natural coordinates are indicated by comma $(\cdot)_{,i} = \partial(\cdot)/\partial\theta^i$. At each
 point of the midsurface, a covariant basis is formed by the tangent vectors

$$\mathbf{a}_\alpha = \mathbf{r}_{,\alpha}, \tag{1}$$

and the unit normal vector

$$\mathbf{a}_3 = \frac{\mathbf{a}_1 \times \mathbf{a}_2}{|\mathbf{a}_1 \times \mathbf{a}_2|}. \quad (2)$$

Contravariant base vectors are defined by

$$\mathbf{a}^i \cdot \mathbf{a}_j = \delta_j^i, \quad (3)$$

where δ_j^i is the Kronecker delta. Covariant metric coefficients are obtained by the first fundamental form of surfaces

$$a_{\alpha\beta} = \mathbf{a}_\alpha \cdot \mathbf{a}_\beta, \quad (4)$$

and contravariant metric coefficients are obtained analogously by

$$a^{\alpha\beta} = \mathbf{a}^\alpha \cdot \mathbf{a}^\beta, \quad (5)$$

with

$$a_{\alpha\lambda} a^{\lambda\beta} = \delta_\alpha^\beta. \quad (6)$$

Co- and contravariant metric coefficients can be conveniently used to switch between co- and contravariant base vectors

$$\mathbf{a}^\alpha = a^{\alpha\beta} \mathbf{a}_\beta, \quad (7)$$

$$\mathbf{a}_\alpha = a_{\alpha\beta} \mathbf{a}^\beta. \quad (8)$$

Furthermore, we note that $\mathbf{a}^3 = \mathbf{a}_3$. The second fundamental form of surfaces provides the covariant curvature coefficients

$$b_{\alpha\beta} = \mathbf{a}_{\alpha,\beta} \cdot \mathbf{a}_3. \quad (9)$$

Mixed and contravariant curvature coefficients, which will be also needed, can be obtained via the index raising property of the contravariant metric coefficients

$$b_\beta^\alpha = a^{\alpha\lambda} b_{\lambda\beta}, \quad (10)$$

$$b^{\alpha\beta} = a^{\alpha\lambda} a^{\beta\mu} b_{\lambda\mu}. \quad (11)$$

It should be noted that $b_{\alpha\beta}$ do not provide an objective measure for the curvature but depend on the parametrization. The physical curvatures in directions of $\mathbf{a}_1, \mathbf{a}_2$ are given by

$$\frac{1}{R_1} = \frac{b_{11}}{a_{11}}, \quad \frac{1}{R_2} = \frac{b_{22}}{a_{22}}, \quad (12)$$

with R_1, R_2 being the curvature radii. At each point, there exist two directions for which the curvatures have extreme values $1/R_{max}, 1/R_{min}$. The Gaussian curvature is defined as

$$K = \frac{1}{R_{min}} \frac{1}{R_{max}} = \frac{|b_{\alpha\beta}|}{|a_{\alpha\beta}|}, \quad (13)$$

58 with $|(\cdot)|$ indicating the determinant. The Gaussian curvature is a surface invariant and
 59 can be used to classify surfaces pointwise into the categories *elliptic* ($K > 0$), *parabolic*
 60 ($K = 0$), and *hyperbolic* ($K < 0$). For many important shell geometries, this condition
 61 ($K > 0, K = 0, K < 0$) is constant for the entire surface, and the categories *elliptic, parabolic,*
 62 and *hyperbolic* are then also used as global attributes. This classification plays an important
 63 role in shell analysis since the structural behavior is very different for the different categories,
 64 especially if the shell thickness is small [46, 47].

For computing covariant derivatives, we need to introduce the Christoffel symbols, which are defined as

$$\Gamma_{\alpha\beta}^{\lambda} = \mathbf{a}_{\alpha,\beta} \cdot \mathbf{a}^{\lambda}. \quad (14)$$

Covariant derivatives are indicated by $(\cdot)|_{\alpha}$ and are defined for vectors (i.e., first order tensors) by

$$A_{\alpha}|_{\beta} = A_{\alpha,\beta} - A_{\lambda}\Gamma_{\alpha\beta}^{\lambda}, \quad (15)$$

$$A^{\alpha}|_{\beta} = A^{\alpha}_{,\beta} + A^{\lambda}\Gamma_{\lambda\beta}^{\alpha}, \quad (16)$$

for second order tensors by

$$A_{\alpha\beta}|_{\gamma} = A_{\alpha\beta,\gamma} - A_{\lambda\beta}\Gamma_{\alpha\gamma}^{\lambda} - A_{\alpha\lambda}\Gamma_{\beta\gamma}^{\lambda}, \quad (17)$$

$$A^{\alpha}_{\beta}|_{\gamma} = A^{\alpha}_{\beta,\gamma} + A^{\lambda}_{\beta}\Gamma_{\gamma\lambda}^{\alpha} - A^{\alpha}_{\lambda}\Gamma_{\beta\gamma}^{\lambda}, \quad (18)$$

$$A^{\alpha\beta}|_{\gamma} = A^{\alpha\beta}_{,\gamma} + A^{\lambda\beta}\Gamma_{\gamma\lambda}^{\alpha} + A^{\alpha\lambda}\Gamma_{\gamma\lambda}^{\beta}, \quad (19)$$

while for scalars they are identical to the parametric derivative

$$A|_{\alpha} = A_{,\alpha}. \quad (20)$$

Using the fact that the covariant derivatives of metric coefficients vanish, $a_{\alpha\beta}|_{\gamma} = a^{\alpha\beta}|_{\gamma} = 0$, we can compute the covariant derivatives of contravariant or mixed components alternatively as

$$A^{\alpha}|_{\gamma} = a^{\alpha\lambda}A_{\lambda}|_{\gamma}, \quad (21)$$

$$A^{\alpha}_{\beta}|_{\gamma} = a^{\alpha\lambda}A_{\lambda\beta}|_{\gamma}, \quad (22)$$

$$A^{\alpha\beta}|_{\gamma} = a^{\alpha\lambda}a^{\beta\mu}A_{\lambda\mu}|_{\gamma}, \quad (23)$$

which can be very useful if the covariant derivatives of the covariant components ($A_{\alpha}|_{\beta}$, $A_{\alpha\beta}|_{\gamma}$) have already been computed. The second covariant derivative of a vector can be computed with the rule for the first covariant derivative of a tensor (17)

$$\begin{aligned} A_{\alpha}|_{\beta\gamma} &= (A_{\alpha}|_{\beta})|_{\gamma} \\ &= (A_{\alpha}|_{\beta})_{,\gamma} - A_{\lambda}|_{\beta}\Gamma_{\alpha\gamma}^{\lambda} - A_{\alpha}|_{\lambda}\Gamma_{\beta\gamma}^{\lambda} \\ &= A_{\alpha,\beta\gamma} - A_{\lambda,\gamma}\Gamma_{\alpha\beta}^{\lambda} - A_{\lambda}\Gamma_{\alpha\beta,\gamma}^{\lambda} - A_{\lambda}|_{\beta}\Gamma_{\alpha\gamma}^{\lambda} - A_{\alpha}|_{\lambda}\Gamma_{\beta\gamma}^{\lambda}, \end{aligned} \quad (24)$$

while the second covariant derivative of a scalar can be computed like the first covariant derivative of a vector (15)

$$A|_{\alpha\beta} = (A|_{\alpha})|_{\beta} = (A_{,\alpha})|_{\beta} = A_{,\alpha\beta} - A_{,\lambda}\Gamma_{\alpha\beta}^{\lambda}. \quad (25)$$

The parametric derivative of the Christoffel symbol $\Gamma_{\alpha\beta,\gamma}^{\lambda}$, which appears in (24), can be developed from (14) as

$$\Gamma_{\alpha\beta,\gamma}^{\lambda} = \mathbf{a}_{\alpha,\beta\gamma} \cdot \mathbf{a}^{\lambda} + \mathbf{a}_{\alpha,\beta} \cdot \mathbf{a}_{,\gamma}^{\lambda}, \quad (26)$$

with

$$\mathbf{a}_{,\beta}^{\alpha} = -\Gamma_{\lambda\beta}^{\alpha}\mathbf{a}^{\lambda} + b_{\beta}^{\alpha}\mathbf{a}_3. \quad (27)$$

Furthermore, we will need covariant derivatives of the curvature coefficients $b_{\alpha\beta}|_{\gamma}$ and $b_{\beta}^{\alpha}|_{\gamma}$, which can be obtained by applying (17) and (22)

$$b_{\alpha\beta}|_{\gamma} = b_{\alpha\beta,\gamma} - b_{\lambda\beta}\Gamma_{\alpha\gamma}^{\lambda} - b_{\alpha\lambda}\Gamma_{\beta\gamma}^{\lambda} \quad (28)$$

with

$$b_{\alpha\beta,\gamma} = \mathbf{a}_{\alpha,\beta\gamma} \cdot \mathbf{a}_3 + \mathbf{a}_{\alpha,\beta} \cdot \mathbf{a}_{3,\gamma}, \quad (29)$$

$$\mathbf{a}_{3,\alpha} = -b_\alpha^\lambda \mathbf{a}_\lambda, \quad (30)$$

and, finally,

$$b_\beta^\alpha|_\gamma = a^{\alpha\lambda} b_{\lambda\beta}|_\gamma. \quad (31)$$

65 3. Shell governing equations

66 For describing the shell equations, we closely follow the work of Bařar and Krätzig [48, 49]
 67 and adopt the notation therein. The deformation of a shell is described by a displacement
 68 vector \mathbf{v} of the midsurface and a difference vector $\mathbf{w} = \bar{\mathbf{a}}_3 - \mathbf{a}_3$, where $\bar{\mathbf{a}}_3$ refers to the
 69 deformed configuration. The displacement vector is represented by two in-plane components
 70 and an out-of-plane component, $\mathbf{v} = v_\alpha \mathbf{a}^\alpha + v_3 \mathbf{a}^3$, while the difference vector is assumed to
 71 be tangential ($\mathbf{w} \cdot \mathbf{a}_3 = 0$) and, thus, is represented by in-plane components only, $\mathbf{w} = w_\alpha \mathbf{a}^\alpha$.

The strain state of the shell is described by the membrane or stretching strain tensor $\alpha_{\alpha\beta}$, the bending strain tensor $\beta_{\alpha\beta}$, and the shear strain vector γ_α , which are defined as

$$\alpha_{\alpha\beta} = \frac{1}{2} (v_\alpha|_\beta + v_\beta|_\alpha - 2b_{\alpha\beta}v_3), \quad (32)$$

$$\beta_{\alpha\beta} = \frac{1}{2} (w_\alpha|_\beta + w_\beta|_\alpha - b_\alpha^\lambda v_\lambda|_\beta - b_\beta^\lambda v_\lambda|_\alpha + 2b_\alpha^\lambda b_{\lambda\beta}v_3), \quad (33)$$

$$\gamma_\alpha = w_\alpha + v_{3,\alpha} + b_\alpha^\lambda v_\lambda. \quad (34)$$

Stresses are represented by the membrane force tensor $n^{\alpha\beta}$, the moment tensor $m^{\alpha\beta}$ and the shear force vector q^α . As described in [48, 49], $n^{\alpha\beta}$ is not symmetric in general, and the symmetric pseudo force tensor $\tilde{n}^{\alpha\beta}$ is introduced

$$\tilde{n}^{\alpha\beta} = n^{\alpha\beta} + b_\lambda^\beta m^{\alpha\lambda}. \quad (35)$$

Assuming linear elastic material, the stress tensors are obtained from the strain tensors as

$$\tilde{n}^{\alpha\beta} = h H^{\alpha\beta\lambda\mu} \alpha_{\lambda\mu}, \quad (36)$$

$$m^{\alpha\beta} = \frac{h^3}{12} H^{\alpha\beta\lambda\mu} \beta_{\lambda\mu}, \quad (37)$$

$$q^\alpha = Gh a^{\alpha\lambda} \gamma_\lambda, \quad (38)$$

where h is the shell thickness, $G = \frac{E}{2(1+\nu)}$ is the shear modulus, E, ν are the Young's modulus and Poisson's ratio, respectively, and $H^{\alpha\beta\lambda\mu}$ is the elastic material tensor defined as

$$H^{\alpha\beta\lambda\mu} = \frac{E}{2(1+\nu)} \left(a^{\alpha\lambda} a^{\beta\mu} + a^{\alpha\mu} a^{\beta\lambda} + \frac{2\nu}{1-\nu} a^{\alpha\beta} a^{\lambda\mu} \right). \quad (39)$$

Considering a shell subjected to distributed loads p^α , p^3 and distributed moments c^α , the equilibrium equations read as

$$\tilde{n}^{\alpha\lambda}|_\lambda - b_\mu^\alpha|_\lambda m^{\lambda\mu} - b_\mu^\alpha m^{\lambda\mu}|_\lambda - b_\lambda^\alpha q^\lambda + p^\alpha = 0, \quad (40)$$

$$b_{\lambda\mu} \tilde{n}^{\lambda\mu} - b_\rho^\mu b_{\lambda\mu} m^{\lambda\rho} + q^\lambda|_\lambda + p^3 = 0, \quad (41)$$

$$m^{\alpha\lambda}|_\lambda - q^\alpha + c^\alpha = 0. \quad (42)$$

Eq. (40) represents two equations (corresponding to the free index α) for the equilibrium of in-plane forces, (41) represents the equilibrium of transversal forces, while (42) are the two equations (free index α) of rotational equilibrium. So, we have five equilibrium equations for the five unknowns v_1, v_2, v_3, w_1, w_2 .

At the boundary, we consider a triad of unit vectors $(\mathbf{u}, \mathbf{t}, \mathbf{a}_3)$, where \mathbf{u} is the outward normal vector and \mathbf{t} is the tangent vector. The boundary equilibrium equations can then be written as

$$n^{\alpha\beta} u_\alpha u_\beta = (\tilde{n}^{\alpha\beta} - b_\lambda^\beta m^{\alpha\lambda}) u_\alpha u_\beta = \bar{n}_u \quad (43)$$

$$n^{\alpha\beta} u_\alpha t_\beta = (\tilde{n}^{\alpha\beta} - b_\lambda^\beta m^{\alpha\lambda}) u_\alpha t_\beta = \bar{n}_t \quad (44)$$

$$q^\alpha u_\alpha = \bar{n}_3 \quad (45)$$

$$m^{\alpha\beta} u_\alpha u_\beta = \bar{m}_u \quad (46)$$

$$m^{\alpha\beta} u_\alpha t_\beta = \bar{m}_t \quad (47)$$

with $\bar{n}_u, \bar{n}_t, \bar{n}_3, \bar{m}_u, \bar{m}_t$ as the prescribed boundary values. We note that the boundary equilibrium needs to be satisfied for the real forces $n^{\alpha\beta}$, which, however, can be expressed in terms of the pseudo stresses $\tilde{n}^{\alpha\beta}$ using (35).

79 4. Displacement-based formulation and isogeometric collocation method

80 The general approach for a displacement-based collocation method is to express the equi-
81 librium equations in terms of the primal variables, which can be obtained by substituting
82 the kinematic and constitutive equations into the equilibrium equations. However, such an
83 approach is not practical for shells due to the complexity of the underlying equations. For
84 some specific classes of shells, as for example cylindrical shells, the governing equations can
85 be simplified and closed form equations can be found in the literature, but to the best of
86 our knowledge, such closed forms are not available for the general shell problem. In an
87 attempt to derive these equations one finds that the expanded terms become extremely
88 long, complex, and nearly impossible to track manually. We have also used the computer
89 algebra system Mathematica [50] with a specific tensor operation package [51] for deriving
90 these expanded equations symbolically. The resulting equations span several pages and are
91 not suited for being reported here. In Appendix A, we report exemplarily a part of these
92 equations which makes clear that this approach is not a suitable basis for implementation.
93 Besides the difficulty to implement such a formulation, it is computationally very inefficient.

94 Therefore, we propose an alternative approach, where the kinematic, constitutive, and
95 equilibrium equations are evaluated in sequential order, computing intermediate variables
96 which are then used for the subsequent equations. We refer to this approach as *stepwise*
97 *formulation* and use it as the basis for the numerical method. This means that after dis-
98 cretizing the primal variables, we compute discretized versions of the intermediate variables
99 and use them to collocate the equilibrium equations, as will be shown in detail in Section
100 4.2.

101 4.1. Stepwise formulation of the problem

102 The stepwise formulation of the problem is basically given by the kinematic, constitutive,
103 and equilibrium equations. However, in order to have the equations in a form which can be
104 implemented, we need to explicitly write all the terms stemming from covariant derivatives.
105 We will not explicitly write all the index summation terms, since these summations can be
106 easily performed in the computer program. In particular, we need to compute first and
107 second covariant derivatives of the primal variables, and first covariant derivatives of the

108 strain and stress variables.

We start with the first and second derivatives for the displacement variables according to Eqs. (15), (20), (24), (25)

$$v_\alpha|_\beta = v_{\alpha,\beta} - v_\lambda \Gamma_{\alpha\beta}^\lambda, \quad (48)$$

$$v_3|_\beta = v_{3,\beta}, \quad (49)$$

$$v_\alpha|_{\beta\gamma} = v_{\alpha,\beta\gamma} - v_{\lambda,\gamma} \Gamma_{\alpha\beta}^\lambda - v_\lambda \Gamma_{\alpha\beta,\gamma}^\lambda - v_\lambda|_\beta \Gamma_{\alpha\gamma}^\lambda - v_\alpha|_\lambda \Gamma_{\beta\gamma}^\lambda, \quad (50)$$

$$v_3|_{\alpha\beta} = v_{3,\alpha\beta} - v_{3,\lambda} \Gamma_{\alpha\beta}^\lambda. \quad (51)$$

109 Obviously, the formulas for $v_\alpha|_\beta, v_\alpha|_{\beta\gamma}$ hold equally for $w_\alpha|_\beta, w_\alpha|_{\beta\gamma}$ and are therefore not
110 repeated here. It should also be noted that for v_3 the derivation rules for scalars hold.

With (48)-(51) at hand, we can derive the covariant derivatives of the strain variables (32)-(34)

$$\alpha_{\alpha\beta}|_\gamma = \frac{1}{2} (v_\alpha|_{\beta\gamma} + v_\beta|_{\alpha\gamma} - 2b_{\alpha\beta}|_\gamma v_3 - 2b_{\alpha\beta} v_3|_\gamma), \quad (52)$$

$$\begin{aligned} \beta_{\alpha\beta}|_\gamma = & \frac{1}{2} (w_\alpha|_{\beta\gamma} + w_\beta|_{\alpha\gamma} - b_\alpha^\lambda|_\gamma v_\lambda|_\beta - b_\alpha^\lambda v_\lambda|_{\beta\gamma} - b_\beta^\lambda|_\gamma v_\lambda|_\alpha - b_\beta^\lambda v_\lambda|_{\alpha\gamma} \\ & + 2b_\alpha^\lambda|_\gamma b_{\lambda\beta} v_3 + 2b_\alpha^\lambda b_{\lambda\beta}|_\gamma v_3 + 2b_\alpha^\lambda b_{\lambda\beta} v_3|_\gamma), \end{aligned} \quad (53)$$

$$\gamma_\alpha|_\beta = w_\alpha|_\beta + v_3|_{\alpha\beta} + b_\alpha^\lambda|_\beta v_\lambda + b_\alpha^\lambda v_\lambda|_\beta. \quad (54)$$

Note that in (52)-(54), covariant derivatives of the curvature coefficients appear, $b_{\alpha\beta}|_\gamma, b_\beta^\alpha|_\gamma$, which are computed according to (28)-(31). Using the fact that covariant derivatives of the material tensor vanish, $H^{\alpha\beta\gamma\delta}|_\epsilon = 0$, the covariant derivatives of the stress resultants are simply obtained from (36)-(38) as

$$\tilde{n}^{\alpha\beta}|_\gamma = h H^{\alpha\beta\lambda\mu} \alpha_{\lambda\mu}|_\gamma, \quad (55)$$

$$m^{\alpha\beta}|_\gamma = \frac{h^3}{12} H^{\alpha\beta\lambda\mu} \beta_{\lambda\mu}|_\gamma, \quad (56)$$

$$q^\alpha|_\beta = G h a^{\alpha\lambda} \gamma_\lambda|_\beta. \quad (57)$$

111 With (55)-(57) and the formulas for the curvatures and their covariant derivatives, we have
112 all terms we need in order to collocate the equilibrium equations (40)-(42). In the same
113 manner, we can compute all terms in order to collocate the boundary equilibrium equations
114 (43)-(47).

115 *4.2. Isogeometric discretization and collocation*

116 In this section we present the isogeometric collocation method following the stepwise
 117 formulation presented above, providing also some implementation details. The basics of
 118 B-splines, NURBS, and their use in isogeometric methods have been presented in many
 119 papers, so we do not repeat them here but refer to [52–54] for an introduction to B-splines
 120 and NURBS, and to [1, 55] for the basics of isogeometric analysis. In the following, we briefly
 121 review the concept of isogeometric collocation, for more details and a general introduction
 122 and overview to the topic, we refer to [30, 56]. The general idea of isogeometric collocation
 123 is to discretize the geometry and the solution variables by NURBS (or other isogeometric
 124 basis functions) and to collocate the discretized strong form equations at a set of suitable
 125 points, such that a square system of equations is obtained. Several sets of collocation points
 126 have been proposed so far, as for example the Greville abscissae [30], the Demko abscissae
 127 [30], Cauchy-Galerkin points [57] and their estimates, i.e., superconvergent points [57–59],
 128 and the search for optimal collocation points is still an active field of research [59]. In this
 129 work, we adopt the Greville abscissae as collocation points, which up to date is the standard
 130 approach.

131 In the following, we use capital Latin indices for indices whose range is governed by the
 132 discretization, as for example, $I = 1 \dots N_{cp}$, $J = 1 \dots N_{dof}$, with N_{cp} as the number of control
 133 points (or shape functions) and N_{dof} as the number of degrees of freedom. The summation
 134 convention is also applied to these indices. Assuming a knot vector $\{\xi_1, \dots, \xi_{N_{cp}+p+1}\}$, with p
 135 being the polynomial degree, the Greville abscissae are defined by

$$\bar{\xi}_I = \frac{\xi_{I+1} + \xi_{I+2} + \dots + \xi_{I+p}}{p}, \quad \text{for } I = 1, \dots, N_{cp}. \quad (58)$$

136 For bivariate B-splines and NURBS, the Greville abscissae are simply obtained by the tensor
 137 product of (58) in two parametric directions.

An important advantage of the isogeometric concept especially for shell analysis is that
 for a wide range of typical shell geometries we obtain an exact geometric description, which
 means that all the quantities from Section 2 can be computed exactly and in a straightforward
 way. The NURBS description of the geometry is given by

$$\mathbf{r} = N_I(\xi^1, \xi^2) \hat{\mathbf{x}}_I \quad (59)$$

where $N_I(\xi^1, \xi^2)$ are the bivariate NURBS functions and $\hat{\mathbf{x}}_I$ are the control point coordinates. Note that we use single index numbering $I = 1 \dots N_{cp}$, with $N_{cp} = N_{cp1} \times N_{cp2}$ as the total number of control points, and N_{cp1}, N_{cp2} as the numbers of control points in the two parametric directions. The NURBS parametrization naturally provides a curvilinear coordinate system which can be interpreted as the natural shell coordinate system, $(\xi^1, \xi^2) = (\theta^1, \theta^2)$. Accordingly, tangent base vectors are obtained as

$$\mathbf{a}_\alpha = N_{I,\alpha}(\xi^1, \xi^2) \hat{\mathbf{x}}_I \quad (60)$$

where $N_{I,\alpha}(\xi^1, \xi^2) = \partial N_I(\xi^1, \xi^2) / \partial \xi^\alpha$ are simply the natural NURBS derivatives. For the ease of notation, the dependency on (ξ^1, ξ^2) will be skipped in the following. The derivatives of the tangent base vectors are then obtained as

$$\mathbf{a}_{\alpha,\beta} = N_{I,\alpha\beta} \hat{\mathbf{x}}_I \quad (61)$$

$$\mathbf{a}_{\alpha,\beta\gamma} = N_{I,\alpha\beta\gamma} \hat{\mathbf{x}}_I \quad (62)$$

138 Since third derivatives appear in (62), cubic or higher NURBS need to be used. Having
 139 computed (60)-(62), all other geometric quantities can be computed according to equations
 140 (2)-(31) in a straightforward manner. It should be noted that the NURBS parametriza-
 141 tion does not coincide, in general, with classical parametrizations using, e.g., cylindrical or
 142 spherical coordinates. A consequence of this is that, e.g., in a NURBS representation of a
 143 cylinder, the metric and curvature coefficients $a_{\alpha\beta}, b_{\alpha\beta}$ are not constant and the Christoffel
 144 symbols $\Gamma_{\alpha\beta}^\gamma$ do not vanish, as it would be expected with cylindrical coordinates.

Following the isoparametric concept, we use NURBS also to approximate the unknown variables. For a compact notation, it is useful to consider also generalized displacements $(u_1, u_2, u_3, u_4, u_5) = (v_1, v_2, v_3, w_1, w_2)$. Let us denote by $\hat{u}_J = \hat{u}_K^I$ ($J = 1 \dots N_{dof}$, $I = 1 \dots N_{cp}$, $K = 1 \dots 5$) the J th global degree of freedom, corresponding to the K -th degree of freedom at control point I . The displacement variables are then approximated as follows (with a slight abuse of notation we use the same symbols for the approximated variables as for the exact ones)

$$v_\alpha = N_I \delta_\alpha^K \hat{u}_K^I = N_I \delta_\alpha^K \hat{u}_J, \quad (63)$$

$$v_3 = N_I \delta_3^K \hat{u}_K^I = N_I \delta_3^K \hat{u}_J, \quad (64)$$

$$w_\alpha = N_I \delta_\alpha^{(K-3)} \hat{u}_K^I = N_I \delta_\alpha^{(K-3)} \hat{u}_J. \quad (65)$$

For the sake of implementation, it is also useful to report Eqs. (63)-(65) in matrix form. Assuming the standard ordering of global degrees of freedom by control points, $\hat{\mathbf{u}} = (\hat{u}_1^1 \hat{u}_2^1 \hat{u}_3^1 \hat{u}_4^1 \hat{u}_5^1 \hat{u}_1^2 \hat{u}_2^2 \hat{u}_3^2 \hat{u}_4^2 \hat{u}_5^2 \dots)^T$, the matrix form is given by

$$v_\alpha = \begin{pmatrix} N_1 & 0 & 0 & 0 & 0 & N_2 & 0 & 0 & 0 & 0 & \dots \\ 0 & N_1 & 0 & 0 & 0 & 0 & N_2 & 0 & 0 & 0 & \dots \end{pmatrix} \hat{\mathbf{u}}, \quad (66)$$

$$v_3 = \begin{pmatrix} 0 & 0 & N_1 & 0 & 0 & 0 & 0 & N_2 & 0 & 0 & \dots \end{pmatrix} \hat{\mathbf{u}}, \quad (67)$$

$$w_\alpha = \begin{pmatrix} 0 & 0 & 0 & N_1 & 0 & 0 & 0 & 0 & N_2 & 0 & \dots \\ 0 & 0 & 0 & 0 & N_1 & 0 & 0 & 0 & 0 & N_2 & \dots \end{pmatrix} \hat{\mathbf{u}}. \quad (68)$$

An interesting alternative is to order the global degrees of freedom by variable, $\hat{\mathbf{u}} = (\hat{u}_1^1 \hat{u}_1^2 \dots \hat{u}_2^1 \hat{u}_2^2 \dots \hat{u}_3^1 \hat{u}_3^2 \dots \hat{u}_4^1 \hat{u}_4^2 \dots \hat{u}_5^1 \hat{u}_5^2 \dots)^T$. In this case, the matrices can be constructed conveniently as

$$v_\alpha = \begin{pmatrix} \mathbf{N} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{N} & \mathbf{0} & \mathbf{0} & \mathbf{0} \end{pmatrix} \hat{\mathbf{u}}, \quad (69)$$

$$v_3 = \begin{pmatrix} \mathbf{0} & \mathbf{0} & \mathbf{N} & \mathbf{0} & \mathbf{0} \end{pmatrix} \hat{\mathbf{u}}, \quad (70)$$

$$w_\alpha = \begin{pmatrix} \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{N} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{N} \end{pmatrix} \hat{\mathbf{u}}, \quad (71)$$

145 with the row vectors $\mathbf{N} = (N_1 \ N_2 \ \dots)$ and $\mathbf{0} = (0 \ 0 \ \dots)$, which both are of length N_{cp} .
 146 We note that both (66)-(68) and (69)-(71) are suitable for implementation and both can be
 147 obtained from the general form (63)-(65) through the specific relation between the indices
 148 I, J, K . For (66)-(68), this relation is given as $J = (I - 1)5 + K$, while for (69)-(71), it is
 149 $J = (K - 1)N_{cp} + I$.

In the following, we will introduce so-called *discretized variables* corresponding to the variables presented in the previous section, which can be directly used for implementation. These *discretized variables* are multidimensional arrays which can be presented in compact form using the indices I, J, K . We begin with the *discretized displacement variables* defined as

$$v(J, \alpha) = N_I \delta_\alpha^K, \quad (72)$$

$$v3(J) = N_I \delta_3^K, \quad (73)$$

$$w(J, \alpha) = N_I \delta_\alpha^{(K-3)}. \quad (74)$$

Clearly, $v(J, \alpha), v3(J), w(J, \alpha)$ simply represent the shape function matrices in (66)-(71). However, the interpretation as *discretized variables* is useful for the stepwise formulation, since it allows us to introduce such discretized versions also for the derivatives and for the strain and stress variables, which can no longer be represented as matrices. The discretized partial derivatives of the displacements are obtained as

$$dv(J, \alpha, \beta) = N_{I,\beta} \delta_\alpha^K, \quad (75)$$

$$dv3(J, \alpha) = N_{I,\alpha} \delta_3^K, \quad (76)$$

$$dw(J, \alpha, \beta) = N_{I,\beta} \delta_\alpha^{(K-3)}. \quad (77)$$

Similarly, we obtain the discretized second partial derivatives as

$$d2v(J, \alpha, \beta, \gamma) = N_{I,\beta\gamma} \delta_\alpha^K, \quad (78)$$

$$d2v3(J, \alpha, \beta) = N_{I,\alpha\beta} \delta_3^K, \quad (79)$$

$$d2w(J, \alpha, \beta, \gamma) = N_{I,\beta\gamma} \delta_\alpha^{(K-3)}. \quad (80)$$

Now we can compute discretized covariant derivatives of the displacements, indicated by a preceding D

$$Dv(J, \alpha, \beta) = dv(J, \alpha, \beta) - v(J, \lambda) \Gamma_{\alpha\beta}^\lambda, \quad (81)$$

$$Dv3(J, \alpha) = dv3(J, \alpha), \quad (82)$$

$$Dw(J, \alpha, \beta) = dw(J, \alpha, \beta) - w(J, \lambda) \Gamma_{\alpha\beta}^\lambda, \quad (83)$$

as well as their second covariant derivatives

$$\begin{aligned} D2v(J, \alpha, \beta, \gamma) &= d2v(J, \alpha, \beta, \gamma) - dv(J, \lambda, \gamma)\Gamma_{\alpha\beta}^\lambda - v(J, \lambda)\Gamma_{\alpha\beta, \gamma}^\lambda \\ &\quad - Dv(J, \lambda, \beta)\Gamma_{\alpha\gamma}^\lambda - Dv(J, \alpha, \lambda)\Gamma_{\beta\gamma}^\lambda, \end{aligned} \quad (84)$$

$$D2v3(J, \alpha, \beta) = d2v3(J, \alpha, \beta) - dv3(J, \lambda)\Gamma_{\alpha\beta}^\lambda, \quad (85)$$

$$\begin{aligned} D2w(J, \alpha, \beta, \gamma) &= d2w(J, \alpha, \beta, \gamma) - dw(J, \lambda, \gamma)\Gamma_{\alpha\beta}^\lambda - w(J, \lambda)\Gamma_{\alpha\beta, \gamma}^\lambda \\ &\quad - Dw(J, \lambda, \beta)\Gamma_{\alpha\gamma}^\lambda - Dw(J, \alpha, \lambda)\Gamma_{\beta\gamma}^\lambda. \end{aligned} \quad (86)$$

With the discretized covariant derivatives, we can compute discretized strain variables (32)-(34)

$$\text{alpha}(J, \alpha, \beta) = \frac{1}{2} (Dv(J, \alpha, \beta) + Dv(J, \beta, \alpha) - 2b_{\alpha\beta}v3(J)), \quad (87)$$

$$\begin{aligned} \text{beta}(J, \alpha, \beta) &= \frac{1}{2} (Dw(J, \alpha, \beta) + Dw(J, \beta, \alpha) \\ &\quad - b_\alpha^\lambda Dv(J, \lambda, \beta) - b_\beta^\lambda Dv(J, \lambda, \alpha) + 2b_\alpha^\lambda b_{\lambda\beta}v3(J)), \end{aligned} \quad (88)$$

$$\text{gamma}(J, \alpha) = w(J, \alpha) + dv3(J, \alpha) - b_\alpha^\lambda v(J, \lambda), \quad (89)$$

and their covariant derivatives (52)-(54)

$$D\text{alpha}(J, \alpha, \beta, \gamma) = \frac{1}{2} (D2v(J, \alpha, \beta, \gamma) + D2v(J, \beta, \alpha, \gamma) - 2b_{\alpha\beta}|_\gamma v3(J) - 2b_{\alpha\beta}Dv3(J, \gamma)), \quad (90)$$

$$\begin{aligned} D\text{beta}(J, \alpha, \beta, \gamma) &= \frac{1}{2} (D2w(J, \alpha, \beta, \gamma) + D2w(J, \beta, \alpha, \gamma) - b_\alpha^\lambda|_\gamma Dv(J, \lambda, \beta) \\ &\quad - b_\alpha^\lambda D2v(J, \lambda, \beta, \gamma) - b_\beta^\lambda|_\gamma Dv(J, \lambda, \alpha) - b_\beta^\lambda D2v(J, \lambda, \alpha, \gamma) \\ &\quad + 2b_\alpha^\lambda|_\gamma b_{\lambda\beta}v3(J) + 2b_\alpha^\lambda b_{\lambda\beta}|_\gamma v3(J) + 2b_\alpha^\lambda b_{\lambda\beta}Dv3(J, \gamma)), \end{aligned} \quad (91)$$

$$D\text{gamma}(J, \alpha, \beta) = Dw(J, \alpha, \beta) + D2v3(J, \alpha, \beta) + b_\alpha^\lambda|_\beta v(J, \lambda) + b_\alpha^\lambda Dv(J, \lambda, \beta). \quad (92)$$

Subsequently, we compute discretized stress resultants (36)-(38)

$$\text{nt}(J, \alpha, \beta) = h H^{\alpha\beta\lambda\mu} \text{alpha}(J, \lambda, \mu), \quad (93)$$

$$\text{m}(J, \alpha, \beta) = \frac{h^3}{12} H^{\alpha\beta\lambda\mu} \text{beta}(J, \lambda, \mu), \quad (94)$$

$$\text{q}(J, \alpha) = Gha^{\alpha\lambda} \text{gamma}(J, \lambda), \quad (95)$$

and their covariant derivatives (55)-(57)

$$\text{Dnt}(J, \alpha, \beta, \gamma) = h H^{\alpha\beta\lambda\mu} \text{Dalpha}(J, \lambda, \mu, \gamma), \quad (96)$$

$$\text{Dm}(J, \alpha, \beta, \gamma) = \frac{h^3}{12} H^{\alpha\beta\lambda\mu} \text{Dbeta}(J, \lambda, \mu, \gamma), \quad (97)$$

$$\text{Dq}(J, \alpha, \beta) = Gha^{\alpha\lambda} \text{Dgamma}(J, \lambda, \beta). \quad (98)$$

Finally, we can collocate the equilibrium equations (40)-(42) as

$$\text{Dnt}(J, \alpha, \lambda, \lambda) - b_\mu^\alpha |_\lambda \text{m}(J, \lambda, \mu) - b_\mu^\alpha \text{Dm}(J, \lambda, \mu, \lambda) - b_\lambda^\alpha \text{q}(J, \lambda) = -p^\alpha, \quad (99)$$

$$b_{\lambda\mu} \tilde{\text{n}}(J, \lambda, \mu) - b_\rho^\mu b_{\lambda\mu} \text{m}(J, \lambda, \rho) + \text{Dq}(J, \lambda, \lambda) = -p^3, \quad (100)$$

$$\text{Dm}(J, \alpha, \lambda, \lambda) - \text{q}(J, \alpha) = -c^\alpha. \quad (101)$$

150 Equations (72)-(101) represent the detailed computer implementation of our approach. Sum-
 151 mation over repeated indices can be conveniently done by loops, however, symmetries of sev-
 152 eral variables may be exploited for the sake of computational efficiency. It should be noted
 153 that also the geometric quantities like $\Gamma_{\alpha\beta}^\lambda$, $b_{\alpha\beta}$ in these equations represent multidimensional
 154 arrays in the implementation. However, we kept them in symbolic notation for better read-
 155 ability of the equations. The left hand sides of Eqs. (99)-(101) represent five rows of the
 156 stiffness matrix, collocated at each collocation point, with the free index J corresponding to
 157 the columns.

158 In the same way, we also derive the discretized versions of the boundary equilibrium
 159 equations, which are collocated at Neumann boundaries. It should be noted that Eqs. (43)-
 160 (47) assume smooth boundaries, while practical problems typically exhibit also sharp corners,
 161 where the boundary tangent and normal vectors \mathbf{t} , \mathbf{u} are not uniquely defined. According
 162 to [33], the tangent and normal vectors at these locations are taken as the average of the
 163 respective vectors from the two edges meeting at the corner.

164 5. Numerical tests

165 In this section we test the proposed formulation on a set of well-known benchmark ex-
 166 amples, consisting of the Scordelis-Lo roof from [60], the clamped hemispherical cap and the
 167 partly clamped hyperbolic paraboloid from [46, 61], and the cylindrical shell strip from [15].

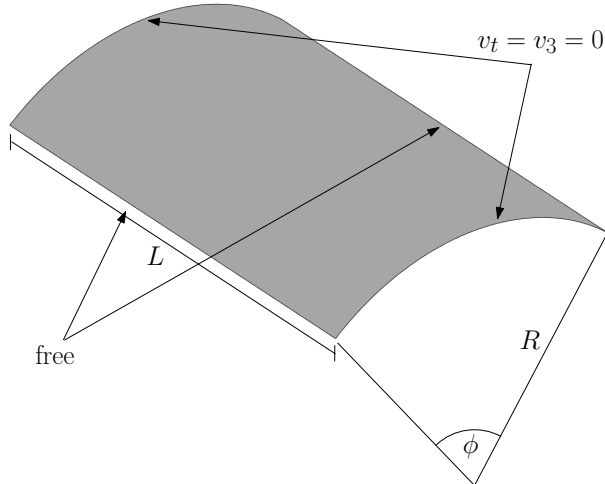


Figure 1: Scordelis-Lo roof. Geometry and boundary conditions.

168 All examples consist of geometries that can be modeled exactly by NURBS and, further-
 169 more, they cover the three different classes of parabolic, elliptic, and hyperbolic surfaces.
 170 Since all examples involve rather thin shells and a standard displacement-based formulation
 171 is employed, locking is to be expected. In the following, we perform convergence studies
 172 for different polynomial degrees ranging from $p = 3$ to $p = 8$ with maximum inter-element
 173 continuity C^{p-1} . Furthermore, two of the examples exhibit boundary layers. As demon-
 174 strated in [62], the size of the boundary layers typically scales with $\sqrt{l \cdot h}$, where h is the
 175 shell thickness, and l is a characteristic length, typically chosen as the length or radius of
 176 a shell. In order to properly resolve the boundary layers, we will use graded meshes, which
 177 are more refined on the boundaries, as shown in detail in the respective examples.

178 5.1. Scordelis-Lo roof

179 The Scordelis-Lo roof is one of the problems of the so-called *shell obstacle course* [60].
 180 It consists of a cylindrical ($K = 0$) section with radius $R = 25$, opening angle $\phi = 80^\circ$,
 181 length $L = 50$, and thickness $h = 0.25$, as depicted in Figure 1. The curved edges are
 182 supported by rigid diaphragms, while the straight edges are free, and the shell is subjected
 183 to self-weight with $p_z = 90$ per unit area. The material parameters are given by the Young's
 184 modulus $E = 4.32 \cdot 10^8$ and Poisson's ratio $\nu = 0.0$. As reference solution, the vertical
 185 displacement at the midpoint of the free edges is given as $v_z = -0.3024$ [60]. First, we perform
 186 uniform mesh refinement with $[4, 8, 16, 32, 64]^2$ elements, and the results are displayed in

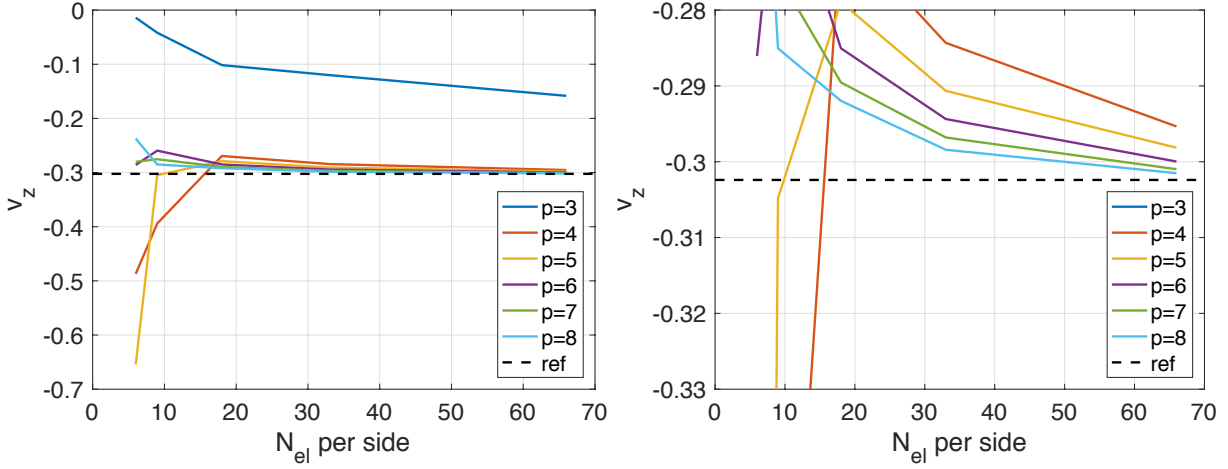


Figure 2: Scordelis-Lo roof. Convergence study with uniform refinement. In the right figure, the y -axis has been rescaled for a close-up view.

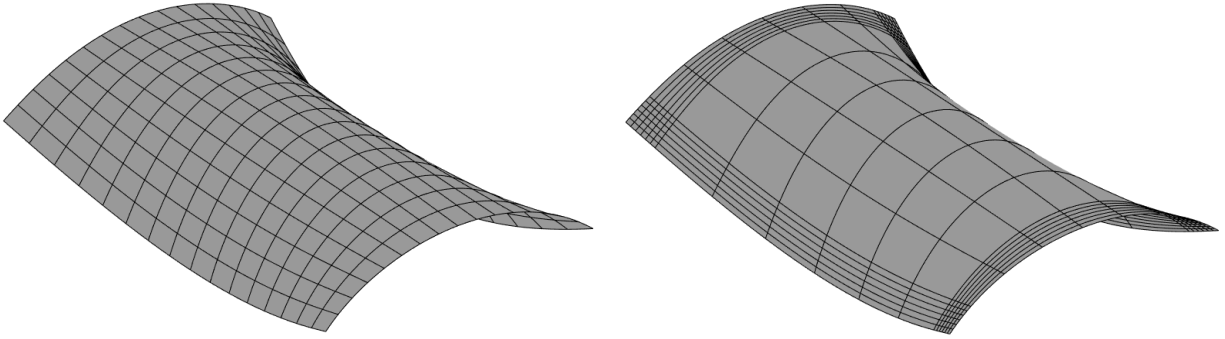


Figure 3: Scordelis-Lo roof. Uniform and boundary refined meshes, displayed on the deformed geometry (deformation scaled for visualization by a factor of 20).

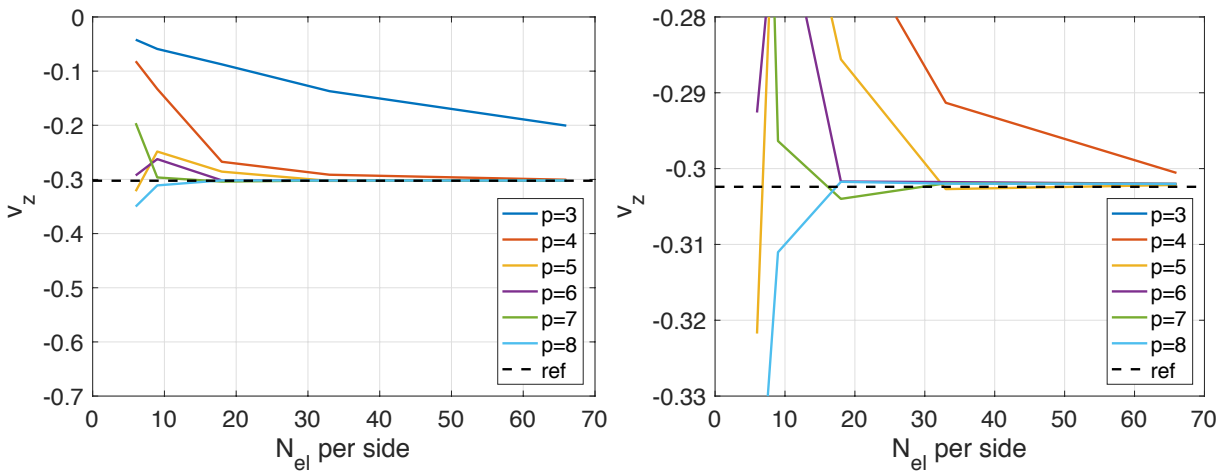


Figure 4: Scordelis-Lo roof. Convergence study with boundary refinement. In the right figure, the y -axis has been rescaled for a close-up view.

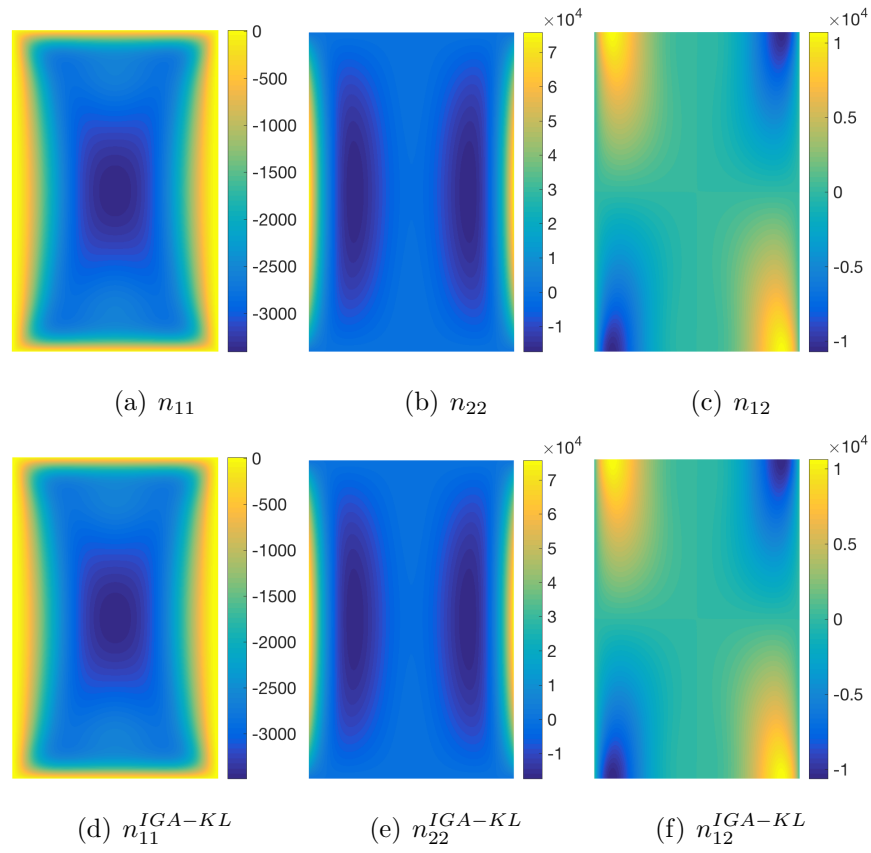


Figure 5: Scordelis-Lo roof, membrane forces. (a)-(c) show results from the presented collocation approach, (d)-(f) are obtained with isogeometric Kirchhoff-Love shell analysis for comparison.

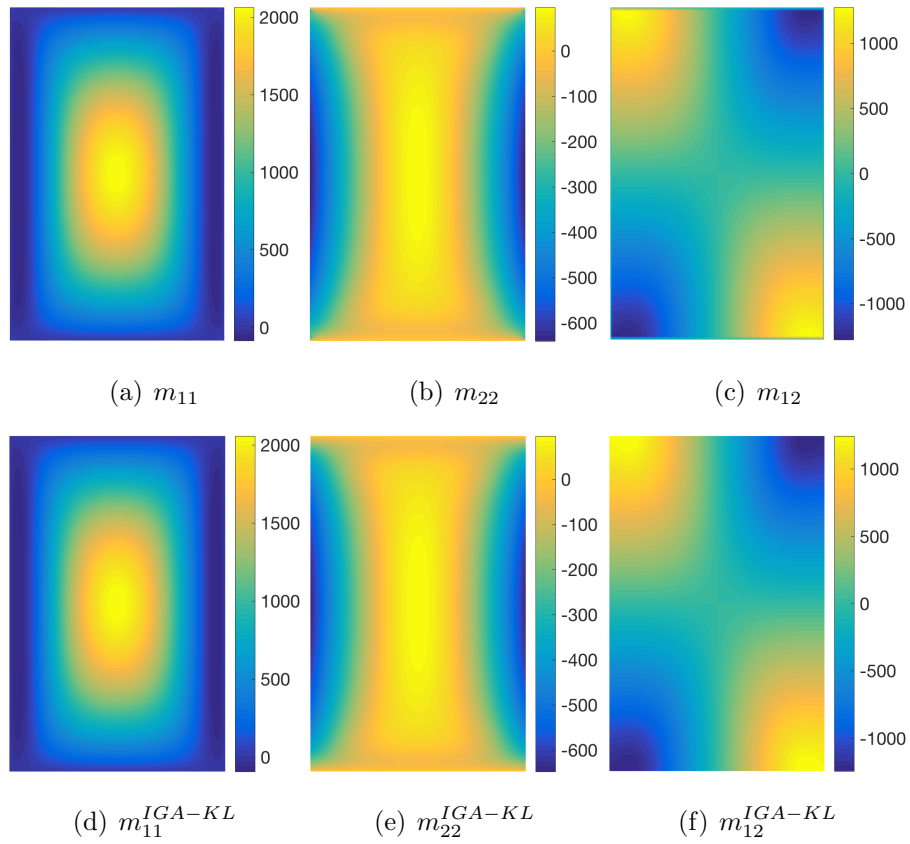


Figure 6: Scordelis-Lo roof, bending and twisting moments. (a)-(c) show results from the presented collocation approach, (d)-(f) are obtained with isogeometric Kirchhoff-Love shell analysis for comparison.

187 Figure 2. As expected, strong locking can be observed for $p = 3$, with the results being
 188 far from the reference solution even for the finest mesh. For $p = 4$ to $p = 8$, the results
 189 are significantly better, however, they converge very slowly, even for the highest degrees. In
 190 the right plot in Figure 2, the y -axis is rescaled such that this effect can clearly be seen.
 191 This behavior is due to boundary layers, which are not resolved properly by the uniform
 192 mesh refinement. Therefore, we adopt a graded mesh refinement, where we first insert
 193 additional knots at $\sqrt{L \cdot h}$ from the boundaries and then perform uniform mesh refinement
 194 of the existing elements. In Figure 3, examples for uniform and boundary refined meshes are
 195 depicted. Figure 4 shows the convergence curves obtained with boundary refinement. The
 196 results of $p = 3$ are still far away from convergence and also $p = 4$ is not fully converged as
 197 can be seen in the close-up view in the right figure. However, very good results are obtained
 198 for $p \geq 5$, with convergence after few steps for $p \geq 6$. It should be noted that on the x -axis,
 199 the total number of elements N_{el} per side is displayed, which means that for the same N_{el}
 200 the mesh is much coarser in the interior for the boundary refined cases, as can be seen also in
 201 Figure 3. The converged solution is obtained as $v_z = -0.3020$, which is slightly lower than
 202 the reference value. Furthermore, we investigate the quality of stress resultants obtained
 203 with this method. Since no reference values for stresses or stress resultants are provided in
 204 [60], we solve the problem via isogeometric Kirchhoff-Love shell analysis [6] for comparison.
 205 In Figure 5, the membrane force components n_{11} , n_{22} , n_{12} are depicted, with the indices 1 and
 206 2 corresponding to the circumferential and longitudinal directions, respectively. Subfigures
 207 (a)-(c) show the results obtained with the presented collocation approach on the finest mesh
 208 and $p = 8$, while (d)-(f) are the results for comparison, obtained with isogeometric Kirchhoff-
 209 Love shell analysis on a 64×64 mesh with $p = 5$. Very good agreement in the results can
 210 be observed. Figure 6 depicts the corresponding results for the moments m_{11} , m_{22} , m_{12} , and
 211 also here, very good agreement can be observed.

212 5.2. Clamped hemispherical cap

213 The second example is taken from [61] and consists of a clamped hemispherical cap
 214 ($K > 0$) under a sinusoidal external pressure loading. We model only one quarter of the
 215 geometry imposing symmetry conditions on the respective boundaries, as depicted in Figure

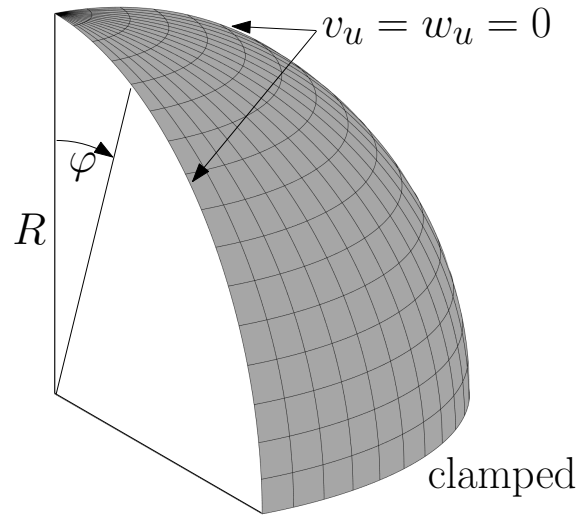


Figure 7: Clamped hemispherical cap. Geometry and boundary conditions. A quarter of the problem with symmetry conditions is modeled.

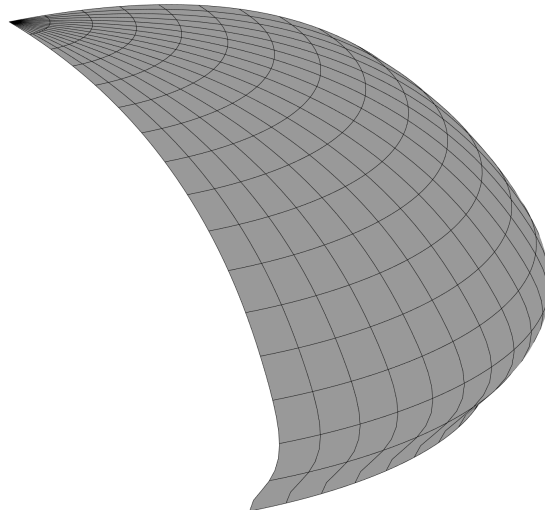


Figure 8: Clamped hemispherical cap. Deformation (scaled for visualization by a factor of $3 \cdot 10^4$).

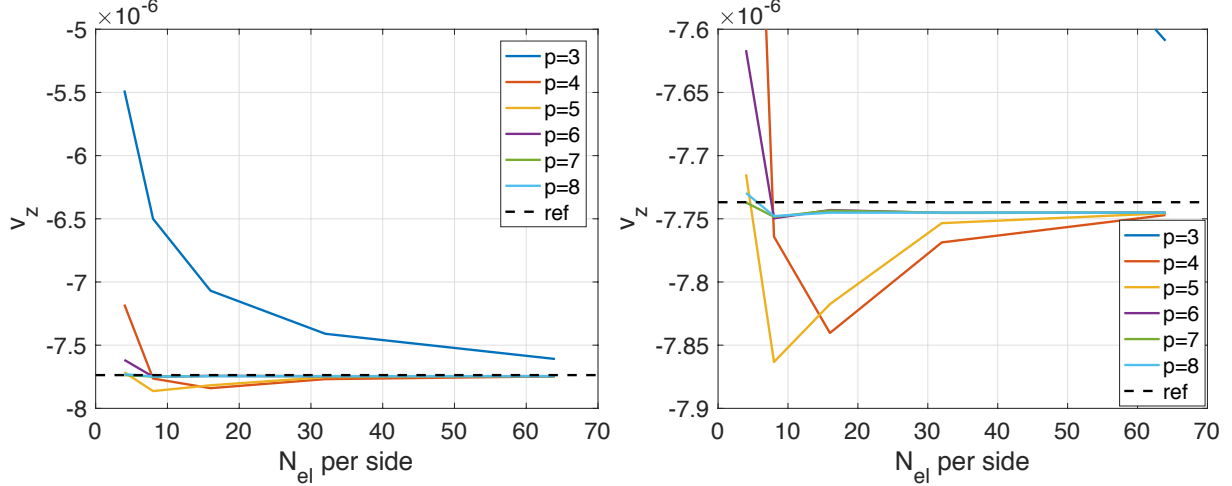


Figure 9: Clamped hemispherical cap. Convergence study. In the right figure, the y -axis has been rescaled for a close-up view.

216 7. The geometric and material properties are given as $R = 1$, $h = 0.01$, $E = 2 \cdot 10^{11}$, and
 217 $\nu = 0.3$. The pressure load is given as a function of the polar angle, $p_3(\varphi) = p_0 h \cos(2\varphi)$,
 218 with $p_0 = 10^6$. As reference solution, the vertical displacement at the pole is given as
 219 $v_z = -7.73688 \cdot 10^{-6}$ [61].

Special consideration has to be given to the imposition of boundary conditions at the pole for avoiding numerical problems due to the geometric singularity. While we have Dirichlet conditions for $v_1 = v_2 = w_1 = w_2 = 0$, the deformation in v_3 is free and the corresponding Neumann condition needs to be imposed. Considering θ^1 as the azimuth direction and θ^2 as the polar direction, we need to impose the Neumann condition $q^2 = 0$ at the pole, which means on all collocation points of this collapsed edge. Due to the singularity, we obtain $a_{11} = b_{11} = 0$ there, while a_{22} and b_{22} are still finite and non-zero. Using that we further have $a_{12} = b_{12} = 0$, the formula for the boundary shear force can be significantly simplified and finally reads as

$$q^2 = \frac{Gh}{a_{22}} \left(w_2 + v_{3,2} + \frac{b_{22}}{a_{22}} v_2 \right), \quad (102)$$

220 which we collocate on all collocations points coinciding in the pole.

221 For this example we did not find any influence of boundary layers and the best results
 222 were obtained with uniform mesh refinement. Figure 8 depicts the deformed configuration
 223 and Figure 9 shows the results of the convergence study. The converged solution is obtained

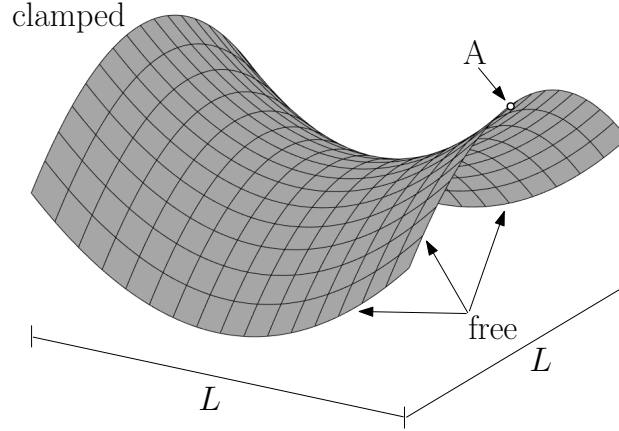


Figure 10: Partly clamped hyperbolic paraboloid (isolines are plotted for visualization but do not represent an analysis mesh).

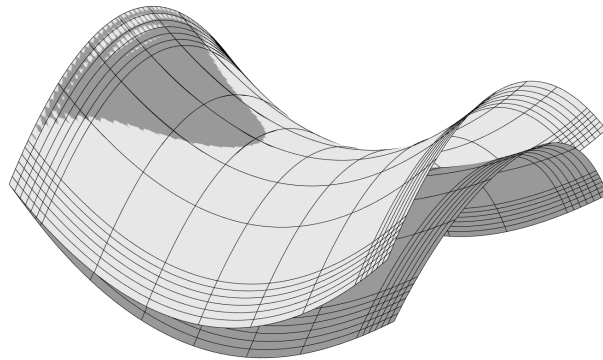


Figure 11: Partly clamped hyperbolic paraboloid. Undeformed (light shading) and deformed (dark shading) configurations with boundary refinement. The deformation has been scaled for visualization by a factor of $1.5 \cdot 10^3$.

224 as $v_z = -7.74513 \cdot 10^{-6}$, which is slightly higher than the reference value from [61]. Again,
 225 we observe strong locking for $p = 3$, which does not reach the converged value within the
 226 meshes considered, while all other degrees perform quite well, especially for $p \geq 6$.

227 5.3. Partly clamped hyperbolic paraboloid

228 This example is also taken from [61]. It has a hyperbolic geometry ($K < 0$), which is
 229 described by $z = x^2 - y^2$, $(x, y) \in [-L/2, L/2]^2$, as depicted in Figure 10. The geometric
 230 and material parameters are given as $L = 1$, $h = 0.01$, $E = 2 \cdot 10^{11}$, $\nu = 0.3$. The
 231 shell is clamped along the side $x = -L/2$ and subjected to self-weight loading given as
 232 $p_z = -8000 \cdot h$. As reference solution, the vertical displacement at point A ($x = L/2$,

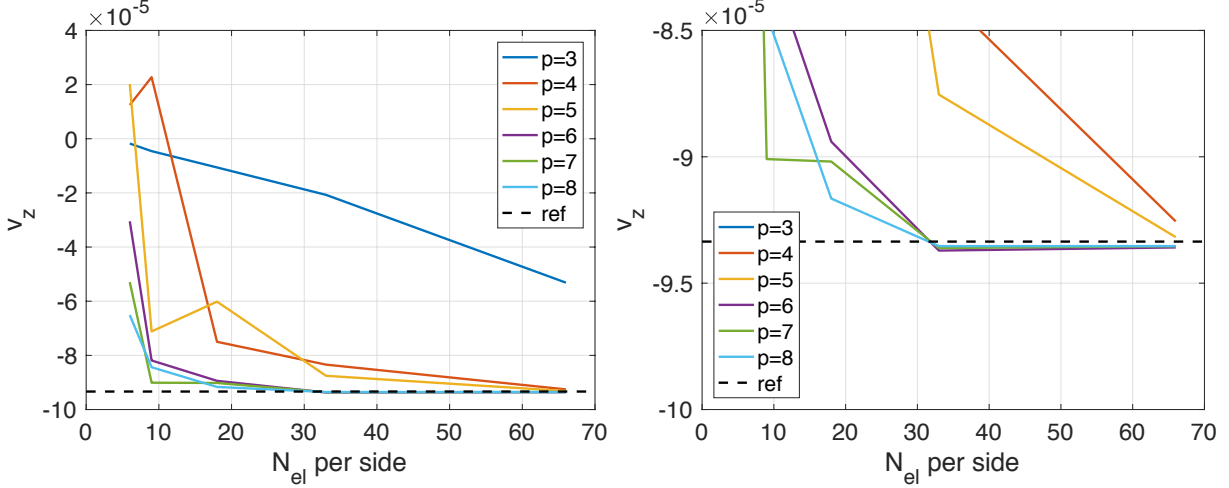


Figure 12: Partly clamped hyperbolic paraboloid. Convergence study. In the right figure, the y -axis has been rescaled for a close-up view.

233 $y = 0$) is given as $v_z = -9.3355 \cdot 10^{-5}$ [61]. This problem exhibits significant boundary layers
 234 and we adopt again a graded refinement scheme as described in Section 5.1, with higher
 235 refinement in a width of $\sqrt{L \cdot h}$ from the edges. Figure 11 shows a boundary refined mesh
 236 on the undeformed and deformed configurations. In Figure 12, the convergence curves are
 237 depicted. The converged solution is obtained as $v_z = -9.3533 \cdot 10^{-5}$, which is slightly higher
 238 than the reference value. Similar to the previous examples, $p = 3$ performs very badly, but
 239 also $p = 4$ and $p = 5$ do not reach convergence within the considered range of meshes. For
 240 $p \geq 6$, however, very good convergence can be observed again.

241 5.4. Cylindrical shell strip

242 The final example is taken from [15]. It consists of a cylindrical shell strip, which is
 243 clamped on one side and subjected to a constant line load in radial direction on the opposite
 244 free edge, see Figure 13. The geometric and material parameters are given as $R = 10$,
 245 $W = 1$, $E = 10^3$, $\nu = 0$. The applied load is scaled with the shell thickness $F = 0.1 \cdot h^3$ and
 246 different thickness values ranging from thick to very thin shells are considered. As reference
 247 solution, the radial displacement under the load has been computed in [15] according to
 248 Euler-Bernoulli beam theory as approximately $v_r = 0.942$. This example is often used to
 249 study membrane locking, but it should be noted that both membrane and shear locking are
 250 present. We solve the problem for various thickness values with the slenderness R/h ranging

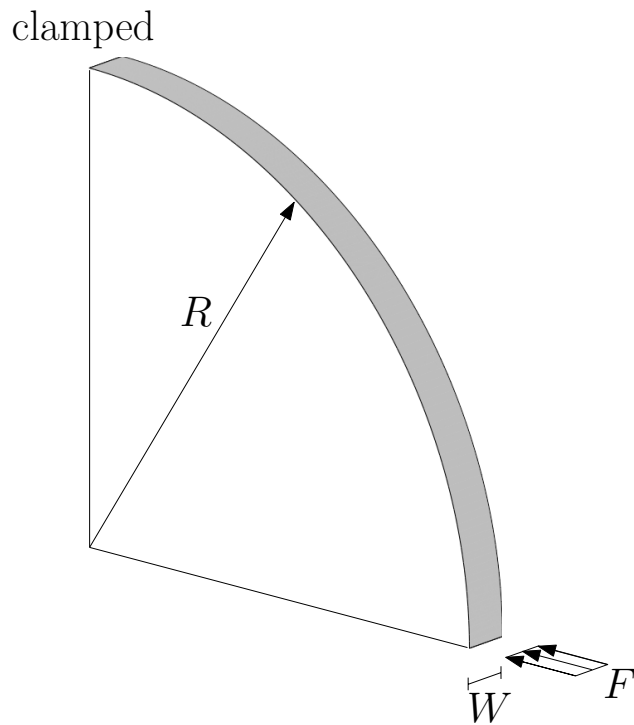


Figure 13: Cylindrical shell strip. Problem setup.

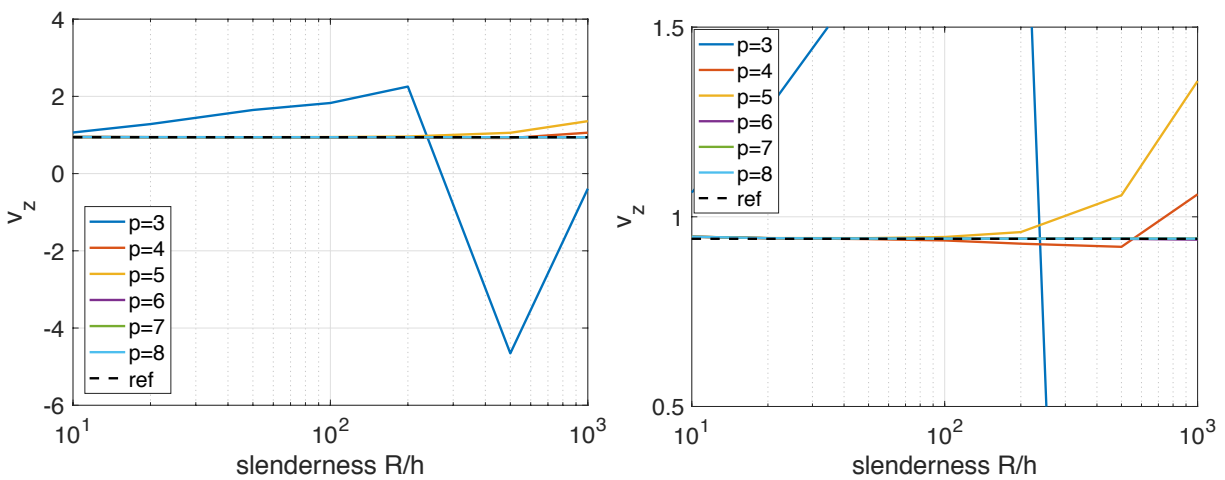


Figure 14: Cylindrical shell strip. Slenderness study. In the right figure, the y -axis has been rescaled for a close-up view.

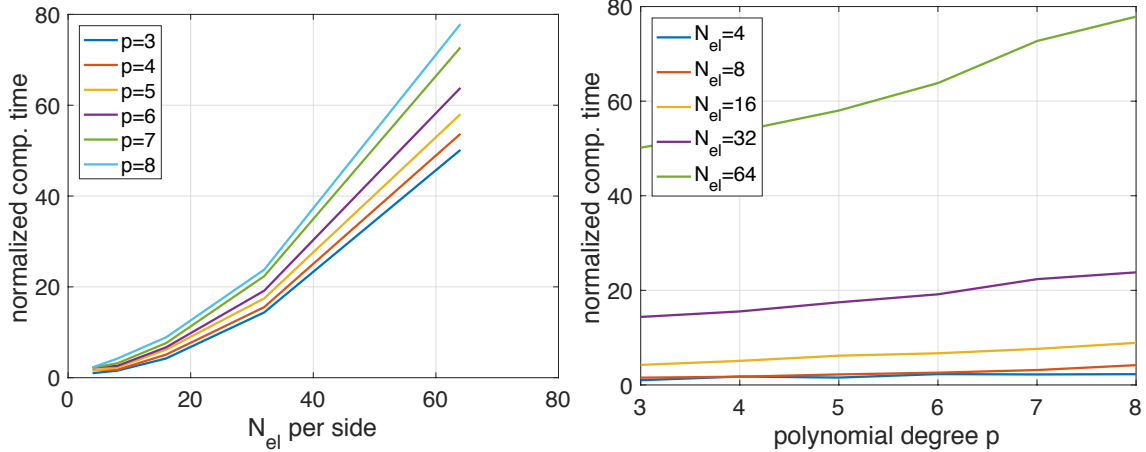


Figure 15: Clamped hemispherical cap. Study on the computational time for assembly, normalized by the result for the coarsest mesh. Left: Results gathered by polynomial degree. Right: Results gathered by mesh size.

251 from 10 to 1000. The mesh is chosen such that the number of collocation points in the long
 252 direction is 30 for all polynomial degrees, i.e., $30 - p$ elements are used. In Figure 14, the
 253 convergence curves are depicted. It can be seen that $p = 3$ performs very badly over the
 254 whole range of slenderness, $p = 4$ and $p = 5$ are accurate until a slenderness of 100 but
 255 deviate from the reference solution for higher values, while the results for $p \geq 6$ are very
 256 good even for the very slender cases.

257 5.5. A short discussion on locking, polynomial degree, and computational efficiency

258 It is a general feature of IGA-C that primal formulations may suffer from the same locking
 259 problems as corresponding Galerkin formulations, which has been observed already in the
 260 context of shear-deformable beams, rods, and plates [34, 36–40]. Corresponding locking-free
 261 collocation methods can be obtained, e.g., by adopting mixed formulations [34, 36, 38–40],
 262 similar to mixed Galerkin methods. In this context it is also worth noting that while the
 263 source of locking in IGA-C is the same as in Galerkin methods, namely the unbalance of the
 264 discrete approximation spaces, the effects can be different. In contrast to Galerkin methods,
 265 the displacements affected by locking in IGA-C do not necessarily tend towards zero in the
 266 thin limit, but can also behave rather oscillatory, as can be seen in the results for $p = 3$
 267 in Figure 14. Obviously, the term *locking* is somewhat inappropriate for this behavior from
 268 a phenomenological point of view, but it is kept due to the analogy to locking in Galerkin

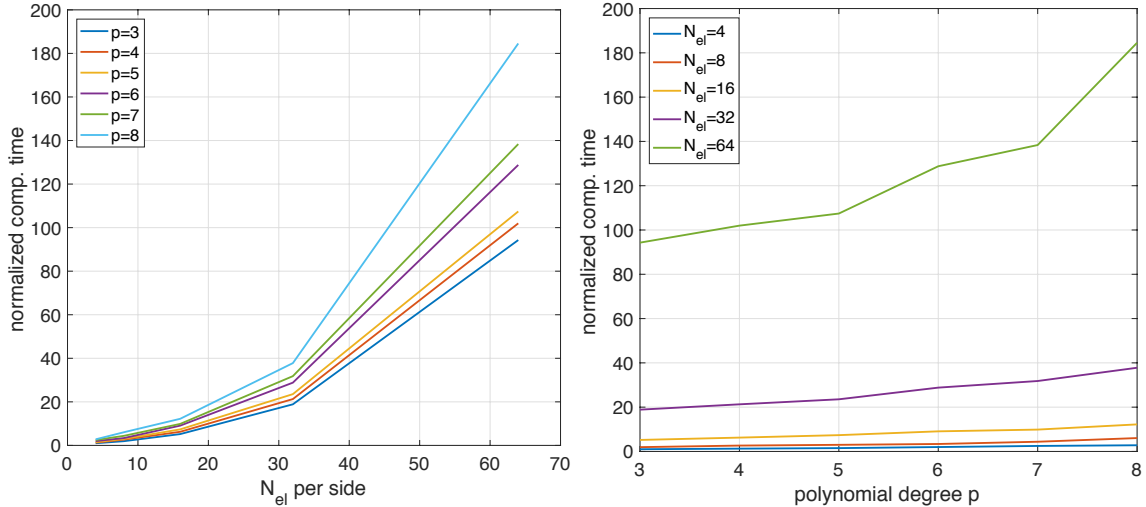


Figure 16: Clamped hemispherical cap. Study on the total computational time, normalized by the result for the coarsest mesh. Left: Results gathered by polynomial degree. Right: Results gathered by mesh size.

269 methods.

270 As in Galerkin methods, the effects of locking in IGA-C decrease with increasing poly-
 271 nomial degree. For the presented shell formulation, the numerical tests indicate that polynomial
 272 degrees $p > 5$ are necessary to obtain good results without excessively fine meshes. How-
 273 ever, the important difference between IGA-C and Galerkin methods is that for the latter,
 274 the computational effort for the assembly increases exponentially with p due to numerical
 275 quadrature, while in IGA-C only one collocation point per degree of freedom (which is ap-
 276 proximately one point per element) is needed, independently of the polynomial degree. For
 277 problems affected by locking, like the presented shell formulation, this makes the use of high
 278 polynomial degrees a simple and efficient way of avoiding locking.

279 In the following, we perform a study on the computational time spent on the assembly
 280 of the system matrices for different polynomial degrees and meshes. Figure 15 shows exem-
 281 plarily the results for the hemisphere problem. In the left figure, the curves represent the
 282 different polynomial degrees with the number of elements per side on the x -axis, while in
 283 the right figure the curves represent the different meshes with the polynomial degree on the
 284 x -axis. It can be seen that with mesh refinement, the computational cost increases expo-
 285 nentially, while increasing the polynomial degree has a rather small impact, especially for
 286 the coarser meshes. But even for the finest mesh, going from $p = 3$ up to $p = 8$ increases

287 the computational time by a factor of less than two. Since the total computational cost,
288 including the time for solving the equation system, depends not only on the number of col-
289 location points but also on factors like the bandwidth, which, in turn, depend on p , we also
290 measure the total computational time, comprising both assembly and solving. The results
291 are depicted in Figure 16 and support what has been observed in Figure 15, namely, that the
292 computational cost depends mainly on the mesh size rather than on the polynomial degree.
293 These results confirm that high polynomial degrees are preferable in IGA-C, in particular
294 for the presented case of a primal formulation for Reissner-Mindlin shells.

295 6. Conclusions

296 In this paper, we applied the concept of isogeometric collocation to the Reissner-Mindlin
297 shell problem. We started by recalling the necessary background on differential geometry and
298 the governing equations of shear-deformable shells. We showed that the classical approach of
299 expressing the equilibrium equations in terms of primal kinematic variables is not suitable in
300 the case of shells due to the cumbersome form that the expanded equations assume. There-
301 fore, we derived the formulation in a stepwise approach by creating step-by-step discretized
302 objects that can be efficiently used to construct the discretized forms of the governing equa-
303 tions by following closely their expressions in the continuous form. In comparison with the
304 traditional approach, the stepwise formulation offers enormous benefits in terms of both im-
305 plementation difficulty and computational efficiency. As collocation points, we adopted the
306 standard Greville abscissae. Convergence studies have been performed on different bench-
307 mark problems which cover the three different classes of parabolic, elliptic, and hyperbolic
308 shells, and which include important effects like locking and boundary layers. Similar to what
309 has been observed in [40] for Reissner-Mindlin plates, boundary layers significantly affect the
310 convergence behavior if uniform meshes are used, but results can easily be improved by us-
311 ing graded meshes, which are more refined at the boundaries. The results are also strongly
312 affected by locking for lower polynomial degrees, but these effects become insignificant for
313 high polynomial degrees. In our numerical tests, very good results were obtained for $p > 5$.
314 Furthermore, we presented an indicative study on the computational costs, which suggests
315 the use of high polynomial degrees for both accuracy and computational efficiency. In light of

316 these results we believe that the proposed displacement-based formulation with sufficiently
 317 high polynomial degrees presents an efficient and accurate method for a wide range of shell
 318 problems. Nevertheless, the development of a locking-free method, e.g. by using a mixed
 319 formulation, is of interest as well, and is planned as future research.

320 **Acknowledgements**

321 J. Kiendl was partially supported by the Onsager fellowship program of the Norwegian
 322 University of Science and Technology (NTNU). L. De Lorenzis was partially supported by
 323 the DFG Priority Program SPP 1748 “Reliable Simulation Techniques in Solid Mechanics”.
 324 This support is gratefully acknowledged.

325 **Appendix A. Direct displacement-based approach**

326 In this appendix, we present an exemplary part of the equations which are obtained with
 327 the *direct approach*, where the equilibrium equations are expressed directly in terms of the
 328 primal variables. The equations have been derived with the help of Mathematica by Wolfram
 329 [50] together with EinS [51], a specific Mathematica package able to manage indexed objects
 330 with the Einstein summation convention. Since the full equations span several pages, we
 331 only present here the first component of the first term of Eq. (40), i.e., $\tilde{n}^{1\lambda}|_\lambda$.

332 The fully expanded form reduced to the first and second fundamental forms as well as
 333 to the Christoffel symbols and their derivatives is given in Eq. (A.1). It should be
 334 observed that the selected term involves only the stretching strain tensor $\alpha_{\alpha\beta}$, therefore only
 335 three primal kinematic variables appear¹. Moreover, the kinematic variables appearing in
 336 such a large number of terms still need to be discretized. We have coded all the required
 337 functions in order to obtain the full system of collocated equations symbolically, but the
 338 computational time became too high making this approach completely impractical.

¹Note that in Eq. (A.1) a slightly different notation for the partial derivatives is used, where $\partial v_{\alpha\beta}$ and $\partial^2 v_{\alpha\beta\gamma}$ correspond to $v_{\alpha,\beta}$ and $v_{\alpha,\beta\gamma}$, respectively. The same applies to v_3 , $\Gamma_{\beta\gamma}^\alpha$ and $b_{\alpha\beta}$.

$$\begin{aligned}
\tilde{n}^{1\lambda}|_\lambda = & \frac{Eh}{-1+\nu^2} \left(-2\partial^2 v_{111} a^{11^2} + 6\partial v_{11} \Gamma_{11}^1 a^{11^2} + 2\partial v_{12} \Gamma_{11}^2 a^{11^2} + 4\partial v_{21} \Gamma_{11}^2 a^{11^2} - 4\partial^2 v_{112} a^{11} a^{12} \right. \\
& - 2\partial^2 v_{211} a^{11} a^{12} + 4b_{12} \partial v_{31} a^{11} a^{12} + 4\partial v_{12} \Gamma_{11}^1 a^{11} a^{12} + 2\partial v_{21} \Gamma_{11}^1 a^{11} a^{12} + 12\partial v_{11} \Gamma_{12}^1 a^{11} a^{12} \\
& + 6\partial v_{22} \Gamma_{11}^2 a^{11} a^{12} + 4\partial v_{12} \Gamma_{12}^2 a^{11} a^{12} + 8\partial v_{21} \Gamma_{12}^2 a^{11} a^{12} - \partial^2 v_{122} a^{12^2} - \nu \partial^2 v_{122} a^{12^2} - 3\partial^2 v_{212} a^{12^2} \\
& + \nu \partial^2 v_{212} a^{12^2} + 2b_{22} \partial v_{31} a^{12^2} - 2\nu b_{22} \partial v_{31} a^{12^2} + 2b_{12} \partial v_{32} a^{12^2} + 2\nu b_{12} \partial v_{32} a^{12^2} + 5\partial v_{12} \Gamma_{12}^1 a^{12^2} \\
& + \nu \partial v_{12} \Gamma_{12}^1 a^{12^2} + 3\partial v_{21} \Gamma_{12}^1 a^{12^2} - \nu \partial v_{21} \Gamma_{12}^1 a^{12^2} + 4\partial v_{11} \Gamma_{22}^1 a^{12^2} + 8\partial v_{22} \Gamma_{12}^2 a^{12^2} + \partial v_{12} \Gamma_{22}^2 a^{12^2} \\
& + \nu \partial v_{12} \Gamma_{22}^2 a^{12^2} + 3\partial v_{21} \Gamma_{22}^2 a^{12^2} - \nu \partial v_{21} \Gamma_{22}^2 a^{12^2} + 2b_{11} a^{11} (\partial v_{31} a^{11} + \partial v_{32} a^{12}) - \partial^2 v_{122} a^{11} a^{22} \\
& + \nu \partial^2 v_{122} a^{11} a^{22} - \partial^2 v_{212} a^{11} a^{22} - \nu \partial^2 v_{212} a^{11} a^{22} + 2\nu b_{22} \partial v_{31} a^{11} a^{22} + 2b_{12} (1-\nu) \partial v_{32} a^{11} a^{22} \\
& + 3\partial v_{12} \Gamma_{12}^1 a^{11} a^{22} - \nu \partial v_{12} \Gamma_{12}^1 a^{11} a^{22} + \partial v_{21} \Gamma_{12}^1 a^{11} a^{22} + \nu \partial v_{21} \Gamma_{12}^1 a^{11} a^{22} + 2\partial v_{11} \Gamma_{22}^1 a^{11} a^{22} \\
& + 4\partial v_{22} \Gamma_{12}^2 a^{11} a^{22} + \partial v_{12} \Gamma_{22}^2 a^{11} a^{22} - \nu \partial v_{12} \Gamma_{22}^2 a^{11} a^{22} + \partial v_{21} \Gamma_{22}^2 a^{11} a^{22} + \nu \partial v_{21} \Gamma_{22}^2 a^{11} a^{22} \\
& \left. - 2\partial^2 v_{222} a^{12} a^{22} + 2b_{22} \partial v_{32} a^{12} a^{22} + 4\partial v_{12} \Gamma_{22}^1 a^{12} a^{22} + 2\partial v_{21} \Gamma_{22}^1 a^{12} a^{22} + 6\partial v_{22} \Gamma_{22}^2 a^{12} a^{22} \right) \\
& - \frac{2Eh}{-1+\nu^2} \left(a^{11^2} (-\partial b_{111} + 2b_{12} \Gamma_{11}^2) + a^{11} a^{12} (-2\partial b_{121} - \partial b_{112} + 2b_{12} \Gamma_{11}^1 + 2b_{22} \Gamma_{11}^2 + 4b_{12} \Gamma_{12}^2) \right) \\
& + a^{12^2} (\nu \partial b_{221} - \partial b_{221} - \partial b_{122} - \nu \partial b_{122} + 3b_{12} \Gamma_{12}^1 a^{12^2} - \nu b_{12} \Gamma_{12}^1 + 3b_{22} \Gamma_{12}^2 - \nu b_{22} \Gamma_{12}^2 + b_{12} \Gamma_{22}^2 + \nu b_{12} \Gamma_{22}^2) \\
& + a^{11} a^{22} (-\nu \partial b_{221} - \partial b_{122} + \nu \partial b_{122} + b_{12} \Gamma_{12}^1 + \nu b_{12} \Gamma_{12}^1 + b_{22} \Gamma_{12}^2 + \nu b_{22} \Gamma_{12}^2 + b_{12} \Gamma_{22}^2 - \nu b_{12} \Gamma_{22}^2) \\
& + a^{12} a^{22} (2b_{12} \Gamma_{22}^1 - \partial b_{222} + 2b_{22} \Gamma_{22}^2) + b_{11} (2\Gamma_{11}^1 a^{11^2} + 4\Gamma_{12}^1 a^{11} a^{12} + \Gamma_{22}^1 ((1+\nu) a^{12^2} + (1-\nu) a^{11} a^{22})) v_3 \\
& + \frac{2Eh}{-1+\nu^2} \left(a^{11^2} (\partial \Gamma_{111}^1 - 2\Gamma_{11}^1{}^2 - 2\Gamma_{12}^1 \Gamma_{11}^2) + 2\partial \Gamma_{121}^1 a^{11} a^{12} + a^{11} a^{12} (\partial \Gamma_{112}^1 - 2\Gamma_{22}^1 \Gamma_{11}^2 - 4\Gamma_{12}^1 \Gamma_{12}^2) \right) \\
& + a^{12^2} (\partial \Gamma_{221}^1 - \nu \partial \Gamma_{221}^1 + \partial \Gamma_{122}^1 + \nu \partial \Gamma_{122}^1 - 3\Gamma_{12}^1{}^2 + \nu \Gamma_{12}^1{}^2 a^{12^2} - 3\Gamma_{22}^1 \Gamma_{12}^2 + \nu \Gamma_{22}^1 \Gamma_{12}^2 - \Gamma_{12}^1 \Gamma_{22}^2 - \nu \Gamma_{12}^1 \Gamma_{22}^2) \\
& a^{11} a^{22} (\nu \partial \Gamma_{221}^1 + \partial \Gamma_{122}^1 - \nu \partial \Gamma_{122}^1 - \Gamma_{12}^1{}^2 - \nu \Gamma_{12}^1{}^2 - \Gamma_{22}^1 \Gamma_{12}^2 - \nu \Gamma_{22}^1 \Gamma_{12}^2 - \Gamma_{12}^1 \Gamma_{22}^2 + \nu \Gamma_{12}^1 \Gamma_{22}^2) \\
& + \partial \Gamma_{222}^1 a^{12} a^{22} - 2\Gamma_{12}^1 \Gamma_{22}^1 a^{12} a^{22} - 2\Gamma_{22}^1 \Gamma_{22}^2 a^{12} a^{22} - \Gamma_{11}^1 (6\Gamma_{12}^1 a^{11} a^{12} + \Gamma_{22}^1 ((1+\nu) a^{12^2} + (1-\nu) a^{11} a^{22})) v_1 \\
& - \frac{2Eh}{-1+\nu^2} \left(a^{11^2} (-\partial \Gamma_{111}^2 + 2\Gamma_{11}^2 \Gamma_{12}^2) + a^{11} a^{12} (-2\partial \Gamma_{121}^2 - \partial \Gamma_{112}^2 + 4\Gamma_{12}^1 \Gamma_{11}^2 + 4\Gamma_{12}^2{}^2 + 2\Gamma_{11}^2 \Gamma_{22}^2) \right) \\
& + a^{12^2} (-\partial \Gamma_{221}^2 + \nu \partial \Gamma_{221}^2 - \partial \Gamma_{122}^2 - \nu \partial \Gamma_{122}^2 + \Gamma_{22}^1 \Gamma_{11}^2 + \nu \Gamma_{22}^1 \Gamma_{11}^2 + 3\Gamma_{12}^1 \Gamma_{12}^2 - \nu \Gamma_{12}^1 \Gamma_{12}^2) \\
& + 4\Gamma_{12}^2 \Gamma_{22}^2) + 2\Gamma_{11}^1 a^{11} (\Gamma_{11}^2 a^{11} + \Gamma_{12}^2 a^{12}) + ((-\nu \partial \Gamma_{221}^2 + (-1+\nu) \partial \Gamma_{122}^2 + \Gamma_{22}^1 \Gamma_{11}^2 - \nu \Gamma_{22}^1 \Gamma_{11}^2) \\
& + \Gamma_{12}^1 \Gamma_{12}^2 + \nu \Gamma_{12}^1 \Gamma_{12}^2 + 2\Gamma_{12}^2 \Gamma_{22}^2) a^{11} + (-\partial \Gamma_{222}^2 + 2(\Gamma_{12}^2 \Gamma_{12}^2 + \Gamma_{22}^2{}^2)) a^{12} a^{22} v_2. \quad (A.1)
\end{aligned}$$

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